



**Programa de las
Naciones Unidas
para el Medio Ambiente**



Distr.
GENERAL

UNEP/OzL.Pro/ExCom/77/24
25 de octubre de 2016

ESPAÑOL
ORIGINAL: INGLÉS

COMITÉ EJECUTIVO DEL FONDO MULTILATERAL
PARA LA APLICACIÓN DEL
PROTOCOLO DE MONTREAL
Septuagésima séptima Reunión
Montreal, 28 de noviembre - 2 de diciembre de 2016

**PLAN ADMINISTRATIVO DE LA ONUDI
PARA EL PERÍODO 2017-2019**

1. El presente documento expone el plan administrativo de la ONUDI para el período 2017-2019.¹ Incluye las actividades de eliminación de sustancias que agotan la capa de ozono (SAO) previstas para el período, indicadores de desempeño, las recomendaciones sometidas a consideración del Comité Ejecutivo y la glosa del plan administrativo.

¹ El borrador del plan administrativo de la ONUDI para el período 2017-2019 se discutió en la reunión de coordinación interinstitucional efectuada en Montreal el 31 de agosto y 1º de septiembre de 2016. El plan administrativo presentado en el presente documento da respuesta a las inquietudes planteadas en dicha reunión.

OBSERVACIONES DE LA SECRETARÍA

2. El Cuadro 1 muestra para cada año el valor de las actividades consignadas en el plan administrativo de la ONUDI.

Cuadro 1: Plan administrativo ONUDI 2017-2019, asignación de recursos según solicitud original (miles \$EUA)*

Detalle	2017	2018	2019	Total (2017-2019)	2020	Total después de 2020
Condición a cumplir						
Aprobación plan de gestión para eliminación de HCFC	6.762	18.164	1.369	26.295	6.726	482
Preparación de proyectos de producción de HCFC, etapa I	107	0	0	107	0	0
Producción de HCFC, etapa I	0	533	533	1.065	533	0
Plan de gestión para eliminación de HCFC, etapa I	0	693	0	693	132	0
Plan de gestión para eliminación de HCFC, etapa I - financiamiento adicional	899	389	0	1.288	0	0
Plan de gestión para eliminación de HCFC - preparación de proyectos de producción, etapa II	631	1.460	0	2.091	0	0
Plan de gestión para eliminación de HCFC, etapa II	36.927	61.614	80.509	179.050	79.927	112.052
Plan de gestión para eliminación de HCFC, etapa III	0	0	0	0	39.226	156.904
Actividades corrientes						
Fortalecimiento institucional	1.378	1.303	1.198	3.880	1.303	0
Gastos unitarios básicos	2.069	2.084	2.098	6.252	2.113	0
Total general	48.773	86.239	85.708	220.720	129.960	269.438

* Incluye gastos de apoyo cuando corresponde.

Observaciones de la Secretaría sobre actividades necesarias para el cumplimientoEtapa I, sector producción de HCFC

3. Se destina un total de 1,7 millones \$EUA para la etapa I del plan de gestión orientado a eliminar la producción de HCFC en la República Popular Democrática de Corea. Esta cifra incluye 107.000 \$EUA para actividades de preparación de proyectos a realizar durante el año 2017.

Etapa I, plan de gestión para eliminación de HCFC

4. En el plan administrativo de la ONUDI figura un país –la República Árabe Siria² cuya etapa I del plan de gestión aún no ha sido aprobada. El valor considerado para este país alcanza a 824.997 \$EUA, de los cuales 692.600 corresponden al período 2017-2019.

5. El plan administrativo de la ONUDI para 2017-2019 considera proyectos adicionales a la etapa I del plan de gestión para dos países (Baréin y Ecuador) por un total de 1,29 millones \$EUA. Estas solicitudes se fundan en sendas decisiones del Comité Ejecutivo que facultan a dichos países a presentar proyectos adicionales durante la ejecución de la etapa I.

² Para el sector refrigeración y climatización de este país se aprobó financiar la eliminación de 12,9 toneladas PAO de HCFC. Se trata de un proyecto adicional al plan de gestión que equivale al 9,6% de la cifra base.

Etapa II, plan de gestión para eliminación de HCFC en países de bajo consumo

6. El financiamiento total necesario para reducir el consumo base de HCFC durante la etapa II del plan de gestión 2017-2019 asciende a 15.260 \$EUA para una reducción del 35% y a 6,37 millones \$EUA para una reducción del 67,5% (no hay solicitud para 2017-2019).

Etapa II, plan de gestión para eliminación de HCFC en países de alto consumo

7. El nivel total de financiamiento para la etapa II del plan de gestión asciende a 364.64 millones \$EUA (incluye 179.04 millones para el período 2017-2019). Las cifras sectoriales son las siguientes:

Cuadro 2: Distribución de financiamiento, etapa II del plan de gestión en países de alto consumo, por sector (miles \$EUA)

Sector	2017-2019	2020	Después de 2020	Total	Porcentaje del total
Espuma rígida	4.000	114	240	4.354	1,2
Espuma de poliestireno extruido	64.200	23.540	74.916	162.656	44,6
Ensamblaje de equipos de refrigeración y climatización	1.221	467	0	1.688	0,5
Equipos de refrigeración y climatización	81.685	27.262	0	108.947	29,9
Fabricación de equipos de refrigeración y climatización	15.322	15.247	20.909	51.478	14,1
Servicio y mantenimiento de equipos de refrigeración	12.607	10.767	12.145	35.518	9,7
Total general	179.035	77.397	108.211	364.642	100,0

Etapa III, plan de gestión para eliminación de HCFC en países de alto consumo

8. El nivel total de financiamiento para la etapa III de un plan de gestión para la eliminación de HCFC en el sector equipos de refrigeración y climatización de un país de alto consumo (China) asciende a 196.13 millones \$EUA para después de 2019.

Observaciones de la Secretaría sobre las actividades corrientesFortalecimiento institucional

9. Para estas actividades el plan administrativo considera la suma de 5,18 millones \$EUA, de los cuales 3,88 millones corresponden al período 2017-2019³ y 1,3 millones al año 2020.

Gastos básicos unitarios

10. Se espera que los gastos básicos unitarios⁴ aumenten a la tasa anual del 0,7% acordada a la fecha.

Ajustes al plan administrativo de la ONUDI para 2017-2019

11. En la reunión de coordinación interinstitucional se acordó ajustar los planes administrativos de organismos bilaterales y de ejecución de conformidad con las decisiones del Comité Ejecutivo. Al revisar el texto modificado del plan administrativo de la ONUDI, la Secretaría tomó nota de la omisión de los siguientes ajustes:

³ Según la decisión 74/51(c) en cuanto a elevar el financiamiento de todos los proyectos de fortalecimiento institucional en un 28% por sobre los niveles históricamente acordados, con un mínimo de 42.500 \$EUA al año.

⁴ La ONUDI presentó su solicitud de gastos unitarios básicos para el 2017 durante la 77ª Reunión (UNEP/OzL.Pro/ExCom/77/33).

Cuadro 3: Ajustes al plan administrativo de la ONUDI para 2017-2019

Ajuste	2017-2019 (miles \$EUA)	2020 y posterior (miles \$EUA)
Tramos del plan de gestión que corresponde desembolsar pero no fueron presentados a la 77ª Reunión (Argelia, Islas Bahamas, Burundi, Cote d'Ivoire, Guinea Ecuatorial, Gabón, Guinea, Mozambique, Myanmar, Qatar, Surinam, Togo y Turquía)	3.780	0
Nuevas actividades del plan de gestión (etapa II) presentadas a la 77ª Reunión, con un nivel de financiamiento equivalente al propuesto en la solicitud original	-312	-290
Preparación de proyectos para la etapa II del plan de gestión, conforme a lo dispuesto en la decisión 71/42	-0.134	0
Etapa II del plan de gestión en países de bajo consumo hasta el valor máximo permitido para lograr reducciones del 35 o 67,5% en el consumo base de HCFC, conforme a la decisión 74/50(c)(xii)	0	-5
Etapa II del plan de gestión en países de alto consumo con sector servicio técnico de equipos de refrigeración, sobre la base de una relación costo-beneficio máxima de 4,80 \$EUA/kg	-0.5	-0.68
Etapa II del plan de gestión en países de alto consumo con sector espuma, sobre la base de una relación costo-beneficio máxima de 7,00 \$EUA/kg ⁵	0	-11

12. El Cuadro 4 muestra el resultado de los ajustes propuestos por la Secretaría al plan administrativo de la ONUDI para el período 2017-2019, los que se incluyen también en el plan administrativo consolidado del Fondo Multilateral para igual período.⁶

Cuadro 4: Asignación de recursos - Plan administrativo ajustado, 2017-2019 (miles \$EUA)*

Detalle	2017	2018	2019	Total (2017-2019)	2020	Total después del 2020
Requisito						
Aprobación de plan de gestión para eliminación de HCFC	10.542	18.164	1.369	30.075	6.726	482
Preparación de proyectos de producción de HCFC, etapa I	107	0	0	107	0	0
Producción de HCFC, etapa I	0	533	533	1.065	533	0
Plan de gestión para eliminación de HCFC, etapa I	0	693	0	693	132	0
Plan de gestión para eliminación de HCFC etapa I - financiamiento adicional	899	389	0	1.288	0	0
Plan de gestión para eliminación de HCFC - preparación de proyectos de producción, etapa II	631	1.460	0	2.091	0	0
Plan de gestión para eliminación de HCFC, etapa II	36.645	61.959	80.133	178.738	80.888	110.785
Plan de gestión para eliminación de HCFC, etapa III	0	0	0	0	39.226	156.904
Actividades corrientes						
Fortalecimiento institucional	1.378	1.303	1.198	3.880	1.303	0
Gastos unitarios básicos	2.069	2.084	2.098	6.252	2.113	0
Total general	52.272	86.584	85.332	224.188	130.921	268.171

* Incluye gastos de apoyo cuando corresponde.

⁵ Según lo acordado en la reunión de coordinación interinstitucional.

⁶ UNEP/OzL.Pro/ExCom/77/20.

Indicadores de desempeño

13. Conforme a lo dispuesto en la decisión 71/28, la glosa del plan administrativo de la ONUDI incluye indicadores de desempeño. Tras una serie de conversaciones, la ONUDI y la Secretaría convinieron las metas que se indican en el Cuadro 5.

Cuadro 5: Indicadores de desempeño para la ONUDI

Tipo de indicador	Descripción	Criterio	Meta para 2017
Planificación-aprobación	Tramos aprobados	Número de tramos aprobados vs. los previstos*	36
Planificación-aprobación	Proyectos/actividades aprobadas	Número de proyectos/actividades aprobadas vs. las previstas (incluye actividades de preparación de proyectos)**	13
Ejecución	Fondos desembolsados	Sobre la base de los desembolsos estimados en el informe de avance	22.350.000 \$EUA
Ejecución	Eliminación de SAO	Eliminación de SAO para el tramo a la aprobación del tramo siguiente vs. lo previsto en los planes administrativos	436.08 toneladas PAO
Ejecución	Cierre de las actividades proyectadas	Avance de los proyectos vs. lo previsto en los informes de avance para todas las actividades (salvo preparación de proyectos)	60
Administrativo	Prontitud del cierre financiero	Grado de cierre financiero de los proyectos doce meses después de su término	12 meses después de cierre operativo
Administrativo	Entrega oportuna de informes de cierre de proyecto	Entrega oportuna de informes de cierre de proyecto vs. lo estipulado	A tiempo
Administrativo	Entrega oportuna de informes de avance	Entrega oportuna de informes de avance y respuestas y planes administrativos, salvo acuerdo en contrario	A tiempo

* Las metas de un determinado organismo se podrán reducir cuando se vea imposibilitado de presentar un tramo por motivos imputables a otro organismo principal o de cooperación, siempre que dicho organismo esté de acuerdo.

** No se evaluará la preparación de proyectos sin que el Comité Ejecutivo haya adoptado una decisión en cuanto a su financiamiento.

RECOMENDACIONES

14. El Comité Ejecutivo podrá estimar pertinente:
- a) Tomar nota del plan administrativo de la ONUDI para el período 2017-2019 contenido en el documento UNEP/OzL.Pro/ExCom/77/24; y
 - b) Aprobar los indicadores de desempeño de la ONUDI expresados en el Cuadro 5 del documento UNEP/OzL.Pro/ExCom/77/24.

**UNIDO
BUSINESS PLAN 2017**



UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION

EXECUTIVE SUMMARY

The 2017 Business Plan of UNIDO together with the forecast for 2018 and 2019 represents the Rolling Business Plan of Montreal Protocol activities of the Organization. Funding estimates up to 2020 and beyond have also been provided. This provides useful information for Executive Committee members on the funding needed to reach the 2020 control measures for HCFCs.

UNIDO's Business Plan was prepared based on the previous rolling business plan, taking into consideration the approvals and experience of previous years, the requests received from Article 5 countries, priorities established and the decisions taken by the Executive Committee, in particular Decision 74/50, 74/18(b), 72/40, 74/21, 74/50 and 71/42. It also reflects the discussions held in Montreal during the Inter-Agency Coordination Meeting between 31 August and 1 September 2016. It is also largely inspired from the historical decision of the 19th Meeting of the Parties agreeing on the acceleration of the phase-out of HCFCs, and the relevant ExCom decisions on HPMPs and HCFC investment and demonstration projects. The countries' needs have been calculated based on approved HPMPs and based on reported HCFC Baseline consumption.

In 2017, UNIDO will submit for approval by the Executive Committee forward commitments amounting to USD 6.76 million. New activities are focusing mainly on HCFC phase-out and the renewal of institutional strengthening projects with a total value of USD 42 million (including Core Unit Funding). In addition, USD 738,000 is earmarked for the preparation of Stage II HPMPs in 2017. As listed in the business plan, about 89.8 % (USD 43.8million) of UNIDO's 2017 Business Plan is focusing on the phase out of HCFCs.

Decision 67/15 has maintained the administrative cost regime with the following modifications: For new projects with a value over USD 250,000 as well as for institutional strengthening and project preparation activities approved at the 67th Meeting of the Executive Committee and after are subject to 7% agency fee. Support cost for Agreements made before the 67th Meeting of the Executive Committee remained valid, except for the second and subsequent tranches of HPMPs approved at the 66th Meeting of the Executive Committee, where the 7.5% agency fee has been reduced to 7 % in line with Decision 72/20. For new projects with a value at or below USD 250,000, an agency fee of 9 % is provided. Furthermore, for Core Unit Funding an annual increase of maximum 0.7% is allowed for the current triennium. For this reason and in line with the Fund Secretariat's recommendation, Core Unit Costs for the years 2017-2020 have been budgeted based on a maximum 0.7 % increase. Thus, USD 2,069,385 has been allocated in the 2017 Business Plan for the Core Unit for the year 2018.

The total amount foreseen in UNIDO's 2017 Business Plan, including forward commitments, new investment, non-investment activities, project preparation and funding of core unit is USD 48,773,352 including support costs and with an impact of 553 ODP tonnes.

USD 86,239,013 worth of projects are earmarked for 2018 with an impact of 1,065 ODP tonnes, while for 2019 USD 85,707,555 with an impact of 784 tonnes of ODP tonnes are forecasted.

A. MULTILATERAL FUND TARGETS

1. CONTEXT

UNIDO prepared its business plan for 2016 to 2018 based on ExCom Decisions 74/50, 74/18(b), 72/40, 74/21, 74/50 and 71/42 as well as the Government requests received from Article 5 countries. An inter-agency coordination meeting was held between 31 August and 1 September 2016 in Montreal, Canada. Considering the draft business plans submitted by all implementing and bilateral agencies and the compliance-oriented model, the Secretariat identified the countries that are in need of assistance in order to comply with the various phase-out schedules, for which no activities were included in the business plans of implementing agencies and pointed out cases where a duplication of activities occurred among the various implementing agencies. The countries' needs have been calculated for most countries based on the actual HCFC baseline data as well as based on approved HPMP Agreements.

The Business Plan is also largely inspired from the historical decision of the 19th Meeting of the Parties agreeing on the acceleration of the phase-out of HCFCs, and the Decision 74/50 on the draft criteria for funding HCFC phase-out in the consumption sector for Stage II of HCFC Phase-Out Management Plans and other relevant ExCom decisions on HPMPs and HCFC investment and demonstration projects.

As agreed with the Secretariat, activities which were part of UNIDO's 2016 business plan, but could not be submitted either to the 76th or to the 77th Meeting of the Executive Committee, are reflected in the present business plan. Furthermore, any projects submitted to, but not approved at the 77th Meeting should be added afterwards to the 2017 Business Plan.

During the 2017-2019 business cycle, UNIDO also foresees the implementation of trust fund projects (e.g. Iran, Mexico, Morocco, South Africa and Tunisia) and continued strong cooperation with donor countries, particularly with Italy and Spain.

2. RESOURCE ALLOCATION

In 2017, UNIDO is planning to submit USD 46.7 million worth of projects, the majority of which is focused on phase-out of HCFCs, plus core unit funding in the value of about USD 2 million.

HCFC phase-out activities form large part of UNIDO's 2017 Business Plan. Most HPMPs for non-LVCs include investment projects for the conversion of manufacturing enterprises to HCFC-free alternatives taking into consideration new technological developments to ensure sustainable conversion of HCFC-based manufacturing enterprises.

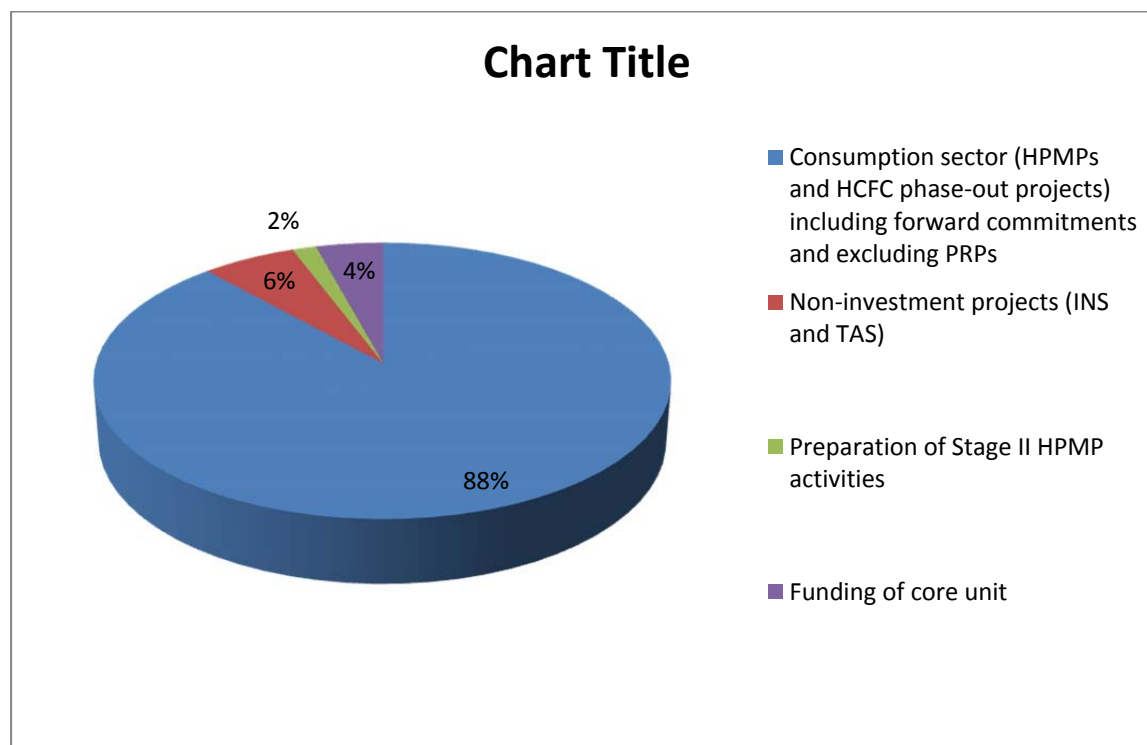
In line with earlier discussions, funding requests for the preparation of Stage II HPMPs should be submitted 2 years before the last tranche of Stage I. However, there are exceptions, in particular in non-LVC countries with extended commitments, whereby no funding is scheduled between 2015 and 2017 or 2018. In such cases, project preparation is required 1 or 2 years before the penultimate tranche of Stage I. UNIDO made careful consideration of each country to ensure smooth implementation, without interruptions between Stage I and Stage II activities.

Furthermore, renewal of institutional strengthening projects also form part of UNIDO's 2017-2019 Business Plan.

The total budget for 2017 for the above activities is USD 46,703,968 plus USD 2,069,385 core unit funding for UNIDO. Table 1 below summarizes the resource allocation of UNIDO's 2016 Business Plan.

Table 2: Resource allocation

Pos.	Type/sector	Value (\$ 000) (incl. support cost)	Share of Business Plan allocation (%)
(a)	Consumption sector (HPMPs and HCFC phase-out projects) including forward commitments and excluding PRPs	43,074	88.3
(b)	Non-investment projects (INS and TAS)	2,892	5.9
(c)	Preparation of Stage II HPMP activities	738	1.5
(d)	Funding of core unit	2,069	4.24
	Total	48,773	100%

Resource Allocation as per Table 1

The details of the 2017-2019 rolling Business Plan are spelled out in the Business Plan Database.

3. GENERAL OVERVIEW ON ASSISTANCE TO COUNTRIES IN NON-COMPLIANCE

In UNIDO's portfolio, there is currently no country being in non-compliance with the HCFC phase-out schedule. UNIDO has been providing continuous assistance to countries that had been in non-compliance in previous years (Bosnia and Herzegovina, Democratic People's Republic of Korea, Guatemala, Libya and Ecuador).

All activities presented in UNIDO's business plan aim at providing assistance to A5 countries to comply with their obligations towards the Montreal Protocol. Should there be any countries in non-compliance under UNIDO's responsibility, UNIDO will work closely with the countries concerned to bring them back to compliance and will assist them to report the required data to the Ozone Secretariat.

4. PROGRAMME EXPANSION

In the years 2017 to 2019 UNIDO aims to enhance its assistance to Article 5 countries by strengthening its project portfolio through the implementation of HCFC phase-out management plans and HCFC phase-out investment projects.

UNIDO continues providing support with Stage II HPMPs to all the countries assisted during Stage I. Furthermore, Stage II HPMP of Sao Tome is added to UNIDO's portfolio.

The main objective of this Business Plan is to assist Article 5 countries in meeting their obligations under the Montreal Protocol, in particular the 35% reduction target in 2020 for HCFCs. However, in some cases UNIDO in agreement with the beneficiary countries has set more ambitious objectives and targets 67.5% reduction.

The analysis of the activities of UNIDO's Business Plan reveals that the major share of UNIDO's MP project portfolio consists of HPMPs including HCFC investment activities in different sub-sectors.

In 2017, UNIDO will continue to cover all regions (Latin America and the Caribbean, Africa, Asia and Pacific, Europe) with planned activities in various sectors and countries (including project preparation and non-investment activities).

The following section summarizes the activities contained in UNIDO's 2017 Business Plan sorted by region.

Africa

In Africa, funding requests for nine countries, with a total value of USD 4,310,528 will be submitted in 2017. The main concentration will be in HPMPs, as well as preparatory assistance projects for HPMP Stage II. UNIDO is cooperating with UNEP on several HPMPs in African countries.

Asia

In Asia requests for funding for nine countries with a value of USD 38,099,461 will be submitted in 2017. This represents about 78.1 % of the total Business Plan for 2017. The main concentration will be in HPMPs, HCFC investment projects, as well as preparatory assistance projects for HPMP Stage II.

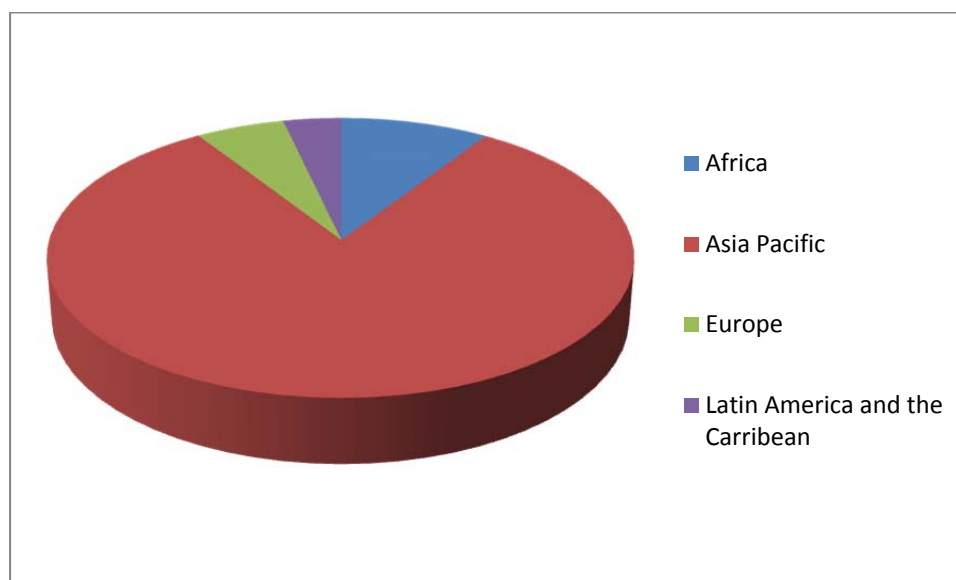
Europe

In Europe, requests for four countries with a value of USD 2,573,309 will be submitted in 2017, three targeting the phase-out of HCFCs and three institutional strengthening.

Latin America and the Caribbean

In Latin America and the Caribbean Region, requests for two countries, with a value of USD 1,683,000 will be submitted in 2017. The main concentration will be in HPMPs, including Stage I and Stage II activities as well.

UNIDO's 2017 Business Plan by Region



PERFORMANCE INDICATORS

The 71st Meeting of the Executive Committee has reviewed the performance indicators. The new weightings, based on Decision 71/28, are indicated in the below table.

Type of Indicator	Short title	Calculation	Weighting	Target 2017
Planning--Approval	Tranches approved	Number of tranches approved vs. those planned	10	23
Planning--Approval	Projects/activities Approved	Number of projects/activities approved vs. those planned (including project preparation activities)	10	12

Type of Indicator	Short title	Calculation	Weighting	Target 2017
	Sub-total		20	
Implementation	Funds disbursed	Based on estimated disbursement in progress report	15	USD 25.5 million
Implementation	ODS phase-out	ODS phase-out for the tranche when the next tranche is approved vs. those planned per business plans	25	ODPt
Implementation	Project completion for Activities	Project completion vs. planned in progress reports for all activities (excluding project preparation)	20	47
	Sub-total		60	
Administrative	Speed of financial completion	The extent to which projects are financially completed 12 months after project completion	10	12 months after operational completion
Administrative	Timely submission of project completion reports	Timely submission of project completion reports vs. those agreed	5	On time
Administrative	Timely submission of progress reports	Timely submission of progress reports and business plans and responses unless otherwise agreed	5	On time
	Sub-total		20	
	Total		100	

Based on Decision 71/28, the performance indicator on milestone activities for MYAs was changed as listed now in the above table.

INITIATIVES TO ENSURE COMPLIANCE

Successful and timely implementation of ongoing activities is essential for the current compliance period.

Special attention is provided to countries that may previously have been in non-compliance and that have decisions outlining plans of actions with time-specific benchmarks for return to compliance.

UNIDO has continued to provide supportive initiatives in order to ensure timely project completion of projects approved so far, and to facilitate compliance of the recipient countries with their MP obligations, which supported successful project implementation:

- Regular follow up of the implementation process is being done by the staff of the ozone office together with UNIDO's national and international consultants and project managers. This ensures that effective actions on critical issues such as resolving bottlenecks in site preparation, customs clearance, installation, commissioning and safety certification, monitoring of CFC-related equipment are taken.
- UNIDO is frequently attending Regional Network Meetings and respective workshops providing additional support to our counterpart countries.
- Communication and interaction between regional and country offices about the implementation process has ensured the smooth flow of project plans. As in previous years, directors of UNIDO regional and country offices are regularly briefed at UNIDO HQs on ongoing and possible future activities. They are involved in the implementation process and are following up the progress of the programmes. In turn, the representatives brief headquarter staff working in a specific country on the regular activities in the field and problems faced, if any.
- UNIDO also provides, when requested, support such as policy assistance, putting in place relevant legislation etc. Additionally, UNIDO project managers are visiting the project site, if definitely required.
- Based on recommendation of the Implementation Committee, additional assistance will be offered to the countries with delays in reporting of its ozone-depleting substance data