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EXECUTIVE COMMITTEE OF
THE MULTILATERAL FUND FOR THE
IMPLEMENTATION OF THE MONTREAL PROTOCOL
Seventy-ninth Meeting
Bangkok, 3-7 July 2017

TRANCHE SUBMISSION DELAYS

Introduction

1. Pursuant to decision 47/50(d),¹ the Secretariat has prepared this document on tranche submission delays of multi-year agreements (MYAs). It presents actions taken in response to decisions on tranche submission delays adopted at the 77th meeting; an analysis of each of the tranches that were not submitted to the 79th meeting; reasons for the withdrawal of tranches that were submitted to the 79th meeting; and the recommendation.

Follow-up to decisions taken on tranche submission delays at the 77th meeting

2. Pursuant to decision 77/3(b), the Secretariat sent letters to the Governments of 29 Article 5 countries to urge the submission of the next tranche of their HCFC phase-out management plans (HPMPs) to the 79th meeting. As a result, the Governments of Barbados², Belize³, Burkina Faso⁴, the Democratic Republic of the Congo⁵, Gabon⁶, Peru⁷, Qatar⁸, Serbia⁹, the Former Yugoslav Republic of Macedonia¹⁰ and Togo¹¹ submitted respective tranches of their HPMPs. However, no tranches were submitted by the Governments of Algeria, Antigua and Barbuda, the Bahamas, Bahrain¹², Bangladesh¹³,

¹ The Executive Committee decided that a separate sub-agenda item on delays in the submission of annual tranches and disbursement of funds for tranches and obligations should be included for future meetings.

² Submitted but subsequently withdrawn.

³ UNEP/OzL.Pro/ExCom/79/29.

⁴ Submitted but subsequently withdrawn.

⁵ Submitted but subsequently withdrawn.

⁶ UNEP/OzL.Pro/ExCom/79/33.

⁷ UNEP/OzL.Pro/ExCom/79/38.

⁸ Submitted but subsequently withdrawn.

⁹ UNEP/OzL.Pro/ExCom/79/40.

¹⁰ UNEP/OzL.Pro/ExCom/79/41.

¹¹ UNEP/OzL.Pro/ExCom/79/42.

¹² As per decision 77/3(b), Bahrain could submit to the 79th or 80th meeting.

¹³ As per decision 77/3(b), Bangladesh could submit to the 79th or 80th meeting.

Burundi, the Central African Republic¹⁴, Cote d'Ivoire, Dominica, Equatorial Guinea, Guinea, Kuwait, Mozambique, Myanmar, the Niger, the Philippines, Suriname, Timor-Leste and Turkey¹⁵.

Analysis of tranches not submitted or withdrawn after submission to the 79th meeting

3. Forty-one activities associated with tranches of HPMPs for 26 countries, at a total value of US \$14,621,028 (including agency support costs), due for submission to the 79th meeting were not submitted, as shown in Annex I to the present document¹⁶. In addition, eight tranches of stage I of HPMPs amounting to US \$1,363,154 (including agency support costs) were submitted to the 79th meeting, but subsequently withdrawn by relevant implementing agencies during the project review process, and are also presented in Annex I.

Reason for delays and status of HPMPs

4. The reasons for delays in the submission of tranches of HPMPs include: Government decisions and/or endorsements and/or changes in the NOU and/or structural change (12); lack of mandatory verification report (10); sufficient funds from previous tranches still available (6); disbursement below the 20 per cent threshold of approved funds for the previous tranche (8); delays in implementation of investment components (7); security issues (2); delays in previous tranche (1); signing of agreements (5); lead agency not ready for submission (7); or no submission of progress and/or financial reports (3). The reasons for which tranches were submitted but subsequently withdrawn include: lack of progress and/or verification report, and pending signature of the agreement with the Government.

5. As reported by the relevant implementing agencies, delays in the submission or withdrawal of tranches that were past due would not have an impact (or would unlikely have an impact) on compliance with the countries obligations under the Montreal Protocol. All outstanding tranches are expected to be submitted to the 80th meeting except for the Central African Republic and Viet Nam, which may be submitted to the 81st meeting.

Recommendations

6. The Executive Committee may wish:

(a) To note:

- (i) The report on tranche submission delays contained in document UNEP/OzL.Pro/ExCom/79/18;
- (ii) The information on tranche submission delays under HCFC phase-out management plans (HPMPs) submitted by the Governments of France, Germany and Japan, and UNDP, UN Environment, UNIDO and the World Bank;
- (iii) That 24 out of 65 activities related to tranches of HPMPs due for submission to the 79th meeting had been submitted on time and that eight of those activities were withdrawn following discussion with the Secretariat;
- (iv) That relevant implementing agencies indicated that the late submission of the tranches of HPMPs due for the second meeting of 2017, and the withdrawals of the tranches submitted would have no impact, or was unlikely to have an impact

¹⁴ As per decision 77/3(b), the Central African Republic could submit to the 79th or 80th meeting.

¹⁵ As per decision 77/3(b), Turkey could submit to the 79th or 80th meeting.

¹⁶ For comparison, at the 77th meeting, 49 tranches for 29 countries that were due were not submitted.

on compliance with the Montreal Protocol, and that there had been no indication that any of the countries concerned were in non-compliance with the Montreal Protocol control measures; and

- (b) To request the Secretariat to send letters on the decisions on tranche submission delays to relevant Governments as indicated in Annex I to the present report.

Annex I

TRANCHES NOT SUBMITTED TO, OR SUBMITTED BUT WITHDRAWN PRIOR TO, THE 79TH MEETING

Country	Agency	Tranche	Amount (with support costs) (US \$)	Reason for delay/withdrawal	Views expressed by the Executive Committee
Algeria (Stage I)	UNIDO	2014	154,800	Government decision/endorsements/changes in the national ozone unit (NOU)/structural change/20 per cent disbursement threshold	Noting that the third (2014) tranche of stage I of the HCFC phase-out management plan (HPMP) had not been submitted due to the delays in a number of project components (including verification, customs training, flushing) and urging the Government of Algeria to work with UNIDO so that the third (2014) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2014 and subsequent tranches, on the understanding that the 20 per cent disbursement threshold for funding of the previous tranche had been achieved.
Antigua and Barbuda (Stage I)	United Nations Environment Programme (UN Environment)	2015	6,610	Government decisions/endorsements/changes in the NOU/structural change/submission of progress and financial reports	Noting that the second (2015) tranche of stage I of the HPMP had not been submitted in accordance with the Government's decisions, and urging the Government of Antigua and Barbuda to submit the required progress and financial reports and to work with UN Environment so that the second (2015) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2015 and subsequent tranches.
Bahamas (the) (Stage I)	UN Environment	2016	65,738	Signing of agreement/project document/submission of progress and financial reports	Noting that the third (2016) tranche of stage I of the HPMP had not been submitted and urging the Government of the Bahamas to sign the relevant agreement and project document, to submit the required progress and financial report, and to work with UN Environment and UNIDO so that the third (2016) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
	UNIDO	2016	39,052	Lead agency not ready for submission	
Bahrain (Stage I)	UN Environment	2016	141,250	Investment component implementation	Noting the delays due to new set up of Government and urging the Government of Bahrain to work with UN Environment and UNIDO so that the third (2016) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
	UNIDO	2016	1,002,211	Government decisions/endorsements/changes in the NOU/structural change	
Bangladesh (Stage I)	UN Environment	2015	20,340	Government decisions/endorsements/changes in the NOU/structural change	Noting the delays in Government's decisions or endorsement and urging the Government of Bangladesh to work with UN Environment so the third (2015) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2015 and subsequent tranches.

Country	Agency	Tranche	Amount (with support costs) (US \$)	Reason for delay/withdrawal	Views expressed by the Executive Committee
Barbados (Stage I)	UNDP	2016	41,420	Lack of progress and non-availability of verification report	Noting that the second (2016) tranche of stage I of the HPMP submitted to the 79 th meeting was withdrawn by the relevant implementing agencies, and urging the Government of Barbados to work with UNDP and UN Environment to address all the relevant issues and to submit the mandatory verification report on HCFC consumption targets so that the second (2016) tranche of stage I of the HPMP could be re-submitted to the 80 th meeting.
	UN Environment	2016	54,240	Lack of progress and non-availability of verification report	
Brazil (Stage II)	Germany	2017	763,435	Lead agency not ready for submission/20 per cent disbursement threshold	Noting that the delays in signing the project document had been resolved and urging the Government of Brazil to work with the Government of Germany and UNDP so that the second (2017) tranche of stage II of the HPMP could be submitted to the 80 th meeting, on the understanding that the 20 per cent disbursement threshold for funding of the previous tranche had been achieved.
	UNDP	2017	4,416,643	Signing of agreement/project document/20 per cent disbursement threshold	
Brunei Darussalam (Stage I)	UN Environment	2017	7,910	Signing of agreement/project document/Government decisions/endorsements/changes in the NOU/structural change/verification report	Noting the delays in the review of, and agreement on, the small scale funding agreement (SSFA) and that the verification report had not been completed, and urging the Government of Brunei Darussalam to work with UN Environment to finalize the SSFA and complete the mandatory verification report on HCFC consumption targets, and with UN Environment and UNDP to submit the third (2017) tranche of stage I of the HPMP to the 80 th meeting.
	UNDP	2017	35,970	Investment component implementation	
Burkina Faso (Stage I)	UN Environment	2016	142,493	Lack 2016 verification report	Noting that the third (2016) tranche of stage I of the HPMP submitted to the 79 th meeting was withdrawn by the relevant implementing agencies, and urging the Government of Burkina Faso to work with UN Environment and UNIDO to address all the relevant issues and to submit the mandatory verification report on HCFC consumption targets so that the third (2016) tranche of stage I of the HPMP could be re-submitted to the 80 th meeting.
	UNIDO	2016	108,891	Lack 2016 verification report	
Burundi (Stage I)	UN Environment	2016	39,550	Sufficient funds from previous tranche approved	Noting that the delays from the previous tranche had been resolved and urging the Government of Burundi to work with UN Environment and UNIDO so that the third (2016) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
	UNIDO	2016	87,200	Lead agency not ready for submission	

Country	Agency	Tranche	Amount (with support costs) (US \$)	Reason for delay/withdrawal	Views expressed by the Executive Committee
Central African Republic (the) (Stage I)	UN Environment	2013	62,150	Security issue/Government decisions/endorsements/changes in the NOU/structural change	Noting that there are ongoing security issues and urging the Government of the Central African Republic to work with UN Environment to expedite the implementation of the existing tranche so that the second (2013) tranche of stage I of the HPMP can be submitted to the 80 th or 81 st meeting with a revised plan of action to take into account the reallocation of the 2013 tranche and subsequent tranches.
Cote d'Ivoire (Stage I)	UN Environment	2016	168,156	Sufficient funds from previous tranche approved	Noting that the delays from the previous tranche had been resolved and urging the Government of Cote d'Ivoire to work with UN Environment and UNIDO so that the third (2016) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
	UNIDO	2016	492,200	Lead agency not ready for submission	
Democratic Republic of the Congo (the) (Stage I)	UNDP	2015	26,160	Lack of verification report due to internal difficulties faced by the country	Noting that the third (2015) tranche of stage I of the HPMP submitted to the 79 th meeting was withdrawn by the relevant implementing agencies, and urging the Government of the Democratic Republic of the Congo to work with UN Environment and UNDP to address all the relevant issues and to submit the mandatory verification report on HCFC consumption targets so that the third (2015) tranche of stage I of the HPMP could be re-submitted to the 80 th meeting.
	UN Environment	2015	26,555	Lack of verification report due to internal difficulties faced by the country	
Dominica (Stage I)	UN Environment	2016	74,354	Government decisions/endorsements/changes in the NOU/structural change/verification report	Noting that the verification on HCFC consumption targets has not been completed, and urging the Government of Dominica to work with UN Environment to complete the mandatory verification report so that the second (2016) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
Equatorial Guinea (Stage I)	UN Environment	2016	39,550	Verification report	Noting that the verification on HCFC consumption targets has not been completed and urging the Government of Equatorial Guinea to work with UN Environment to complete the mandatory verification report, and to work with UN Environment and UNIDO to submit the third (2016) tranche of stage I of the HPMP to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
	UNIDO	2016	81,750	Lead agency not ready for submission	
Georgia (Stage I)	UNDP	2017	128,355	Government decisions/endorsements/changes in the NOU/structural change	Noting the delays due to changes in the project management team and urging the Government of Georgia to work with UNDP so that the third (2017) tranche of stage I of the HPMP could be submitted to the 80 th meeting.

Country	Agency	Tranche	Amount (with support costs) (US \$)	Reason for delay/withdrawal	Views expressed by the Executive Committee
Guinea (Stage I)	UNIDO	2016	172,000	Lead agency not ready for submission	Noting that the delays from the previous tranche had been resolved and urging the Government of Guinea to work with UN Environment and UNIDO so that the third (2016) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
	UN Environment	2016	73,450	Sufficient funds from previous tranche approved	
Kenya (Stage I)	France	2017	100,900	Delays from previous tranche	Noting that the fourth (2016) tranche of stage I of the HPMP was approved at the 77 th meeting due to delays in submitting the tranche, and urging the Government of Kenya to work with the Government of France to expedite project implementation so that the fifth (2017) tranche of stage I of the HPMP could be submitted to the 80 th meeting.
Kuwait (Stage I)	UNIDO	2016	1,128,684	Investment component implementation/20 per cent disbursement threshold	Noting that the overall disbursement rate of the second tranche of the HPMP was below the 20 per cent disbursement threshold and the delays in company co-financing contractual arrangements and urging the Government of Kuwait to work with UN Environment and UNIDO so that the third (2016) tranche of stage I of the HPMP can be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches, on the understanding that the 20 per cent disbursement threshold for funding of the previous tranche had been achieved.
	UN Environment	2016	371,703	Sufficient funds from previous tranche approved/20 per cent disbursement threshold	
Lesotho (Stage I)	Germany	2017	94,920	Verification report	Noting the slow implementation of stage I of the HPMP and that the verification report on HCFC consumption targets has not been completed and urging the Government of Lesotho to work with the Government of Germany to complete the mandatory verification report so that the third (2017) tranche of stage I of the HPMP can be submitted to the 80 th meeting.
Maldives (Stage I)	UN Environment	2017	56,500	Sufficient funds from previous tranche approved	Noting that the Government of Maldives is holding a high-level stakeholder meeting to review their plans on how to manage post-2020 scenario after the complete phase-out of HCFCs and urging the Government of Maldives to work with UN Environment so that the fourth (2017) tranche of stage I of the HPMP can be submitted to the 80 th meeting.

Country	Agency	Tranche	Amount (with support costs) (US \$)	Reason for delay/withdrawal	Views expressed by the Executive Committee
Mozambique (Stage I)	UN Environment	2016	33,900	Investment component implementation/verification report	Noting that there have been delays due the determination of the specifications of equipment for the investment component of the HPMP and that the verification report on HCFC consumption targets has not been completed, and urging the Government of Mozambique to work with UN Environment and UNIDO to complete the activities and the mandatory verification report so that the third (2016) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
	UNIDO	2016	81,750	Investment component implementation/Government decisions/endorsements/changes in the NOU/structural change	
Myanmar (Stage I)	UN Environment	2015	21,470	Verification report	Noting that the verification report on HCFC consumption targets has not been completed, and urging the Government of Myanmar to work with UN Environment to complete the mandatory verification report, and with UN Environment and UNIDO to submit the second (2015) tranche of stage I of the HPMP to the 80 th meeting, with a revised plan of action to take into account the reallocation of the 2015 and subsequent tranches.
	UNIDO	2015	65,400	Lead agency not ready for submission	
Niger (the) (Stage I)	UN Environment	2016	141,250	Verification report	Noting that the verification report on HCFC consumption targets had not been completed, and urging the Government of the Niger to work with UNIDO to submit the mandatory verification report, and with UN Environment and UNIDO to submit the second (2016) tranche of stage I of the HPMP to the 80 th meeting, with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
	UNIDO	2016	96,750	Verification report	
Philippines (the) (Stage I)	UN Environment	2015	25,990	Submission of progress and financial reports/verification report	Noting the delays in the project and verification report on HCFC consumption targets and that stage II of the HPMP is submitted by the World Bank for consideration at the 79 th meeting, and urging the Government of the Philippines to work with UN Environment to submit the mandatory verification report for 2015 and 2016, to return the remaining balances of stage I of the HPMP to the 80 th meeting, and to submit the project completion report of stage I of the HPMP to the 81 st meeting.
Qatar (Stage I)	UN Environment	2013 and 2015	231,650	Pending signing of the agreement	Noting that the second and third (2013 and 2015) tranches of stage I of the HPMP submitted to the 79 th meeting were withdrawn by the relevant implementing agencies, and urging the Government of Qatar to work with UN Environment and UNIDO to address all the relevant issues that are related to the signing of agreement so that the second and third (2013 and 2015) tranches of stage I of the HPMP could be re-submitted to the 80 th meeting.
	UNIDO	2013 and 2015	731,745	Pending signing of the agreement	

Country	Agency	Tranche	Amount (with support costs) (US \$)	Reason for delay/withdrawal	Views expressed by the Executive Committee
Suriname (Stage I)	UN Environment	2016	39,550	Sufficient funds from previous tranche approved/ verification report/ Government decisions/endorsements/ changes in the NOU/structural change	Noting that there had been delays in previous tranches, that there were some staffing issues in the national ozone unit and that the verification report on HCFC consumption targets has not been completed, and urging the Government of Suriname to work with UN Environment to submit the mandatory verification report, and with UN Environment and UNIDO to submit the third (2016) tranche of stage I of the HPMP to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2016 and subsequent tranches.
	UNIDO	2016	31,610	Government decisions/endorsements/ changes in the NOU/structural change	
Timor-Leste (Stage I)	UNDP	2015	11,641	Investment component implementation	Noting that there had been delays in the procurement of training materials and urging the Government of Timor-Leste to work with UNDP and UN Environment to expedite implementation of the HPMP so that the third (2015) tranche of stage I of the HPMP could be submitted to the 80 th meeting with a revised plan of action to take into account the reallocation of the 2015 and subsequent tranches.
	UN Environment	2015	18,532	Investment component implementation	
Turkey (Stage I)	UNIDO	2016	1,710,770	Security issues/Government decisions /endorsements/changes in the NOU/ structural change/20 per cent disbursement threshold	Noting that there had been security issues and administrative restructuring in the Government and urging the Government of Turkey to work with UNIDO to expedite implementation so that the third (2016) tranche of stage I of the HPMP could be submitted to the 80 th meeting on the understanding that the 20 per cent disbursement threshold for funding of the previous tranche had been achieved.
Viet Nam (Stage II)	World Bank	2017	2,331,737	Signing of agreement/project document/20 per cent disbursement threshold	Noting that the agreement had not been signed and the overall disbursement rate of the first tranche of the HPMP was below the 20 per cent disbursement threshold and urging the Government of Viet Nam to work with the Government of Japan and the World Bank to expedite the signing of the agreement so that the second (2017) tranche of stage II of the HPMP can be submitted to the 80 th or 81 st meeting, on the understanding that the 20 per cent disbursement threshold for funding of the previous tranche had been achieved.
	Japan	2017	185,297	Signing of agreement/project document/20 per cent disbursement threshold	
Total			15,984,182		