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| --- | --- |
| **UNITEDNATIONS** | **EP** |
| UNEP | **United Nations****Environment****Programme** | Distr.GENERALUNEP/OzL.Pro/ExCom/79/49 June 2017ORIGINAL: ENGLISH |

EXECUTIVE COMMITTEE OF
 THE MULTILATERAL FUND FOR THE
 IMPLEMENTATION OF THE MONTREAL PROTOCOL
Seventy-ninth Meeting

Bangkok, 3-7 July 2017

**report on balances and availability of resources**

**Introduction**

# This document presents a summary of financial adjustments indicated by the bilateral (BAs) and implementing agencies (IAs) as agreed by the Secretariat. It includes statistical data[[1]](#footnote-1) from all projects with balances that have been held for over 12-month following completion of the project, as required by decisions 28/7 and 56/2(c). It addresses balances to be returned against projects as a follow-up to decisions 70/7(b)(ii) and (iii) and 71/11(b) (referred to as “by-decision” projects in this document). It indicates the level of resources available to the Executive Committee in cash and promissory notes as recorded in the status of contributions and disbursements document[[2]](#footnote-2), as required by decision 41/92(b) and presents a recommendation.

# This document also contains the following three annexes:

Annex I Amounts held by IAs and BAs for completed projects

Annex II Amounts held by IAs and BAs for by-decision projects

Annex III Fund returns by IAs and BAs from completed and by-decision projects

**Funds to be returned**

1. The total funds to be returned to the 79th meeting amount to US $2,059,241
(i.e. US $203,182 by BAs and US $1,856,059 by IAs) from completed projects and by-decision projects. Annexes I and II provide explanations from BAs and IAs on the delays in disbursing committed amounts or returning not-committed amounts.

Funds to be returned to the 79th meeting by IAs

1. The total funds to be returned by IAs amount to US $1,856,059 from completed and
by-decision projects as shown in Table 1, (the list of projects with funds returned is presented in
Annex III).

**Table 1. Funds to be returned by IAs to the 79th meeting (US $)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Agency** | **Project**  | **Agency support**  | **Total** |
| UNDP | -97,064 | -7,159 | -104,224 |
| UN Environment | 1,291,131 | 147,728 | 1,438,859 |
| UNIDO | 486,204 | 35,219 | 521,423 |
| World Bank | 0 | 0 | 0 |
| **Total** | 1,680,271 | 175,788 | 1,856,059 |

# Table 2 presents information on completed projects and by-decision projects with balances that are still being withheld by the IAs.

**Table 2. Balances held by year of project completion by IAs (excluding agency support costs)**

| **Year completed** | **UNDP** | **UN Environment** | **UNIDO** | **World Bank** | **Total** |
| --- | --- | --- | --- | --- | --- |
|  | **Number** | **(US$)** | **Number** | **(US$)** | **Number** | **(US$)** | **Number** | **(US$)** | **Number** | **(US$)** |
| **Projects completed over two years ago** |
| 2013 |  |  | 2 | 69,334 | 1 | 168 |  |  | 3 | 69,502 |
| 2014 | 2 | 10,062 | 10 | 381,084 | 1 | 54,064 |  |  | 13 | 445,210 |
| 1 Jan to 31 April 2015 |  |  | 1 | 36,025 |  |  |  |  | 1 | 36,025 |
| **Sub-total** | **2** | **10,062** | **13** | 486,443 | **2** | **54,232** | **0** | **0** | **17** | **550,737** |
| **Projects completed in less than two years ago** |
| 1 May 2015 to 31 Dec 2015 | 11 | 142,361 | 16 | 536,749 | 22 | 359,440 |  |  | 49 | 1,038,550 |
| **Sub-total** | 11 | 142,361 | 16 | 536,749 | 22 | 359,440 |  |  | 49 | **1,038,550** |
| **Total**  | **13** | **152,423** | **29** | **1,023,192** | **24** | **413,672** | **0** | **0** | **66** | **1,589,287** |
| **By-decisions projects (on-going)** |
|  | 3 | 876,646 | 2 | 25,729 | 1 | 948,843 |  |  | 6 | 1,851,218 |
| **Grand total**  | **16** | **1,029,069** | **31** | **1,048,921** | **25** | **1,362,515** | **0** | **0** | **72** | **3,440,505** |

Funds to be returned to the 79th meeting by BAs

1. The total funds to be returned by BAs amount to US $203,182 from completed projects as shown in Table 3.

**Table 3. Funds to be returned by BAs to the 79th meeting (US $)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Agency** | **Project**  | **Agency support** | **Total**  |
| Italy | 177,992 | 23,139 | 201,131 |
| Spain | 1,837 | 214 | 2,051 |
| **Total** | 179,829 | 23,353 | 203,182 |

1. Table 4 presents the balances from completed projects that are being held by BAs.

# **Table 4. Balances held by BAs (US $)**

| **Year completed** | **Germany** | **Japan** | **France** | **Total** |
| --- | --- | --- | --- | --- |
| 1 May 2015 to 31 Dec 2015 | 0 | 7,782 | 0 | 7,782 |
| Agency support costs | 0 | 1,012 | 0 | 1,012 |
| **Total**  | 0 | 8,794 | 0 | **8,794** |
| **Ongoing/Transferred projects including agency support costs** | 5,961 | 0 | 0 | **5,961** |
| By-decision projects  | 0 | 1,048,692 | 14,470 | 1,063,162 |
| Agency support cost | 0 | 121,684 | 1,881 | 123,565 |
| Total by-decision projects | 0 | 1,170,376 | 16,351 | **1,186,727** |
| **Grand total** | 5,961 | 1,179,170 | 16,351 | 1,201,482 |

1. With regard to balances held by BAs:

## The Government of Germany is applying the not-committed balance of US $5,961 of a project that had been transferred against its bilateral projects submitted to the 79th meeting if they were approved;

## The Government of Japan has a committed balance of US $269,080 and not-committed balance of US $910,090 for one completed and two by-decisions projects including agency support costs; and

## The Government of France has a balance of US $16,351 for one by-decision project including agency support costs.

**Availability of resources**

# The status of contributions and disbursements document[[3]](#footnote-3) indicates an available balance amounting to US $61,984,255 as at 1 June 2017. The total net balance returned by IAs, including agency support costs is US $1,856,059. The total level of resources available for approvals by the Executive Committee at the 79th meeting, after taking into account the Fund’s balance and the total amount returned by IAs is US $63,840,314.

**Secretariat’s comments**

1. During the Inter-agency coordination meeting[[4]](#footnote-4) the Secretariat reminded BAs and IAs that balances associated with completed and by-decisions projects should be returned to the Multilateral Fund no later than the 79th meeting. BAs and IAs committed to continue with their efforts to return funds as soon as possible and to provide an update to the 79th meeting with a view to returning additional balances.
2. Considering that the total level of funding for projects and activities as submitted to the 79thmeeting, amounts to US $68,362,793 including agency support costs[[5]](#footnote-5), there are insufficient resources available for approvals at the 79th meeting. However, this information will be updated during the 79thmeeting.

**Recommendations**

1. The Executive Committee may wish:
	1. To note:
		1. The report on balances and availability of resources contained in document UNEP/OzL.Pro/ExCom/79/4;
		2. That the net level of funds being returned to the 79th meeting by the implementing agencies was US $1,856,059, consisting of US -$97,064, and agency support costs of US -$7,159 from UNDP; US $1,2291,131, plus agency support costs of US $147,728 from United Nations Environment Programme (UN Environment); and US $486,204, plus agency support costs of US $35,219 from UNIDO;

### That the net level of funds being returned to the 79th meeting by the bilateral agencies was US $203,182, consisting of US $177,992, plus agency support costs of US $23,139 from the Government of Italy; and US $1,837, plus agency support costs of US $214 from the Government of Spain; and to request the Treasurer to follow-up with the Governments of Italy and Spain for the return in cash.

### That UNDP had balances of US $10,062, excluding support costs, for projects completed 2 years previously;

* + 1. That UN Environment had balances of US $486,443, comprised of US $146,435 and US $340,008 in committed and not-committed balances, respectively, excluding support costs, for thirteen projects completed over two years previously;
		2. That UNIDO had balances of US $54,232, excluding support costs, for two projects completed over two years previously;

### That the Government of Germany’s not-committed balances for one transferred project, totaling US $5,961 including agency support costs, would be offset against bilateral projects submitted to the 79th meeting, if they were approved by the Executive Committee; and

### That the Government of Japan had balances totaling US $1,056,474. The amount consists of US $2,38,124 in committed and US $818,350 in not-committed balances respectivly, including agency support costs, for one completed and two by-decision projects.

* 1. To request:
		1. Bilateral agencies and implementing agencies to return the balances of all projects completed over two years previously no later than the 80th meeting;
		2. Bilateral and implementing agencies to disburse or to cancel commitments not needed for completed projects and project completed by-decision of the Executive Committee and return balances to the 80th meeting; and
		3. UN Environment and the Government of Japan to return not-committed balance no longer required to the 80th meeting.

**Annex I**

**COMMITTED AND NOT-COMMITTEED BALANCES HELD BY IMPLEMENTING AGENCIES AND BILATERAL AGENCIES FOR COMPLETED PROJECTS**

| **Code** | **Project title** | **Date completed (Actual)** | **Approved funding plus adjustments as of** **31 December 2016 (US$)** | **Funds disbursed as of** **79th meeting (US$)** | **Balances committed as per** **79th meeting (US$)** | **Balances not-committed as per** **79th  meeting (US$)** | **Why any balances could not be returned?** | **When they could be returned?** | **Project subject to decision** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **UNDP** |
| ANG/PHA/65/INV/10 | HCFC phase-out management plan (stage I, first tranche) | Dec-15 | 86,222 | 23,758 | 62,464 |  | MYA |  |  |
| ANG/PHA/73/TAS/13 | Verification report for stage I of HCFC phase-out management plan | Dec-15 | 30,000 | 22,476 | 7,524 |  |  |  |  |
| ARM/PHA/73/TAS/13 | Verification report for stage I of HCFC phase-out management plan | Nov-15 | 30,000 | 29,909 | 91 |  |  |  |  |
| BHU/PHA/70/INV/19 | HCFC phase-out management plan (second tranche) | Sep-15 | 42,000 | 41,259 | 741 |  | MYA |  |  |
| COL/REF/47/DEM/65 | Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers | Dec-15 | 1,000,000 | 994,729 | 5,271 |  |  |  | 71/10(c)(ii)b |
| COS/SEV/71/INS/49 | Extension of institutional strengthening project (phase X: 1/2014-12/2015) | Dec-15 | 140,513 | 136,186 | 4,327 |  |  |  |  |
| CUB/DES/62/DEM/46 | Pilot demonstration project on ODS waste management and disposal | Oct-15 | 525,200 | 519,268 | 5,932 |  |  |  |  |
| DOM/PHA/69/INV/53 | HCFC phase-out management plan (stage I, second tranche) (sector phase-out for the use of HCFCs in the foam manufacturing sector) | Sep-15 | 319,982 | 313,906 | 6,076 |  | MYA |  |  |
| EGY/FOA/62/INV/105 | Conversion from HCFC-141b to n-pentane in the manufacture of polyurethane rigid insulation foam panels at MOG for Engineering and Industry | Jun-14 | 790,400 | 786,250 | 4,150 |  | MYA |  |  |
| ELS/PHA/65/INV/29 | HCFC phase-out management plan (stage I, first tranche) (phase out of HCFC-141b in imported pre-blended polyols used in the foam manufacturing sector) | Nov-15 | 439,277 | 437,601 | 1,676 |  | MYA |  |  |
| IDS/SEV/71/INS/201 | Extension of institutional strengthening project (phase IX: 1/2014-12/2015) | Dec-15 | 271,246 | 271,106 | 140 |  |  |  |  |
| JAM/PHA/64/INV/28 | HCFC phase-out management plan (foam sector) (stage I, first tranche) | Dec-14 | 95,450 | 89,537 | 5,913 |  | MYA |  |  |
| MAL/SEV/70/INS/171 | Extension of institutional strengthening project (phase X: 1/2014-12/2015) | Dec-15 | 279,500 | 231,380 | 48,120 |  |  |  |  |
| **TOTAL UNDP** |   |  |  |  | **152,423** |  |  |  |  |

| **Code** | **Project title** | **Date completed (Actual)** | **Approved funding plus adjustments as of** **31 December 2016 (US$)** | **Funds disbursed as of** **79th meeting (US$)** | **Balances committed as per** **79th meeting (US$)** | **Balances not-committed as per** **79th  meeting (US$)** | **Why any balances could not be returned?** | **When they could be returned?** | **Project subject to decision** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **UN Environment** |
| AFG/PHA/63/TAS/12 | HCFC phase-out management plan (stage I, first tranche) | Dec-15 | 120,000 | 118,646 | 1,354 |  |   |   |  |
| ALG/SEV/57/INS/69 | Extension of the institutional strengthening project (phase V) | Jul-14 | 257,400 | 231,660 | 25,740 |  |   |   |  |
| BAH/PHA/59/TAS/21 | Terminal phase-out management plan (second tranche) | Dec-14 | 95,000 | 33,054 | 0 | 61,946 | Balance was transferred to another project (decision 68/33) |  | 71/11(b) |
| BDI/SEV/57/INS/25 | Extension of the institutional strengthening project (phase V) | Mar-13 | 60,000 | 18,000 | 0 | 42,000 | Financial adjustments are needed | 80th meeting |  |
| COI/SEV/70/INS/22 | Extension of the institutional strengthening project (phase VIII: 7/2013-6/2015) | Dec-15 | 60,000 | 52,600 | 7,400 | 0 |   |   |  |
| COL/PHA/66/TAS/80 | HCFC phase-out management plan (stage I, second tranche) | Dec-15 | 50,000 | 40,908 | 9,092 | 0 |   |   |  |
| DJI/SEV/70/INS/20 | Extension of the institutional strengthening project (phase V: 7/2013-6/2015) | Dec-15 | 60,000 | 35,996 | 24,004 | 0 |   |   |  |
| ECU/SEV/59/INS/43 | Extension of institutional strengthening project (phase V) | Dec-15 | 176,801 | 167,565 | 9,236 | 0 |   |   |  |
| GAB/PHA/62/TAS/26 | HCFC phase-out management plan (stage I, first tranche) | Dec-15 | 90,000 | 53,310 | 36,690 | 0 |   |   |  |
| GBS/SEV/67/INS/16 | Extension of the institutional strengthening project (phase III: 7/2102-6/2014) | Dec-15 | 60,000 | 32,992 | 27,008 | 0 |   |   |  |
| GLO/SEV/71/TAS/321 | Compliance Assistance Programme: 2014 budget | Dec-15 | 7,818,628 | 7,817,866 | 763 | 0 |   |   |  |
| GUI/PHA/66/TAS/27 | HCFC phase-out management plan (stage I, first tranche) | Dec-15 | 85,000 | 79,072 | 5,928 | 0 |   |   |  |
| IVC/SEV/68/INS/38 | Extension of the institutional strengthening project (phase VI: 1/2013-12/2014) | Dec-15 | 106,340 | 81,086 | 25,254 | 0 |   |   |  |
| KEN/SEV/69/INS/54 | Extension of institutional strengthening project (phase IX: 4/2013-3/2015) | Dec-15 | 151,667 | 113,867 | 37,800 | 0 |   |   |  |
| MAR/SEV/53/INS/19 | Extension of the institutional strengthening project (phase III) | Dec-14 | 60,000 | 46,504 | 13,496 | 0 |   |   |  |
| MOZ/PHA/66/TAS/22 | HCFC phase-out management plan (stage I, first tranche) | Mar-15 | 40,000 | 3,975 | 0 | 36,025 | Pending financial completion |  80th meeting |  |
| NER/PHA/66/TAS/27 | HCFC phase-out management plan (stage I, first tranche) | Dec-15 | 100,000 | 64,090 | 35,000 | 910 | On financial completion |  80th meeting |  |
| PAR/PHA/73/TAS/32 | Verification report on the implementation of the HCFC phase-out management plan | Jul-15 | 30,000 | 24,777 | 5,223 | 0 | On financial completion |  80th meeting |  |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| PAR/SEV/66/INS/30 | Extension of institutional strengthening project (phase VI: 7/2012-6/2014) | Jun-14 | 60,000 | 45,082 | 13,296 | 1,622 | On financial completion |  80th meeting |  |
| PHI/PHA/66/TAS/94 | National CFC phase-out plan: Final implementation plan | Dec-15 | 801,630 | 541,458 | 260,172 | 0 |  | 80th meeting |  |
| PRC/SEV/71/INS/25 | Extension of the institutional strengthening project (phase VIII: 1/2014-12/2015) | Dec-15 | 60,000 | 45,084 | 14,916 | 0 |  |  |  |
| QAT/PHA/53/TAS/10 | Terminal phase-out management plan (first tranche) | Jul-14 | 20,000 | 16,584 | 0 | 3,416 | On financial completion | 80th meeting | 70/7(b)(ii)a |
| QAT/PHA/59/TAS/14 | Terminal phase-out management plan (second tranche) | Dec-14 | 55,000 | 0 | 0 | 55,000 | Balance was transferred to Stage I of HPMP as per decision 65/45 |   | 71/11(b) |
| SAM/PHA/63/TAS/15 | HCFC phase-out management plan for PIC countries through regional approach (stage I, first tranche, Samoa) | Dec-14 | 76,250 | 71,252 | 4,998 | 0 |   | 80th meeting |  |
| STK/SEV/68/INS/18 | Extension of institutional strengthening project (phase V: 1/2013-12/2014) | May-15 | 60,000 | 24,000 | 36,000 | 0 |   |   |  |
| STP/SEV/63/INS/20 | Extension of the institutional strengthening project (phase III) | Mar-13 | 60,666 | 33,332 | 27,334 | 0 |   |   |  |
| TTR/PHA/63/TAS/07 | HCFC phase-out management plan for PIC countries through regional approach (stage I, first tranche Palau) | Dec-14 | 62,375 | 60,553 | 1,822 | 0 |   |   |  |
| YEM/PHA/60/TAS/35 | National ODS phase-out plan (second tranche) | Dec-14 | 140,000 | 0 | 0 | 140,000 | Funding has been frozen until security situation is resovled (decision 77/8(d)) |   | 71/11(b), 73/8(c) and 75/10(b)(i) |
| YEM/SEV/68/INS/42 | Extension of the institutional strengthening project (phase VII: 1/2013-12/2014) | Dec-14 | 169,999 | 110,251 | 59,748 | 0 | Funding has been frozen until security situation is resolved (decision 77/8(d)) |   | 71/11(b), 73/8(c) and 75/10(b)(i) |
| **TOTAL UN Environment** |  |  |  |  | **682,273** | **340,919** |  |  |  |

| **Code** | **Project title** | **Date completed (Actual)** | **Approved funding plus adjustments as of** **31 December 2016 (US$)** | **Funds disbursed as of** **79th meeting (US$)** | **Balances committed as per** **79th meeting (US$)** | **Balances not-committed as per** **79th  meeting (US$)** | **Why any balances could not be returned?** | **When they could be returned?** | **Project subject to decision** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **UNIDO** |
| AFR/REF/48/DEM/37 | Strategic demonstration project for accelerated conversion of CFC chillers in 5 African Countries (Cameroon, Egypt, Namibia, Nigeria and Sudan) | Dec-14 | 747,500 | 693,436 | 54,064 |  | 4\* | 81st meeting | 71/10(d)(i) |
| ARG/PHA/53/INV/152 | National CFC phase-out plan: 2008 work programme | Dec-15 | 1,167,350 | 907,654 | 259,696 |  | MYA | 81st meeting | 70/7(b)(ii)a |
| BHA/PHA/71/INV/20 | HCFC phase-out management plan (stage I, second tranche) | Dec-15 | 10,464 | 10,209 | 255 |  | MYA | 80th meeting |  |
| BKF/PHA/62/INV/30 | HCFC phase-out management plan (stage I, first tranche) | Nov-15 | 150,000 | 148,786 | 1,214 |  | MYA | 80th meeting |  |
| BRA/REF/72/PRP/304 | Preparation for HCFC phase-out investment activities (stage II) (refrigeration and air-conditioning manufacturing sector) | Dec-15 | 150,000 | 149,933 | 67 |  | 3\* | 80th meeting |  |
| CPR/PRO/47/INV/436 | Sector plan for methyl bromide production sector (first tranche) | Dec-15 | 3,000,000 | 2,994,397 | 5,603 |  | MYA | 81st meeting |  |
| DRK/FOA/64/PRP/54 | Preparation for HCFC phase-out investment activities (polyurethane foam sector) | Dec-15 | 50,000 | 46,074 | 3,926 |  | 4\* | 81st meeting |  |
| DRK/PHA/64/PRP/55 | Preparation of a HCFC phase-out management plan (additional funding) | Dec-15 | 65,000 | 64,580 | 420 |  | 3\* | 80th meeting |  |
| ECU/PHA/65/INV/55 | HCFC phase-out management plan (stage I, first tranche) (conversion of the foam manufacturing by Indurama) | Dec-15 | 1,331,440 | 1,331,347 | 93 |  | MYA | 80th meeting |  |
| GBS/PHA/65/INV/15 | HCFC phase-out management plan (stage I, first tranche) | Dec-15 | 40,000 | 39,138 | 862 |  | MYA | 80th meeting |  |
| GUA/PHA/73/TAS/47 | Verification report for stage I of HCFC phase-out management plan | Dec-15 | 30,000 | 29,917 | 83 |  | 3\* | 80th meeting |  |
| IRA/PHA/63/INV/202 | HCFC phase-out management plan (stage I, first tranche) | Dec-15 | 1,300,000 | 1,289,566 | 10,434 |  | MYA | 81st meeting |  |
| NIC/PHA/66/INV/27 | HCFC phase-out management plan (stage I, first tranche) | Dec-15 | 96,500 | 94,199 | 2,301 |  | MYA | 80th meeting |  |
| NIR/PHA/62/INV/129 | HCFC phase-out management plan (stage I, first tranche) | Dec-13 | 550,000 | 549,832 | 168 |  | MYA | 80th meeting |  |
| NIR/PHA/66/INV/131 | HCFC phase-out management plan (stage I, second tranche) (refrigeration air-conditioning manufacturing and coordination) | Dec-15 | 550,000 | 544,196 | 5,804 |  | MYA | 81st meeting |  |
| OMA/PHA/65/INV/23 | HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing sector) | Jun-15 | 210,000 | 209,153 | 847 |  | MYA | 80th meeting |  |
| PAK/PHA/70/INV/83 | HCFC phase-out management plan (stage I, second tranche) | Dec-15 | 80,000 | 77,537 | 2,463 |  | MYA | 81st meeting |  |

| **Code** | **Project title** | **Date completed (Actual)** | **Approved funding plus adjustments as of** **31 December 2016 (US$)** | **Funds disbursed as of** **79th meeting (US$)** | **Balances committed as per** **79th meeting (US$)** | **Balances not-committed as per** **79th  meeting (US$)** | **Why any balances could not be returned?** | **When they could be returned?** | **Project subject to decision** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| PHI/FOA/62/INV/90 | Sector plan to phase out HCFC-141b in the foam sector | Dec-15 | 1,770,650 | 1,713,423 | 57,227 |  | MYA | 81st meeting |  |
| STV/PHA/64/INV/20 | HCFC phase-out management plan (first tranche) | Dec-15 | 124,115 | 123,980 | 135 |  | MYA | 80th meeting |  |
| SUD/FOA/62/INV/28 | Umbrella project for the phase-out of HCFC-141b from the polyurethane (PU) rigid foam production in the manufacturing of domestic refrigerators, commercial refrigerators and PU insulated composite panels (Modern, Amin, Coldair, Akadabi) | Dec-15 | 1,056,341 | 1,055,388 | 953 |  | MYA | 80th meeting |  |
| SUD/PHA/66/INV/29 | HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing sector) | Dec-15 | 250,000 | 249,970 | 30 |  | MYA | 80th meeting |  |
| TKM/PHA/62/INV/08 | HCFC phase-out management plan (stage I, first tranche) | Dec-15 | 309,050 | 303,565 | 5,485 |  | MYA | 81st meeting |  |
| VEN/PHA/67/INV/120 | HCFC phase-out management plan (stage I, second tranche) | Dec-15 | 603,339 | 601,903 | 1,436 |  | MYA | 80th meeting |  |
| YUG/SEV/65/INS/40 | Extension of institutional strengthening project (phase IV: 12/2011-11/2013) | Dec-15 | 131,300 | 131,193 | 107 |  | 3\* | 80th meeting |  |
| **TOTAL UNIDO** |  |  |  |  | **413,672** |  |  |  |  |
| **Japan** |
| PHI/FOA/62/INV/91 | Sector plan to phase out HCFC-141b in the foam sector | Dec-15 | 317,350 | 309,568 | 3,131 | 4,651 | Financial completion being iniated | 80th meeting |  |
| **TOTAL Japan** |  |  |  |  | **3,131** | **4,651** |  |  |  |
| **Germany** |
| AFG/PHA/63/INV/13 | HCFC phase-out management plan (stage I, first tranche) |  | 37,062 | 31,787 |  | 5,275 | To be offset against future projects |  |  |
| **TOTAL Germany** |  |  |  |  |  | **5,275** |  |  |  |

\*2: Financial completion initiated; 3: Financial completion being initiated; 4 Financial completion to be initiated after settlement of all pending issues; MYA = Multiyear

 **Annex II**

**COMMITED AND NOT-COMMITED BALANCES HELD BY IMPLEMENTING AGENCIES AND BILATERAL AGENCIES FOR BY-DECISIONS PROJECTS**

| **Code** | **Project title** | **Financial date of completion per decision** | **Approved funding plus adjustments as of 31 December 2016 (US$)** | **Funds disbursed as of** **79th meeting (US$)** | **Balances committed as per 79th meeting (US$)** | **Balances not- committed as per 79th meeting (US$)** | **Why any balances could not be returned?** | **When they could be returned?** | **Project subject to decision** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **UNDP** |
| IND/PHA/75/INV/463 | CTC phase-out plan for the consumption and production sectors: 2009 annual programme | First Meeting of 2017 | 750,093 | 195,903 | 554,190 |  |  | 81st meeting  | 75/19(b)(ii) |
| IND/PRO/75/INV/447 | Accelerated CFC production phase-out (second tranche) | First Meeting of 2017 | 1,056,900 | 1,056,000 | 900 |  |  | 81st meeting  | 75/19(a)(ii) |
| PAK/ARS/56/INV/71 | Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs | Jul-15 | 449,996 | 128,440 | 321,556 |  | Final verification of activities is pending approval by the Ministry of Health |  |  |
| **TOTAL UNDP** |  |  |  |  | **876,646** |  |  |  |  |
| **UN Environment** |
| IRQ/PHA/58/TAS/10 | National phase-out plan (first tranche) |  | 1,136,000 | 893,130 | 729 |  |  | 81st meeting  | 71/11(b), 73/8(c) and 75/10(b)(i)  |
| IRQ/PHA/63/TAS/14 | National phase-out plan (second tranche) |  | 505,000 | 14,480 | 25,000 |  |  | 81st meeting  | 71/11(b), 73/8(c) and 75/10(b)(i)  |
| **TOTAL UN Environment** |  |  |  |  | 25,729 |  |  |  |  |
| **UNIDO** |
| ARG/REF/61/INV/164 | Phase-out of HCFC-22 in the room and unitary airconditioning equipment manufacturing sector | 79th meeting | 8,435,542 | 7,164,055 | 948,843 |  | MYA | 81st meeting  | 77/17(b) |
| **TOTAL UNIDO** |  |  |  |  | **948,843** |  |  |  |  |
| **France** |
| AFR/REF/48/DEM/36 | Strategic demonstration project for accelerated conversion of CFC chillers in 5 African Countries (Cameroon, Egypt, Namibia, Nigeria and Sudan) |  | 360,000 | 345,530 |  | 14,470 |  | 80th meeting | 71/10(d)(ii), 74/2((c)(iii) and 75/11(b)(ii) |
| **TOTAL France** |  |  |  |  |  | **14,470** |  |  |  |

| **Code** | **Project title** | **Financial date of completion per decision** | **Approved funding plus adjustments as of 31 December 2016 (US$)** | **Funds disbursed as of** **79th meeting (US$)** | **Balances committed as per 79th meeting (US$)** | **Balances not- committed as per 79th meeting (US$)** | **Why any balances could not be returned?** | **When they could be returned?** | **Project subject to decision** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Japan** |
|  |  |  |  |  |  |  |  |  |  |
| AFR/REF/48/DEM/35 | Strategic demonstration project for accelerated conversion of CFC chillers in 5 African Countries (Cameroon, Egypt, Namibia, Nigeria and Sudan) | Jun-16 | 700,000 | 464,951 | 234,993 | 56 | Financial completion to be initiated after settlement of all pending issues | 81st meeting | 71/10(d)(ii), 74/2(c)(iii) and 75/11(b)(ii) |
| IND/PHA/45/INV/389 | CTC phase-out plan for the consumption and production sectors: 2005 annual programme | Dec-13 | 2,500,000 | 1,686,357 |  | 813,643 | Government in process of finalizing planning and implementation of revised activities | 81st meeting | 70/7(b)(ii)c |
| **TOTAL Japan** |  |  |  |  | **234,993** | **813,699** |  |  |  |

**Annex III**

**DETAILS ON COMPLETED PROJECTS AND BY-DECISION PROJECTS WITH RETURNED BALANCES FROM IMPLEMENTING AGENCIES**

| **Code** | **Project Title** | **Project costs returned (US$)** | **Agency support costs (US$)** | **Total** |
| --- | --- | --- | --- | --- |
| **UNDP** |
| ARM/PHA/62/INV/06 | HCFC phase-out management plan (stage I, first tranche) | 95,479 | 7,161 | 102,640 |
| BRA/PHA/72/PRP/300 | Preparation of a HCFC phase-out management plan (stage II) | 933 | 65 | 998 |
| COL/PHA/72/PRP/86 | Preparation of a HCFC phase-out management plan (stage II) | 828 | 58 | 886 |
| COL/REF/72/PRP/84 | Preparation for HCFC phase-out investment activities (stage II) (commercial and industrial air-conditioning manufacturing sector) | 854 | 60 | 914 |
| COL/REF/72/PRP/88 | Preparation for HCFC phase-out investment activities (stage II) (commercial refrigeration manufacturing sector) | 460 | 32 | 492 |
| DOM/PHA/72/PRP/55 | Preparation of a HCFC phase-out management plan (stage II) | 5,133 | 359 | 5,492 |
| EGY/FOA/58/DEM/100 | Validation/demonstration of low cost options for the use of hydrocarbons as foaming agent in the manufacture of polyurethane foams | 13,743 | 1,031 | 14,774 |
| GEO/DES/69/DEM/33 | Pilot demonstration project on ODS waste management and disposal | 9,879 | 889 | 10,768 |
| GEO/SEV/69/INS/34 | Extension of the institutional strengthening project (phase VIII: 7/2013-6/2015) | 81 | 6 | 87 |
| IRA/PHA/63/INV/204 | HCFC phase-out management plan (stage I, first tranche) (foam sector plan: one foam systems house) | -225,500 | -16,913 | (\*)-242,413 |
| KYR/PHA/72/INV/29 | HCFC phase-out management plan (stage I, second tranche) | 8 | 1 | 9 |
| KYR/PHA/72/PRP/30 | Preparation of a HCFC phase-out management plan (stage II) | 71 | 5 | 76 |
| MOL/PHA/63/INV/25 | HCFC phase-out management plan (stage I, first tranche) | 948 | 85 | 1,033 |
| TRI/SEV/68/INS/29 | Extension of the institutional strengthening project (phase VII: 1/2013-12/2014) | 19 | 1 | 20 |
| **TOTAL UNDP** |  | **-97,064** | **-7,159** | **-104,224** |
| **UN Environment** |
| BDI/PHA/65/TAS/28 | HCFC phase-out management plan (stage I, first tranche) | 1,956 | 254 | 2,210 |
| BDI/SEV/71/INS/30 | Extension of the institutional strengthening project (phase VI: 1/2014-12/2015) | 60,000 | 0 | 60,000 |
| CHI/PHA/73/PRP/181 | Preparation of a HCFC phase-out management plan (stage II) | 20,000 | 2,600 | 22,600 |
| DRK/PHA/73/TAS/58 | HCFC phase-out management plan (stage I, first tranche) (policy, and refrigeration servicing) | 230 | 30 | 259 |
| GLO/SEV/63/TAS/308 | Resource mobilization to address climate co-benefits for HCFC phase-out in low-volume-consuming countries with servicing sector only, in cooperation with other agencies | 22,032 | 2,864 | 24,896 |
| GLO/SEV/68/TAS/315 | Compliance Assistance Programme: 2013 budget | 20,000 | 1,600 | 21,600 |
| GUY/PHA/73/PRP/23 | Preparation of a HCFC phase-out management plan (stage II) | 9,800.00 | 1,274 | 11,074 |
| HAI/SEV/61/INS/17 | Additional emergency assistance for institutional strengthening | 1,000.16 | 0 | 1,000 |
| LAO/PHA/55/PRP/19 | Preparation of a HCFC phase-out management plan | 5,716.00 | 743 | 6,459 |
| MAU/SEV/49/INS/17 | Renewal of institutional strengthening project (phase IV) | 887 | 0 | 887 |
| MOL/PHA/72/PRP/27 | Preparation of a HCFC phase-out management plan (stage II) | 4,000 | 520 | 4,520 |
| MOR/SEV/53/INS/57 | Renewal of the institutional strengthening project (phase III) | 38,180 | 0 | 38,180 |
| MOR/SEV/59/INS/63 | Renewal of the institutional strengthening project (phase IV) | 156,000 | 0 | 156,000 |
| MOR/SEV/75/TAS/73 | Survey of ODS alternatives at the national level | 110,000 | 14,300 | 124,300 |
| NAM/SEV/59/INS/16 | Extension of institutional strengthening project (phase VI) | 13,500.00 | 0 | 13,500 |

\*Adjustment of project returned by mistake to the 76th meeting

| **Code** | **Project Title** | **Project costs returned (US$)** | **Agency support costs (US$)** | **Total** |
| --- | --- | --- | --- | --- |
| PAN/SEV/65/INS/33 | Extension of institutional strengthening project (phase V: 11/2011-10/2013) | 17,238.00 | 0 | 17,238 |
| SEN/PHA/65/TAS/30 | HCFC phase-out management plan (stage I, first tranche) | 5,977.00 | 770 | 6,747 |
| TOG/SEV/68/INS/24 | Extension of the institutional strengthening project (phase VII: 1/2013-12/2014) | 218 | 0 | 218 |
| YEM/PHA/60/TAS/35 | National ODS phase-out plan (second tranche) |  | 18,200 | 18,200 |
| IRA/PHA/63/TAS/200 | HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing sector) | 96,736 | 12,576 | 109,312 |
| IRQ/PHA/58/TAS/10 | National phase-out plan (first tranche) | 242,141 | 31,478 | 273,619 |
| IRQ/PHA/63/TAS/14 | National phase-out plan (second tranche) | 465,520 | 60,518 | 526,038 |
| **TOTAL UN Environment** |  | **1,291,131** | **147,728** | **1,438,859** |
| **UNIDO** |
| ALG/FUM/72/PRP/80 | Project preparation in the fumigants sector (high-moisture dates) | 35,000 | 2,450 | 37,450 |
| ALG/REF/26/INV/30 | Replacement of CFC-12 with HFC-134a for domestic refrigeration at Enapem | 0 | -2 | -2 |
| ALG/SEV/21/PRP/20 | Project formulation of investment projects in the aerosol, foam and refrigeration sectors | 0 | -1 | -1 |
| ARG/FUM/30/INV/105 | Phase-out of methyl bromide in strawberry, protected vegetables and cut flower production | 129 | 14 | 143 |
| ARG/PHA/47/INV/147 | National CFC phase-out plan: 2006 work programme  | 6,131 | 460 | 6,591 |
| ARG/PHA/50/INV/150 | National CFC phase-out plan: 2007 work programme | 0 | 1 | 1 |
| ARM/SEV/68/INS/10 | Extension of institutional strengthening project (phase III: 4/2013-3/2015) | 202 | 14 | 216 |
| BHA/PHA/65/INV/18 | HCFC phase-out management plan (stage I, first tranche) | 40 | 4 | 44 |
| BHE/PHA/66/INV/27 | HCFC phase-out management plan (stage I, first tranche) (conversion to cyclopentane in the manufacture of panels at Alternativa, and conversion to methyl formate (foam) and non-HCFCs refrigerant in 6 manufacturers of commercial refrigeration equipment) | 308 | 23 | 331 |
| CHD/PHA/62/INV/22 | HCFC phase-out management plan (stage I, first tranche) | 458 | 34 | 492 |
| CHI/FUM/69/INV/178 | National phase-out of methyl bromide, terminal project (second tranche) | 926 | 70 | 996 |
| CHI/PHA/74/PRP/188 | Preparation of a HCFC phase-out management plan (stage II) (transfer of project) | 703 | 49 | 752 |
| CMR/FUM/50/PRP/25 | Project preparation for the total phase-out of methyl bromide in all remaining uses | 0 | -1 | -1 |
| CPR/FOA/34/INV/375 | Phasing out CFC-11 with HCFC-141b at six companies Hongyu, Longan, Songliao, Tianyun, Xinyang and Yizheng) and phasing out CFC-11 by conversion to water blown technology at one company (Yinxian) | 0 | -1 | -1 |
| CPR/PRO/56/INV/475 | Sector plan for methyl bromide production sector (second tranche) | 0 | 111 | 111 |
| CPR/REF/22/INV/207 | Phasing out ODS at the refrigerator plant of Zerowatt Electric Appliances Group | 0 | -2 | -2 |
| CRO/PHA/61/INV/36 | HCFC phase-out management plan (phase I, first tranche) | 533 | 40 | 573 |
| CRO/PHA/66/INV/38 | HCFC phase-out management plan (stage I, second and third tranches) | 70 | 5 | 75 |
| DRK/REF/64/PRP/53 | Preparation for HCFC phase-out investment activities (commercial refrigeration sector) | 99 | 7 | 106 |
| ECU/PHA/70/INV/60 | HCFC phase-out management plan (stage I, second tranche) | 1,123 | 85 | 1,208 |
| EGY/FOA/62/INV/104 | Phase-out of HCFC-141b from the manufacturing of polyurethane foam at Mondial Freezers Company | 220 | 17 | 237 |
| EGY/FOA/62/INV/110 | Phase-out of HCFC-141b from the manufacturing of polyurethane foam at El-Araby Co. for Engineering Industries | 6 | 1 | 7 |
| EGY/PHA/60/INV/101 | National CFC phase-out plan (fourth and fifth tranches) | 0 | -1,385 | -1,385 |
| ETH/FUM/54/PRP/18 | Project preparation in the fumigant sector (flowers) | 10,530 | 790 | 11,320 |
| EUR/DES/65/PRP/12 | Strategy for disposal and destruction of ODS for 4 LVC countries in the Europe and Central Asia region (Bosnia and Herzegovina, Croatia, Montenegro and Turkmenistan) | 76 | 6 | 82 |
| EUR/REF/47/DEM/06 | Demonstration project on the replacement of CFC centrifugal chillers (Croatia, Macedonia, Romania, and Serbia and Montenegro) | 33,567 | 2,518 | 36,085 |

| **Code** | **Project Title** | **Project costs returned (US$)** | **Agency support costs (US$)** | **Total** |
| --- | --- | --- | --- | --- |
| GAM/PHA/65/INV/24 | HCFC phase-out management plan (stage I, first tranche) | 503 | 45 | 548 |
| GUA/FUM/59/INV/38 | National phase-out of methyl bromide (phase II, first tranche) | 294 | 22 | 316 |
| GUA/PHA/64/INV/44 | HCFC phase-out management plan (stage I, first tranche) | 0 | -1 | -1 |
| GUA/PHA/68/INV/45 | HCFC phase-out management plan (stage I, second tranche) | 60 | 5 | 65 |
| HON/PHA/70/INV/37 | HCFC phase-out management plan (stage I, second tranche) | 6,212 | 466 | 6,678 |
| HON/PHA/73/TAS/39 | Verification report for stage I of HCFC phase-out management plan | 512 | 46 | 558 |
| IRA/FUM/44/PRP/168 | Preparatory assistance in methyl bromide (soil fumigation in olive tree nurseries) | 0 | -2 | -2 |
| IRA/HAL/63/TAS/198 | Halon phase-out project (Transfer from France) | 29,695 | 2,228 | 31,923 |
| IRA/REF/29/INV/53 | Conversion from CFC-11 to HCFC-141b and CFC-12 to HFC-134a technology in the manufacture of domestic and commercial refrigeration at the Sherkate Sanaayee Toulidy Bard Co. | 0 | -2 | -2 |
| JOR/PHA/65/TAS/90 | HCFC phase-out management plan (stage I, first tranche) (policy support, awareness promotion, monitoring) | 693 | 62 | 755 |
| JOR/REF/60/INV/86 | Phase-out of HCFC-22 and HCFC-141b from the manufacture of unitary air-conditioning equipment at Petra Engineering Industries Co. | 1,863 | 140 | 2,003 |
| LIB/FOA/63/PRP/33 | Preparation for HCFC phase-out investment activities (polyurethane foam component) | 23 | 2 | 25 |
| LIB/PHA/45/INV/25 | National ODS phase-out plan: 2nd tranche | 0 | 225 | 225 |
| LIB/PHA/55/PRP/29 | Preparation of a HCFC phase-out management plan | 637 | 48 | 685 |
| LIB/SEV/59/INS/31 | Renewal of institutional strengthening project (phase II) | 0 | -1 | -1 |
| MDN/PHA/67/INV/32 | HCFC phase-out management plan (phase I, third tranche) (conversion from HCFC-141b to HFCs in the manufacture of polyurethane foam for the commercial refrigeration units, sandwich panels and insulated doors) | 7,267 | 545 | 7,812 |
| MDN/PHA/67/INV/33 | HCFC phase-out management plan (phase I, third tranche) | -69 | -5 | -74 |
| MDN/PHA/72/INV/35 | HCFC phase-out management plan (phase I, fifth tranche) | 279 | 21 | 300 |
| MEX/FUM/63/INV/153 | Methyl bromide phase-out plan (Transfer from Canada) | 933 | 70 | 1,003 |
| MEX/PHA/64/INV/160 | HCFC phase-out management plan (phase-out of HCFC-141b as a cleaning agent in refrigeration servicing) (stage I, first tranche) | -12 | 0 | -12 |
| MEX/FUM/66/INV/162 | National methyl bromide phase-out plan (third tranche) | 6,798 | 510 | 7,308 |
| MEX/FUM/69/INV/166 | National methyl bromide phase-out plan (fourth tranche) | 115 | 8 | 123 |
| MEX/PHA/64/TAS/158 | HCFC phase-out management plan (stage I, first tranche) (legislation customs training and monitoring) | 0 | -1 | -1 |
| MOG/SEV/74/TAS/10 |  Survey of ODS alternatives at the national level | 635 | 57 | 692 |
| MOR/FOA/74/PRP/72 | Preparation of a demonstration project on the use of compact high-pressure foaming equipment for the safe introduction of pentane technology to SMEs | 183 | 13 | 196 |
| MOR/REF/25/INV/25 | Replacement of CFC-12 with HFC-134a for commercial refrigeration at Batinox | 0 | -1 | -1 |
| OMA/PHA/73/PRP/27 | Preparation of a HCFC phase-out management plan (stage II) | 221 | 15 | 236 |
| OMA/SEV/71/INS/25 | Renewal of institutional strengthening support (phase V: 12/2013-11/2015) | 956 | 67 | 1,023 |
| PAK/FOA/60/INV/78 | Phase-out of HCFC-141b from the manufacturing of insulation PU rigid foam at Dawlance | 245 | 19 | 264 |
| PRC/PHA/63/INV/23 | HCFC phase-out management plan (stage I, first tranche) | 1,374 | 124 | 1,498 |
| ROM/SOL/44/PRP/27 | Preparation of a terminal umbrella project to phase out CTC | 0 | -2 | -2 |
| SOA/FOA/74/PRP/08 |  Preparation of a demonstration project on the technical and economic advantages of vacuum-assisted injection in discontinuous panel at a manufacturing plant converted from HCFC 141b to pentane | 991 | 69 | 1,060 |
| STL/PHA/64/INV/17 | HCFC phase-out management plan (stage I, first tranche) | 0 | -1 | -1 |
| SUD/FOA/72/PRP/34 | Preparation for HCFC phase-out investment activities (stage II) (foam sector) | 448 | 31 | 479 |
| SUD/FUM/71/PRP/31 | Project preparation in the fumigants sector (post-harvest) | 1,625 | 114 | 1,739 |
| SUD/PHA/72/PRP/32 | Preparation of a HCFC phase-out management plan (stage II) | 330 | 23 | 353 |

| **Code** | **Project Title** | **Project costs returned (US$)** | **Agency support costs (US$)** | **Total** |
| --- | --- | --- | --- | --- |
| SUD/REF/72/PRP/33 | Preparation for HCFC phase-out investment activities (stage II) (refrigeration and air-conditioning sector) | 327 | 23 | 350 |
| TKM/PHA/71/TAS/10 | Verification report for stage I of HCFC phase-out management plan | 136 | 12 | 148 |
| TUN/FUM/71/PRP/55 | Project preparation in the fumigants sector (high moisture dates) | 3,301 | 231 | 3,532 |
| TUN/SEV/66/INS/53 | Extension of institutional strengthening project (phase VII: 7/2012-6/2014) | 541 | 40 | 581 |
| TUR/PHA/68/TAS/100 | HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing and monitoring) | 1,605 | 113 | 1,718 |
| TUR/SEV/70/INS/103 | Extension of institutional strengthening project (phase VI: 7/2013-6/2015) | 3,718 | 260 | 3,978 |
| VEN/FOA/29/INV/78 | Phasing out CFC-11 with HCFC-141b at Amerio Industrial S.A. in the production of rigid P.U. panels | 0 | -2 | -2 |
| VEN/FOA/38/INV/96 | Phasing out CFC-11 by conversion to HCFC-141b as a blowing agent in the manufacture of rigid p.u. foams: Umbrella No. 2 project. | 0 | -2 | -2 |
| VEN/PHA/63/INV/118 | HCFC phase-out management plan (stage I, first tranche) | 579 | 43 | 622 |
| YUG/PHA/60/INV/36 | National CFC phase-out plan (fourth and fifth tranches) | 0 | -1 | -1 |
| YUG/SEV/74/TAS/45 |  National CFC phase-out plan (fourth and fifth tranches) | 421 | 38 | 459 |
| ARG/REF/61/INV/164 | Phase-out of HCFC-22 in the room and unitary airconditioning equipment manufacturing sector | 322,644 | 24,198 | 346,842 |
| **TOTAL UNIDO** |  | **486,204** | **35,219** | **521,423** |
| **Italy** |
| ARG/REF/61/INV/163 | Phase-out of HCFC-22 in the room and unitary air-conditioning equipment manufacturing sector | **177,992** | **23,139** | **201,131** |
| **TOTAL Italy** |  | **177,992** | **23,139** | **201,131** |
| **Spain** |
| MEX/FUM/66/INV/161 | National methyl bromide phase-out plan (third tranche) | 1,837 | 214 | 2,051 |
| **TOTAL Spain** |  | **1,837** | **214** | **2,051** |

1. The data presented are based on the reporting format that has been developed pursuant to decision 31/2. [↑](#footnote-ref-1)
2. UNEP/OzL.Pro/ExCom/79/3. [↑](#footnote-ref-2)
3. UNEP/OzL.Pro/ExCom79/3. [↑](#footnote-ref-3)
4. Montreal, 21 - 23 Feburary 2017. [↑](#footnote-ref-4)
5. As at 7 June 2017. [↑](#footnote-ref-5)