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EXECUTIVE COMMITTEE OF  
THE MULTILATERAL FUND FOR THE  
IMPLEMENTATION OF THE MONTREAL PROTOCOL  
Seventy-fifth Meeting  
Montreal, 16-20 November 2015

**UNEP BUSINESS PLAN FOR 2016-2018**

1. This document presents the UNEP business plan for 2016-2018<sup>1</sup> and includes: the planned activities for the phase-out of ozone-depleting substances (ODS) during the 2016-2018 period; the business plan performance indicators; and recommendations for consideration by the Executive Committee. The narrative of UNEP's business plan for 2016-2018 is attached to the present document.

**SECRETARIAT'S COMMENTS**

2. Table 1 sets out, by year, the value of activities included in UNEP's business plan.

**Table 1: Resource allocation in the UNEP business plan for 2016-2018 as submitted (US \$000s)\***

Item	2016	2017	2018	Total (2016-2018)	Total (2019-2020)	Total after 2020
<b>Required for compliance</b>						
Approved multi-year agreements (MYAs)	4,038	974	2,181	7,193	2,600	750
HCFC phase-out management plan (HPMP) stage I	236		170	406	146	
HPMP project preparation (PRP) - stage II	192	34	2,395	2,621	0	
HPMP stage II	4,361	3,457	5,996	13,814	18,913	118,743
HPMP PRP - stage III			23	23	57	
Demonstration – low-global warming potential (GWP) alternatives	339			339	0	

<sup>1</sup> A draft business plan of UNEP was discussed at the Inter-agency coordination meeting (IACM) held in Montreal from 31 August to 2 September 2015. The business plan contained in this document has addressed the issues raised at the meeting.

Pre-session documents of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol are without prejudice to any decision that the Executive Committee might take following issuance of the document.

Item	2016	2017	2018	Total (2016-2018)	Total (2019-2020)	Total after 2020
<b>Standard activities</b>						
Institutional strengthening (IS)	5,919	5,659	5,919	17,496	11,577	
Compliance Assistance Programme (CAP)	10,838	11,163	11,498	33,499	24,041	
<b>Grand total</b>	<b>25,922</b>	<b>21,286</b>	<b>28,182</b>	<b>75,391</b>	<b>57,334</b>	<b>119,493</b>

\* Including agency support cost where applicable.

## Secretariat's observations on activities required for compliance

### Stage I of HPMPs

3. In UNEP's business plan, there are three countries (Botswana, Mauritania and South Sudan) for which stage I of HPMPs have not yet been approved. The business plan includes US \$552,250 for them including US \$405,900 for the period of 2016 to 2018. The HPMP for Botswana has been submitted to the 75<sup>th</sup> meeting<sup>2</sup>.

### Stage II of HPMPs in low-volume-consuming (LVC) countries

4. The total level of funding for projects for stage II of HPMPs in LVC countries to reach a 35 or 67.5 per cent reduction in HCFC baseline consumption amounts to US \$18.52 million, including US \$271,267 for the period of 2016 to 2018.

### Stage II of HPMPs in non-LVC countries

5. The total level of funding for stage II of HPMPs in non-LVC countries in the refrigeration servicing sector amounts to US \$132.95 million, including US \$13.54 million for the period of 2016 to 2018.

### Project preparation for stage III of HPMPs

6. The total level of funding for project preparation for stage III of HPMPs (Democratic Republic of the Congo (the) and Peru) is US \$79,100 for the period of 2016 to 2020 including US \$22,600 for the period of 2016 to 2018.

### Demonstration projects for low-GWP alternatives<sup>3</sup>

7. A total of US \$339,000 is included for a demonstration project for promoting low-GWP refrigerants in high-ambient temperature countries in West Asia (PRAHA-II) in 2016. UNEP did not provide ODP value for this activity. A concept note on this activity is included in UNEP's business plan. The project would include *inter alia*: a risk and economic assessment; capacity strengthening of the local industry and supply chain network; field testing based on PRAHA-I results; and the promotion of research on alternatives. UNEP has requested guidance from the Executive Committee as to whether the activity might be considered under the demonstration project window per decision 72/40 or as a stand-alone technical assistance project.

<sup>2</sup> UNEP/OzL.Pro/ExCom/75/39.

<sup>3</sup> Pursuant to decision 72/40(b) through which the Executive Committee established a window for the submission of demonstration projects for low-GWP alternatives to HCFCs up to the 76<sup>th</sup> meeting within the specific framework, and allocated total funding not to exceed US \$10 million.

## Secretariat's observations on standard activities

### IS

8. For IS activities, US \$29.07 million has been included of which US \$17.5 million is for the period of 2016 to 2018<sup>4</sup>, and US \$11.58 million is for the period of 2019 to 2020.

### CAP

9. The cost for CAP is expected to be maintained at the 3 per cent rate of increase that has been agreed to-date. UNEP designed a three-year rolling strategy for its CAP for the period of 2016 to 2018 for consideration at the 75<sup>th</sup> meeting in the context of its CAP budget request for 2016<sup>5</sup>. The strategy consists of four overarching objectives compared to the previous three-track approach and eleven strategic programme objectives. UNEP will propose annually specific activities with measurable benchmarks to be undertaken for the following year and the corresponding budget. The unifying theme for both the UNEP CAP and the three-year rolling business plan is the contribution to the holistic national HCFC phase-out and refrigerant management and IS.

## Adjustments to the UNEP business plan for 2016-2018

10. During the IACM, adjustments to the business plans of bilateral and implementing agencies were agreed based on relevant decisions of the Executive Committee. In reviewing the revised UNEP business plan for 2016-2018, the Secretariat noted that the following adjustments were not included:

**Table 2: Adjustments to the UNEP business plan for 2016-2018**

Adjustment	2016-2018 (US \$000)	2019 to after 2020 (US \$000)
MYA values to reflect the actual amounts approved under the agreements	0	-158
New HPMP activities (stages I and II) to match the proposed funding level as originally submitted for Colombia to the 75 <sup>th</sup> meeting	-13	-194
Stage I of HPMP as per decision 60/44(f)(xii)	-40	-13
Project preparation for stage II of HPMPs pursuant to decision 71/42	-54	0
Stage II of HPMPs in LVC countries to the maximum allowable value to achieve 35 or 67.5 per cent reduction in the HCFC baseline consumption based on decision 74/50(c)(xii)	-29	-3,333
Stage II of HPMPs in non-LVC countries with refrigeration servicing sector only that have phase-out exceeding the 67.5 per cent reduction in the HCFC baseline consumption	0	-7
Stage II of HPMPs in non-LVC countries with refrigeration servicing sector based on a maximum cost-effectiveness of US \$4.80/kg	-6	-380

11. Table 3 presents the results of the Secretariat's proposed adjustments to the UNEP business plan for 2016-2018, which are also addressed in the context of the Consolidated business plan of the Multilateral Fund for 2016-2018<sup>6</sup>.

<sup>4</sup> In line with decision 74/51(c), to approve all IS projects and renewals at a level 28 per cent higher than the historically agreed level, with a minimum level of IS funding of US \$42,500 per year.

<sup>5</sup> UNEP/OzL.Pro/ExCom/75/33.

<sup>6</sup> UNEP/OzL.Pro/ExCom/75/21.

**Table 3: Resource allocation in UNEP’s adjusted business plan for 2016-2018 (US \$000s)\***

Item	2016	2017	2018	Total (2016-2018)	Total (2019-2020)	Total after 2020
<b>Required for compliance</b>						
Approved MYAs	4,224	1,013	1,956	7,193	2,600	593
HPMP stage I	196		170	366	133	
HPMP PRP - stage II	192	34	2,341	2,567	0	
HPMP stage II	4,304	3,547	5,916	13,767	17,657	116,085
HPMP PRP - stage III			23	23	57	
Demonstration – low-GWP alternatives	339			339	0	
<b>Standard activities</b>						
IS	5,919	5,659	5,919	17,496	11,577	
CAP	10,838	11,163	11,498	33,499	24,041	
<b>Grand total</b>	<b>26,011</b>	<b>21,415</b>	<b>27,822</b>	<b>75,249</b>	<b>56,065</b>	<b>116,678</b>

\* Including agency support cost where applicable.

### Performance indicators

12. UNEP submitted performance indicators pursuant to decision 71/28 in its business plan narrative. After several discussions, UNEP and the Secretariat agreed on the targets as shown in Table 4.

**Table 4: Performance indicators for UNEP**

Type of indicator	Short title	Calculation	2016 target
Planning-- Approval	Tranches approved	Number of tranches approved vs. those planned*	74
Planning-- Approval	Projects/activities approved	Number of projects/activities approved vs. those planned (including project preparation activities)**	59
Implementation	Funds disbursed	Based on estimated disbursement in progress report	US \$14,887,387
Implementation	ODS phase-out	ODS phase-out for the tranche when the next tranche is approved vs. those planned per business plans	69.86 ODP tonnes
Implementation	Project completion for activities	Project completion vs. planned in progress reports for all activities (excluding project preparation)	119
Administrative	Speed of financial completion	The extent to which projects are financially completed 12 months after project completion	14
Administrative	Timely submission of project completion reports	Timely submission of project completion reports vs. those agreed	Yes
Administrative	Timely submission of progress reports	Timely submission of progress reports and business plans and responses unless otherwise agreed	Yes

\* The target of an agency would be reduced if it could not submit a tranche owing to another cooperating or lead agency, if agreed by that agency.

\*\* Project preparation should not be assessed if the Executive Committee has not taken a decision on its funding.

13. Table 5 presents UNEP’s performance indicators for its CAP, which were adopted in decision 48/7(e).

**Table 5: Performance indicators for UNEP’s CAP**

<b>Performance indicator</b>	<b>Data</b>	<b>Assessment</b>	<b>UNEP’s target for 2016</b>
Efficient follow-up to regional network/thematic meetings	List of recommendations emanating from 2014-2015 regional network/thematic meetings	Implementation rate of those meeting recommendations that are to be implemented in 2016	90 % implementation rate
Effective support to NOUs in their work, particularly guidance to new NOUs	List of innovative ways/means/products/ services for supporting NOUs in their work, with specification of those destined for new NOUs	Number of innovative ways, means, products, services for supporting NOUs in their work, with specification of those destined for new NOUs	-7 such ways, means, products, services; -All new NOUs receive capacity building support. -10 additional countries submit CP reports using the Multilateral Fund Secretariat’s online data reporting system
Assistance to countries in actual or potential non-compliance (as per MOP decisions and/or as per reported Article 7 data and trend analysis)	List of countries in actual or potential non-compliance that received CAP assistance outside the network meetings	Number of countries in actual or potential non-compliance that received CAP assistance outside the network meetings	All such countries
Innovations in production and delivery of global and regional information products and services	List of global and regional information products and services destined for new target audiences or that reach existing target audiences in new ways	Number of global and regional information products and services destined for new target audiences or that reach existing target audiences in new ways	7 such products and services
Close cooperation between CAP regional teams and implementing and bilateral agencies working in the regions	List of joint missions/ undertakings of CAP regional staff with implementing and bilateral agencies	Number of joint missions/undertakings	5 in each region

**Policy issues**

14. UNEP did not raise any policy issues in its business plan.

**RECOMMENDATIONS**

15. The Executive Committee may wish:

- (a) To note the UNEP business plan for 2016-2018 contained in document UNEP/OzL.Pro/ExCom/75/24; and
- (b) To approve the performance indicators for UNEP as set out in Tables 4 and 5 of document UNEP/OzL.Pro/ExCom/75/24.

# UNEP 2016-2018 BUSINESS PLAN

PRESENTED TO THE  
75TH MEETING OF THE EXECUTIVE COMMITTEE  
OF THE MULTILATERAL FUND FOR THE IMPLEMENTATION  
OF THE MONTREAL PROTOCOL

OCTOBER 2015



UNITED NATIONS ENVIRONMENT PROGRAMME

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## EXECUTIVE SUMMARY

The United Nations Environment Programme (UNEP) is submitting this document for the consideration to the 75th meeting of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol. The document presents UNEP's three-year rolling Business Plan for its Montreal Protocol-related activities covering the years 2016-2018 and a short overview of the proposed three year rolling strategy for the Compliance Assistance Programme for the same period, as well as the proposed CAP 2016 workplan, activities and budget.

This three year rolling Business Plan comprises:

- Narrative.
- Annex I: Projects planned for submission.

The focus and orientation of UNEP's work under this Business Plan continues to be defined by the evolving needs of countries operating under Article 5 of the Protocol ("Article 5 countries") as they progress in their implementation of the Montreal Protocol, and meet and sustain compliance with specific obligations. Through the activities identified in this Business Plan, UNEP will assist the countries with implementing their HCFC phase-out responsibilities, including preparing for the 2020 target and subsequent reduction steps, strengthening the government institutions in Article 5 countries responsible for implementing and reporting on their national strategies to comply with the Montreal Protocol, and sustaining countries' compliance with ozone depleting substance (ODS) phase out targets already met.

UNEP will achieve its Business Plan objectives by a combination of compliance assistance services delivered through the proposed three year rolling strategy for the Compliance Assistance Programme (CAP)<sup>1</sup> for the period 2016-2018, and the 2016 CAP Workplan, activities and budget, as well as the ongoing and planned national, regional and global projects detailed in this document. The project services include preparation and implementation of HCFC Phase out Management Plans (HPMPs) for both Stages I and II, Institutional Strengthening projects, demonstration projects, and technical assistance. In addition to the anticipated Business Plan activities, UNEP will implement HPMP verification projects as and when requested by the Executive Committee during the course of 2016-2018.

UNEP will deliver the projects in this 2016-2018 Business Plan and perform the project development, monitoring and reporting duties required of all Implementing Agencies through the proposed 2016 CAP Work Programme and Budget. In addition to the projects detailed in this Business Plan, UNEP continues to assist several bilateral agencies with the implementation of their Multilateral Fund projects through CAP and also engages in select partnerships for Montreal Protocol objectives complementary to the Multilateral Fund (including projects and activities).<sup>2</sup>

UNEP is planning project submissions over the next three years as follows: US\$ 25,922,395 for 2016, US\$ 21,286,443 for 2017, and US\$ 28,181,983 for 2018, all inclusive of the respective annual CAP budgets and programme support costs (PSC).

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<sup>1</sup> In addition to the projects indicated in this Business Plan, during 2016 UNEP will also provide through its CAP 900 country-specific special compliance services, the operation of 10 Regional/sub-Regional Networks of Ozone Officers, facilitation of South-South cooperation, assistance with regional awareness activities, and provision of a global Information Clearinghouse that provides National Ozone Units with services that include information, communication, education, electronic knowledge management and capacity building.

<sup>2</sup> This Business Plan provides details about the individual *projects* proposed for UNEP implementation from 2016 through 2018. UNEP will report details on its performance with implementing the projects through its UNEP's Annual and Financial Progress Report. For details about *non-project-related* activities and services delivered by UNEP through the CAP, please refer to the 2016 CAP Work Programme and Budget submitted to this same meeting.



For 2016, UNEP will prepare and submit 133 projects to the Executive Committee, including new projects, planned tranches of previously-approved multi-year agreements (MYAs), and the annual CAP Work Programme and Budget for the year 2017.

UNEP proposes to adopt performance indicators for this Business Plan in line with Decisions 73/30 and the guidance received during the two Inter-Agency Coordination Meetings of 2015 (26-27 February and 31 August – 2 September).

## **I. METHODOLOGY**

This section describes the methodology, consultations, guidance and inputs UNEP used when developing this Business Plan:

- During the first half of 2015, UNEP consulted with National Ozone Officers in Article 5 countries on their countries' business planning needs, through dialogues during meetings of Regional Networks of Ozone Officers, as well as via direct contact with Ozone Officers.
- UNEP reviewed and drew experiences gained from implementation of its 2015-2017 Business Plan (UNEP/OzL.Pro/ExCom/73/21), taking into account new trends and emerging developments. UNEP also considered the programmatic direction and activities included in its approved 2015 CAP Work Programme and Budget (Decision 73/53).
- UNEP used the Multilateral Fund's 2015-2017 consolidated business plan (UNEP/OzL.Pro/ExCom/73/18) endorsed by the Executive Committee meeting (Decision 73/27) as a guidance document for resource planning for the triennium and identification of countries requiring assistance.
- UNEP also followed the guidance provided by the Multilateral Fund Secretariat during the 2015 Inter-Agency Coordination Meetings.
- UNEP consulted with the other Implementing Agencies and bilateral agencies to increase collaborative and mutually-supportive initiatives and to avoid duplication of effort.
- UNEP considered various Executive Committee decisions as guidance for this Business Plan.

## **II. MULTILATERAL FUND TARGETS**

### **A. OBJECTIVES OF THE THREE-YEAR BUSINESS PLAN**

The focus and orientation of UNEP's work continues to be defined by the evolving needs of Article 5 countries as they progress in their implementation of the Montreal Protocol to meet and sustain compliance with specific obligations under the HCFC phase out.

In the last twenty five years, UNEP has pursued its efforts in providing compliance assistance services to the A5 countries and in particular the LVCs. However, the HCFC phase-out presents issues and challenges for technology selection and policy responses that are different from the earlier CFC phase-out. Taking the latter into account, UNEP designed a three year rolling strategy for its Compliance Assistance Programme (CAP) for the period 2016-2018 for consideration of the 75th meeting of the Executive Committee. The proposed CAP strategy is based on the current and anticipated needs of Article 5 countries, aims to reinforce compliance assistance services especially to the LVCs and builds on progress and the lessons learnt in the implementation of the HPMPs.

UNEP-CAP will continue to meet Article 5 country needs for 2016 and beyond, through four overarching objectives (previously three-track approach, now re-oriented), and eleven strategic programme objectives. Driven by actions and activities the strategic programme objectives reflect defined areas in which UNEP

has a unique profile and comparative advantage and can be adjusted annually, based on A5 country compliance needs. The aim is to deliver sustainable activities and improve programme delivery to more effectively meet Article 5 country's needs during the HCFC phase out. This rolling strategy is a move away from stand-alone initiatives and activities to better defined measurable results via a longer term approach.

The rolling strategy is proposed to be in phase with the UNEP three year rolling Business Plan (BP), which is endorsed by the Executive Committee at the last meeting of the year. UNEP proposes that on an annual basis it will submit for the Executive Committee's consideration an annual CAP workplan indicating specific activities with measurable benchmarks to be undertaken for the following year and the corresponding budget. UNEP will continue to provide the annual CAP progress report on specific compliance assistance services delivered to the Article 5 countries and CAP budget expenditures.

The unifying theme for both the UNEP CAP and the Business Plan three year rolling strategies is the contribution to the holistic national HCFC phase out and sustainable refrigerant management, through the CAP services and the Clearinghouse functions, implementation of the Institutional Strengthening and HCFC phase out Management projects. Details of the rolling strategy and CAP 2016 activities and budget are presented under the UNEP CAP document.

The continuation of the proposed three year rolling strategy for CAP will be presented as part of the 2017-2019 UNEP Business Plan, retaining the overarching objectives and providing the flexibility to adjust the strategic programme objectives and activities, based on A5 country needs and ExCom guidance.

## B. RESOURCE ALLOCATION

During this Business Plan period, UNEP plans to prepare and submit for approval the projects as detailed in Annex I: Projects planned for submission 2016-2018. UNEP's proposed resource allocation plan for these projects is as follows:

Project	Type	Value (US\$ inclusive of PSC)		
		2016	2017	2018
HPMP preparation	PRP	192,100	33,900	2,417,748
HPMP tranches	PHA	8,634,501	4,431,040	8,347,416
Institutional Strengthening	INS	5,918,937	5,658,510	5,918,937
Demonstration projects	DEM	339,000	0	0
Compliance Assistance Programme	TAS	10,837,857	11,162,993	11,497,882
<i>Total</i>		<i>25,922,395</i>	<i>21,286,443</i>	<i>28,181,983</i>

## III. PLANNED BUSINESS PLAN ACTIVITIES

This section describes costed projects at the national, regional and global levels proposed by UNEP in the 2016-2018 Business Plan period. All of the projects are included in Annex I.

### A. NATIONAL LEVEL

Through this Business Plan, UNEP plans to deliver the following projects at the national level:

- HPMP project preparation and implementation. UNEP will assist 102 Article 5 countries with HPMP Phase I implementation and 80 Article 5 countries with HPMP Phase II preparation in

accordance with Executive Committee policies and guidelines. UNEP will also assist Article 5 countries with the implementation of the non-investment components of the HPMPs (both Stage I and II), in cooperation with other Implementing Agencies and bilateral agencies. In total, during this Business Plan UNEP will be the HPMP Lead Agency for 72 countries and Cooperating Agency for 30 countries.

- Institutional Strengthening projects. UNEP provides 105 countries with ongoing technical assistance and administrative support for the implementation of their IS projects, including the submission of IS renewals and assistance with activities covered by these projects. UNEP will submit such projects as per the appropriate timing and when all the necessary pre-conditions have been met (e.g. disbursement rate, reporting obligations). This service is performed as part of CAP services, with 0% PSC to UNEP.
- New project types. There are several new projects included in this Business Plan that are described in more detail in section III D.

## **B. REGIONAL LEVEL**

Through this Business Plan, UNEP plans to deliver the following projects at the regional level:

- Regional PIC HPMP preparation (Stage II). The preparation funds will be requested in the year of 2018.
- Promoting low-global warming potential refrigerants in high-ambient temperature countries in West Asia (PRAHA-II). UNEP and UNIDO identified, in consultation with the PRAHA-I project stakeholders, several areas that would require further work to ensure that the process of deployment of alternatives is on the right track and all technical, technological and economical concerns of both industry and policy makers are addressed. The areas identified are: Comprehensive risk assessment for A2L & A3 alternatives during installation, servicing and decommissioning at high-ambient conditions; Support to strengthen the capacities of local industry in high ambient countries to redesign and optimize capacities; Filled testing of air-conditioning units operating with low-GWP alternatives (based on PRAHA-I results); Strengthening the capacities of the A/C supply chain network to safely and soundly manage units with low-GWP alternatives; Promote the involvement of local research institutes to further examine the potentials for low-GWP and not-in-kind technologies; and assess the economic implications of deploying low-GWP alternatives in terms of public and private investments and operational costs.

## **C. GLOBAL LEVEL**

Through this Business Plan, UNEP does not have the additional global projects beyond the CAP.

## **D. NEW PROJECT TYPES**

UNEP does not plan to submit new types of projects during the 2016-2018 period.

#### IV. PERFORMANCE INDICATORS

UNEP expects that the services, activities and projects identified in the proposed 2016-2017 Business Plan and 2016 CAP Work Programme and Budget the will result in:

- Improved capabilities and technical skills of NOUs staff to effectively carry out approved phase-out programmes and thus ensure sustained compliance;
- Countries in non-compliance received necessary support enabling swift return to compliance;
- Increased high level political commitment to the ozone agreements;
- ODS phase-out obligations mainstreamed into national environmental strategies/policies;
- Early action taken by countries on the HCFC phase out due to HPMP preparation and information services.
- Increased number of Parties to the Montreal Protocol Amendments;
- Enhanced awareness of users and other relevant stakeholders of forthcoming reductions in ODS supply and availability of viable alternatives;
- All client countries reported Article 7 data by established deadlines and quality of reported data improved;
- Majority of client countries submitted outstanding reports on implementation of their Country Programmes to the Multilateral Fund Secretariat;
- Improved and enforced ODS related legal instruments particularly the addition of HCFCs to licensing systems and initiation of HCFC quota systems;
- NOUs provided with best available information that enable them to make decisions on alternative technologies, sound approaches and methodologies;
- Broadened and strengthened regional cooperation in the implementation of the ozone treaties;
- Increased number of countries benefiting from direct country-to-country assistance;
- Concerted actions taken at national and regional levels to combat illegal trade in ODS;
- Improved access to ODS-related technical information and enhanced experience exchange;
- Indirect support provided for the implementation of investment projects through strengthened institutional and legal frameworks.

For 2016, UNEP proposes to use the following performance indicators in line with Decisions 73/30 and guidance received during the 2015 Inter-Agency Coordination Meetings:

TABLE 1: PERFORMANCE INDICATORS APPLICABLE TO ALL AGENCIES

Type of Indicator (Existing, Modified, New)	Short Title	Calculation	UNEP proposal for 2016
Planning--Approval	Tranches approved	Number of tranches approved vs. those planned*	74
Planning--Approval	Projects/activities approved	Number of projects/activities approved vs. those planned (including project preparation activities)**	59
Implementation	Funds disbursed	Based on estimated disbursement in progress report US	US\$ 5,300,000***
Implementation	ODS phase-out	ODS phase-out for the tranche when the next tranche is approved vs. those planned per business plans	14.20
Implementation	Project completion for activities	Project completion vs. planned in progress reports for all activities (excluding project preparation)	97

Type of Indicator (Existing, Modified, New)	Short Title	Calculation	UNEP proposal for 2016
Administrative	Speed of financial completion	The extent to which projects are financially completed 12 months after project completion	14
Administrative	Timely submission of project completion reports	Timely submission of project completion reports vs. those agreed	Yes
Administrative	Timely submission of progress reports	Timely submission of progress reports and business plans and responses unless otherwise agreed	Yes

\* The target of an agency would be reduced if it could not submit a tranche owing to another cooperating or lead agency, if agreed by that agency.

\*\* Project preparation should not be assessed if the Executive Committee has not taken a decision on its funding.

\*\*\* The disbursement rate is expected to be affected due to UMOJA/IPSAS implementation

TABLE 2: PERFORMANCE INDICATORS APPLICABLE TO UNEP'S COMPLIANCE ASSISTANCE PROGRAMME

Performance Indicator	Data	Assessment	UNEP's proposal for 2016
Efficient follow-up to regional network/ thematic meetings	List of recommendations emanating from 2014-2015 regional network/thematic meetings	Implementation rate of those meeting recommendations that are to be implemented in 2016	90 % implementation rate
Effective support to NOUs in their work, particularly guidance to new NOUs	List of innovative ways/means/products/ services for supporting NOUs in their work, with specification of those destined for new NOUs	Number of innovative ways, means, products, services for supporting NOUs in their work, with specification of those destined for new NOUs	7 such ways, means, products, services;  All new NOUs receive capacity building support.  10 additional countries submit CP reports using the Multilateral Fund Secretariat's online data reporting system.
Assistance to countries in actual or potential noncompliance (as per MOP decisions and/or as per reported Article 7 data and trend analysis)	List of countries in actual or potential on compliance that received CAP assistance outside the network meetings	Number of countries in actual or potential non-compliance that received CAP assistance outside the network meetings	All such countries
Innovations in production and delivery of global and regional information products and services	List of global and regional information products and services destined for new target audiences or that reach existing target audiences in new ways	Number of global and regional information products and services destined for new target audiences or that reach existing target audiences in new ways	7 such products and services
Close cooperation between CAP regional teams and Implementing and bilateral agencies working in the regions	List of joint missions/ undertakings of CAP regional staff with Implementing and bilateral agencies	Number of joint missions/undertakings	5 in each region

## V. POLICY, ADMINISTRATIVE AND FINANCIAL ISSUES

No policy issues.