



**Programa de las  
Naciones Unidas  
para el Medio Ambiente**



Distr.  
GENERAL

UNEP/OzL.Pro/ExCom/82/28  
1º de noviembre de 2018

ESPAÑOL  
ORIGINAL: INGLÉS

COMITÉ EJECUTIVO DEL FONDO MULTILATERAL  
PARA LA APLICACIÓN DEL  
PROTOCOLO DE MONTREAL  
Octogésima segunda Reunión  
Montreal, 3-7 de diciembre de 2018

**PLAN ADMINISTRATIVO DEL PNUMA  
PARA 2019-2021**

1. El presente documento expone el plan administrativo del PNUMA para el período 2019-2021.<sup>1</sup> Incluye las actividades de eliminación de sustancias controladas por el Protocolo de Montreal previstas para el período, indicadores de desempeño, una recomendación que somete a la consideración del Comité Ejecutivo, y la glosa del plan administrativo.

**Actividades previstas en el período 2019-2021**

2. El Cuadro 1 muestra para cada año el valor de las actividades consignadas en el plan administrativo del PNUMA.

<sup>1</sup> El borrador del plan administrativo del PNUMA para el período 2019-2021 se discutió en la reunión de coordinación interinstitucional efectuada en Montreal del 4 al 6 de septiembre de 2018. El plan administrativo expuesto en el presente documento da respuesta a las inquietudes planteadas en dicha reunión.

**Cuadro 1: Plan administrativo PNUMA 2019-2021 - Asignación de recursos según solicitud original (miles de \$EUA)\***

Detalle	2019	2020	2021	Total (2019-2021)	Total posterior a 2021
<b>Requisito</b>					
Planes de gestión para la eliminación de HCFC (PGEH) aprobados	7.183	7.485	3.681	18.348	1.941
Preparación de proyectos PGEH, Etapa II	927	28	45	1.000	68
PGEH, Etapa II	844	4.812	2.541	8.197	14.139
Preparación de proyectos PGEH, Etapa III	0	268	0	268	0
PGEH, Etapa III	0	97	0	97	97
<b>Subtotal Requisitos</b>	<b>8.954</b>	<b>12.690</b>	<b>6.267</b>	<b>27.911</b>	<b>16.245</b>
<b>Actividades relativas a los HFC</b>					
HFC - Actividades de apoyo	845	0	0	845	0
<b>Subtotal Actividades HFC</b>	<b>845</b>	<b>0</b>	<b>0</b>	<b>845</b>	<b>0</b>
<b>Actividades corrientes</b>					
Fortalecimiento institucional	6.795	4.616	6.880	18.291	0
Programa de Asistencia al Cumplimiento (PAC)	11.301	11.640	11.989	34.929	0
<b>Subtotal Actividades corrientes</b>	<b>18.096</b>	<b>16.256</b>	<b>18.869</b>	<b>53.221</b>	<b>0</b>
<b>Total general</b>	<b>27.895</b>	<b>28.946</b>	<b>25.136</b>	<b>81.977</b>	<b>16.245</b>

\* Incluye gastos de apoyo, cuando corresponde.

### Observaciones de la Secretaría sobre las actividades necesarias para el cumplimiento

#### Planes de gestión, Etapa II

3. El financiamiento total necesario para reducir el consumo base de HCFC en países de bajo consumo durante la etapa II del PGEH asciende a 198.168 \$EUA para una reducción del 35% (incluye 125.068 \$EUA para el período 2019-2021) y a 14,38 millones de \$EUA para una reducción del 67,5% (incluye 5,81 millones de \$EUA para igual período).

4. En países de alto consumo, el financiamiento total para la Etapa II de los PGEH asciende a 7,76 millones de \$EUA (incluye 2,26 millones de \$EUA para el período 2019-2021).

#### Actividades relativas a los HFC

5. Para el año 2019, el plan administrativo del PNUMA contempla actividades de apoyo en seis países por un total de 845.300 \$EUA. A todas estas actividades se acompaña una carta del gobierno correspondiente manifestando su disposición a procurar la pronta ratificación de la Enmienda de Kigali, de no haberlo hecho aún, conforme a lo dispuesto en la decisión 79/46(d)(i).

#### Programa de Asistencia al Cumplimiento (PAC)

6. Se prevé que los costos del Programa aumenten a razón del 3% al año, según lo convenido. El financiamiento total asciende a 34,93 millones de \$EUA para 2019-2021, período para el cual el PNUMA presenta una estrategia renovable trienal para ser considerada por la 82ª Reunión en el marco de su solicitud presupuestaria para el PAC del año 2019.<sup>2</sup>

<sup>2</sup> UNEP/OzL.Pro/ExCom/82/37.

## Ajustes propuestos por la Secretaría

7. En la reunión de coordinación interinstitucional se acordó ajustar los planes administrativos de los organismos bilaterales y de ejecución de conformidad con las decisiones del Comité Ejecutivo al respecto. Al revisar el texto modificado del plan administrativo del PNUMA para el período 2019-2021, la Secretaría hizo notar la omisión de los siguientes ajustes:

**Cuadro 2: Ajustes al plan administrativo del PNUMA para 2019-2021 (miles de \$EUA)**

Ajuste	2019-2021	Posterior a 2021
Valores de planes de gestión según montos reales aprobados por acuerdo, incluyendo tramos no presentados a la 82ª Reunión	24	0
Preparación de proyectos para la etapa II del PGEH, con arreglo a la decisión 71/42	(0.5)	(34)
Etapa II de PGEH en países de bajo consumo al valor máximo permitido para reducir el consumo base de HCFC al 35 o 67,5%, con arreglo a la decisión 74/50(c)(xii)	(27)	(63)
Etapa III de PGEH y preparación de proyectos, con arreglo a la decisión 80/34(c)(iii)a	(366)	(97)

8. El Cuadro 3 muestra el resultado de los ajustes propuestos por la Secretaría al plan administrativo del PNUMA para el período 2019-2021, materia que se analiza además en el plan administrativo refundido del Fondo Multilateral para dicho período.<sup>3</sup>

**Cuadro 3: Asignación de recursos - Plan administrativo 2019-2021 ajustado (miles de \$EUA)\***

Detalle	2019	2020	2021	Total (2019-2021)	Total posterior a 2021
<b>Requisito</b>					
PGEH aprobados	7.206	7.485	3.681	18.372	1.941
Preparación de proyectos PGEH, Etapa II	926	28	45	1.000	34
PGEH, Etapa II	844	4.798	2.527	8.170	14.076
Preparación de proyectos PGEH, Etapa III	0	0	0	0	0
PGEH, Etapa III	0	0	0	0	0
<b>Subtotal Requisitos</b>	<b>8.977</b>	<b>12.311</b>	<b>6.254</b>	<b>27.542</b>	<b>16.051</b>
<b>Actividades relativas a los HFC</b>					
HFC - Actividades de apoyo	845	0	0	845	0
<b>Subtotal Actividades HFC</b>	<b>845</b>	<b>0</b>	<b>0</b>	<b>845</b>	<b>0</b>
<b>Actividades corrientes</b>					
Fortalecimiento institucional	6.795	4.616	6.880	18.291	0
PAC	11.301	11.640	11.989	34.929	0
<b>Subtotal Actividades corrientes</b>	<b>18.096</b>	<b>16.256</b>	<b>18.869</b>	<b>53.221</b>	<b>0</b>
<b>Total general</b>	<b>27.918</b>	<b>28.567</b>	<b>25.123</b>	<b>81.607</b>	<b>16.051</b>

\* Incluye gastos de apoyo, cuando corresponde.

## Indicadores de desempeño

9. De conformidad con la decisión 71/28, la glosa del plan administrativo incluye indicadores de desempeño. El PNUMA fue informado por la Secretaría sobre los objetivos que muestra el Cuadro 4.

<sup>3</sup> UNEP/OzL.Pro/ExCom/82/25.

**Cuadro 4: Indicadores de desempeño para el PNUMA, 2019**

Indicador	Descripción	Criterio	Objetivo 2019
Planificación-aprobación	Tramos aprobados	Número de tramos aprobados respecto de los previstos*	40
Planificación-aprobación	Proyectos/actividades aprobadas	Número de proyectos/actividades aprobadas respecto de lo previsto (incluye preparación de proyectos)**	94
Ejecución	Fondos desembolsados	Según lo estimado en el informe de avance	23.851.129 \$EUA
Ejecución	Eliminación de SAO	Eliminación de SAO para el tramo al momento de aprobarse el siguiente, respecto de lo previsto en los planes administrativos	174.9 toneladas PAO
Ejecución	Finalización de las actividades proyectadas	Avance de los proyectos respecto de lo previsto en los informes de avance para todas las actividades (salvo las de preparación de proyectos)	75
Administrativo	Diligencia en el cierre financiero	Nivel de cierre financiero de los proyectos 12 meses después de finalizados	18
Administrativo	Entrega oportuna de informes de finalización de proyecto	Plazo de entrega de informes de finalización de proyecto respecto de lo convenido	109
Administrativo	Entrega oportuna de informes de avance	Entrega oportuna de informes de avance, planes administrativos y respuestas, salvo acuerdo en contrario	A tiempo

\* Los objetivos de un organismo se podrán reducir cuando se vea imposibilitado de presentar un tramo por motivos imputables a otro organismo principal o de cooperación, siempre que este último esté de acuerdo.

\*\* No se evalúa la preparación de proyectos sin una decisión del Comité Ejecutivo en cuanto a su financiamiento.

10. El Cuadro 5 presenta los indicadores de desempeño del PAC adoptados en la decisión 48/7(e).

**Cuadro 5: Indicadores de desempeño para el PAC del PNUMA, año 2019**

Indicador	Antecedente	Evaluación	Objetivo para 2019
Seguimiento eficiente de las reuniones de la red regional o temáticas	Lista de recomendaciones emanadas de reuniones de la red regional o temáticas durante 2017-2018	Nivel de ejecución de las recomendaciones a implementar durante 2019	Nivel de ejecución del 90%
Apoyo eficaz a la labor de las dependencias nacionales del ozono (DNO), principalmente en materia de orientar a nuevas dependencias	Lista de formas, medios, productos y servicios innovadores para apoyar a las DNO en su labor, precisando los destinados a nuevas DNO	Número de formas, medios, productos y servicios innovadores para apoyar a las DNO en su labor, precisando los destinados a nuevas DNO	-7 de tales formas, medios, productos y servicios; - Se apoya en fortalecimiento de capacidades a todas las nuevas DNO
Asistencia a países en riesgo de incumplimiento real o potencial (conforme a las decisiones de la Reunión de las Partes y/o a lo informado en virtud del Artículo 7 y a un análisis de tendencias)	Lista de países en riesgo de incumplimiento real o potencial que recibieron ayuda del PAC fuera del marco de las reuniones de red	Número de países en riesgo de incumplimiento real o potencial que recibieron ayuda del PAC fuera del marco de las reuniones de red	Cada país en dicha situación
Innovaciones en la producción y entrega de productos y servicios de información global y regional	Lista de productos y servicios de información global y regional destinados a nuevos públicos o que llegan a los actuales de nuevas formas	Número de productos y servicios de información global y regional destinados a nuevos públicos o que llegan a los actuales de nuevas formas	7 de tales productos y servicios
Estrecha colaboración entre los equipos regionales PAC y los organismos bilaterales y de ejecución que operan en las regiones	Lista de misiones o tareas conjuntas emprendidas por el personal regional PAC y organismos bilaterales y de ejecución	Número de misiones o tareas conjuntas	3 en cada región

## RECOMENDACIÓN

11. El Comité Ejecutivo podrá estimar oportuno:
  - a) Tomar nota del plan administrativo del PNUMA para el período 2019-2021 recogido en el presente documento UNEP/OzL.Pro/ExCom/82/28; y
  - b) Aprobar los indicadores de desempeño del PNUMA expresados en los Cuadros 4 y 5 del presente documento UNEP/OzL.Pro/ExCom/82/28.

# UN ENVIRONMENT 2019-2021 BUSINESS PLAN

PRESENTED TO THE  
82<sup>ND</sup> MEETING OF THE EXECUTIVE COMMITTEE  
OF THE MULTILATERAL FUND FOR THE IMPLEMENTATION  
OF THE MONTREAL PROTOCOL

8 OCTOBER 2018





## TABLE OF CONTENTS

<b>EXECUTIVE SUMMARY .....</b>	<b>2</b>
<b>I. METHODOLOGY.....</b>	<b>3</b>
<b>II. MULTILATERAL FUND TARGETS .....</b>	<b>3</b>
<b>A. OBJECTIVES OF THE THREE-YEAR BUSINESS PLAN.....</b>	<b>3</b>
<b>B. RESOURCE ALLOCATION .....</b>	<b>4</b>
<b>III. PLANNED BUSINESS PLAN ACTIVITIES.....</b>	<b>4</b>
<b>A. NATIONAL LEVEL .....</b>	<b>4</b>
<b>B. REGIONAL LEVEL .....</b>	<b>5</b>
<b>C. GLOBAL LEVEL.....</b>	<b>5</b>
<b>D. NEW PROJECT TYPES .....</b>	<b>5</b>
<b>IV. PERFORMANCE INDICATORS.....</b>	<b>5</b>
<b>V. POLICY, ADMINISTRATIVE AND FINANCIAL ISSUES .....</b>	<b>7</b>



## EXECUTIVE SUMMARY

The UN Environment (UNEP) is submitting this document for the consideration to the 82<sup>nd</sup> meeting of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol. The document presents UN Environment's three-year rolling Business Plan for its Montreal Protocol-related activities covering the years 2019-2021. It comprises:

- Narrative.
- Annex I: Projects planned for submission.

The focus and orientation of UN Environment's work under this Business Plan continues to be defined by the evolving needs of countries operating under Article 5 of the Protocol ("Article 5 countries") as they progress in their implementation of the Montreal Protocol, and as they meet and sustain compliance with specific obligations. Through the activities identified in this Business Plan, UN Environment will assist the countries with implementing their HCFC phase-out responsibilities including preparing for the 2020 target and subsequent reduction steps, strengthening the government institutions in Article 5 countries responsible for implementing and reporting on their national strategies to comply with the Montreal Protocol, and sustaining Article 5 countries' compliance with ozone depleting substance (ODS) phase out targets already met. This Business Plan will also assist Article 5 countries to ratify the Kigali Amendment as soon as possible and to support activities that the countries need to meet the first obligations of the Kigali Amendment after ratification.

UN Environment will achieve its Business Plan objectives by a combination of compliance assistance services delivered through the 2019 Compliance Assistance Programme (CAP),<sup>1</sup> as well as the ongoing and planned national, regional and global projects detailed in this document. The project services include preparation and implementation of HCFC Phase out Management Plans (HPMPs) for both Stages I and II, Institutional Strengthening projects, Enabling Activity projects, and technical assistance. In addition to the anticipated Business Plan activities, UN Environment will implement HPMP verification projects as and when requested by the Executive Committee during the course of 2019-2021.

UN Environment will deliver the projects in this Business Plan and perform the project development, monitoring and reporting duties required of all Implementing Agencies through the proposed 2019 CAP Work Programme and Budget. In addition to the projects detailed in this Business Plan, UN Environment continues to assist several bilateral agencies with the implementation of their Multilateral Fund projects through CAP and also engages in select partnerships for Montreal Protocol objectives complementary to the Multilateral Fund (including projects and activities).<sup>2</sup>

---

<sup>1</sup> In addition to the projects indicated in this Business Plan, during 2019 UN Environment will also provide through its CAP country-specific special compliance services, the operation of 10 Regional/sub-Regional Networks of Ozone Officers, facilitation of South-South cooperation, assistance with regional awareness activities, and provision of a global tools and products that provides National Ozone Units with services that contribute towards HCFC phase out and HFC phase down.

<sup>2</sup> This Business Plan provides details about the individual *projects* proposed for UN Environment implementation from 2019 through 2021. UN Environment will report details on its performance with implementing the projects through its UN Environment's Annual and Financial Progress Report. For details about *non-project-related* activities and services delivered by UN Environment through the CAP, please refer to the 2019 CAP Work Programme and Budget submitted to this same meeting.

UN Environment is planning project submissions over the next three years as follows: US\$ 27,894,888 for 2019, US\$ 28,946,030 for 2020, and US\$ 25,136,165 for 2021, all inclusive of the respective annual CAP budgets and programme support costs (PSC).

For 2019, UN Environment will prepare and submit 135 individual projects to the Executive Committee, including new projects, planned tranches of previously-approved multi-year agreements (MYAs), and the annual CAP Work Programme and Budget for the year 2020.

## **I. METHODOLOGY**

This section describes the methodology, consultations, guidance and inputs UN Environment used when developing this Business Plan. UN Environment has:

- Consulted with National Ozone Officers in Article 5 countries during the first half of 2018 on their countries' business planning needs, through dialogues during meetings of Regional Networks of Ozone Officers, as well as via direct contact with Ozone Officers.
- Reviewed and drawn on experiences gained from implementation of its 2018-2020 Business Plan,<sup>3</sup> taking into account new trends and emerging developments.
- Considered the programmatic direction and activities included in its approved 2018 CAP Work Programme and Budget.<sup>4</sup>
- Followed the guidance provided by the Multilateral Fund Secretariat during the two Inter-Agency Coordination Meetings of 2018 (6 – 8 March and 4 – 6 September).
- Consulted with the other Implementing Agencies and bilateral agencies to increase collaborative and mutually-supportive initiatives and to avoid duplication of effort.
- Considered applicable Executive Committee decisions as guidance for this Business Plan.

## **II. MULTILATERAL FUND TARGETS**

### **A. OBJECTIVES OF THE THREE-YEAR BUSINESS PLAN**

The focus and orientation of UN Environment's work continues to be defined by the evolving needs of Article 5 countries as they progress in their implementation of the Montreal Protocol, as they meet and sustain compliance with specific obligations, and, following the decisions taken at the 28<sup>th</sup> Meeting of the Parties in 8-14 October 2016, take the steps necessary to ratify the Kigali Amendment and lay the groundwork for its future implementation.

UN Environment's proposed 2019 CAP Work Programme and Budget submitted for the consideration of the 82<sup>nd</sup> Executive Committee meeting details UN Environment's vision and approach for CAP during the 2019-2021 period, in synch with this period covered by this Business Plan. Under that approach, UN Environment will provide assistance to Article 5 countries according to four overarching objectives:

- Overarching Objective 1: Assisting countries to comply with their HCFC phase out commitments and sustaining compliance with prior targets.
- Overarching Objective 2: Strengthening the Refrigeration Servicing Sector for ozone- and climate-friendly alternatives.

---

<sup>3</sup> UNEP/OzL.Pro/ExCom/80/19.

<sup>4</sup> UNEP/OzL.Pro/ExCom/80/28.

- Overarching Objective 3: Building capacity to initiate enabling activities for the HFC phase down.
- Transversal Overarching Programme Objective 4: Leveraging the Clearinghouse mandate.

## B. RESOURCE ALLOCATION

During this Business Plan period, UN Environment plans to prepare and submit for approval the projects as detailed in Annex I: Projects planned for submission 2019-2021. UN Environment's proposed resource allocation plan for these projects is as follows:

Project	Type	Value (US\$ inclusive of PSC)			Total 2019-2021
		2019	2020	2021	
HPMP Stage I implementation	PHA	2,422,603	2,835,911	118,650	5,377,164
HPMP Stage II preparation	PRP	926,600	28,250	45,200	1,000,050
HPMP Stage II implementation	PHA	5,604,551	9,460,406	6,103,265	21,168,222
HPMP Stage III preparation	PRP	0	268,488	0	268,488
HPMP Stage III implementation	PHA	0	97,241	0	97,241
Institutional Strengthening	INS	6,795,085	4,615,962	6,880,085	18,291,132
Enabling Activities	TAS	845,300	0	0	845,300
Compliance Assistance Programme	TAS	11,300,749	11,639,772	11,988,965	34,929,486
<b>Grand total</b>		<b>27,894,888</b>	<b>28,946,030</b>	<b>25,136,165</b>	<b>81,977,083</b>

## III. PLANNED BUSINESS PLAN ACTIVITIES

This section describes costed projects at the national, regional and global levels in the 2019-2021 period proposed by UN Environment. All of the projects are included in Annex I.

### A. NATIONAL LEVEL

Through this Business Plan, UN Environment plans to deliver the following projects at the national level:

- HPMP project preparation and implementation. UN Environment will assist 31 countries with Stage II and 2 countries with Stage 3 preparation in accordance with Executive Committee policies and guidelines. UN Environment will also assist countries with the implementation of the non-investment components of the HPMPs (both Stages I and II), in cooperation with other Implementing Agencies and bilateral agencies. In total, during this Business Plan UN Environment will be the HPMP Lead Agency for 74 countries and Cooperating Agency for 29 countries.
- Institutional Strengthening projects. UN Environment provides 104 countries with ongoing technical assistance and administrative support for the implementation of their IS projects, including the submission of IS renewals and assistance with activities covered by these projects. UN Environment will submit such projects as per the appropriate timing and when all the necessary pre-conditions have been met (e.g. disbursement rate, reporting obligations). This service is performed as part of CAP services, with 0% PSC to UN Environment.
- Enabling Activities. This Business Plan includes 6 Enabling Activities projects as per Executive Committee Decision 79/46(d)(iv). In line with that decision, the proposed projects will facilitate

and support Article 5 countries to ratify the Kigali Amendment as soon as possible and to support activities that the countries need to meet the first obligations of the Kigali Amendment after ratification. All endorsement letters from the respective Governments are submitted to the Multilateral Fund Secretariat on time.

## **B. REGIONAL LEVEL**

Through this Business Plan, UN Environment plans to deliver the following projects at the regional level:

- Regional HCFC Phase-out Management Plan Stage II of 12 Pacific Islands (Cook Islands, Kiribati, Marshall Islands, Micronesia (Federated States of), Nauru, Niue, Palau, Samoa, Solomon Islands, Tonga, Tuvalu and Vanuatu)

## **C. GLOBAL LEVEL**

UN Environment does not plan to submit any new global projects during the 2019-2021 period.

## **D. NEW PROJECT TYPES**

UN Environment does not plan to submit any new type of projects during the 2019-2020 period.

## **IV. PERFORMANCE INDICATORS**

UN Environment expects that the services, activities and projects identified in the proposed 2019-2021 Business Plan and 2019 CAP Work Programme and Budget will result in:

- Improved capabilities and technical skills of NOUs staff to effectively carry out approved phase-out programmes and thus ensure sustained compliance;
- Countries in non-compliance received necessary support enabling swift return to compliance;
- Increased high level political commitment to the ozone agreements;
- HCFC phase-out obligations mainstreamed into national environmental strategies/policies;
- Timely and efficient HCFC phase out due to HPMP implementation and clearinghouse services;
- Increased number of Parties to the Kigali Amendment and preparatory enabling activities initiated in those countries;
- Enhanced awareness of users and other relevant stakeholders of forthcoming reductions in HCFC supply and availability of viable alternatives;
- All client countries reported Article 7 and Country Programme data by established deadlines and quality of reported data improved;
- Improved HCFC and HFC-related policy instruments;
- Efficient operation and enforcement of HCFC licensing and quota systems;
- NOUs provided with best available information that enable them to make decisions on alternative technologies, sound approaches and methodologies;
- Broadened and strengthened regional cooperation in the implementation of the ozone treaties;
- Increased number of countries benefiting from direct country-to-country assistance;
- Concerted actions taken at national and regional levels to combat illegal trade in HCFC;
- Improved access to HCFC-related technical information and enhanced experience exchange;
- Indirect support provided for the implementation of investment projects through strengthened institutional and legal frameworks.

UN Environment proposes to adopt performance indicators for 2019 in line with Decision 80/37 and the guidance received during the two Inter-Agency Coordination Meetings of 2018 (6 – 8 March and 4 – 6 September).

TABLE 1: PERFORMANCE INDICATORS APPLICABLE TO ALL AGENCIES

Type of Indicator (Existing, Modified, New)	Short Title	Calculation	UN Environment proposal for 2019
Planning--Approval	Tranches approved	Number of tranches approved vs. those planned*	39
Planning--Approval	Projects/activities approved	Number of projects/activities approved vs. those planned (including project preparation activities)**	94
Implementation	Funds disbursed	Based on estimated disbursement in progress report US	11,903,195
Implementation	ODS phase-out	ODS phase-out for the tranche when the next tranche is approved vs. those planned per business plans	58.5
Implementation	Project completion for activities	Project completion vs. planned in progress reports for all activities (excluding project preparation)	46
Administrative	Speed of financial completion	The extent to which projects are financially completed 12 months after project completion	18
Administrative	Timely submission of project completion reports	Timely submission of project completion reports vs. those agreed	On time
Administrative	Timely submission of progress reports	Timely submission of progress reports and business plans and responses unless otherwise agreed	On time

\* The target of an agency would be reduced if it could not submit a tranche owing to another cooperating or lead agency, if agreed by that agency.

\*\* Project preparation should not be assessed if the Executive Committee has not taken a decision on its funding.

TABLE 2: PERFORMANCE INDICATORS APPLICABLE TO UN ENVIRONMENT'S COMPLIANCE ASSISTANCE PROGRAMME

Performance Indicator	Data	Assessment	UN Environment's proposal for 2017
Efficient follow-up to regional network/ thematic meetings	List of recommendations emanating from 2016-2017 regional network/thematic meetings	Implementation rate of those meeting recommendations that are to be implemented in 2018	90% implementation rate
Effective support to NOUs in their work, particularly guidance to new NOUs	List of innovative ways/means/products/ services for supporting NOUs in their work, with specification of those destined for new NOUs	Number of innovative ways, means, products, services for supporting NOUs in their work, with specification of those destined for new NOUs	- 7 such ways, means, products, services; -All new NOUs receive capacity building support.
Assistance to countries in actual or potential non-compliance (as per MOP decisions and/or as per reported Article 7 data and trend analysis)	List of countries in actual or potential on compliance that received CAP assistance outside the network meetings	Number of countries in actual or potential non-compliance that received CAP assistance outside the network meetings	All such countries
Innovations in production and delivery of global and	List of global and regional information products and	Number of global and regional information products and	7 such products and services

Performance Indicator	Data	Assessment	UN Environment's proposal for 2017
regional information products and services	services destined for new target audiences or that reach existing target audiences in new ways	services destined for new target audiences or that reach existing target audiences in new ways	
Close cooperation between CAP regional teams and Implementing and bilateral agencies working in the regions	List of joint missions/undertakings of CAP regional staff with Implementing and bilateral agencies	Number of joint missions/undertakings	3 in each region

**V. POLICY, ADMINISTRATIVE AND FINANCIAL ISSUES**

None.