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EXECUTIVE COMMITTEE OF
THE MULTILATERAL FUND FOR THE
IMPLEMENTATION OF THE MONTREAL PROTOCOL
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**DRAFT WORK PROGRAMME FOR MONITORING AND EVALUATION FOR THE
YEAR 2001**

I Three-year objective and results achieved

1. The Year 2001 Draft Work Programme for Monitoring and Evaluation is as the previous work programme placed in a three-year perspective (2000-2002). The mid-term objective for this period, as defined in the 2000 Work Programme, is to fully consolidate a cost-effective system for monitoring and evaluation in the Multilateral Fund Secretariat. This comprises the following:

- (i) prepare evaluation studies of the main sectors and types of projects;
- (ii) eliminate the backlog of project completion reports (PCRs);
- (iii) assure that PCRs are submitted on time and in better quality;
- (iv) further improve reporting formats and databases;
- (v) build up an appropriate roster of consultants;
- (vi) develop a follow-up system on the results of evaluations.

2. The main results expected from implementing the 2000 Monitoring and Evaluation Work Programme have been achieved. Several final evaluation reports and desk studies have been prepared and presented to the Executive Committee. A number of decisions based on the recommendations of the evaluations have been taken by the Executive Committee. Satisfactory progress has been realized in eliminating the backlog of project completion reports, in line with the schedule agreed upon with the Implementing Agencies and approved by the 29th Meeting of the Executive Committee, and the quality of PCRs received has improved to some extent. The formats for completion reports for investment projects as well as terminal reports and extension requests for institutional strengthening projects have been revised and approved. Further details are presented in the Report on the Implementation of the 2000 Work Programme for Monitoring and Evaluation (document UNEP/OzL.Pro/ExCom/32/19).

3. During the preparation of evaluations, stakeholders concerned have been involved in the collection of information and in commenting on the draft reports. The National Ozone Units of the countries concerned have been extensively consulted during the field visits and some of them provided comments on the draft evaluation reports which were integrated into the final reports. Likewise, the implementing agencies were involved in all stages of preparing and finalizing the reports.

II Evaluation studies foreseen in the year 2001

(a) Guiding principles

4. The draft Year 2001 Work Programme for Monitoring and Evaluation is formulated on the basis of the following principles:

- (i) Finalizing evaluations underway: this concerns reports on the ongoing evaluations of foam projects and regional networks both of which are foreseen to be presented to the 33rd Meeting in 2001.

- (ii) Follow up with field visits on desk studies completed under the Work Programme 2000. This concerns Compressor, Recovery and Recycling and Solvents sector projects.
- (iii) Halon and aerosol investment projects are new sectors proposed for desk studies and subsequent evaluations. The desk study on Methyl bromide demonstration projects which was planned in early 2001 will be taken up one year later when further projects will have been completed. As non-investment projects, clearinghouse activities will be analyzed in an extended desk study for the first meeting in 2002.

5. Annex I gives an overview of evaluation subjects and schedules proposed for presenting the reports to the Executive Committee. An overview of the sectors proposed for evaluation, showing number of projects, funds approved and disbursed, as well as the ODS phase out planned and achieved according to the PCRs received, is shown in Annex II.

(b) Main evaluation issues

6. In the evaluations foreseen for investment projects, particular attention will be paid to the following issues:

- (i) Has the actual phase out occurred as planned, within the framework of the approved budgets for ICC and IOC with expenditures being made for eligible items only, and the projects being completed within the time schedules approved?
- (ii) What evidence can be collected on the actual incremental operating cost and savings and its role in facilitating project implementation?
- (iii) What can be learned with regard to the sustainability of ODS phase out to limit the risk of returning to the use of ODS, in particular, through the disposal and destruction of ODS-based equipment?
- (iv) What can be learned with regard to project modalities that will more often be used in the future, like umbrella projects and terminal phase-out projects, small- and medium-sized enterprises and sector or country approaches?
- (v) What are the linkages between project results and the creation and enforcement of an appropriate policy environment conducive to rapid phase out and compliance with the Montreal Protocol schedules (e.g. import licensing schemes, import restrictions, monitoring and enforcement mechanisms to supervise and restrict the use of ODS)?
- (vi) How do the investment and non-investment projects complement each other in facilitating rapid phase out and compliance?

7. Evaluation issues specific to individual studies will be worked out in the on-going or planned desk studies and will be presented in due course to the Executive Committee.

(c) Implementation modalities and methodological approach to evaluation studies

8. The practice of preparing desk studies and presenting their results for consideration to the Executive Committee before embarking on field visits has proven to be beneficial and will be continued. In cases where a few field visits, telephone interviews or questionnaires add substantial evidence at limited extra effort and cost to the desk reviews of project documents and completion reports, this will be done as it has been practiced for the review of recovery and recycling projects presented to the 31st Meeting of the Executive Committee (document UNEP/OzL.Pro/ExCom/31/18). Such studies are called extended desk studies.

9. The consolidated final reports incorporating the results of field visits and consultations with the various stakeholders on the draft reports will continue to be presented two or three meetings after the presentation of the desk study.

10. While specific evaluation methodologies are to be worked out in each desk study, the approach generally consists of preparing a detailed review of project documents, project completion reports and other relevant information from the databases available in the Secretariat, followed by the elaboration of guidelines for structured interviews to be conducted with enterprises' personnel, during visits to a selected sample of projects in all regions. The case studies resulting from visits in the projects are then synthesized and the final recommendations presented to the Executive Committee after consultation with the companies, National Ozone Units and Implementing Agencies involved.

(d) Main budget items

11. The monitoring and evaluation work programme for 1999 had foreseen US \$361,000 in expenditures for consultants' fees and missions, equipment, staff travel and miscellaneous costs. Its implementation is still on going. The main items for conducting evaluations consists of fees and travel costs for consultants. Depending on the size of the samples chosen for field visits and the number of consultants hired, the cost of evaluations vary between US \$50,000 to US \$100,000 per study. The proposed budget for the implementation of the Monitoring and Evaluation Work Programme 2001 is six percent less than that of last year:

Table 1: Proposed Budget for the Monitoring and Evaluation Work Programme 2000

Three evaluation studies at average US \$75,000 each for consultant fees and travel	US \$225,000
Three extended desk studies at US \$20,000 each for consultant fees and travel	US \$60,000
Two desk studies at US \$8,000 each for consultant fees	US \$16,000
Staff Travel:	US \$30,000
Equipment:	US \$ 5,000
Communication, etc.:	US \$ 2,000
Total:	US \$338,000

Annex I: 2001 Schedule for Submission of Documents on Monitoring and Evaluation to the Executive Committee

3 rd Meeting 2000	1 st Meeting 2001	2 nd Meeting 2001	3 rd Meeting 2001	1 st Meeting 2002
<ul style="list-style-type: none"> • Desk study on the evaluation of solvents projects¹ • Report on the Implementation of the 2000 Work Programme, including Consolidated Project Completion Report 2000 • Draft Work Programme 2001 	<ul style="list-style-type: none"> • Final report on the evaluation of foam projects • Final report on the evaluation of Regional Networks 	<ul style="list-style-type: none"> • Final report on the evaluation of selected compressor projects • Final report on the evaluation of solvents projects • Desk study on the evaluation of halon projects 	<ul style="list-style-type: none"> • Extended desk study² on the evaluation of aerosol projects • Report on the Implementation of the 2001 Work Programme, including Consolidated project completion report 2001 • Draft Work Programme 2002 	<ul style="list-style-type: none"> • Desk study on the evaluation of Methyl bromide demonstration projects • Extended desk study² on the evaluation of clearing house projects • Extended desk study^{2,3} on Recovery and Recycling Projects

¹A summary of this desk study is presented in the Report on the Implementation of the 2000 Work Programme for Monitoring and Evaluation (UNEP/OzL.Pro/ExCom/32/19).

²The 'Extended desk study' modality is explained in paragraph 8 of this document.

³Under the provision sufficient data have been collected on the status quo of recovery and recycling projects (use of equipment delivered, quantities of CFC recovered and recycled, etc.).

Annex II: Overview of Projects/sectors Proposed for Desk Studies and Field Evaluation (2000/2001)

Sector	Agency	Number of Approved Projects	Number of Completed Projects	Total Funds Approved	Total Funds Disbursed	ODP to be Phased Out (tons)	ODP Phased Out (tons)	PCRs Received
Aerosol	Total	98	55	25,819,014	20,157,702	24,596	20,972	33
	Bilateral	1	0	90,400	0	16	0	--
	IBRD	26	17	12,362,063	10,172,755	19,664	17669.6	10
	UNDP	35	13	6,276,351	4,071,590	1,709	498	2
	UNIDO	36	25	7,090,200	5,913,357	3,207	2804.05	21
Foam	Total	818	366	250,493,172	125,800,936	44,841	22,738	200
	Bilateral	9	3	1,566,780	561,614	349	0	2
	IBRD	198	97	78,070,884	37,994,714	14,375	8,209	76**
	UNDP	516	227	129,232,151	69,762,505	21,213	10,324	94*
	UNIDO	95	39	41,623,357	17,482,103	8,905	4,204	33
Halon	Total	31	12	39,516,634	18,082,194	26,058	44,408	7
	Bilateral	2	1	935,000	807,000	200	231	--
	IBRD	10	6	36,168,000	16,056,420	22,786	42,118	3
	UNDP	18	4	1,917,634	741,083	1,962	579	3
	UNIDO	1	1	496,000	477,691	1,110	1,480	1
Solvent	Total	92	66	35,889,994	17,982,716	2,199	1,292	48
	Bilateral	1	0	165,140	0	11	0	--
	IBRD	29	25	9,798,708	8,257,866	656	570	22
	UNDP	26	17	17,263,924	4,855,539	632	238	3
	UNIDO	36	24	8,662,222	4,869,311	900	484	23
Compressor	Total	44	23	67,593,766	44,680,531	(1,678)	(1,952)	18
	Bilateral	1	0	2,507,500	0	(75)	(0)	--
	IBRD	31	14	52,311,905	36,040,880	(1,297)	(1,763)	11
	UNDP	3	3	1,711,514	1,494,393	(1)	(0)	1
	UNIDO	9	6	11,062,847	7,145,258	(305)	(189)	6
Regional Networks	Total	27	21	6,198,326	4,536,804	0	0	--
	UNEP	27	21	6,198,326	4,536,804	0	0	--
Methyl Bromide Demonstration Projects	Total	42	4	13,163,080	4,745,953	3.9	0	2
	Bilateral	7	2	1,762,146	1,088,422	0	0	2
	IBRD	3	0	967,374	174,065	0	0	--
	UNDP	9	0	2,457,900	287,940	3.9	0	--
	UNIDO	23	2	7,975,660	3,195,526	0	0	--
Clearing House	Total	18	16	3,656,450	3,435,278	0	0	13
	UNEP	18	16	3,656,450	3,435,278	0	0	13

N.B.: Excludes cancelled projects

* Includes 2 PCRs for sub-projects

**Includes 3 PCRs for sub-projects