



联合国
环境规划署



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执行蒙特利尔议定书
多边基金执行委员会
第七十三次会议
2014年11月9日至13日，巴黎

截止2013年12月31日的合并进展报告

1. 本合并报告概述了双边和实施机构在其提交秘书处的各自进展报告中¹提供的截止2013年12月31日的进展和财务资料²，本报告包括：

执行摘要

- 第一部分： 截止2013年12月31日的实施工作进展（累计）
- 第二部分： 2013年项目实施工作进展
- 第三部分： 秘书处的评论和建议
- 附件一： 按国家分列的2013年项目实施工作数据
- 附件二： 按国家分列的截止2013年12月31日的氟氯烃逐步淘汰管理计划
- 附件三： 对进展报告中数据的分析

¹ 执行委员会要求向委员会成员逐个项目提供详细的资料，并应请求提供打印文本（第19/23号决定）。合并进展报告的数据库在执行委员会的内联网上以Microsoft Excel 2002年版本的电子表格形式提供。

² 以下机构提交了进展报告：澳大利亚、加拿大、捷克共和国、法国、德国、以色列、意大利、日本、西班牙、开发署、环境署、工发组织和世界银行。瑞士没有提交进展报告。已经列入了2012年进展报告中数据以及2013年核准项目的资料。

执行摘要

2. 以下部分概述了截止2013年12月31日多边基金在2013年中支持的各个项目和活动实施情况的进展,以及自从1991年以来的累计进展情况(附件三提供了对进展报告所载资料的详细分析):

- (a) **逐步淘汰:** 仅仅2013年一年内,就逐步淘汰了3,192.8耗氧潜能吨消费量和50耗氧潜能吨生产量,并核准逐步淘汰另外1,140耗氧潜能吨消费量。自从1991年以来,261,545耗氧潜能吨消费量和192,226耗氧潜能吨生产量在2013年底之前已经逐步淘汰,而核准项目的预计总共淘汰量为456,747耗氧潜能吨(不包括已取消和转让的项目);
- (b) **付款/核准:**2013年,已支付了1.6463亿美元,并根据20112年进展报告计划支付1.3687亿美元,付款率占计划付款的120%。核准付款总计为27.3亿美元(不包括机构费用),已累计支付了24.5亿美元。付款率为90%。2013年,核准实施工作资金为1.2867亿美元。
- (c) **成本效益(以耗氧潜能吨计算):** 自从1991年以来,导致长期削减消费量的已核准项目的平均成本效益为5.03美元/公斤。生产部门的成本效益为3.86美元/公斤。投资项目每耗氧潜能吨的平均成本效益,已完成项目为4.10美元/公斤,而在建项目为37.77美元/公斤;³
- (d) **已完成项目的数量:**2014年完成了247个项目。自从1991年以来,秘书处资助的6,956个项目(不包括已结清或转让项目)中已完成了6,261个项目。完成率为90%;
- (e) **交付的速度—投资项目:** 2013年完成项目在获得核准以后平均耗时52个月完成。自从1991年以来,投资项目平均完成时间为获得核准以后35个月。这些项目在获得核准以后平均耗时15个月取得第一笔付款。就个别机构而言,开发署已完成项目在

³在建项目成本效益较高的主要原因是氟氯烃耗氧潜能值较低,但也是由于机构分配逐步淘汰的方式。氟氯烃逐步淘汰管理计划多年期协定的成本效益为68.10美元/公斤耗氧潜能吨,而氟氯烃逐步淘汰管理计划第一阶段为23.90美元/公斤耗氧潜能吨。

获得核准以后耗时13个月取得第一笔付款，工发组织耗时8个月，而世界银行耗时21个月；

- (f) **交付的速度—非投资项目：**2013年完成项目在获得核准以后平均耗时37个月完成。自从1991年以来，非投资项目平均完成时间为获得核准以后36个月。这些项目在获得核准以后平均耗时11个月取得第一批付款。就个别机构而言，开发署的已完成非投资项目在获得核准以后耗时12个月取得第一批付款，环境署耗时9个月，工发组织耗时8个月，而世界银行耗时16个月；
- (g) **项目准备：**2013年底之前核准了1,471个项目准备活动，其中1,443个已经完成。2013年，三个项目准备活动已经核准，31个活动已经完成，余留了28个在建活动；
- (h) **实施工作拖延：**截止2013年底，总共有279个正在实施的在建投资项目。这些项目平均拖延了16月。2013年，在建投资项目平均拖延了13个月。然而必须遵守项目取消程序的被确定为“实施工作受到拖延的项目”的项目为15个项目（因为多年期协定无须遵守这些程序）；
- (i) **多年期协定：**2013年，双边和实施机构正在实施17个氟氯化碳或耗氧物质逐步淘汰计划多年期协定、一个加速氟氯化碳生产计划、一个氟氯化碳逐步淘汰计划多年期协定、九个甲基溴消费多年期协定、一个甲基溴生产多年期协定、139个氟氯烃逐步淘汰管理计划多年期协定和一个中国氟氯烃生产逐步淘汰管理计划多年期协定。自从1991年以来，核准了303个多年期协定，并完成了134个多年期协定。

第一部分：截止2013年12月31日的实施工作进展(累计)

3. 正如表1所示，截止该日期，执行委员会核准了大约30.4亿美元，⁴其中27.3亿美元用于实施投资和非投资项目，而3.0936亿美元用于机构费用和行政支助。2013年，核准了188项新的项目和活动。预计这一供资水平可逐步淘汰456,747耗氧潜能吨的耗氧物质消费和生产。

⁴ 另外核准了1.085亿美元，用于执行委员会的会议、秘书处的运作和财务主任的费用。

表1. 按部门和机构分列的截止2013年12月31日的核准供资

部门	核准资金 (美元)					
	开发署	环境署	工发组织	世界银行	双边	合计
气雾剂	26,689,855	882,689	34,374,374	23,058,919	2,111,400	87,117,237
销毁	2,219,129	232,200	4,874,743	400,000	1,545,000	9,271,072
泡沫	172,150,585	0	87,609,088	134,961,693	7,958,002	402,679,368
哈龙	4,998,776	780,640	1,810,064	70,221,038	6,147,361	83,957,879
熏蒸剂	20,084,940	2,685,504	77,367,662	7,093,426	18,173,917	125,405,448
多部门	40,000	0	139,978	2,341,168	0	2,521,146
其他	0	0	11,317,246	5,059,360	0	16,376,606
逐步淘汰计划	184,142,724	35,952,562	196,400,866	164,308,180	44,327,769	625,132,101
加工剂	1,286,923	0	6,337,359	114,342,497	0	121,966,779
生产	0	0	48,733,342	289,532,020	10,000,000	348,265,362
制冷剂	134,194,317	13,429,090	177,269,015	181,049,335	39,807,586	545,749,344
数个	47,446,638	174,668,169	10,904,230	27,268,921	4,412,914	264,700,872
溶剂	63,554,923	198,860	23,268,479	10,147,394	2,512,738	99,682,394
消毒剂	417,628	0	0	661,227	0	1,078,855
小计	657,226,438	228,829,714	680,406,446	1,030,445,178	136,996,688	2,733,904,464
行政费	88,751,645	19,926,001	86,230,600	101,914,004	12,539,532	309,361,783
总计	745,978,083	248,755,715	766,637,046	1,132,359,183	149,536,220	3,043,266,246

4. 按类别分列的项目现状概要载于表2。在已核准的6,956个项目中,2,713个(大约39%)被分类为投资项目。投资项目在核准资金27.3亿美元中占大约22.3亿美元(全部核准资金的82%)。就核准供资而言,第二大数量的项目被分类为技术援助,为此委员会核准的资金

总共超过2.3743亿美元。截止2013年底，100%的国家方案准备项目已经完成；拨给项目准备活动的97%的资金已经支付；90%的投资项目已经完成；73%的演示项目已经完成；机构加强项目的86%的核准资金已经支付。

表2：按项目类型分列的项目实施现状

类型	项目数量*			供资（美元）**			
	已核准	已完成	已完成项目百分比	已核准	已支付	余额	已支付资金百分比
国家方案	165	165	100	7,266,559	7,266,559	0	100
演示	114	83	73	54,801,873	39,870,045	14,931,828	73
机构加强	929	766	82	102,017,103	88,203,695	13,813,408	86
投资	2,713	2,434	90	2,230,950,243	2,007,842,693	223,107,550	90
项目准备	1,471	1,443	98	75,703,680	73,431,814	2,271,867	97
技术援助	1,240	1,046	84	237,426,770	207,887,035	29,539,735	88
培训	324	324	100	25,738,235	25,813,232	-74,997	100
总计	6,956	6,261	90	2,733,904,464	2,450,315,073	283,589,390	90

*不包括已结算和转让项目。

** 不包括机构费用/行政费用。

5. 表3按年度概述了项目实施现状。⁵在核准资金27.3亿美元（包括调整），中，各机构（包括双边机构）已经支付了大约24.5亿美元。2013年支付了1.6463亿美元。因此执行委员会核准项目至今长期导致每年逐步淘汰453,771耗氧潜能吨的消费量和生产量，其中449,044耗氧潜能吨来自已完成项目，而4,727耗氧潜能吨来自在建项目。1991年至2013年底之间核准的

⁵ 凡项目是由执行委员会核准的，数据则按照年度分列。数据按同样的方式处理所有核准金额（投资和非投资项目）（即投资项目或一百万美元的多年期协定的供资部分都视为一个项目，30,000美元的国家方案准备也是如此）。年度概要中的关键指标是：已完成项目的百分比、耗氧物质逐步淘汰和已支付资金的百分比。在审查已支付资金的数据时，应该指出，有三种付款形式：在实施之前，在实施以后以及追溯供资项目。

所有活动和项目（2002年除外）已经完成，但乌干达的一个机构加强项目除外，为此环境署请求予以取消。

表3：按年度分列的项目实施现状

年度	项目数量*			供资（美元）**			
	已核准	已完成	已完成项目百分比	已核准	已支付	余额	已支付资金百分比
1991	68	68	100	7,950,771	7,950,771	0	100
1992	176	176	100	41,261,376	41,261,376	0	100
1993	217	217	100	73,198,586	73,198,587	0	100
1994	378	377	100	128,665,031	128,630,291	34,740	100
1995	355	355	100	111,188,309	111,188,308	0	100
1996	257	257	100	83,797,865	83,797,866	-1	100
1997	532	532	100	154,314,939	154,314,942	-3	100
1998	422	422	100	99,680,368	99,680,367	1	100
1999	546	545	100	152,705,181	152,700,586	4,595	100
2000	426	424	100	109,548,829	109,449,432	99,397	100
2001	427	427	100	130,138,759	130,138,758	2	100
2002	358	355	99	162,069,639	161,941,867	127,772	100
2003	247	247	100	157,058,729	156,971,895	86,834	100
2004	271	269	99	162,447,651	162,283,104	164,547	100
2005	255	249	98	184,234,635	177,084,565	7,150,070	96
2006	261	254	97	122,376,060	118,971,732	3,404,328	97
2007	213	203	95	121,123,258	118,294,822	2,828,436	98
2008	355	331	93	124,478,384	117,348,272	7,130,112	94

年度	项目数量*			供资（美元）**			
	已核准	已完成	已完成项目百分比	已核准	已支付	余额	已支付资金百分比
2009	323	285	88	78,369,673	69,086,927	9,282,747	88
2010	229	167	73	86,885,172	70,020,037	16,865,136	81
2011	266	94	35	207,442,720	160,837,891	46,604,829	78
2012	186	6	3	106,300,584	38,982,835	67,317,749	37
2013	188	1	1	128,667,945	6,179,844	122,488,101	5
Total	6,956	6,261	90	2,733,904,464	2,450,315,073	283,589,390	90

*不包括已结算和转让项目。

** 不包括机构费用/行政费用。

第二部分：2013年项目实施工作进展

6. 秘书处逐国审查了实施工作现状，同时考虑到相对2013年报告的计划完成日期而言的实施工作拖延情况、这些拖延对逐步淘汰工作可能的影响以及计划付款率。根据2012年进展报告中报告的计划完成日期以及2013年进展报告中报告的结果，各机构完成了计划于2013年完成的项目的53%，并达到了计划逐步淘汰目标的49%。这些机构计划在151个国家或区域付款，2013年的总体付款率为120%。就个别国家而言，52个国家或区域的付款率超过了85%，而42个国家或区域的付款率低于2013年计划水平的50%。

7. 合并进展报告概述了以下在建项目的进展和财务情况：⁶

(a) 与氟氯化碳和四氯化碳有关的项目，包括最后逐步淘汰管理计划、国家逐步淘汰计划和制冷剂管理计划；

⁶在建项目均是执行委员会核准的项目，到2013年12月31日为此，都在付诸实施。关键进展指标包括：已支付资金的百分比和已开始支付资金的项目的百分比；预计在年底之前支付的资金（已支付的资金加上预计于2013年支付的资金）占已核准供资的百分比；预计实施工作拖延的平均时间（提案规定的项目完成和目前计划的完成日期）；以及进展报告数据库中备注一栏提供的信息。

- (b) 甲基溴消费和生产逐步淘汰项目；
- (c) 其他非氟氯烃项目(计量吸入器、耗氧物质处置、冷冻机和哈龙库存)；
- (d) 与氟氯烃逐步淘汰有关的项目，包括项目准备、演示项目、氟氯烃逐步淘汰管理计划和氟氯烃生产逐步淘汰管理计划；
- (e) 机构加强项目；以及
- (f) 行政费用。

与氟氯化碳和四氯化碳有关活动

8. 截止2013年12月31日，总共有19个氟氯化碳和四氯化碳逐步淘汰的多年期协定仍然在执行中。与124个多年期协定有关的所有逐步淘汰活动都已经完成，有待于酌情在财务上结清和提交项目完成报告。与氟氯化碳和四氯化碳有关的进行中多年期协定活动列于表4。

表4：与氟氯化碳和四氯化碳有关的进行中多年期协定活动

协定	核准供资加上调整(美元)	已支付资金(美元)	余额(美元)	已支付资金百分比	计划完成日期*
阿根廷氟氯化碳逐步淘汰	7,360,850	5,741,666	1,619,184	78	14年12月
巴林氟氯化碳逐步淘汰	617,500	385,654	231,846	62	14年12月
科特迪瓦氟氯化碳逐步淘汰	565,000	553,555	11,445	98	14年3月
厄立特里亚耗氧物质逐步淘汰	344,999	252,169	92,830	73	15年7月
海地氟氯化碳逐步淘汰	275,000	82,351	192,649	30	14年12月
印度加速氟氯化碳生产逐步淘汰	3,169,900	2,113,000	1,056,900	67	14年3月

协定	核准供资加上调整(美元)	已支付资金(美元)	余额(美元)	已支付资金百分比	计划完成日期*
印度四氯化碳逐步淘汰	52,000,000	49,632,207	2,367,793	95	14年12月
伊拉克耗氧物质逐步淘汰	6,297,530	3,427,753	2,869,777	54	15年12月
科威特耗氧物质逐步淘汰	459,473	221,230	238,243	48	15年6月
马尔代夫氟氯化碳逐步淘汰	180,000	180,000	0	100	14年7月
毛里塔尼亚氟氯化碳逐步淘汰	290,034	231,034	59,000	80	14年12月
秘鲁氟氯化碳逐步淘汰	261,000	197,642	63,358	76	14年3月
菲律宾氟氯化碳逐步淘汰	9,384,143	8,941,417	442,726	95	14年3月
卡塔尔氟氯化碳逐步淘汰	432,500	322,392	110,108	75	14年12月
圣基茨和尼维斯氟氯化碳逐步淘汰	235,000	180,000	55,000	77	14年1月
沙特阿拉伯耗氧物质逐步淘汰	1,835,000	1,637,683	197,317	89	14年12月
塞尔维亚氟氯化碳逐步淘汰	2,707,570	1,565,045	1,142,525	58	14年6月
突尼斯耗氧物质逐步淘汰	1,135,395	429,880	705,515	38	14年3月
也门耗氧物质逐步淘汰	1,825,500	1,340,802	484,698	73	15年6月

* 多年期协定可能包括核准完成日期不同的一个以上的部分。表中所列核准完成日期是指一项多年期协定中即将完成的最后部分。实施机构对几个部分/项目提出了一个新的完成日期。这一问题在表6中提及。

制冷剂管理计划

9. 执行委员会核准了414个制冷剂管理计划项目，包括111个国家、一个区域（亚洲及太平洋）和一个全球项目中的一个投资项目、257个技术援助项目和156个培训项目。至今为止，410个项目已经完成。四个技术援助项目仍然在实施中。

甲基溴消费和生产逐步淘汰项目

10. 一百个第5条国家受到了多边基金对甲基溴逐步淘汰活动和/或项目的支持。截止2013年12月31日，九个第5条国家中总共九个甲基溴消费逐步淘汰多年期协定和一个第5条国家（中国）的甲基溴生产逐步淘汰多年期协定仍然在实施中。在第71次和第72次会议上，核准了为三个第5条国家（阿尔及利亚、苏丹和突尼斯）的甲基溴逐步淘汰项目准备活动供资。苏丹和突尼斯按计划起向第73次会议提交了其项目。

表5: 进行中的甲基溴多年期协定活动

协定	核准供资加上调整 (美元)	已支付资金 (美元)	余额 (美元)	已支付资金 百分比	计划完成日期
消费量					
智利	1,730,917	1,171,632	559,285	68	14年12月
中国	14,486,599	13,811,597	675,002	95	15年12月
哥斯达黎加	4,845,283	4,669,027	176,256	96	14年6月
危地马拉	2,313,047	1,257,741	1,055,306	54	15年6月
利比亚	1,243,000	963,112	279,888	77	14年12月
墨西哥	9,222,340	7,740,728	1,481,612	84	15年3月
摩洛哥	1,437,594	1,360,168	77,426	95	14年6月
越南	1,058,284	1,037,698	20,586	98	15年11月
也门	601,450	456,367	145,083	76	14年12月
生产量					
中国	8,000,000	6,842,154	1,157,846	86	18年12月*

*提交第73次会议的来文中报告的计划完成日期。

11. 九个国家和两个区域中有12个针对甲基溴逐步淘汰的在建单独项目，包括一个演示项目、七个投资项目和四个技术援助项目。其中九个项目的计划完成日期是2014年，而三个

项目的完成日期是2015年。

计量吸入器、耗氧物质处置、冷冻机和哈龙库存

计量吸入器项目

12. 执行委员会核准了金额为4906万美元的23个计量吸入器项目，包括12个投资项目和11个技术援助项目。16个项目已经完成，而其余七个项目正在实施中（包括六个投资项目和一个技术援助项目）。中国(CPR/ARS/56/INV/473)和埃及EGY/ARS/50/INV/92)的计量吸入器和医用气雾剂投资项目计划于2015年完成。

耗氧物质处置

13. 执行委员会核准了33个耗氧物质处置项目，包括15个国家、三个区域（非洲、欧洲及中亚和亚洲及太平洋)和一个全球项目中的12个演示项目，三个技术援助项目和18个项目准备活动。至今为止，12个项目已经完成，包括一个技术援助项目和11个准备项目，余留了12个演示项目、两个技术援助项目和七个项目准备活动正在实施。

冷冻机项目

14. 执行委员会核准了20个冷冻机项目，资金相当于1295万美元。13个冷冻机项目已经完成（四个演示项目、八个投资项目和一个技术援助项目），而七个演示项目正在实施中。针对这些20个冷冻机项目中的三个项目，建议向第74次会议提交额外的现状报告。

哈龙库存

15. 执行委员会核准了36个哈龙库存项目，包括22个国家、三个区域（非洲、拉丁美洲及加勒比以及亚洲及太平洋)和一个全球项目中的35个技术援助项目和一个培训项目。至今为止，34个项目已经完成，包括33个技术援助项目和一个培训项目。仍然在实施中的两个技术援助项目是：智利(CHI/HAL/51/TAS/164)和伊朗伊斯兰共和国(IRA/HAL/63/TAS/198)。

与氟氯烃逐步淘汰有关的项目

氟氯烃逐步淘汰管理计划准备

16. 执行委员会核准了2597万美元，用于145个第5条国家中解决氟氯烃控制措施的294个项目准备活动。实施了这些活动以后，核准了139个第5条国家的氟氯烃逐步淘汰管理计划第一阶段。276个项目准备活动已经完成。其余18个项目仍然在实施中，并将于2014年和2015年完成。

17. 六个没有核准的氟氯烃逐步淘汰管理计划的国家中氟氯烃逐步淘汰管理计划准备活动拖延完成的详细理由载于秘书处关于每一个机构进展报告的评论中，并概述如下：

- (a) 博茨瓦纳的氟氯烃逐步淘汰管理计划已经完成，但被撤消，因为该国还没有一个业已运作的耗氧物质进出口许可证制度；
- (b) 朝鲜民主主义人民共和国和阿拉伯叙利亚共和国的氟氯烃逐步淘汰管理计划已经提交，但由于政治和/或安全局势而被推迟到下一次会议。只有朝鲜民主主义人民共和国的氟氯烃逐步淘汰管理计划已经重新提交给第73次会议；
- (c) 利比亚的氟氯烃逐步淘汰管理计划已经提交给第72次会议(2014年5月)，但随后被撤消，因为该国还没有一个业已运作的氟氯烃进出口许可证制度；
- (d) 毛里塔尼亚的氟氯烃逐步淘汰管理计划尚未完成，因为行政僵局尚未得到解决；
以及
- (e) 南苏丹已经批准了《蒙特利尔议定书》的所有修正案。该国有一个国家臭氧部门启动项目在第68次会议上得到了核准。环境署向第70次会议提交了一个氟氯烃逐步淘汰管理计划准备项目，但没有一个既定的氟氯烃履约基准线。这一问题在环境署2013年工作方案修正案(UNEP/OzL.Pro/ExCom/70/21)中述及。

氟氯烃显示项目

18. 执行委员会核准了17个氟氯烃演示项目，包括泡沫部门的九个、制冷部门的六个和溶剂部门的两个。截至2013年12月31日，五个泡沫项目和一个制冷演示项目已经完成；另外两个演示项目向第72次会议报告说已经完成。在其余九个仍然在实施中的项目中，八个项目计划于2014年完成，而另一个项目计划于2015年完成。

氟氯烃逐步淘汰管理计划

19. 截至2013年12月31日，执行委员会核准了139个国家的氟氯烃逐步淘汰管理计划活动，金额原则上定为5.5806亿美元，其中核准了3944万美元用于部分活动。执行委员会还核准了37个氟氯烃单独项目，包括两个投资项目、34个技术援助项目和一个培训活动。18个项目已经完成。在仍然实施中的其余19个项目中，15个项目将于2014年完成，两个项目将于2015年完成，而另外两个项目将于2016年完成。除了阿拉伯叙利亚共和国的单独项目以外，所有其他项目都已纳入相关的氟氯烃逐步淘汰管理计划。

20. 139个核准的氟氯烃逐步淘汰管理计划解决遵守2015年和2020年目标的问题以及2040年完全逐步淘汰氟氯烃的问题，具体情况如下：

- (a) 27个国家（七个低消费量国家和20个非低消费量国家）解决2011年至2015年履约问题；
- (b) 103个国家（58个低消费量国家和33个非低消费量国家，加上12个太平洋岛屿国家）解决2011年至2020年履约问题；
- (c) 九个低消费量国家（不丹、柬埔寨、克罗地亚、马尔代夫、毛里求斯、纳米比亚、巴布亚新几内亚、圣文森特和格林纳丁斯和塞舌尔）已取得资金，用于在2040年逐步淘汰之前完全逐步淘汰氟氯烃，例如克罗地亚在2014年之前，而其他国家在2020年或2025年之前。

21. 在执行委员会至今核准的139个氟氯烃逐步淘汰管理计划中，以下国家还没有签订协定：阿根廷、巴林、巴巴多斯、伊拉克、缅甸、秘鲁、卡塔尔、圣基茨和尼维斯、泰国、委内瑞拉玻利瓦尔共和国和也门。

22. 附件二按国家概述了氟氯烃逐步淘汰管理计划逐步淘汰活动全部已核准资金和已支付资金的情况(截至2013年12月31日)。

氟氯烃生产逐步淘汰管理计划

23. 截至2013年12月31日, 执行委员会核准了一个第5条国家(中国)的氟氯烃生产逐步淘汰管理计划。在原则上核准的9500万美元中, 截至2013年12月, 已经核准了2400万美元。500多万美元已经支付给最终受益企业。

机构加强项目

24. 已经核准的金额为1871万美元的机构加强项目正在138个国家中实施。秘书处注意到, 一些机构加强项目的实施工作受到一些问题的影响, 这些问题包括: 协定签署、国家臭氧部门的变更、建立许可证制度和为臭氧活动开设账户。机构加强项目审查期间查明的问题在各个机构的进展报告中述及。

行政费用

25. 在已经核准的项目实施工作净额2,733,904,464美元中间, 已经支付了309,361,783美元, 用于行政费用, 因此自从1991年以来, 总体行政费用比例为11.32%。2013年, 在已核准的128,667,945美元中间, 已经支付了14,682,701美元, 用于行政费用, 因此总体行政费用的比例为11.41%。

第三部分: 秘书处的评论和建议

26. 这部分述及在机构进展报告审查期间查明的共同问题。其中多数问题在各进展报告中详细述及。

评论

按照执行委员会决定应退回的资金余额

27. 执行委员会在第70次会议上请双边和实施机构不要承付任何新的款项，并在2013年底之前退还2009年之前核准的大量项目、预定于2010年1月1日逐步淘汰的物质⁷和核准的氟氯烃逐步淘汰管理计划项目准备的资金余额(第70/7(b)(ii)和(iii)号决定)。随后，执行委员会在第71次会议上还请相关双边和实施机构不要在最后完成日期之后为若干项目⁸承付任何新的款项；尽快退还这些项目的未用余额，但最迟不得超过2014年底；并最迟于2014年最后一次会议提交项目完成报告(第71/11(b)号决定)。

28. 按照上述两项决定，双边和实施机构向第71次会议(第71/3号决定)并向第72次会议(第72/2号决定)退还了若干项目的资金余额。双边和实施机构退还给第73次会议的资金余额列于关于余额和资金供应情况的报告(UNEP/OzL.Pro/ExCom/73/4)。

29. 这些进展报告表明，一些机构将项目完成日期延长到按照第70次和第71次会议上作出的决定商定的完成日期以后。关于项目没有完成而预计需要额外开支的情况载于各进展报告之中。表6列明了这些情况。

表6: 计划完成日期超过执行委员会决定日期的项目

代号	机构	项目名称	2013年 预订完成日期	决定规定的完成日期	决定
BOL/FOA/57/PRP/34	开发署	氟氯烃逐步淘汰投资活动准备(泡沫部门)	15年12月	13年12月	70/7(b)(iii)
BAH/PHA/50/TAS/17	环境署	最后逐步淘汰管理计划(第一部分)	14年12月	13年12月	70/7(b)(ii)a.

⁷ 项目清单载于文件 UNEP/OzL.Pro/ExCom/70/59 附件七。

⁸ 项目清单载于文件 UNEP/OzL.Pro/ExCom/71/64 附件四。

代号	机构	项目名称	2013 年 预订完成日期	决定规定的完成日期	决定
BAH/PHA/59/TAS/21	环境署	最后逐步淘汰管理计划(第二部分)	14 年 12 月	14 年 3 月	71/11(b)
ERI/PHA/54/TAS/04	环境署	氟氯化碳最后逐步淘汰管理计划(第一部分)	15 年 7 月	13 年 12 月	70/7(b) (ii)a.
ERI/PHA/63/TAS/08	环境署	氟氯化碳最后逐步淘汰管理计划(第二部分)	15 年 3 月	13 年 12 月	71/11(b)
GLO/SEV/66/TAS/314	环境署	与美国采暖、制冷和空调工程师学会合作编制可持续制冷设施和系统指南	15 年 9 月	14 年 4 月	71/11(c)
IRQ/PHA/58/TAS/10	环境署	国家逐步淘汰计划(第一部分)	15 年 12 月	14 年 12 月	71/11(b)
IRQ/PHA/63/TAS/14	环境署	国家逐步淘汰计划(第二部分)	15 年 12 月	14 年 12 月	71/11(b)
KUW/PHA/52/TAS/10	环境署	附件A第一类物质最后逐步淘汰管理计划(第一部分)	15 年 6 月	13 年 12 月	70/7(b) (ii)a.
MAU/PHA/57/TAS/21	环境署	氟氯化碳最后逐步淘汰管理计划(第二部分)	14 年 12 月	13 年 12 月	71/11(b)
PHI/PHA/66/INV/94	环境署	国家氟氯化碳逐步淘汰计划: 最后实施计划	14 年 3 月	13 年 12 月	70/7(b) (ii)a. 和 71/8(b)
QAT/PHA/53/TAS/10	环境署	最后逐步淘汰管理计划(第一部分)	14 年 7 月	13 年 12 月	70/7(b) (ii)a.
QAT/PHA/59/TAS/14	环境署	最后逐步淘汰管理计划(第二部分)	14 年 12 月	14 年 3 月	71/11(b)
SAU/PHA/53/TAS/04	环境署	国家逐步淘汰计划	14 年 12 月	13 年 12 月	70/7(b) (ii)a.
SAU/PHA/61/TAS/09	环境署	国家逐步淘汰计划(第二部分)	14 年 12 月	14 年 3 月	71/11(b)

代号	机构	项目名称	2013 年 预 订 完 成 日 期	决 定 规 定 的 完 成 日 期	决定
YEM/PHA/55/TAS/31	环境署	国家耗氧物质逐步淘汰计划(第一部分)	14 年 12 月	13 年 12 月	70/7(b) (ii) a.
YEM/PHA/60/TAS/35	环境署	国家耗氧物质逐步淘汰计划(第二部分)	15 年 6 月	14 年 3 月	71/11(b)
ARG/PHA/50/INV/150	工发组织	国家氟氯化碳逐步淘汰计划： 2007年工作方案	14 年 12 月	13 年 12 月	70/7(b) (ii) a.
ARG/PHA/53/INV/152	工发组织	国家氟氯化碳逐步淘汰计划： 2008年工作方案	14 年 12 月	13 年 12 月	70/7(b) (ii) a.
URU/REF/60/PRP/55	工发组织	氟氯烃逐步淘汰投资活动准备 (制冷生产部门)	14 年 12 月	13 年 12 月	70/7(b) (iii)

30. 执行委员会不妨审议，除了秘书处考虑到其本国的政治和安全局势而建议延长其计划完成日期的伊拉克和也门(见表6)以外，是否应该准许实施机构修订项目的计划完成日期，将其延长到执行委员会决定的日期以后。

采用某种方式的项目的余额积累

31. 执行委员会在第70次会议上请各机构，除了其他事项以外，在其年度进展和财务报告中报告环境部外国经济合作办公室向最终受益人付款的情况(第70/20(b)号决定)。付给环境部外国经济合作办公室并由其转付给最终受益人的资金的数据第一次予以公布。数据表明，截至2013年12月31日，对于中国氟氯烃活动核准的1.939亿美元中间，实施机构划拨了1.425亿美元。在划拨给环境部外国经济合作办公室的金额中，4520万美元已经支付给最终受益人。在所有项目中，对受益人的付款率超过20%。

闭会期间进展报告和订正备注一栏的实施情况

32. 按照第70/23(b)(viii)号决定，⁹实施机构按照2014年两次会议的时间表在2014年5月1日之前提交了其进展报告。第72次会议之后，在2014年5月30日之前，秘书处审查了这些文件并向实施机构提供了评论意见。秘书处注意到，实施机构没有按照第70/7(b)(i)号决定，执行要求在备注一栏中提供标准信息的决定。¹⁰后来为这些机构作出修改提供了额外的时间，因此使得秘书处能够利用应向第73次会议提交的现状和特定报告增订这些进展报告，并酌情避免进展报告和现状报告及履约文件之间的重叠。

闭会期间进展报告和额外的现状报告/实施工作拖延问题报告的实施情况

33. 秘书处审查了实施机构的进展报告，并请求向第73次会议提交关于实施问题的额外的现状报告。秘书处还审查了第72次会议提出的提交现状报告的请求，以便确定进展报告中增订的数据是否解决了第72次会议上提出的实施问题。秘书处查明了问题已经得到解决的两种情况和问题得到部分解决的另外两种情况，因此这些项目/问题已经从提交第73次会议的额外现状报告的清单中删去。秘书处还查明了15个实施工作受到拖延的项目¹¹需遵守执行委员会的项目取消程序。被分类为实施工作受到拖延的项目的总数2013年比2012年有所减少(20个项目)。附件三附录一载列了被分类为实施工作受到拖延的那些项目，对此将在第74次会议上提交一份报告。

建议

34. 执行委员会不妨：

⁹ 执行委员会在第70次会议上决定，于2014年尝试举行执行委员会的两次会议。关于进展和财务报告，委员会决定请双边和实施机构继续在每年五月1日之前向秘书处提交年度进展和财务报告；并请秘书处完成合并进展报告和双边和实施机构的相关进展报告，并在秘书处的内联网上公布这些文件，一旦最后定稿以后，供[……]年度最后会议审议。

¹⁰ 在其年度进展和财务报告的备注一栏中，证实所报告的审查期间活动是否代表其所有计划活动，或者系统地针对每一个项目提供一份已经计划但没有实施的活动的清单，说明拖延实施这些活动的原因，解决这些问题的行动计划，并说明拖延展开特定活动是否会对项目完成日期产生影响。

¹¹ 实施工作受到拖延的项目是指18个月以前核准但付款率低于百分之十的项目，或者是指预计完成日期比最后一份进展报告中的预测日期晚12个月的项目(第22/61号决定)。按照第36/14号决定，以下各类项目不再如此分类：机构加强、哈龙库存、海关培训、回收和再循环以及演示项目；然而秘书处被要求酌情继续监督这些类型的项目。

(a) 注意到：

(一) 文件UNEP/OzL.Pro/ExCom/73/10载列的多边基金截至2013年12月31日的合并进展报告；

(二) 赞赏实施机构为了顺利执行第70/7(b) (i) 号决定而在报告2013年活动和提交报告格式方面所做的努力；

(三) 实施机构将向第74次会议报告文件UNEP/OzL.Pro/ExCom/73/10附件三附录一和附录二载列的实施工作受到拖延的15个项目和建议提交额外现状报告的78个项目； 以及

(b) 审议除了由于其本国的政治和安全局势而受到拖延的伊拉克和也门以外，是否应该允许修订项目计划完成日期，将其延长到执行委员会决定的日期以后。

Annex I

PROJECT IMPLEMENTATION IN 2013 BY COUNTRY

Country	Phased out in 2013	Percentage of planned phase-out achieved in 2013	Estimated funds disbursed in 2013 (US\$)	Funds disbursed in 2013 (US\$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Afghanistan	0.0		199,929	107,667	54%	50%
Albania	0.0		121,980	100,272	82%	50%
Algeria	0.0	0%	345,821	116,209	34%	50%
Angola	0.0		93,108	95,619	103%	100%
Antigua and Barbuda	0.0		116,326	59,495	51%	100%
Argentina	1,764.5	100%	4,418,378	7,148,234	162%	44%
Armenia	0.0		224,948	470,817	209%	100%
Bahamas (the)	0.0		91,065	166,201	183%	50%
Bahrain	0.0		476,396	6,485	1%	33%
Bangladesh	0.0	0%	740,656	552,344	75%	25%
Barbados	0.0		163,447	47,161	29%	100%
Belize	0.0		140,442	112,200	80%	100%
Benin	0.0		171,186	170,301	99%	67%
Bhutan	0.0		138,910	154,787	111%	100%
Bolivia (Plurinational State of)	0.0		167,511	88,009	53%	50%
Bosnia and Herzegovina	0.0	0%	398,000	150,353	38%	100%
Botswana	0.0		116,053	18,043	16%	0%
Brazil	0.0	0%	5,950,303	3,039,862	51%	80%
Brunei Darussalam	0.0		244,531	137,553	56%	0%
Burkina Faso	0.0		93,977	80,728	86%	50%
Burundi	0.0		196,000	139,118	71%	50%
Cambodia	0.0		134,707	145,168	108%	100%
Cameroon	7.2		310,775	548,271	176%	0%
Cabo Verde	0.0		97,744	74,482	76%	100%
Central African Republic (the)	0.0		233,619	37,620	16%	50%
Chad	0.0		198,916	170,677	86%	67%
Chile	0.1	0%	657,889	793,174	121%	67%
China	354.4	67%	43,530,484	82,533,307	190%	41%
Colombia	0.0		1,325,812	1,407,318	106%	67%
Comoros (the)	0.0		29,500	15,600	53%	50%
Congo (the)	0.0		185,486	178,758	96%	50%
Cook Islands (the)	0.0		90,375	36,000	40%	
Costa Rica	14.0	100%	414,477	702,214	169%	100%
Cote d'Ivoire	17.5	0%	356,354	93,628	26%	0%
Croatia	1.8	100%	162,557	175,525	108%	33%
Cuba	35.0		1,049,463	666,905	64%	100%
Democratic People's Republic of Korea (the)	0.0		307,550	33,858	11%	20%
Democratic Republic of the Congo (the)	0.0		74,507	123,840	166%	100%

Country	Phased out in 2013	Percentage of planned phase-out achieved in 2013	Estimated funds disbursed in 2013 (US\$)	Funds disbursed in 2013 (US\$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Djibouti	3.2	100%	98,606	71,151	72%	100%
Dominica	0.0		226,687	140,677	62%	75%
Dominican Republic (the)	0.0		560,740	567,951	101%	100%
Ecuador	55.8		1,117,292	1,524,766	136%	60%
Egypt	21.4	39%	4,096,166	2,781,546	68%	33%
El Salvador	0.0		407,418	297,031	73%	
Equatorial Guinea	4.6	94%	157,154	56,055	36%	25%
Eritrea	0.0		291,500	51,039	18%	40%
Ethiopia	0.0		200,161	116,581	58%	50%
Fiji	0.0		105,454	61,198	58%	
Gabon	0.0		189,847	133,914	71%	50%
Gambia (the)	0.0	0%	88,044	90,189	102%	0%
Georgia	0.0		104,190	186,885	179%	100%
Ghana	0.0		185,475	158,167	85%	
Global	0.0		11,183,245	8,535,971	76%	80%
Grenada	0.0		172,869	67,905	39%	75%
Guatemala	1.7	3%	840,562	835,605	99%	50%
Guinea	0.0	0%	224,031	256,998	115%	67%
Guinea-Bissau	0.0	0%	116,164	96,313	83%	67%
Guyana	0.0		125,271	34,514	28%	0%
Haiti	0.0		233,162	17,408	7%	50%
Honduras	0.0		197,145	100,605	51%	100%
India	0.0	0%	7,036,846	6,205,769	88%	29%
Indonesia	2.1	3%	1,668,718	1,939,176	116%	14%
Iran (Islamic Republic of)	29.3	0%	4,471,330	4,049,733	91%	40%
Iraq	0.0	0%	2,296,424	723,433	32%	0%
Jamaica	0.0		165,003	161,360	98%	50%
Jordan	0.1	0%	1,191,300	748,280	63%	0%
Kenya	19.2	0%	347,251	396,738	114%	50%
Kiribati	0.0		54,000	38,076	71%	100%
Kuwait	0.0		918,795	26,047	3%	0%
Kyrgyzstan	0.0		133,155	69,848	52%	100%
Lao People's Democratic Republic (the)	0.0	0%	220,409	123,264	56%	0%
Lebanon	12.1	100%	266,822	911,045	341%	100%
Lesotho	0.0		100,919	52,819	52%	
Liberia	0.0		54,500	83,607	153%	100%
Libya	61.4	61%	313,000	298,622	95%	29%
Madagascar	0.0		102,750	65,500	64%	50%
Malawi	0.0		56,549	45,549	81%	100%
Malaysia	53.8		2,033,971	4,535,778	223%	0%
Maldives	0.0		447,450	375,351	84%	50%
Mali	0.0		50,494	47,825	95%	100%
Marshall Islands (the)	0.0		57,454	43,477	76%	100%

Country	Phased out in 2013	Percentage of planned phase-out achieved in 2013	Estimated funds disbursed in 2013 (US\$)	Funds disbursed in 2013 (US\$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Mauritania	0.0		192,045	12,158	6%	0%
Mauritius	0.0		110,500	77,808	70%	0%
Mexico	0.1	0%	4,894,761	6,545,997	134%	71%
Micronesia (Federated States of)	0.0		87,807	38,394	44%	
Mongolia	0.0		59,205	46,182	78%	100%
Montenegro	0.0		70,000	85,209	122%	100%
Morocco	105.9	100%	740,202	414,604	56%	17%
Mozambique	0.0	0%	151,087	56,104	37%	33%
Myanmar	0.0		221,961	27,310	12%	0%
Namibia	0.0		160,000	149,293	93%	100%
Nauru	0.0		88,000	34,501	39%	
Nepal	0.0		186,540	58,720	31%	50%
Nicaragua	0.0		160,534	90,509	56%	
Niger (the)	0.0		101,214	79,144	78%	100%
Nigeria	0.0		654,380	583,978	89%	50%
Niue	0.0		58,000	36,688	63%	100%
Oman	0.0	0%	236,991	112,142	47%	50%
Pakistan	0.4	1%	683,699	1,934,704	283%	50%
Palau	0.0		61,973	45,643	74%	100%
Panama	0.0		208,065	119,219	57%	
Papua New Guinea	0.0		120,000	64,809	54%	
Paraguay	0.0	0%	304,762	165,554	54%	50%
Peru	15.0	100%	395,582	28,684	7%	25%
Philippines (the)	0.0		3,080,923	1,389,132	45%	67%
Qatar	15.0	92%	336,002	139,149	41%	40%
Region: AFR	0.0		483,032	172,426	36%	0%
Region: ASP	0.0		130,480	189,730	145%	
Region: EUR	0.0		92,613	22,559	24%	100%
Region: LAC	0.0		94,872	40,763	43%	0%
Republic of Moldova (the)	0.0		91,968	75,893	83%	
Romania	0.0		1	3	261%	
Rwanda	0.0		118,285	78,577	66%	67%
Saint Kitts and Nevis	0.0		240,649	134,853	56%	100%
Saint Lucia	0.0		175,682	139,060	79%	50%
Saint Vincent and the Grenadines	0.0		128,417	186,485	145%	100%
Samoa	0.0		71,458	46,246	65%	100%
Sao Tome and Principe	0.0		75,992	28,670	38%	50%
Saudi Arabia	551.7	100%	1,199,645	439,114	37%	60%
Senegal	0.0		194,044	194,910	100%	100%
Serbia	0.1	0%	516,174	131,801	26%	50%
Seychelles	0.0		138,953	105,045	76%	50%
Sierra Leone	0.0	0%	113,963	130,025	114%	25%

Country	Phased out in 2013	Percentage of planned phase-out achieved in 2013	Estimated funds disbursed in 2013 (US\$)	Funds disbursed in 2013 (US\$)	Percentage of funds disbursed over estimation in 2013	Percentage of planned projects completed in 2013
Solomon Islands	0.0		77,511	49,693	64%	100%
Somalia	0.0		131,902	41,707	32%	
South Africa	0.0		635,000	309,382	49%	
South Sudan	0.0		40,000	25,000	63%	
Sri Lanka	0.0		325,216	269,159	83%	
Sudan (the)	0.0	0%	167,224	1,354,566	810%	50%
Suriname	0.0	0%	152,863	169,594	111%	33%
Swaziland	4.2	100%	191,526	225,648	118%	80%
Syrian Arab Republic	0.0		359,157	295,792	82%	50%
Thailand	73.4	100%	2,538,556	1,224,703	48%	100%
The former Yugoslav Republic of Macedonia	0.0		161,432	174,321	108%	67%
Timor-Leste	0.0		91,081	51,153	56%	33%
Togo	0.0		162,919	41,585	26%	50%
Tonga	0.0		40,987	23,306	57%	100%
Trinidad and Tobago	0.0	0%	303,067	119,194	39%	50%
Tunisia	0.0	0%	247,000	18,583	8%	0%
Turkey	0.0		2,510,763	5,082,902	202%	0%
Turkmenistan	2.2	100%	152,000	115,676	76%	50%
Tuvalu	0.0		69,093	24,058	35%	100%
Uganda	0.0		108,489	0	0%	50%
United Republic of Tanzania (the)	0.0		214,372	175,201	82%	100%
Uruguay	0.0		140,788	261,723	186%	100%
Vanuatu	0.0		84,987	57,931	68%	100%
Venezuela (Bolivarian Republic of)	0.0		568,610	773,580	136%	25%
Viet Nam	10.0	18%	1,748,659	783,912	45%	50%
Yemen	5.4	58%	1,085,490	136,772	13%	40%
Zambia	0.0		246,938	148,315	60%	75%
Zimbabwe	0.0	0%	410,184	402,705	98%	33%
Grand Total	3,242.8	49%	136,873,780	164,629,527	120%	53%

Annex II

HCFC PHASE-OUT MANAGEMENT PLAN (HPMP) BY COUNTRY
AS AT 31 DECEMBER 2013

Country	Approved phase-out (ODP tonnes)	Funds approved (US\$)	Funds disbursed (US\$)	Balance (US\$)	Funds disbursed (%)
Afghanistan	0.0	205,000	112,738	92,262	55%
Albania	0.6	182,000	61,595	120,405	34%
Algeria	13.5	1,809,240	23,947	1,785,293	1%
Angola	0.0	86,222	39,459	46,763	46%
Antigua and Barbuda	0.0	45,850	27,235	18,615	59%
Argentina	79.1	10,335,542	6,352,295	3,983,247	61%
Armenia	2.2	594,353	545,857	48,496	92%
Bahamas (the)	0.4	183,342	111,303	72,039	61%
Bahrain	3.7	669,455	6,485	662,970	1%
Bangladesh	20.8	1,431,074	928,568	502,506	65%
Barbados	0.0	124,000	0	124,000	0%
Belize	0.0	140,000	80,000	60,000	57%
Benin	2.4	310,000	164,743	145,257	53%
Bhutan	0.0	282,000	152,994	129,006	54%
Bolivia (Plurinational State of)	0.0	94,500	53,256	41,244	56%
Bosnia and Herzegovina	5.3	631,282	21,077	610,205	3%
Brazil	63.5	11,538,075	2,169,043	9,369,032	19%
Brunei Darussalam	0.6	175,800	74,322	101,478	42%
Burkina Faso	2.0	390,000	256,165	133,835	66%
Burundi	0.4	125,000	92,122	32,878	74%
Cambodia	1.5	650,000	304,211	345,789	47%
Cameroon	24.1	1,064,453	595,331	469,122	56%
Cabo Verde	0.0	79,000	40,290	38,710	51%
Central African Republic (the)	0.5	200,000	64,001	135,999	32%
Chad	1.6	305,000	214,600	90,400	70%
Chile	14.7	1,196,267	125,619	1,070,648	11%
China	960.2	196,505,000	130,971,052	65,533,948	67%
Colombia	65.2	6,671,483	6,294,335	377,148	94%
Comoros (the)	0.0	79,000	44,000	35,000	56%
Congo (the)	0.4	175,000	138,571	36,429	79%
Cook Islands (the)	0.0	49,250	30,875	18,375	63%
Costa Rica	14.0	929,523	680,568	248,955	73%
Cote d'Ivoire	3.3	710,000	95,118	614,882	13%
Croatia	8.1	812,162	435,340	376,822	54%
Cuba	15.1	1,287,527	42,599	1,244,928	3%
Democratic Republic of the Congo (the)	5.8	427,500	194,693	232,807	46%
Djibouti	0.0	81,000	76,000	5,000	94%
Dominica	0.0	82,250	6,966	75,284	8%
Dominican Republic (the)	12.5	1,526,225	709,042	817,183	46%
Ecuador	17.2	1,668,440	1,368,772	299,668	82%

Country	Approved phase-out (ODP tonnes)	Funds approved (US\$)	Funds disbursed (US\$)	Balance (US\$)	Funds disbursed (%)
Egypt	166.1	7,571,840	2,656,307	4,915,533	35%
El Salvador	6.5	696,849	268,392	428,457	39%
Equatorial Guinea	0.3	115,000	85,179	29,822	74%
Eritrea	0.0	80,000	0	80,000	0%
Ethiopia	0.5	155,000	55,000	100,000	35%
Fiji	0.0	119,700	103,623	16,077	87%
Gabon	0.0	220,000	124,893	95,107	57%
Gambia (the)	0.2	98,000	62,259	35,741	64%
Georgia	0.7	200,000	135,819	64,181	68%
Ghana	0.0	530,000	326,428	203,572	62%
Grenada	0.0	105,000	47,745	57,255	45%
Guatemala	2.3	244,262	184,112	60,150	75%
Guinea	1.2	245,000	233,838	11,162	95%
Guinea-Bissau	0.1	127,000	73,435	53,565	58%
Guyana	0.0	59,000	26,296	32,704	45%
Haiti	0.0	40,000	0	40,000	0%
Honduras	2.0	315,000	140,655	174,345	45%
India	266.3	19,570,400	6,157,756	13,412,644	31%
Indonesia	122.7	11,572,962	1,742,835	9,830,127	15%
Iran (Islamic Republic of)	108.2	8,601,233	4,444,562	4,156,671	52%
Iraq	0.0	195,000	0	195,000	0%
Jamaica	8.5	375,450	173,836	201,614	46%
Jordan	15.9	3,433,633	1,506,825	1,926,808	44%
Kenya	3.1	257,500	219,761	37,739	85%
Kiribati	0.0	53,250	37,326	15,924	70%
Kuwait	60.7	3,814,450	14,089	3,800,361	0%
Kyrgyzstan	0.0	79,200	68,869	10,331	87%
Lao People's Democratic Republic (the)	0.0	113,625	57,314	56,311	50%
Lebanon	12.1	2,245,589	1,729,973	515,616	77%
Lesotho	0.0	100,000	75,648	24,352	76%
Liberia	0.6	157,500	127,981	29,519	81%
Madagascar	0.9	280,000	169,912	110,088	61%
Malawi	0.7	175,000	108,425	66,575	62%
Malaysia	92.7	8,628,723	4,838,022	3,790,701	56%
Maldives	0.0	949,340	528,154	421,186	56%
Mali	0.8	280,000	212,401	67,599	76%
Marshall Islands (the)	0.0	54,000	40,023	13,977	74%
Mauritius	0.0	157,050	154,725	2,325	99%
Mexico	387.0	16,597,391	6,496,231	10,101,160	39%
Micronesia (Federated States of)	0.0	53,875	40,462	13,413	75%
Mongolia	0.6	260,000	165,000	95,000	63%
Montenegro	0.1	255,000	135,922	119,078	53%
Morocco	11.0	1,251,740	927,781	323,959	74%
Mozambique	0.3	115,000	4	114,996	0%
Myanmar	0.4	159,000	0	159,000	0%

Country	Approved phase-out (ODP tonnes)	Funds approved (US\$)	Funds disbursed (US\$)	Balance (US\$)	Funds disbursed (%)
Namibia	5.5	540,000	211,330	328,670	39%
Nauru	0.0	45,625	28,126	17,499	62%
Nepal	0.3	105,000	25,233	79,767	24%
Nicaragua	0.7	134,500	45,212	89,289	34%
Niger (the)	2.7	275,000	123,169	151,831	45%
Nigeria	0.0	3,941,119	1,513,228	2,427,891	38%
Niue	0.0	45,625	24,313	21,312	53%
Oman	5.3	379,120	75,663	303,457	20%
Pakistan	71.6	5,388,849	4,509,154	879,695	84%
Palau	0.0	62,375	46,045	16,330	74%
Panama	2.5	295,280	83,392	211,888	28%
Papua New Guinea	0.8	690,000	125,825	564,175	18%
Paraguay	1.8	315,000	160,039	154,961	51%
Peru	0.0	133,000	0	133,000	0%
Philippines (the)	40.0	3,372,221	1,429,165	1,943,056	42%
Qatar	22.0	1,150,907	20,983	1,129,924	2%
Region: PIC	0.0	134,000	120,225	13,775	90%
Republic of Moldova (the)	0.0	79,200	60,819	18,381	77%
Rwanda	0.4	137,000	65,121	71,879	48%
Saint Kitts and Nevis	0.2	98,400	44,536	53,864	45%
Saint Lucia	0.1	126,000	97,521	28,479	77%
Saint Vincent and the Grenadines	0.2	179,924	160,553	19,371	89%
Samoa	0.0	76,250	60,878	15,372	80%
Sao Tome and Principe	0.0	79,000	39,012	39,988	49%
Saudi Arabia	107.1	4,398,901	63,120	4,335,781	1%
Senegal	3.6	300,000	182,104	117,896	61%
Serbia	5.2	857,760	171,797	685,963	20%
Seychelles	0.8	360,000	179,592	180,408	50%
Sierra Leone	0.2	98,000	67,620	30,380	69%
Solomon Islands	0.0	110,250	82,432	27,818	75%
Somalia	0.5	173,874	9,158	164,716	5%
South Africa	66.8	4,552,849	296,492	4,256,357	7%
Sri Lanka	1.3	389,000	213,755	175,245	55%
Sudan (the)	11.9	1,306,341	1,214,553	91,788	93%
Suriname	0.1	95,000	57,178	37,822	60%
Swaziland	7.9	797,948	732,803	65,145	92%
Thailand	50.3	5,120,131	0	5,120,131	0%
The former Yugoslav Republic of Macedonia	1.6	564,955	300,485	264,470	53%
Timor-Leste	0.0	244,620	105,153	139,467	43%
Togo	0.9	315,000	190,598	124,402	61%
Tonga	0.0	64,750	47,069	17,681	73%
Trinidad and Tobago	6.7	757,900	88,325	669,575	12%
Turkey	240.5	8,624,690	4,980,686	3,644,004	58%
Turkmenistan	0.7	403,550	102,922	300,628	26%
Tuvalu	0.0	47,875	38,840	9,035	81%

Country	Approved phase-out (ODP tonnes)	Funds approved (US\$)	Funds disbursed (US\$)	Balance (US\$)	Funds disbursed (%)
Uganda	0.0	80,500	0	80,500	0%
United Republic of Tanzania (the)	0.2	105,000	82,700	22,300	79%
Uruguay	0.0	315,000	151,725	163,275	48%
Vanuatu	0.0	76,250	61,194	15,056	80%
Venezuela (Bolivarian Republic of)	4.3	1,705,500	864,228	841,272	51%
Viet Nam	134.1	8,717,439	716,531	8,000,908	8%
Yemen	11.6	625,000	11	624,989	0%
Zambia	0.7	150,000	96,043	53,957	64%
Zimbabwe	10.0	702,818	369,411	333,407	53%
Grand Total	3,432.3	394,403,673	208,939,072	185,464,601	53%

Annex III

ANALYSIS OF PROGRESS REPORT DATA

1. This analysis provides an overview of projects that have been completed, projects that were still ongoing, and projects that have been closed or transferred as of 31 December 2013.

COMPLETED PROJECTS

2. Completed projects/activities are defined in decisions 17/22 and 19/23 as projects that have been commissioned and where the ODS addressed has been phased out. A further decision (decision 28/2) extends the definition to cover situations where no further use of CFCs in the sectors covered is in evidence, where an alternative product is being produced (and/or production has begun), and where the equipment using CFCs has been destroyed/dismantled/rendered unusable with respect to ODSs. Work programme activities without an ODS phase-out are considered completed when the activity is finished (e.g., for a workshop, when it has been conducted).

3. Overall, 99 per cent of the funds approved for completed investment projects have been disbursed. The reason that 100 per cent of funds have not been disbursed for completed projects is that it may take from six months to one year to finalise accounting records.

Completed investment projects

4. Table 1 presents information on investment projects that have been completed since 1991. The table presents the data in total, followed by data according to region, sector, implementation characteristics, and disbursement method.

Table 1: Cumulative completed investment projects

Item	Number of projects	Approved funds plus adjustment (US \$)	Percent of funds disbursed	Consumption ODP phased out**	Production ODP phased out**	Average number of months from approval to first disbursement	Average number of months from approval to completion	Overall cost-effectiveness to the Fund (US\$/kg.)
GRAND TOTAL	2,434	1,783,351,561	99%	243,150	191,919	15	35	\$4.10
Region								
Africa	379	130,472,072	98%	17,210	0	12	36	\$7.58
Asia & Pacific	1,369	1,277,978,063	100%	187,810	172,243	16	35	\$3.55
Europe	116	59,335,317	98%	7,415	175	10	31	\$7.82
Latin America and Caribbean	570	315,566,109	100%	30,715	19,501	15	35	\$6.28
Global	n/a	0	0%	0	0	n/a	n/a	n/a
Sector								
Aerosol	119	44,027,779	99%	25,564	0	16	39	\$1.72
Destruction	0	0	0%	0	0	n/a	n/a	n/a
Foam	986	354,534,394	100%	65,417	0	15	33	\$5.42
Fumigants	100	83,355,210	98%	6,245	0	10	45	\$13.35
Halon	39	71,476,177	100%	42,111	41,958	17	30	\$0.85
Multiple sectors*	4	2,287,376	100%	419	0	28	78	\$5.46
Other	8	16,059,360	100%	1,574	0	12	20	\$10.20
Phase-out plan	356	252,741,475	98%	44,291	10,988	12	35	\$4.57
Process agent	30	120,252,627	100%	6,090	49,344	10	27	\$2.17
Production	54	317,094,596	100%	0	89,629	9	15	\$3.54
Refrigeration	607	426,075,872	100%	44,095	0	16	39	\$9.66
Solvents	128	94,372,840	100%	7,285	0	19	32	\$12.95

Item	Number of projects	Approved funds plus adjustment (US \$)	Percent of funds disbursed	Consumption ODP phased out**	Production ODP phased out**	Average number of months from approval to first disbursement	Average number of months from approval to completion	Overall cost-effectiveness to the Fund (US\$/kg.)
Sterilant	3	1,073,855	100%	60	0	15	34	\$17.77
Implementation characteristics								
Agency implementation	1,541	658,119,376	99%	88,573	17,024	11	33	\$6.23
National implementation	893	1,125,232,185	100%	154,578	174,895	22	39	\$3.42
Disbursement method								
During Implementation	2,347	1,726,666,366	99%	238,538	191,419	15	36	\$4.02
After implementation	50	17,166,608	100%	2,512	0	23	25	\$6.83
Retroactive funding	36	14,138,587	100%	2,100	500	15	8	\$5.44
Country to final beneficiaries	1	25,380,000	100%	0	0	5	29	n/a
* No funds are listed for the multiple sector investment projects, but are recorded in appropriate sector. ** Total phased out for the Fund is 453,771 ODP tonnes includes 13,975 ODP tonnes from completed non-investment projects and 4,727 ODP tonnes from ongoing projects. Note: The sum of each section (Region, Sector, etc.) equals the Grand Total.								

5. As of 31 December 2013, investment projects representing around US \$1.78 billion have been completed, resulting in the permanent phase-out of 435,069 ODP tonnes¹² with an overall cost-effectiveness of US \$4.1/kg ODP phased out.

6. The average time from approval to first disbursement was 15 months and the average project duration was 35 months. Project duration is only slightly impacted by retroactive projects (i.e., projects funded after phase-out) since they represent only around 1.5 per cent of the total number of completed investment projects.

7. On a regional basis, 1,369 investment projects were completed in the Asia and Pacific region, 570 investment projects in Latin America and the Caribbean, 379 in Africa, and 116 in Europe.

Completed non-investment projects

8. Table 2 presents data on cumulative completed non-investment projects. Since 1991, 2,384 non-investment projects have been completed, representing funding support from the Multilateral Fund of around US \$342.83 million. Ninety-nine (99) per cent of the funds for completed non-investment projects have been disbursed. Fifty-four (54) per cent of completed non-investment funding is in the sector identified as "several", which means those projects that have an impact on more than one sector (such as UNEP's clearinghouse and networking activities).

Table 2: Cumulative completed non-investment projects

Item	Number of projects	Approved funds plus adjustment (US \$)	Per Cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion
GRAND TOTAL	2,384	342,828,627	99%	11	36
Region					
Africa	680	59,752,316	100%	11	37
Asia & Pacific	697	87,604,802	99%	13	38

¹² Total phased out for the Fund includes 435,069 ODP tonnes from completed investment projects, 13,975 ODP tonnes from completed non-investment projects and 4,727 from ongoing projects.

Item	Number of projects	Approved funds plus adjustment (US \$)	Per Cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion
Europe	151	13,665,007	100%	10	34
Latin America and Caribbean	580	67,398,500	99%	12	41
Global	276	114,408,001	98%	6	22
Sector					
Aerosol	30	2,341,265	100%	11	35
Destruction	1	250,000	100%	17	22
Foam	24	5,293,809	99%	15	31
Fumigants	126	19,951,052	99%	9	38
Halon	80	10,825,153	100%	17	43
Multiple sectors	1	53,792	100%	56	58
Other	1	76,499	100%	7	38
Phase-out plan	206	19,408,995	97%	11	35
Process agent	0	0	0%	n/a	n/a
Production	1	40,000	100%	4	4
Refrigeration	606	68,219,134	99%	16	49
Several	1,276	213,527,804	99%	9	31
Solvents	32	2,841,123	100%	11	26
Sterilant	0	0	0%	n/a	n/a
Implementation characteristics					
Agency implementation	1,952	266,156,807	99%	10	35
National implementation	432	76,671,820	99%	15	42
Disbursement method					
During implementation	2,358	340,434,312	99%	11	37
After implementation	26	2,394,315	97%	14	21
Retroactive funding	0	0	0%	n/a	n/a
Country to final beneficiaries	0	0	0%	n/a	n/a

9. The average time from approval to first disbursement for non-investment projects is much shorter than for investment projects (11 versus 15 months). Non-investment projects have been completed, on average, 36 months after they were approved by the Executive Committee. This figure is influenced by the large number of projects (1,276 of 2,384) classified in the sector called “several”, which had an average time from approval to completion of 31 months. Non-investment projects in the other sectors (e.g., *inter alia*, solvent and multiple sectors) were completed between 4 and 58 months after they had been approved.

10. Almost all projects (1,952 of 2,384) were implemented by the agency concerned rather than through national implementation. Agency implementation of non-investment projects normally does not require legal agreements, which may explain the shorter period of time (35 months) compared with nationally-implemented non-investment projects (42 months).

11. Non-investment projects include work programme activities, excluding project preparation.

ONGOING PROJECTS

Ongoing investment projects

12. Table 3 presents information on the status of investment projects under implementation by region, sector, and implementation characteristics.

Table 3: Cumulative ongoing investment projects

Item	Number of projects	Approved funds plus adjustment (US\$)	Per Cent of funds disbursed	Number of projects disbursing	Per Cent of projects disbursing	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Average length of delay in project planned completion	Overall cost-effectiveness to the Fund (US\$/kg.)*
GRAND TOTAL	279	435,320,124	51%	204	73%	10	43	16	\$37.77
Region									
Africa	77	34,787,842	48%	59	77%	9	43	10	\$33.56
Asia & Pacific	107	326,660,990	53%	74	69%	11	46	25	\$50.01
Europe	21	12,260,884	50%	16	76%	9	34	10	\$23.78
Latin America and Caribbean	74	61,610,408	44%	55	74%	9	42	14	\$17.90
Global	0	0	0%	0	0%	0	n/a	n/a	n/a
Sector									
Aerosol	8	39,259,053	91%	8	100%	14	75	33	\$20.83
Destruction	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Foam	20	25,652,043	65%	18	90%	13	50	25	\$45.19
Fumigants	21	17,744,114	73%	19	90%	7	56	15	\$10.87
Halon	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Multiple sectors	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Other	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Phase-out plan	219	307,870,856	46%	151	69%	10	40	14	\$45.20
Process agent	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Production	5	30,056,900	15%	2	40%	14	45	29	\$84.19
Refrigeration	6	14,737,158	64%	6	100%	7	49	23	\$54.32
Solvents	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Sterilant	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Implementation characteristics									
Agency implementation	180	223,937,868	61%	147	82%	8	43	14	\$28.41
National implementation	99	211,382,256	40%	57	58%	13	43	24	\$58.01
Disbursement method									
During implementation	270	330,208,124	44%	197	73%	10	43	16	\$30.89
After implementation	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Retroactive funding	0	0	0%	0	0%	n/a	n/a	n/a	n/a
Country to final beneficiaries	9	105,112,000	72%	7	78%	8	42	25	\$125.88

*Based on the ODS to be phased out according to the proposal.

13. There are currently 279 investment projects under implementation. These projects represent approved funding (plus adjustments) of over US \$435.32 million. Around 51 per cent of the funds have already been disbursed. The overall average cost-effectiveness of ongoing investment projects is US \$37.77/kg ODP to be phased out, as approved.

14. Of the ongoing investment projects 38 per cent (107 out of 279) are for countries in the Asia and Pacific region, representing 75 per cent (US \$326.66 million) of the funds approved for ongoing investment projects. The Latin American and the Caribbean region has 74 investment projects under implementation, valued at US \$61.61 million, Africa has 77 projects (US \$34.79 million) and Europe has 21 projects (US \$12.26 million).

15. About 71 per cent of the funds approved for ongoing investment projects, representing around US \$307.87 million, are in the phase-out plan sector. The second largest funding allocation for ongoing investment projects is for the aerosol sector (US \$39.26 million) followed by the production sector (US \$30.06 million). The remaining sectors have the following number of investment projects under implementation: fumigants (21), foam (20) and refrigeration (6).

16. Of the 279 ongoing investment projects, 73 per cent (204) have begun disbursing funds.

17. Most ongoing investment projects are under agency implementation (180 projects) but there are 99 projects under national implementation. Of agency-implemented ongoing investment projects, 82 per cent have begun disbursement compared with 58 per cent of nationally-implemented ongoing investment projects.

18. The average number of months from approval to first disbursement is 10 months. The average duration of an ongoing investment project is 43 months. Typically, ongoing investment projects are completed 16 months later than originally planned, which could be considered the average delay.

Ongoing non-investment projects

19. Table 4 presents information on the status of non-investment projects under implementation by region, sector, and implementation characteristic.

Table 4: Cumulative ongoing non-investment projects

Item	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds disbursed	Number of projects disbursing	Per cent of projects disbursing	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Average length of delay in project planned completion
GRAND TOTAL	388	82,982,801	34%	216	56%	13	39	13
Region								
Africa	124	12,824,292	33%	64	52%	11	40	13
Asia & Pacific	140	38,815,816	42%	78	56%	13	42	14
Europe	26	4,526,356	45%	14	54%	7	33	7
Latin America and Caribbean	90	13,242,781	31%	57	63%	15	38	11
Global	8	13,573,556	13%	3	38%	31	36	10
Sector								
Aerosol	1	120,000	39%	1	100%	9	73	13
Destruction	14	8,103,918	19%	10	71%	7	39	13
Foam	4	4,102,336	79%	4	100%	7	51	36
Fumigants	7	674,712	28%	4	57%	4	59	30
Halon	2	457,500	54%	2	100%	21	59	38
Multiple sectors	0	0	0%	0	0%	n/a	n/a	n/a
Other	0	0	0%	0	0%	n/a	n/a	n/a
Phase-out plan	172	21,250,825	24%	82	48%	12	39	10
Process agent	0	0	0%	0	0%	n/a	n/a	n/a
Production	0	0	0%	0	0%	n/a	n/a	n/a
Refrigeration	16	19,165,181	64%	16	100%	30	95	59
Several	170	28,550,662	19%	96	56%	11	33	9
Solvents	2	557,667	62%	1	50%	2	35	21
Sterilant	0	0	0%	0	0%	n/a	n/a	n/a
Implementation characteristics								
Agency implementation	309	53,344,499	26%	166	54%	12	39	12
National implementation	79	29,638,302	49%	50	63%	15	40	14
Disbursement method								
During implementation	384	75,463,656	28%	212	55%	13	39	12
After implementation	0	0	0%	0	0%	n/a	n/a	n/a
Retroactive funding	0	0	0%	0	0%	n/a	n/a	n/a
Country to final beneficiaries	4	7,519,145	96%	4	100%	7	48	32

20. There are currently 388 non-investment projects under implementation, representing approved funding (plus adjustments) of around US \$82.98 million. Roughly 34 per cent of the funds for ongoing non-investment projects have been disbursed.

21. The largest amount of funding for non-investment projects, by region, is US \$38.82 million for the Asia and the Pacific region. Funding for ongoing non-investment projects in other regions is as follows: Latin America and the Caribbean, US \$13.24 million; Africa, US \$12.82 million; and, Europe, US \$4.53 million. Ongoing global activities are valued at a total of US \$13.57 million.

22. On a sectoral basis, most non-investment funding is applied to the “several” sector category (US \$28.55 million for 170 projects). There are 16 ongoing non-investment projects in the refrigeration sector (US \$19.17 million), 172 in the phase-out plan sector (US \$21.25 million), four in the foam sector (US \$4.1 million), 14 in the destruction sector (US \$8.1 million), 7 in the fumigant sector (US \$674,712), two in the solvent sector (US \$557,667), two in the halon sector (US \$457,500) and one project in aerosol sector (US \$120,000).

23. Of the 388 ongoing non-investment projects, 56 per cent (216 projects) have begun disbursing funds.

24. The average number of months from approval to first disbursement is 13 months. On average, ongoing non-investment projects are completed 39 months from the date of approval, which represents a delay of 13 months.

PROJECT PREPARATION

25. Project preparation requests are included in annual work programmes and amendments to work programmes. Project preparation leads to investment projects in general and, in some cases, demonstration projects (in particular for MB) and the implementation of projects included in refrigerant management plans.

26. Table 5 presents data on both completed and ongoing project preparation activities. The implementing agencies have completed 1,443 project preparation activities for which there remains a balance of US \$1.54 million that has not yet been disbursed. On average, it has taken 21 months to complete project preparation.

Table 5: Project preparation

Item	COMPLETED PROJECT PREPARATION					ONGOING PROJECT PREPARATION							
	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to completion	Number of projects	Approved funds plus adjustment (US\$)	Funds disbursed (US\$)	Per cent of funds disbursed	Average number of months from approval to first disbursement	Average number of months from approval to planned completion	Balance (US\$)	Estimated disbursement in current year (US\$)
GRAND TOTAL	1,443	73,668,382	98%	6	21	28	1,756,250	1,024,275	58%	12	54	731,975	513,422
Agency													
UNDP	463	18,595,863	97%	5	19	5	260,000	87,894	34%	12	70	172,106	172,106
UNEP	173	8,578,959	91%	10	31	2	115,000	25,000	22%	10	47	90,000	90,000
UNIDO	424	17,072,734	99%	6	24	17	1,101,250	698,185	63%	7	49	403,065	184,511
WORLD BANK	272	24,570,015	100%	6	14	2	100,000	34,989	35%	56	62	65,011	65,011
Bilaterals	111	4,850,812	n/a	n/a	n/a	2	180,000	178,206	99%	n/a	n/a	1,794	1,794
Region													
Africa	360	11,997,293	98%	6	22	12	875,000	528,677	60%	9	49	346,323	227,304
Asia & Pacific	548	36,457,246	98%	6	21	9	536,250	347,788	65%	17	55	188,462	102,012
Europe	103	4,688,367	99%	6	21	1	35,000	31,667	90%	5	30	3,333	2,000
Latin Amer./Car.	415	19,119,572	98%	6	20	6	310,000	116,142	37%	10	68	193,858	182,106
Global	17	1,405,905	100%	6	16	0	0	0	0%	n/a	n/a	0	0
Sector													
Aerosol	44	1,214,811	100%	6	17	0	0	0	0%	n/a	n/a	0	0
Destruction	11	542,154	84%	8	28	7	375,000	279,884	75%	21	56	95,116	93,387

Item	COMPLETED PROJECT PREPARATION					ONGOING PROJECT PREPARATION							
	Number of projects	Approved funds plus adjustment (US\$)	Per cent of funds dis-bursed	Average number of months from approval to first disburse-ment	Average number of months from approval to completion	Number of projects	Approved funds plus adjustment (US\$)	Funds disbursed (US\$)	Per cent of funds dis-bursed	Average number of months from approval to first disburse-ment	Average number of months from approval to planned completion	Balance (US\$)	Estimated disburse-ment in current year (US\$)
Foam	217	7,892,000	97%	4	18	6	325,000	147,944	46%	10	58	177,056	102,783
Fumigants	114	2,909,527	100%	5	17	3	100,000	2,435	2%	8	35	97,565	55,000
Halon	27	836,728	100%	5	19	0	0	0	0%	n/a	n/a	0	0
Multiple Sectors	3	179,978	77%	3	34	0	0	0	0%	n/a	n/a	0	0
Other	2	240,747	100%	11	35	0	0	0	0%	n/a	n/a	0	0
Phase-out Plan	318	22,817,077	95%	9	30	8	766,250	530,913	69%	7	56	235,337	163,043
Process Agent	9	694,384	100%	8	19	0	0	0	0%	n/a	n/a	0	0
Production	9	1,073,866	100%	8	13	0	0	0	0%	n/a	n/a	0	0
Refrigeration	350	11,400,105	99%	6	20	4	190,000	63,098	33%	10	58	126,902	99,209
Several	283	21,969,991	100%	4	15	0	0	0	0%	n/a	n/a	0	0
Solvents	55	1,892,015	100%	6	25	0	0	0	0%	n/a	n/a	0	0
Sterilant	1	5,000	100%	1	9	0	0	0	0%	n/a	n/a	0	0
Implementation Type													
Agency	1,303	62,773,548	99%	6	20	23	1,496,250	936,381	63%	12	51	559,869	341,316
National	140	10,894,834	94%	10	28	5	260,000	87,894	34%	12	70	172,106	172,106
Disbursement													
During Imp.	1,436	72,738,630	98%	6	21	28	1,756,250	1,024,275	58%	12	54	731,975	513,422
After Imp.	7	929,752	100%	7	20	0	0	0	0%	n/a	n/a	0	0
Retroactive	0	0	0%	n/a	n/a	0	0	0	0%	n/a	n/a	0	0
Country to Final Beneficiaries	0	0	0%	n/a	n/a	0	0	0	0%	n/a	n/a	0	0

27. Bilateral and implementing agencies have a balance of US \$1.54 million carried over for completed project preparation activities in 2013. Ongoing project preparation activities are expected to take 54 months to complete. This is 33 months longer than for completed project preparation.

28. On a regional basis, most of the ongoing project development activities (43 per cent) are in Africa region. Most of the ongoing project preparation is occurring in the phase-out plan sector (US \$766,250).

CLOSED AND TRANSFERRED PROJECTS

29. Table 6 presents a summary of closed and transferred projects. Closed projects are cancelled projects. Of the 250 cancelled projects, 101 were project preparation activities. The World Bank has the highest number of closed projects (95). Remaining balances for closed projects are the result of possible unpaid commitments against these projects; however, projects should be classified as closed only after the balances have been returned. Bilateral and implementing agencies provide a report on any balances from cancelled projects in the context of the report on balances and availability of resources (UNEP/OzL.Pro/ExCom/73/4). No projects were cancelled and closed in 2013.

30. Transferred projects are projects that have been transferred from one agency to another. There are 46 such transferred projects.

Table 6: Closed and transferred projects summary

Agency	Number of projects	Approved funding (US\$)	Adjustments (US\$)	Approved funding plus adjustment (US\$)	Funds disbursed (US\$)	Balances (US\$)	Percent of funds disbursed	Consumption ODP to be phased out	Consumption ODP phased out
Closed Projects									
UNDP	78	16,947,886	-10,052,770	6,895,116	6,895,116	0	100%	671	601
UNEP	5	680,000	-659,000	21,000	21,000	0	100%	0	0
UNIDO	58	11,185,651	-6,666,114	4,519,537	4,519,741	-204	100%	1,123	338
World Bank	95	30,924,304	-29,550,785	1,373,519	1,373,519	0	100%	657	581
Bilaterals	14	1,149,323	-846,422	302,901	227,901	75,000	75%	221	0
Total	250	60,887,164	-47,775,091	13,112,073	13,037,277	74,796	99%	2,671	1,520
Transferred Projects									
UNDP	17	1,999,359	-1,407,764	591,595	591,595	0	100%	0	0
UNEP	4	150,667	-150,667	0	0	0	0%	0	0
UNIDO	0	0	0	0	0	0	0%	0	0
World Bank	10	1,633,130	-1,633,130	0	0	0	0%	0	0
Bilaterals	15	3,995,949	-3,702,899	293,050	293,050	0	100%	0	0
Total	46	7,779,105	-6,894,460	884,645	884,645	0	100%	0	0

Appendix I

PROJECTS WITH IMPLEMENTATION DELAYS

Agency	Code	Project title	Category of delays
Spain	LAC/FUM/54/TAS/40	Technical assistance to introduce chemical alternatives in countries which have rescheduled methyl bromide phase-out plan (Argentina and Uruguay)	12 months delays
UNDP	IND/ARS/56/INV/423	Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs	12 months delays
UNDP	PAK/ARS/56/INV/71	Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs	12 months delays
UNEP	GLO/SEV/66/TAS/314	Development of a guide for sustainable refrigerated facilities and systems, in cooperation with the American Society of Heating, Refrigeration and Air Conditioning Engineers (ASHRAE)	12 and 18 months delays
UNEP	IND/ARS/56/TAS/425	National strategy for transition to non-CFC MDIs	12 months delays
UNEP	KUW/PHA/57/TAS/15	TPMP verification	12 and 18 months delays
UNEP	NEP/DES/59/TAS/27	Destruction of confiscated ODS	12 months delays
UNEP	TRI/FUM/65/TAS/28	Technical assistance to phase out the use of methyl bromide	12 and 18 months delays
UNIDO	EGY/ARS/50/INV/92	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)	12 months delays
UNIDO	IRQ/FUM/62/INV/13	Technical assistance for alternatives to methyl bromide	12 months delays
UNIDO	IRQ/REF/57/INV/07	Replacement of refrigerant CFC-12 with isobutane and foam blowing agent CFC-11 with cyclopentane in the manufacture of domestic refrigerators and chest freezers at Light Industries Company	12 months delays
UNIDO	MOZ/FUM/60/TAS/20	Technical assistance for the elimination of controlled uses of methyl bromide in soil fumigation	12 and 18 months delays
UNIDO	SYR/REF/62/INV/103	Phase-out of HCFC-22 and HCFC-141b from the manufacture of unitary air-conditioning equipment and rigid polyurethane insulation panels at Al Hafez Group	12 months delays
UNIDO	ZAM/FUM/56/INV/21	Technical assistance for the total phase out of methyl bromide in tobacco, cut flowers, horticulture and post-harvest uses	12 months delays
World Bank	CPR/ARS/51/INV/447	Phase-out of CFC consumption in the pharmaceutical aerosol sector (2007-2008 biennial programme)	12 months delays

Appendix II

PROJECTS WITH ADDITIONAL STATUS REPORTS

Agency	Country/project code	Project title	Reasons
Germany	BOT/PHA/60/PRP/14	Preparation of an HCFC phase-out management plan	Actions taken towards the establishment of the licensing system for ODS imports and exports
Germany	Afghanistan	HCFC phase-out management plan	Low disbursement rates of approved funds and project implementation progress
Italy	Ghana	HCFC phase-out management plan	Low disbursement rates of approved funds and project implementation progress
Spain	Mexico	National methyl bromide phase-out plan	Low disbursement rates of approved funds and project implementation progress
UNDP	BRA/REF/47/DEM/275	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	Low disbursement rates of approved funds and project implementation progress
UNDP	COL/REF/47/DEM/65	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	Low disbursement rates of approved funds and project implementation progress
UNDP	Barbados	HCFC phase-out management plan	Signing of the project document/letter of agreement and low disbursement rates of approved funds
UNDP	Belize	HCFC phase-out management plan	Low disbursement rates of approved funds
UNDP	Peru	HCFC phase-out management plan	Signing of the project document/letter of agreement and low disbursement rates of approved funds
UNDP	Nepal	HCFC phase-out management plan	Low disbursement rates of approved funds
UNDP	Saint Kitts and Nevis	HCFC phase-out management plan	Signing of the project document/letter of agreement and low disbursement rates of approved funds
UNDP	BRA/SEV/66/INS/297	Extension of institutional strengthening project (phase VII: 1/2012-12/2013)	Low disbursement rates of approved funds and project implementation progress
UNDP	GEO/SEV/69/INS/34	Extension of the institutional strengthening project (phase VIII: 7/2013-6/2015)	Low disbursement rates of approved funds and project implementation progress
UNEP	Chile	Methyl bromide phase-out plan	Low disbursement rates of approved funds
UNEP	Guatemala	Methyl bromide phase-out plan	Low disbursement rates of approved funds
UNEP	EUR/DES/69/DEM/13	Demonstration of a regional strategy for ODS waste management and disposal in the Europe and Central Asia region	Low disbursement rates of approved funds
UNEP	MAU/PHA/55/PRP/20	Preparation of an HCFC phase-out management plan	Submission of the HCFC phase-out management plan

Agency	Country/project code	Project title	Reasons
UNEP	SSD/PHA/70/PRP/02	Preparation of an HCFC phase-out management plan	Submission of the HCFC phase-out management plan
UNEP	Bahrain	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Barbados	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Dominica	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	El Salvador	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Eritrea	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Guatemala	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Haiti	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Iraq	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Kuwait	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Myanmar	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Philippines (the)	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Peru	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Suriname	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Turkey	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Uganda	HCFC phase-out management plan	Low disbursement rates of approved funds
UNEP	Venezuela (Bolivarian Republic of)	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	Yemen	HCFC phase-out management plan	Agreement signing and low disbursement rates of approved funds
UNEP	ANG/SEV/69/INS/11	Extension of the institutional strengthening project (phase IV: 4/2013-3/2015)	Low disbursement rates of approved funds
UNEP	BAH/SEV/68/INS/25	Extension of institutional strengthening project (phase VII: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	BOT/SEV/68/INS/15	Extension of institutional strengthening project (phase IV: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	BRU/SEV/67/INS/14	Renewal of the institutional strengthening project (phase III: 1/2013-12/2014)	Low disbursement rates of approved funds

Agency	Country/project code	Project title	Reasons
UNEP	CAF/SEV/68/INS/23	Extension of the institutional strengthening project (phase VI: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	DRK/SEV/68/INS/57	Extension of institutional strengthening project (phases VI and VII: 1/2010-12/2013)	Low disbursement rates of approved funds
UNEP	ECU/SEV/59/INS/43	Extension of institutional strengthening project (phase V)	Low disbursement rates of approved funds
UNEP	ERI/SEV/68/INS/12	Institutional strengthening (phase II: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	FIJ/SEV/67/INS/24	Extension of the institutional strengthening project (phase VIII: 12/2012-11/2014)	Low disbursement rates of approved funds
UNEP	IRQ/SEV/69/INS/18	Extension of institutional strengthening project (phase II: 6/2013-5/2015)	Low disbursement rates of approved funds
UNEP	JAM/SEV/68/INS/31	Extension of institutional strengthening project (phase VIII: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	KEN/SEV/69/INS/54	Extension of institutional strengthening project (phase IX: 4/2013-3/2015)	Low disbursement rates of approved funds
UNEP	KUW/SEV/68/INS/22	Extension of institutional strengthening project (phase V: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	LIR/SEV/69/INS/20	Extension of the institutional strengthening project (phase V: 4/2013-3/2015))	Low disbursement rates of approved funds
UNEP	MAU/SEV/57/INS/23	Renewal of the institutional strengthening project (phase V)	Low disbursement rates of approved funds
UNEP	MOR/SEV/59/INS/63	Renewal of the institutional strengthening project (phase IV)	Low disbursement rates of approved funds
UNEP	PER/SEV/68/INS/45	Renewal of institutional strengthening project (phase IV: 1/2013-12/2014)	Low disbursement rates of approved funds
UNEP	SAU/SEV/67/INS/15	Extension of the institutional strengthening project (phase II: 7/2012-6/2014)	Low disbursement rates of approved funds
UNEP	SIL/SEV/69/INS/26	Extension of institutional strengthening project (phase V: 4/2013-3/2015)	Low disbursement rates of approved funds
UNEP	TLS/SEV/69/INS/10	Extension of institutional strengthening (phase III: 4/2013-3/2015)	Low disbursement rates of approved funds
UNEP	VIE/SEV/68/INS/60	Extension of the institutional strengthening project (phase IX: 7/2013-6/2015)	Low disbursement rates of approved funds
UNIDO	CPR/DES/67/DEM/520	Pilot demonstration project on ODS waste management and disposal	Low disbursement rates of approved funds
UNIDO	NIR/DES/67/DEM/133	Demonstration project for disposal of unwanted ODS	Low disbursement rates of approved funds
UNIDO	EUR/DES/69/DEM/14	Demonstration of a regional strategy for ODS waste management and disposal in the Europe and Central Asia region	Low disbursement rates of approved funds
UNIDO	ASP/REF/69/DEM/57	Promoting low-global warming potential refrigerants for air-conditioning sectors in high-ambient temperature countries in West Asia	Low disbursement rates of approved funds
UNIDO	Algeria	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Bahrain	HCFC phase-out management plan	Low disbursement rates of approved funds

Agency	Country/project code	Project title	Reasons
UNIDO	Eritrea	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Ethiopia	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Indonesia	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Iraq	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Kuwait	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Somalia	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	South Africa	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Uganda	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	Yemen	HCFC phase-out management plan	Low disbursement rates of approved funds
UNIDO	QAT/SEV/59/INS/15	Renewal of institutional strengthening project (phase III)	Low disbursement rates of approved funds
UNIDO	TUN/SEV/66/INS/53	Extension of institutional strengthening project (phase VII: 7/2012-6/2014)	Low disbursement rates of approved funds
World Bank	GLO/REF/47/DEM/268	Global chiller replacement project (China, India, Indonesia, Malaysia and Philippines (the))	Low disbursement rates of approved funds; and signing of the grant agreement for the Argentina component
World Bank	Argentina	HCFC phase-out management plan	Signing of the grant agreement
World Bank	Indonesia	HCFC phase-out management plan	Low disbursement rates of approved funds
World Bank	Jordan	HCFC phase-out management plan	Low disbursement rates of approved funds
World Bank	Thailand	HCFC phase-out management plan	Signing of the grant agreement
