



联合国
环境规划署



Distr.
GENERAL
UNEP/OzL.Pro/ExCom/73/4
16 October 2014
CHINESE
ORIGINAL: ENGLISH

执行蒙特利尔议定书
多边基金执行委员会
第七十三次会议
2014年11月9日至13日，巴黎

关于余额和资金供应情况的报告

导言

1. 本文件载有双边机构和实施机构提出，并经秘书处同意的财务调整方案摘要。根据第28/7和第56/2(c)号决定要求，文件载有关于在项目完成以后超过12个月的允许期仍然持有余额的双边机构和实施机构项目的统计数据。¹文件还载述了按照第70/7(b)(ii)和(iii)和71/11(b)号决定应退还的本文件称为“次级决定项目”的各项目的余额。按照第41/92(b)号决定，本文件还列明了《出资和拨款情况》记录的执行委员会可用的现金和期票金额。²

2. 本文件载有以下五份附件：

附件一 双边机构和实施机构持有的已完成项目的承付和未承付余额

附件二 双边机构和实施机构持有的次级决定项目的承付和未承付余额

¹本数据是按照根据第31/2号决定制定的报告格式提出的，其中包括已承付余额和未承付余额。

² UNEP/OzL.Pro/ExCom/73/3。

- 附件三 双边机构和实施机构退还的已完成项目的资金
- 附件四 双边机构和实施机构退还的次级决定项目的资金
- 附件五 2009年以前核准的在建最后逐步淘汰管理计划和国家逐步淘汰计划各部分退还资金余额的现状以及余额尚未退还给第73次会议的核准的氟氯烃逐步淘汰管理计划的项目准备情况

将退还给第73次会议的资金

3. 实施机构应退还给第73次会议的资金总额相当于3,516,308美元，其中包括已完成项目应退还的2,613,249美元加上机构支助费用649,930美元，“次级决定”项目应退还的224,055美元加上机构支助费用29,074美元，见表1。双边机构将不退还任何资金。

表1. 实施机构将退还给第73次会议的资金(美元)

机构	已完成项目退还的资金	次级决定项目退还的资金	资金总额
项目费用			
开发署	168,171	0	168,171
环境署	271,563	222,703	494,266
工发组织	30,435	1,352	31,787
世界银行	2,143,080	0	2,143,080
小计	2,613,249	224,055	2,837,304
机构支助费用			
开发署	34,949	0	34,949
环境署	27,974	28,952	56,926

工发组织	2,303	122	2,425
世界银行	584,704	0	584,704
小计	649,930	29,074	679,004
合计	3,263,179	253,129	3,516,308

4. 表2列明了实施机构仍然持有应予退还余额的已完成项目和次级决定项目的数量。两个实施机构（环境署和工发组织）的项目已经完成了两年多，但仍然持有余额；³所有实施机构的次级决定项目都持有本应于2013年底之前退还的余额。

表2. 按项目完成年份分列的余额

完成年份	开发署		环境署		工发组织		世界银行		合计	
	数量	(美元)	数量	(美元)	数量	(美元)	数量	(美元)	数量	(美元)
2年多前完成的项目										
2011					3	19,395			3	19,395
2012年1月1日至9月30日	1	50,058			1	14,205			2	64,263
小计	1	50,058			4	33,600			5	83,658
完成不到两年的项目										
2012年10月1日至12月31日					3	26,411			3	26,411
2013年1月1日至12月31日	9	437,453	1	50,000	15	1,711,672			25	2,199,125
小计	9	437,453	1	50,000	18	1,738,083			28	2,225,536
已完成合计	10	487,511	1	50,000	22	1,771,683			33	2,309,194

³执行委员会在第66/2(b)号决定中请长期没有退还已完成项目的承付余额的机构尽快退还余额。附件一和附件二载有已完成项目和次级决定项目的余额分类表。

次级决定项目(在建)										
	9	1,737,015	14	1,971,344	13	2,649,930	1	471,874	37	6,830,163
总计	19	2,224,526	15	2,021,344	35	4,421,613	1	471,874	70	9,139,357

5. 以下是关于超过两年的已完成项目的说明：

- (a) 开发署已完成项目的余额从8,332美元增加到50,058美元，而项目的数量从十个减少到一个。秘书处正在与开发署讨论余额问题，以便在第73次会议之前退还给基金；
- (b) 环境署超过两年的已完成项目没有任何余额，但持有2013年完成的沙特阿拉伯的一个机构加强项目(SAU/SEV/53/INS/02)的未承付余额50,000美元。环境署解释说，这笔未承付余额有待于该国政府提交最后财务报告，而环境署正在密切关注这一事项，以便在2014年底之前解决未决问题，从而使环境署能够在第74次会议之前支付未决余额；
- (c) 工发组织有四个超过两年的已完成项目。工发组织的已完成项目余额从161,247美元减少到33,600美元，而项目数量从七个减少到四个；以及
- (d) 世界银行没有超过两年的项目。

6. 以下是关于次级决定项目的说明。

- (a) 开发署有9个在建项目，余额总计为1,737,015美元。这些项目是在第32次会议(2000年)和第58次会议(2009年)之间核准的；
- (b) 环境署有14个在建项目，余额总计为1,971,344美元，包括1,370,226美元的承付余额和601,118美元的未承付余额；
- (c) 工发组织有13个在建项目，余额总计为2,649,930美元。其中三个项目的余额本应在2013年底之前退还，而其余十个项目的余额应该在2014年12月31日之前退还；以

及

(d) 世界银行有一个印度的项目(IND/PHA/58/INV/434)，承付余额为415,000美元，而未承付余额为56,874美元，原先提议在2014年3月结束该项目，后来延长到2014年9月，有待于提出申请。然而按照第70/7(b)(ii)号决定(其中规定“不得承付任何款项”；)，这笔未承付余额应该退还给第73次会议。

7. 所有实施机构的已完成项目和次级决定项目都有承付余额。除非首先偿付或取消，否则无法退还已承付余额。因此请实施机构偿付或取消这些项目之下的承付款项，将余额退还给第74次会议。

8. 表3载列了双边机构持有的余额。⁴

表3. 双边机构持有的余额（美元）

完成年份	法国	德国	意大利	日本	西班牙	捷克共和国	以色列	合计
已结清项目	75,000							75,000
2008							68,853	68,853
2010	160,381							160,381
2011	5,571		2,677					8,248
2012年1月1日至9月30日	138,033							138,033
超过两年的已完成项目	378,985		2,677				68,853	450,515
2012年10月1日至12月31日			10,772		32	939		11,743

⁴第56/2号决定请双边机构向执行委员会报告其提议处置已完成项目的余额的情况。

2013		1,602				5,590		7,192
小计	378,985	1,602	13,449		32	6,529	68,853	469,450
机构支助费用	46,308	253	5,986		4	849	13,156	66,556
已完成项目合计	425,293	1,855	19,436		36	7,378	82,009	536,006
次级决定项目（不包括方案支助费用）			755,070	2,108,032				2,863,102
总计	425,293	1,855	774,506	2,108,032	36	7,378	82,009	3,399,108

9. 关于双边机构持有的余额：

- (a) 捷克共和国政府通知，它正在与环境署磋商余额问题，并将尽快作出答复；
- (b) 法国政府通知，它将不会退还2014年非洲区域的一个已结清项目（AFR/SEV/53/TAS/39）和四个已完成项目的未用余额75,000美元，因为他们计划将这些余额用于今后的双边项目；
- (c) 德国政府通知，它将不会退还也门的一个项目（YEM/FUM/62/INV/37）2014年的余额1,855美元，而在退还余额之前需要更多的时间来调查项目现状；
- (d) 意大利政府通知，它不会向第73次会议退还任何余额，因为项目的原有合同有一些付款没有支付，因此必须将这笔余额结转到2014年12月底。意大利有一个超过两年的已完成项目（CMR/FUM/52/INV/27）持有余额；
- (e) 以色列政府通知，余额将用来抵消以色列今后的双边项目；
- (f) 日本政府通知，它将不会退还两个次级决定项目（AFR/REF/48/DEM/35）和（IND/PHA/45/INV/389）2014年的余额资金，然而将在第74次会议上退还项目（AFR/REF/48/DEM/35）的余额；以及

(g) 西班牙政府正在采取相关的行动，以便退还余额。

资源的供应情况

10. 捐款和付款的现状表明，截至2014年10月8日，现有余额相当于76,544,874美元。实施机构退还的净余额总计为3,516,308美元，包括机构支助费用。考虑到基金的余额⁵和实施机构退还的全部金额，可供执行委员会在第73次会议上核准的全部资源为80,061,182美元。

秘书处的评论

11. 在蒙特利尔举行的机构间协调会议上（2014年9月），秘书处提请实施机构注意，不管遇到任何困难，与已完成项目和“次级决定”项目有关的余额都应该退还。

12. 关于已完成项目的已承付余额和“次级确定”项目的余额为何不能退还给第73次会议的理由，工发组织表示，在许多情况下，它无法遵守执行委员会的相关决定规定的截止日期，同时遵守工发组织现有行政程序（例如工发组织不能合法地取消具有约束力的承付款项）。此外，其余项目在实施工作中面临着难以预料的困难和拖延，例如必须重新进行测试，由于安全原因而拆卸设备；技术缺陷。

13. 秘书处指出，执行委员会在第31次会议上通过了关于退还已完成项目未承付余额的准则。这些准则要求实施机构尽快退还未承付余额，但最迟不得超过项目完成以后一年（第31/2(a)(i)号决定）。执行委员会不妨考虑在实施机构的今后拨款中扣发没有退还给第74次会议的超过一年的已完成项目的未承付余额。

14. 考虑到截止本文件最后定稿时，提交第73次会议的各个项目和活动的总体供资水平相当于69,838,690美元，包括支助费用，现在有充分的资源可供第73次会议核准，并用于基金秘书处2017年预算7,190,229美元以及高级监督和评估干事2015年预算。

⁵ UNEP/OzL.Pro/ExCom/73/3。

建议

15. 执行委员会不妨：

(a) 注意到：

- (一) 文件UNEP/OzL.Pro/ExCom/73/4载列的关于余额和资金供应情况的报告；
- (二) 实施机构退还给第73次会议的已完成项目的净金额为3,263,179美元，其中包括开发署退还的168,171美元加上机构支助费用34,949美元；环境署退还的271,563美元加上机构支助费用27,974；工发组织退还的30,435美元加上机构支助费用2,303美元；以及世界银行退还的2,143,080美元加上机构支助费用584,704美元；
- (三) 实施机构退还给第73次会议的次级决定项目的净资金为253,129美元，其中包括环境署退还的222,703美元加上机构支助费用28,952美元以及工发组织退还的1,352美元加上机构支助费用122美元；
- (四) 实施机构两年多前完成项目的余额总计为83,658美元，不包括支助费用，其中包括开发署的50,058美元和工发组织的33,600美元；
- (五) 法国政府两年多前完成的4个已完成项目和一个已结清项目的余额总计为378,985美元，不包括支助费用；
- (六) 意大利政府两年多前完成的一个已完成项目的余额总计为2,677美元，不包括支助费用；
- (七) 以色列政府两年多前完成的一个已完成项目的余额总计为68,853美元，不包括支助费用；

(b) 请：

(一) 拥有两年多前完成项目的双边和实施机构将余额退还给第74次会议；

(二) 双边和实施机构偿付或取消已完成项目和“次级确定”项目不需要的承付款项，以便按照第70/7(b) (ii) 和 (iii) 和71/11(b) 号决定将余额退还给第74次会议；

(三) 双边和实施机构将所有已结清项目的余额退还给第74次会议；以及

(四) 环境署和世界银行将所有未承付余额退还给第74次会议；

(c) 审议是否在实施机构的今后拨款中扣发没有退还给第74次会议的一年多以前完成项目的未承付余额资金。

Annex I

OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IAs AND BILATERAL AGENCIES FOR COMPLETED PROJECTS

UNDP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any un-obligated balances could not be returned?	When they could be returned ?	Project subject to Decision
BAR/REF/43/TAS/12	Implementation of the RMP: monitoring the activities in the RMP	COM	Dec-13	26,150	0	26,150				
BGD/ARS/52/INV/26	Phase-out of CFC consumption in the manufacture of aerosol MDIs (Beximco, Square Pharmaceutical and Acme Pharmaceutical)	COM	Dec-13	2,776,778	2,480,803	295,975				
BRA/SEV/60/INS/294	Extension of institutional strengthening project (phase VI)	COM	May-13	307,125	290,484	16,641				
CHI/SEV/63/INS/176	Extension of the institutional strengthening project (phase IX)	COM	Mar-13	186,550	186,499	51				
COL/FOA/60/INV/76	Conversion plan from HCFCs to hydrocarbons in the production of polyurethane rigid insulation foam in the domestic refrigeration subsector (Mabe Colombia, IndustriasHaceb, Challenger and Indusel S.A.)	COM	Jun-13	5,621,483	5,611,944	9,539				
COS/PHA/64/INV/45	HCFC phase-out management plan (foam sector) (stage I, first tranche)	COM	Jul-13	593,523	593,522	1				
CPR/SEV/62/INS/504	Extension of the institutional strengthening project (phase IX)	COM	Jun-13	390,000	389,428	572				
NIR/SEV/62/INS/130	Extension of the institutional strengthening project (phase VI)	COM	Jul-13	260,000	194,032	65,968				
PHI/REF/59/PRP/87	Preparation for HCFC phase-out investment activities (refrigeration and air-conditioning sectors except residential air conditioning)	COM	Jun-12	65,000	14,942	50,058				70/7(b)(iii)
VEN/SEV/62/INS/117	Renewal of institutional strengthening project (phase X)	COM	Mar-13	285,480	262,924	22,556				
TOTAL						487,511				

UNEP

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any un-obligated balances could not be returned?	When they could be returned?	Project subject to Decision
SAU/SEV/53/INS/02	Institutional strengthening (establishment of Ozone Unit)	COM	Feb-13	300,000	250,000		50,000	This balance is pending final financial report by the Saudi Arabia Environment Authority and the opening of the special account of ozone projects in Saudi Arabia as part of the internal reorganizational arrangements.	UNEP is closely following-up with the government of Saudi Arabia about the issue and there is strong chance to sort out the ozone account issue before the end of 2014 which will enable UNEP to disburse the pending IS-0 balance. This is likely to take place prior to 74th ExCom	
TOTAL							50,000			

UNIDO

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any un-obligated balances could not be returned?	When they could be returned?*	Project subject to Decision
ALG/REF/44/INV/62	Conversion of CFC-11 to HCFC-141b and CFC-12 to HFC-134a technology in the last group of commercial refrigerator manufactures (refrigeration sector terminal project)	COM	Dec-12	589,000	585,370	3,630		3	74	
ARG/PHA/47/INV/147	National CFC phase-out plan: 2006 work programme	COM	Dec-13	1,850,000	1,713,189	136,811		MY	n/a	70/7(b)(ii)a.
ARG/SOL/41/INV/137	Plan for phase-out of ODS in the solvent sector	COM	Dec-13	635,986	612,891	23,095		4	75	
BHE/PHA/44/INV/21	National ODS Phase-out Plan: second tranche	COM	Dec-13	295,860	287,736	8,124		MY	74	70/7(b)(ii)a.
BHE/PHA/52/INV/22	National ODS phase-out plan (third tranche)	COM	Dec-13	303,000	284,596	18,403		MY	75	70/7(b)(ii)a.
CPR/PRO/56/INV/475	Sector plan for methyl bromide production sector (second tranche)	COM	Mar-13	3,000,000	2,356,830	643,170		MY	75	
EGY/PHA/54/INV/95	National CFC phase-out plan (third tranche)	COM	Dec-13	600,000	489,069	110,931		MY	75	70/7(b)(ii)a.
EGY/PHA/60/INV/101	National CFC phase-out plan (fourth and fifth tranches)	COM	Dec-13	300,000	297,940	2,060		MY	74	71/11(b)
IND/PHA/45/INV/385	CTC phase-out for the consumption and production sectors: 2005 annual programme	COM	Dec-13	3,500,000	3,420,356	79,643		MY	74	70/7(b)(ii)c.
IRQ/PHA/59/PRP/11	Preparation of a HCFC phase-out management plan (additional funding)	COM	Dec-11	65,000	64,069	931		n/a	n/a	70/7(b)(iii)
LIB/PHA/54/INV/28	National ODS phase-out plan: 3rd tranche	COM	Dec-13	277,947	254,710	23,238		MY	75	70/7(b)(ii)a.
MEX/PHA/45/INV/123	National CFC phase-out plan: 2nd tranche	COM	Dec-11	4,278,000	4,274,592	3,408		MY	n/a	
MEX/SEV/62/INS/152	Extension of institutional strengthening project (phase X)	COM	Jun-12	247,000	232,795	14,205		4	74	
MLW/PHA/62/INV/32	HCFC phase-out management plan (stage I, first tranche)	COM	May-13	60,000	58,723	1,277		MY	74	
MOG/PHA/58/INV/06	Terminal phase-out plan for CFCs (second tranche)	COM	Dec-13	95,295	93,826	1,469		MY	74	71/11(b)
NIR/SOL/52/INV/116	Terminal ODS phase-out umbrella project in the solvent sector (fourth tranche)	COM	Nov-12	303,200	288,813	14,387		MY	74	
PAK/PHA/62/INV/79	HCFC phase-out management plan (stage I, first tranche)	COM	Mar-13	68,000	63,157	4,843		MY	74	
QAT/PHA/53/INV/09	Terminal phase-out management plan (first tranche)	COM	Dec-13	242,500	238,599	3,901		MY	74	70/7(b)(ii)a.
SAU/PHA/53/INV/03	National phase-out plan	COM	Dec-13	1,287,000	1,256,042	30,958		MY	75	70/7(b)(ii)a.
SOA/PHA/55/PRP/01	Preparation of a HCFC phase-out management plan	COM	Dec-12	195,000	186,606	8,394		n/a	n/a	70/7(b)(iii)
YUG/PHA/47/INV/28	National CFC phase-out plan (second tranche)	COM	Dec-13	759,000	135,251	623,749		MY	74	70/7(b)(ii)a.

Annex I

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73rd Meeting (US\$)	Un-obligated Balance as of 73rd Meeting (US\$)	Why any un-obligated balances could not be returned?	When they could be returned?*	Project subject to Decision
YUG/SEV/59/INS/34	Extension of institutional strengthening project (phase III)	COM	Nov-11	131,300	116,244	15,056		4	75	
Total						1,771,683				

2 Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

MY = Multiyear

FRANCE

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any un-obligated balances could not be returned/disbursed?	When they could be returned/disbursed?
AFR/SEV/53/TAS/39		CLO		75,000	0	75,000			
ETH/PHA/51/PRP/17		COM	Jul-10	30,000	17,600	12,400			
IRA/PHA/45/INV/171		COM	Jun-10	500,000	352,019	147,981			
KEN/PHA/57/INV/46		COM	Mar-12	179,086	41,053	138,033			
UGA/PHA/59/INV/15		FIN	Apr-11	62,500	56,929	5,571			
Total						378,985			

GERMANY

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any un-obligated balances could not be returned/disbursed?	When they could be returned/disbursed?
YEM/FUM/62/INV/37		COM	Aug-13	200,000	198,398.398	1,602 1,602			
Total						1,602			

ITALY

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any un-obligated balances could not be returned/disbursed?	When they could be returned/disbursed?
CMR/FUM/52/INV/27	Total phase-out of methyl bromide used in stored commodities fumigation	COM	Dec-11	259,713	257,036	2,677			
GHA/PHA/61/TAS/31	HCFC phase-out management plan (phase I, first	COM	Dec-12	70,000	69,826	174			

	tranche)								
MEX/FUM/54/INV/137	National methyl bromide phase-out plan (first tranche)	FIN	Nov-12	1,000,000	993,996	6,004			
SEN/PHA/57/INV/28	Terminal phase-out management plan for CFCs (second tranche)	COM	Dec-12	135,000	130,406	4,594			
Total						13,449			

SPAIN

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any un-obligated balances could not be returned/disbursed?	When they could be returned/disbursed?
MEX/FUM/60/INV/151	National methyl bromide phase-out plan (second tranche)	COM	Dec-11	800,000	799,968	32			
Total						32			

ISRAEL

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any un-obligated balances could not be returned/disbursed?	When they could be returned/disbursed?
GLO/FUM/56/TAS/296	International methyl bromide compliance assistance workshop	COM	Dec-08	101,200	32,347	68,853			
Total						68,853			

CZECH REPUBLIC

Code	Project Title	Status	Date Completed (Actual)	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any un-obligated balances could not be returned/disbursed?	When they could be returned/disbursed?
EUR/DES/65/PRP/11	Strategy for disposal and destruction of ODS for 4 LVC countries in the Europe and Central Asia region (Bosnia and Herzegovina, Croatia, Montenegro and Turkmenistan)	COM	May-13	35,000	29,410	5,590			
EUR/SEV/60/TAS/10	Initiating regional cooperation to enforce ODS trade controls in Europe and Central Asia network countries (second tranche)	COM	Oct-12	77,615	76,676	939			
Total						6,529			

Annex II

OBLIGATED AND UN-OBLIGATED BALANCES HELD BY IAs AND BILATERAL AGENCIES FOR BY-DECISIONS PROJECTS

UNDP

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
BOL/FOA/57/PRP/34	Preparation for HCFC phase-out investment activities (foam sector)	ONG	Dec-13	50,000	15,863	34,137			70/7(b)(iii)
BRA/REF/47/DEM/275	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers.	ONG	Last meeting in 2017	1,000,000	231,331	768,669			71/10(c)(i)b.
BRU/REF/44/TAS/10	Implementation of the RMP: technical assistance for the refrigeration service and MAC sectors	ONG	Jul-15	390,000	279,192	110,808			
COL/REF/47/DEM/65	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	ONG	Last meeting in 2017	1,000,000	965,767	34,233			71/10(c)(ii)b.
HAI/PHA/58/INV/14	Terminal phase-out management plan for Annex A Group I substances (first tranche)	ONG		150,000	20,102	129,898			71/11(b)
IND/ARS/56/INV/423	Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs	ONG	Dec-15	8,082,267	7,839,990	242,277			
MDV/REF/38/TAS/05	Implementation of the RMP: awareness and incentive programme	ONG	Jul-15	115,000	26,644	88,356			
PAK/ARS/56/INV/71	Plan for phase-out of CFCs in the manufacture of	ONG		449,996					

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
	pharmaceutical MDIs		Jul-15		128,440	321,556			
SRL/REF/32/TAS/15	Implementation of the RMP: incentive programme for the commercial and industrial end-user refrigeration	ONG	Jul-15	250,000	242,919	7,081			
TOTAL						1,737,015			

UNEP

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
BAH/PHA/50/TAS/17	Terminal phase-out management plan (first tranche)	ONG	Dec-15	210,000	80,000	10,000	70,000	US\$ 70,000 still needed for the training equipment purchase which planned for completion before 74th Excom. The equipment purchase delayed due to several reasons. Equipment are part of the upgrade required of existing training centers that will be utilized in the HPMP for the same purpose i.e. good practice training with no resources in the HPMP to do so. In addition to that, US\$ 10,000 still needed as part of the funds relocated from TPMP of Bahrain to the implementation of the HPMP as per decision 68/33, the US\$ 10,000 is currently part of the HPMP country agreement.	70/7(b)(ii)a.
BAH/PHA/59/TAS/21	Terminal phase-out management plan (second tranche)	ONG		95,000	0	95,000	0	The total amount of US\$ 95,000 is part of the funds relocated from TPMP of Bahrain to the implementation of the HPMP as per decision 68/33.	71/11(b)
GLO/SEV/66/TAS/314	Development of a guide for sustainable refrigerated facilities and systems, in cooperation with the American Society of Heating, Refrigeration and Air Conditioning Engineers	ONG		200,000	0	195,000	5,000	Delay in signing the agreement between UNEP and ASHRAE which is due delay in clearing the legal contracts between UNEP and ASHRAE and the	71/11(c)

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
	(ASHRAE)							legal documents between ASHRAE and the contracted institute for developing the guide. The ASHRAE-UNEP contract is now planned to be signed before end of 2014. Despite of the delay in concluding the legal contracts, the work on the guide is progressing through the special sub-committee established by ASHRAE and guide is planned to be completed during 2015.	
GUA/FUM/59/TAS/39	National phase-out of methyl bromide (phase II, first tranche)	ONG		70,000	0	0	35,000	UNEP in discussion with the Government of Guatemala to establish monitoring tools to assist the Government in the control of the use of MB and completely phase out use in 2015.	71/7(a)(iii)
IND/ARS/56/TAS/425	National strategy for transition to non-CFC MDIs	ONG	Dec-13	120,000	76,599	43,401		US\$ 43,401 balance is part of the country agreement with India	
IRQ/PHA/58/TAS/10	National phase-out plan (first tranche)	ONG		1,136,000	880,461	0	255,539	All funds are obligated under the country contract with Iraq for the implementation of NPP of Iraq (NPP-1 and NPP-2). The total amount for the country contract includes all funds approved in both NPP-1 and NPP-2 and distributed into 2 parts US\$ 942,433 for the direct national execution by the Ministry of	71/11(b)

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
								Environment of Iraq and US\$ 698,567 for UNEP direct implementation which is mainly to cover organization of specialized training /technical assistance workshops coordination meetings outside Iraq due to security considerations as well as international consultancy services and procurement of training equipment which are already provided. The amounts for UNEP direct implementation will appear as unobligated in the UNEP financial system until the amounts are ready to be paid. Some of the remaining activities like "Technical Assistance component on the use, handling and servicing of R600 in domestic refrigerators including training and equipment for 10-15 authorized servicing companies" are pending till the completion of the commissioning and operation of the local refrigerators factory which is delayed due to delay in customs clearance of the equipment purchased by UNIDO	
IRQ/PHA/63/TAS/14	National phase-out plan (second tranche)	ONG		505,000	19,853	309,568	175,579	All funds are obligated under the country contract with Iraq for the implementation of NPP	71/11(b)

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
								<p>of Iraq (NPP-1 and NPP-2). The total amount for the country contract includes all funds approved in both NPP-1 and NPP-2 and distributed into 2 parts US\$ 942,433 for the direct national execution by the Ministry of Environment of Iraq and US\$ 698,567 for UNEP direct implementation which is mainly to cover organization of specialized training /technical assistance workshops coordination meetings outside Iraq due to security considerations as well as international consultancy services and procurement of training equipment which are already provided. The amounts for UNEP direct implementation will appear as unobligated in the UNEP financial system until the amounts are ready to be paid. Some of the remaining activities like "Technical Assistance component on the use, handling and servicing of R600 in domestic refrigerators including training and equipment for 10-15 authorized servicing companies" are pending till the completion of the commissioning and operation of the local</p>	

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
								refrigerators factory which is delayed due to delay in customs clearance of the equipment purchased by UNIDO	
KUW/PHA/52/TAS/10	Terminal phase-out management plan for Annex A Group I substances (first tranche)	ONG		240,000	1,757	238,243	0	The total amount of remaining balances is part of the funds relocated from TPMP of Kuwait to the implementation of the HPMP as per decision 66/47 which is currently part of the HPMP country contract under signature by UNEP	70/7(b)(ii)a.
PHI/PHA/66/INV/94	National CFC phase-out plan: Final implementation plan	ONG		757,984	523,800	234,184		Final payment under the agreement is under process.	70/7(b)(ii)a. and 71/8(b)
QAT/PHA/53/TAS/10	Terminal phase-out management plan (first tranche)	ONG		65,000	15,000	0	5,000	The remaining balance of unspent US\$ 5,000 is part of US\$ 60,000 remaining balances from TPMP-1 and TPMP-2 of Qatar relocated from TPMP of Qatar to the implementation of the HPMP as per decision 65/45. Those funds are currently part of the HPMP country contract under review by the country and planned to be signed before end of 2014	70/7(b)(ii)a.
QAT/PHA/59/TAS/14	Terminal phase-out management plan (second tranche)	ONG	Dec-15	55,000	0	0	55,000	The remaining balance of unspent US\$ 55,000 is part of US\$ 60,000 remaining balances from TPMP-1 and TPMP-2 of Qatar relocated from TPMP of Qatar to the implementation of the	71/11(b)

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
								HPMP as per decision 65/45. Those funds are currently part of the HPMP country contract under review by the country and planned to be signed before end of 2014	
SAU/PHA/61/TAS/09	National phase-out plan (second tranche)	ONG		40,500	15,812	22,042		Remaining activities under this project have been integrated into the HPMP.	71/11(b)
YEM/PHA/55/TAS/31	National ODS phase-out plan (first tranche)	ONG		315,000	232,212	82,788	0	This amount corresponds to balances from the expired NPP-1 country contract between UNEP and Yemen that couldn't be disbursed prior to the expiry of the contract due to instability in the country over the last 3 years. The remaining balances are incorporated in the new country contract for HPMP which includes relocated NPP-2 balances as per HPMP approval decision 68/41. The balances are against committed activities from the NPP-1 under the expired contract.	70/7(b)(ii)a.
YEM/PHA/60/TAS/35	National ODS phase-out plan (second tranche)	ONG		140,000	0	140,000	0	The remaining balance of unspent US\$ 140,000 is the balances from NPP-2 of Yemen relocated to the implementation of the HPMP as per decision 68/41. Those funds are currently part of the HPMP country contract under signature by UNEP.	71/11(b)
TOTAL						1,370,226	601,118		

UNIDO

Code	Project Title	Status	Completion date by decisions	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
AFR/REF/48/DEM/37	Strategic demonstration project for accelerated conversion of CFC chillers in 5 African Countries (Cameroon, Egypt, Namibia, Nigeria and Sudan)			747,500	617,300	130,200		4	71/10(d)(i)
ARG/PHA/50/INV/150	National CFC phase-out plan: 2007 work programme		Dec-13	1,103,500	869,775	233,725		MY	70/7(b)(ii)a.
ARG/PHA/53/INV/152	National CFC phase-out plan: 2008 work programme		Dec-13	1,167,350	526,197	641,153		MY	70/7(b)(ii)a.
EGY/ARS/50/INV/92	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)		Apr-14	5,899,000	5,643,373	255,627		4	
EUR/REF/47/DEM/06	Demonstration project on the replacement of CFC centrifugal chillers in Croatia, Macedonia, Romania, and Serbia and Montenegro			1,069,074	884,450	184,624		4	71/10(b)(ii)
IRA/HAL/63/TAS/198	Halon management programme		Mar-14	397,500	268,149	129,351		4	71/11(b)
IVC/PHA/58/INV/34	Terminal phase-out management plan (second tranche)		Mar-14	121,000	109,599	11,401		MY	71/11(b)
PER/PHA/65/INV/44	Terminal phase-out management plan for Annex A Group I substances (first tranche)		Mar-14	183,500	171,084	12,416		MY	71/11(b)
TUN/PHA/68/INV/54	National ODS phase-out plan (second tranche)		Mar-14	705,518	53,215	652,303		MY	71/11(b)
URU/REF/60/PRP/55	Preparation for HCFC phase-out investment activities (refrigeration manufacturing sector)			50,000	34,785	15,215		4	70/7(b)(iii)
YEM/PHA/55/INV/28	National ODS phase-out plan (first tranche)		Jun-14	1,137,500	1,011,156	126,344		MY	70/7(b)(ii)a.
YEM/PHA/60/INV/36	National ODS phase-out plan		Jun-14	233,000	120,929	112,071		MY	71/11(b)

Code	Project Title	Status	Completion date by decisions	Approved Funding plus Adjustments as of 31 December 2013 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
	(second tranche)								
YUG/PHA/60/INV/36	National CFC phase-out plan (fourth and fifth tranches)		Jun-14	193,500	48,001	145,499		MY	71/11(b)
TOTAL						2,649,930			

*: 2: Financial completion initiated; 3: Financial completion being initiated; 4: Financial completion to be initiated after settlement of all pending issues

MY = Multiyear

WORLD BANK

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Obligated Balance as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	Project subject to Decision
IND/PHA/58/INV/434	CTC phase-out plan for the consumption and production sectors: 2009 annual programme	COM		3,211,874	2,740,000	415,000	56,874	The project was closed on 31 March 2014. Period to make claims for eligible activities carried out before project closure was extended until 30 September 2014.	70/7(b)(ii)c.
TOTAL						415,000	56,874		

FRANCE

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	When they could be returned/disbursed?	Project subject to Decision
AFR/REF/48/DEM/36	Strategic demonstration project for accelerated conversion of CFC chillers in 5 African Countries (Cameroon, Egypt, Namibia, Nigeria and Sudan)	ONG		360,000					71/10(d)(ii)
TOTAL									

ITALY

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	When they could be returned/disbursed?	Project subject to Decision
IND/ARS/56/INV/424	Plan for phase-out of CFCs in the manufacture of pharmaceutical MDIs	ONG		2,000,000	1,244,930	755,070			
TOTAL						755,070			

JAPAN

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	When they could be returned/disbursed?	Project subject to Decision

Code	Project Title	Status	Financial Date of Completion Per Decision	Approved Funding plus Adjustments as of 31 December 2012 (US\$)	Funds Disbursed as of 73 rd Meeting (US\$)	Balance as of 73 rd Meeting (US\$)	Why any balances could not be returned?	When they could be returned/disbursed?	Project subject to Decision
AFR/REF/48/DEM/35	Strategic demonstration project for accelerated conversion of CFC chillers in 5 African Countries (Cameroon, Egypt, Namibia, Nigeria and Sudan)	ONG		700,000	210,819	489,181		74	71/10(d)(ii)
IND/PHA/45/INV/389	CTC phase-out plan for the consumption and production sectors: 2005 annual programme	ONG		2,500,000	881,149	1,618,851			70/7(b)(ii)c.
TOTAL						2,108,032			

Annex III

**DETAILS ON COMPLETED PROJECTS WITH RETURNED BALANCES FROM
IMPLEMENTING AND BILATERAL AGENCIES**

UNDP

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total	Project subject to Decision
BAH/PHA/59/INV/22	Terminal phase-out management plan (second tranche)	COM	6,846	513	7,359	
BAR/REF/43/TAS/11	Implementation of the RMP: technical assistance project to the MAC and end-users sectors	COM	2,925	263	3,188	
BOL/PHA/57/INV/33	Terminal phase-out management plan (second tranche)	COM	5,152	386	5,538	
BRA/FOA/58/DEM/292	Pilot project to validate methyl as blowing agent in the manufacture of polyurethane foams (phase I)	COM	33,805	2,535	36,340	
CHI/REF/48/INV/160	Terminal umbrella project for phase-out of the use of CFC-11, CFC-12 and R-502 (CFC-115) in the manufacture of refrigeration equipment	COM	2,652	199	2,851	
CHI/SEV/57/INS/168	Extension of the institutional strengthening project (phase VIII)	COM	17	1	18	
COL/PHA/47/INV/63	National phase-out plan for Annex A (Group I and II) substances: second implementation programme	COM	119	9	128	
COS/PHA/55/PRP/39	Preparation of a HCFC phase-out management plan	COM	1,958	147	2,105	70/7(b)(iii)
COS/SEV/59/INS/44	Extension of institutional strengthening project (phase VIII)	COM	12	1	13	
CUB/ARS/41/INV/23	Phase-out of CFC consumption in the manufacture of aerosol metered dose inhalers (MDIs)	COM	373	28	401	
CUB/FOA/57/PRP/41	Preparation for HCFC phase-out investment activities (foam sector)	COM	487	37	524	70/7(b)(iii)
CUB/PHA/56/PRP/40	Preparation of a HCFC phase-out management plan	COM	1	0	1	70/7(b)(iii)
CUB/REF/47/DEM/36	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	COM	-75	-6	-81	
DMI/PHA/56/INV/14	Terminal CFC phase-out management plan (second and third tranches)	COM	(18,302)	-1647	-19,949	70/7(b)(ii)a.
DOM/PHA/58/INV/45	Terminal phase-out plan for Annex A (Group I) substances: (fifth tranche)	COM	8,158	612	8,770	
ELS/PHA/55/PRP/23	Preparation of a HCFC phase-out management plan	COM	-200	-15	-215	70/7(b)(iii)
GBS/REF/43/TAS/07	Implementation of the RMP: technical assistance project for MAC and refrigeration service and end-user sub-sectors	COM	480	36	516	
GEO/DES/64/PRP/32	Preparation of an ODS bank management and destruction demonstration project	COM	103	8	111	
GEO/SEV/63/INS/31	Extension of the institutional strengthening project (phase VII)	COM	148	11	159	
GHA/SEV/62/INS/32	Extension of the institutional strengthening project (phase IX)	COM	1,666	125	1,791	
GRN/PHA/59/INV/16	Terminal phase-out management plan (third tranche)	COM	663	60	723	
HAI/REF/39/TAS/04	Implementation of the refrigerant management plan: recovery and recycling of CFC-12 refrigerant	COM	3,239	292	3,531	
IND/REF/50/INV/403	National CFC consumption phase-out plan focusing on the refrigeration service sector: 2007 work programme	COM	67899	5,093	72,992	

UNEP/OzL.Pro/ExCom/73/4
Annex III

LAC/REF/47/DEM/36	Demonstration project for integrated management of the centrifugal chiller sub-sector in the Caribbean, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	FIN	0	22,500	22,500	
MAL/FOA/57/PRP/164	Preparation for HCFC phase-out investment activities (foam sector)	FIN	66,756	5,007	71,763	70/7(b)(iii)
MAL/SEV/58/INS/165	Extension of institutional strengthening project (phase VIII)	COM	232	17	249	
PAN/PHA/55/PRP/28	Preparation of a HCFC phase-out management plan	FIN	5,094	382	5,476	70/7(b)(iii)
PAR/PHA/57/PRP/22	Preparation of a HCFC phase-out management plan (additional funding)	COM	14,983	1,124	16,107	70/7(b)(iii)
PAR/PHA/60/INV/26	Terminal phase-out management plan for Annex A Group I substances (fourth tranche)	COM	5	0	5	
PER/PHA/55/PRP/40	Preparation of a HCFC phase-out management plan	COM	28,052	2,104	30,156	70/7(b)(iii)
STV/PHA/56/INV/15	Terminal phase out management plan for CFCs (second and third tranches)	COM	173	16	189	
TRI/SEV/50/INS/21	Extension of the institutional strengthening project (phase V)	COM	3,073	230	3,303	
TUR/FOA/60/DEM/96	Validation of the use of HFO-1234ze as blowing agent in the manufacture of extruded polystyrene foam board stock (phase I)	COM	391	35	426	
URT/PHA/54/INV/23	Terminal phase-out management plan (first tranche)	COM	(17,042)	-1278	-18,320	70/7(b)(ii)a.
URT/PHA/58/INV/28	Terminal phase-out management plan (second tranche)	COM	(51,673)	-3875	-55,548	71/11(b)
Total			168,171	34,949	203,120	

UNEP

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total	Project subject to Decision
ANT/PHA/55/PRP/12	Preparation of a HCFC phase-out management plan	COM	2,550	332	2,882	70/7(b)(iii)
BAR/PHA/55/PRP/18	Preparation of a HCFC phase-out management plan	COM	2,852	371	3,223	70/7(b)(iii)
BAR/SEV/61/INS/19	Renewal of institutional strengthening project (phase IV)	COM	2,760	0	2,760	
BEN/PHA/63/TAS/25	HCFC phase-out management plan (stage I, first tranche)	COM	3,686	479	4,165	
BHA/PHA/55/PRP/15	Preparation of a HCFC phase-out management plan	COM	2,549	331	2,880	70/7(b)(iii)
BHU/PHA/55/PRP/13	Preparation of a HCFC phase-out management plan	COM	4,000	520	4,520	70/7(b)(iii)
CBI/PHA/64/TAS/16	HCFC phase-out management plan (stage I, first tranche)	COM	3,710	482	4,192	
CHD/PHA/62/TAS/21	HCFC phase-out management plan (stage I, first tranche)	COM	5,000	650	5,650	
DJI/PHA/58/PRP/16	Preparation of a HCFC phase-out management plan (additional funding)	COM	3,526	458	3,984	70/7(b)(iii)
DRK/REF/40/TAS/25	Monitoring the activities in the refrigeration servicing subsector	COM	5,000	650	5,650	
ECU/PHA/61/TAS/48	National CFC phase-out plan (third tranche)	COM	2	0	2	71/11(b)
ECU/PHA/61/TAS/52	National CFC phase-out plan (fifth tranche)	COM	105	14	119	71/11(b)
ERI/PHA/55/PRP/07	Preparation of a HCFC phase-out management plan	COM	5,000	650	5,650	70/7(b)(iii)
GBS/PHA/57/PRP/12	Preparation of a HCFC phase-out management plan	COM	6,242	811	7,053	70/7(b)(iii)
GLO/REF/48/TAS/275	Global technical assistance programme in the chiller sector	COM	2,234	290	2,524	
GLO/SEV/65/TAS/313	Compliance Assistance Programme: 2012 budget	COM	45,218	3,617	48,835	
GRN/PHA/55/PRP/13	Preparation of a HCFC phase-out management plan	COM	2,650	345	2,995	70/7(b)(iii)
GUA/PHA/57/PRP/37	Preparation of a HCFC phase-out management plan	COM	2,249	292	2,541	70/7(b)(iii)
GUI/PHA/60/TAS/23	Terminal phase-out management plan (second tranche)	COM	1,000	130	1,130	
GUI/SEV/63/INS/25	Extension of the institutional strengthening project (phase VII)	COM	121	0	121	
GUY/PHA/59/TAS/18	Terminal phase-out management plan for the phase-out of ODS in the refrigeration and air-conditioning sector (second tranche)	COM	18,566	2,414	20,980	
HAI/HAL/50/TAS/10	Sectoral phase-out programme: Establishing a halon management plan	COM	9,000	1,170	10,170	
HAI/PHA/54/PRP/12	Project preparation for a terminal phase-out management plan in the servicing sector	FIN	2,038	265	2,303	
HAI/PHA/57/PRP/13	Preparation of a HCFC phase-out management plan	COM	2,550	332	2,882	70/7(b)(iii)
HON/PHA/55/PRP/25	Preparation of a HCFC phase-out management plan	COM	641	83	724	70/7(b)(iii)
IRQ/SEV/57/INS/05	Institutional strengthening (phase I)	COM	1,359	0	1,359	
KUW/REF/37/TRA/04	Implementation of the RMP: training programme on good refrigerant management practices and hydrocarbon (HC) refrigerants safe handling	COM	8,962	1,165	10,127	
LAC/SEV/51/TAS/39	Latin American Customs Enforcement Network: Preventing illegal trade of ODS	COM	4,109	534	4,643	
LIR/PHA/57/TAS/15	Terminal phase-out management plan for CFCs (second tranche)	COM	5,000	650	5,650	
MLI/PHA/63/TAS/29	HCFC phase-out management plan (stage I, first tranche)	COM	3,170	412	3,582	

UNEP/OzL.Pro/ExCom/73/4
Annex III

MON/PHA/55/PRP/14	Preparation of a HCFC phase-out management plan	COM	3,000	390	3,390	70/7(b)(iii)
NEP/PHA/55/PRP/23	Preparation of a HCFC phase-out management plan	COM	13,000	1,690	14,690	70/7(b)(iii)
NIC/PHA/60/TAS/25	Terminal phase-out management plan for Annex A Group I substances (second tranche)	COM	2,934	381	3,315	
NIC/REF/45/TAS/15	Implementation of the RMP update: programme for prevention of illegal CFC trade	COM	6,000	780	6,780	
SRL/PHA/55/PRP/34	Preparation of a HCFC phase-out management plan	COM	4,000	520	4,520	70/7(b)(iii)
STK/PHA/56/PRP/14	Preparation of a HCFC phase-out management plan	COM	2,526	328	2,854	70/7(b)(iii)
STP/PHA/55/PRP/15	Preparation of a HCFC phase-out management plan	COM	15,000	1,950	16,950	70/7(b)(iii)
STP/PHA/63/TAS/19	HCFC phase-out management plan (stage I, first tranche)	COM	4,988	648	5,636	
STV/PHA/55/PRP/13	Preparation of a HCFC phase-out management plan	COM	2,834	368	3,202	70/7(b)(iii)
SUR/PHA/55/PRP/14	Preparation of a HCFC phase-out management plan	COM	1,049	136	1,185	70/7(b)(iii)
SUR/PHA/56/TAS/15	Terminal phase-out management plan for Annex A Group I substances (first tranche)	COM	527	69	596	70/7(b)(ii)a.
YEM/PHA/55/PRP/30	Preparation of a HCFC phase-out management plan	COM	18,751	2,438	21,189	70/7(b)(iii)
UGA/SEV/13/INS/02	Institutional strengthening (establishment of the ODS unit)	ONG	34,741	0	34,741	
ZAM/PHA/57/TAS/23	Terminal phase-out management plan for CFCs (second tranche)	COM	5,000	650	5,650	
ZAM/PHA/64/TAS/27	HCFC phase-out management plan (stage I, first tranche)	COM	1,365	177	1,542	
Total			271,563	27,975	299,538	

UNIDO

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total	Project subject to Decision
ALG/PHA/58/INV/71	National phase-out plan (second tranche)	FIN	3,599	270	3,869	71/11(b)
ALG/REF/26/INV/30	Replacement of CFC-12 with HFC-134a for domestic refrigeration at Enapem	FIN	0	1	1	
ALG/SEV/21/PRP/20	Project formulation of investment projects in the aerosol, foam and refrigeration sectors	FIN	0	1	1	
BHE/SEV/43/INS/19	Extension of institutional strengthening: phase II	FIN	1,592	119	1,711	
CMR/FUM/50/PRP/25	Project preparation for the total phase-out of methyl bromide in all remaining uses	FIN	0	1	1	
CPR/FOA/34/INV/375	Phasing out CFC-11 with HCFC-141b at six companies Hongyu, Longan, Songliao, Tianyun, Xinyang and Yizheng) and phasing out CFC-11 by conversion to water blown technology at one company (Yinxian)	FIN	0	1	1	
CPR/REF/22/INV/207	Phasing out ODS at the refrigerator plant of Zerowatt Electric Appliances Group	FIN	0	1	1	
CPR/REF/53/INV/453	Refrigeration servicing sector CFC phase-out plan (fourth tranche)	FIN	2,861	215	3,076	
ERI/PHA/63/INV/09	Terminal phase-out management plan for CFCs (second tranche)	FIN	527	47	574	71/11(b)
GUA/PHA/64/INV/43	HCFC phase-out management plan (foam sector) (stage I, first tranche)	FIN	348	26	374	
GUA/PHA/64/INV/44	HCFC phase-out management plan (stage I, first tranche)	FIN	18	2	20	
IND/PHA/49/INV/402	CTC phase-out for the consumption and production sectors: 2006 annual programme	FIN	5	0	5	70/7(b)(ii)c.
IRA/FUM/44/PRP/168	Preparatory assistance in methyl bromide (soil fumigation in olive tree nurseries)	FIN	0	1	1	
IRA/REF/29/INV/53	Conversion from CFC-11 to HCFC-141b and CFC-12 to HFC-134a technology in the manufacture of domestic and commercial refrigeration at the SherKateSanaayeeToulidy Bard Co.	FIN	0	1	1	
MEX/FUM/60/INV/150	National methyl bromide phase-out plan (second tranche)	FIN	573	43	616	
MEX/PHA/64/INV/160	HCFC phase-out management plan (stage I, first tranche) (phase-out of HCFC-141b as a cleaning agent in refrigeration servicing)	FIN	829	62	891	
MOR/REF/25/INV/25	Replacement of CFC-12 with HFC-134a for commercial refrigeration at Batinox	FIN	0	1	1	
MYA/PHA/57/PRP/09	Preparation of a HCFC phase-out management plan	FIN	889	67	956	70/7(b)(iii)
PAK/REF/59/PRP/74	Preparation for HCFC phase-out investment activities (refrigeration and air-conditioning manufacturing sectors)	FIN	5,074	381	5,455	70/7(b)(iii)

UNEP/OzL.Pro/ExCom/73/4
Annex III

ROM/SOL/44/PRP/27	Preparation of a terminal umbrella project to phase out CTC	FIN	0	1	1	
SOA/FOA/59/PRP/02	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	FIN	2,119	159	2,278	70/7(b)(iii)
SOM/PHA/60/PRP/07	Preparation of a HCFC phase-out management plan	FIN	4,040	303	4,343	70/7(b)(iii)
STL/PHA/64/INV/17		FIN	2	1	3	
TUR/SEV/58/INS/93	Extension of institutional strengthening project (phase IV)	FIN	7,955	597	8,552	
VEN/FOA/29/INV/78	Phasing out CFC-11 with HCFC-141b at Amerio Industrial S.A. in the production of rigid P.U. panels	FIN	0	1	1	
VEN/FOA/38/INV/96	Phasing out CFC-11 by conversion to HCFC-141b as a blowing agent in the manufacture of rigid p.u. foams: Umbrella No. 2 project.	FIN	0	1	1	
Total			30,435	2,303	32,738	

WORLD BANK

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total	Project subject to Decision
ARG/FUM/29/DEM/93	Demonstration project for testing methyl bromide alternatives in post-harvest disinfestation for cotton and citrus (phase I)	FIN	62,672	8,147	70,819	
ARG/PHA/47/INV/148	National CFC phase-out plan: 2006 work programme	FIN	368,020	27,600	395,620	70/7(b)(ii)a
GLO/SEV/63/TAS/309	Resource mobilization for HCFC phase-out co-benefits study	COM	61,165	5,505	66,670	
GLO/SEV/68/TAS/318	Core unit budget (2013)	COM	0	414,405	414,405	
THA/FUM/44/INV/143	National methyl bromide phase-out plan (first tranche)	COM	22,160	1,662	23,822	
THA/FUM/50/INV/147	National methyl bromide phase-out plan (second tranche)	COM	508,945	38,171	547,116	
THA/FUM/57/INV/152	National methyl bromide phase-out plan (third tranche)	COM	701,762	52,632	754,394	
THA/PHA/45/INV/144	National CFC phase-out plan: 2005 annual programme	COM	20,625	1,732	22,357	70/7(b)(ii)a
THA/PHA/48/INV/146	National CFC phase-out plan: 2006 annual implementation plan	COM	100,731	8,120	108,851	70/7(b)(ii)a
THA/PHA/53/INV/149	National CFC phase-out plan: 2007 annual implementation plan	COM	110,000	9,900	119,900	70/7(b)(ii)a
THA/PHA/55/INV/150	National CFC phase-out plan: 2008 annual implementation plan	COM	110,000	9,900	119,900	70/7(b)(ii)a
THA/PHA/60/INV/154	National CFC phase-out plan: 2010-2012 annual implementation plan	COM	77,000	6,930	83,930	71/11(b)
Total			2,143,080	584,704	2,727,784	

ITALY

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total
MEX/FUM/54/INV/137	National methyl bromide phase-out plan (first tranche)	COM	6,004	721	6,725

JAPAN

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total
GLO/SEV/59/TRA/297	Training on alternative technologies to HCFCs	COM	335	44	379

Annex IV

**DETAILS ON BY-DECISION PROJECTS RETURNED BALANCES FROM IMPLEMENTING
AND BILATERAL AGENCIES**

UNIDO

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total	Project subject to Decision
IRQ/FOA/57/INV/06	Conversion from CFC-11 to methylene chloride in the production of flexible slab stock foam at Al Hadi Co.	COM	1,352	122	1,474.00	71/11(b)
Total			1,352	122	1,474	

UNEP

Code	Project Title	Status	Project costs returned (US\$)	Associated support costs (US\$)	Total	
BAH/PHA/50/TAS/17	Terminal phase-out management plan (first tranche)	ONG	20,000	2,600	22,600	70/7(b)(ii)a.
ERI/PHA/63/TAS/08	Terminal phase-out management plan for CFCs (second tranche)	ONG	70,000	9,100	79,100	71/11(b)
KUW/PHA/57/TAS/15	TPMP verification	ONG	20,000	2,600	22,600	70/10(d)(i) and 71/11(b)
MAU/PHA/57/TAS/21	Terminal phase-out management plan for CFCs (second tranche)	ONG	59,000	7,670	66,670	71/11(b)
SAU/PHA/53/TAS/04	National phase-out plan	ONG	38,307	4,980	43,287	70/7(b)(ii)a.
SAU/PHA/61/TAS/09	National phase-out plan (second tranche)	ONG	2,646	344	2,990	71/11(b)
YEM/REF/37/TAS/19	Implementation of the RMP: monitoring the activities in the RMP	ONG	12,750	1,658	14,408	
Total			222,703	28,952	251,655	

Annex V

**PROJECTS/TRANCHES OF MUTLI-YEAR AGREEMENTS WITH BALANCES THAT MAY
HAVE TO BE RETURNED (DECISION 70/7(b)(ii))**

**TRANCHES OF ON-GOING TPMPs OR NPPs APPROVED BEFORE 2009 WITH BALANCES
TO BE RETURNED**

(Annex VII Table 1 UNEP/OZL/PRO/ExCom/70/59)

Projects with outstanding balances and returns

Country	Agency	Code	Status	Project Title	Project costs returned (US\$)	Obligated Balances as of 73 rd Meeting (US\$)	Un-obligated Balance as of 73 rd Meeting (US\$)
Lao People's Democratic Republic (the)	France	LAO/PHA/54/INV/18		Terminal phase-out management plan (first tranche)			
Argentina	IBRD	ARG/PHA/47/INV/148	FIN	National CFC phase-out plan: 2006 work programme	368,020		
Thailand	IBRD	THA/PHA/45/INV/144	COM	National CFC phase-out plan: 2005 annual programme	20,625		
Thailand	IBRD	THA/PHA/48/INV/146	COM	National CFC phase-out plan: 2006 annual implementation plan	100,731		
Thailand	IBRD	THA/PHA/53/INV/149	COM	National CFC phase-out plan: 2007 annual implementation plan	110,000		
Thailand	IBRD	THA/PHA/55/INV/150	COM	National CFC phase-out plan: 2008 annual implementation plan	110,000		
Dominica	UNDP	DMI/PHA/56/INV/14	COM	Terminal CFC phase-out management plan (second and third tranches)	(18,302)		
United Republic of Tanzania (the)	UNDP	URT/PHA/54/INV/23	COM	Terminal phase-out management plan (first tranche)	(17,042)		
Bahrain	UNEP	BAH/PHA/50/TAS/17	ONG	Terminal phase-out management plan (first tranche)	20,000	10,000	70,000
Kuwait	UNEP	KUW/PHA/52/TAS/10	ONG	Terminal phase-out management plan for Annex A Group I substances (first tranche)		238,243	
Qatar	UNEP	QAT/PHA/53/TAS/10	ONG	Terminal phase-out management plan (first tranche)			5,000
Saint Kitts and Nevis	UNEP	STK/PHA/56/TAS/12	COM	Terminal CFC phase-out management plan (second and third tranches)	38,307		

UNEP/OzL.Pro/ExCom/73/4
Annex V

Suriname	UNEP	SUR/PHA/56/TAS/15	COM	Terminal phase-out management plan for Annex A Group I substances (first tranche)	527		
Yemen	UNEP	YEM/PHA/55/TAS/31	ONG	National ODS phase-out plan (first tranche)		82,788	
Argentina	UNIDO	ARG/PHA/47/INV/147	COM	National CFC phase-out plan: 2006 work programme		136,811	
Argentina	UNIDO	ARG/PHA/50/INV/150	COM	National CFC phase-out plan: 2007 work programme		233,725	
Argentina	UNIDO	ARG/PHA/53/INV/152	COM	National CFC phase-out plan: 2008 work programme		641,153	
Bosnia and Herzegovina	UNIDO	BHE/PHA/44/INV/21	COM	National ODS Phase-out Plan: second tranche		8,124	
Bosnia and Herzegovina	UNIDO	BHE/PHA/52/INV/22	COM	National ODS phase-out plan (third tranche)		18,403	
Egypt	UNIDO	EGY/PHA/54/INV/95	COM	National CFC phase-out plan (third tranche)		110,931	
Libya	UNIDO	LIB/PHA/54/INV/28	COM	National ODS phase-out plan: 3rd tranche		23,238	
Qatar	UNIDO	QAT/PHA/53/INV/09	COM	Terminal phase-out management plan (first tranche)		3,901	
Saudi Arabia	UNIDO	SAU/PHA/53/INV/03	COM	National phase-out plan		30,958	
Serbia	UNIDO	YUG/PHA/47/INV/28	COM	National CFC phase-out plan (second tranche)		623,749	
Yemen	UNIDO	YEM/PHA/55/INV/28	COM	National ODS phase-out plan (first tranche)		126,344	

PROJECT PREPARATION FOR APPROVED HPMPs WITH BALANCES TO BE RETURNED*

(Annex VII Table 2 UNEP/OZL/PRO/ExCom/70/59)

Projects with outstanding balances and returns

	Country	Agency	Code	Status	Project Title	Project costs returned (US\$)	Balances as of 73 rd Meeting (US\$)
4	Bolivia (Plurinational State of)	UNDP	BOL/FOA/57/PRP/34	ONG	Preparation for HCFC phase-out investment activities (foam sector)		34,233
6	Costa Rica	UNDP	COS/PHA/55/PRP/39	FIN	Preparation of a HCFC phase-out management plan	1,958	
14	Cuba	UNDP	CUB/FOA/57/PRP/41	FIN	Preparation for HCFC phase-out investment activities (foam sector)	487	
15	Cuba	UNDP	CUB/PHA/56/PRP/40	FIN	Preparation of a HCFC phase-out management plan	1	
19	El Salvador	UNDP	ELS/PHA/55/PRP/23	FIN	Preparation of a HCFC phase-out management plan	(200)	
25	Malaysia	UNDP	MAL/FOA/57/PRP/164	FIN	Preparation for HCFC phase-out investment activities (foam sector)	66,756	
29	Panama	UNDP	PAN/PHA/55/PRP/28	FIN	Preparation of a HCFC phase-out management plan	5,094	
30	Paraguay	UNDP	PAR/FOA/57/PRP/21	FIN	Preparation for HCFC phase-out investment activities (foam sector)		
31	Paraguay	UNDP	PAR/PHA/57/PRP/22	COM	Preparation of a HCFC phase-out management plan (additional funding)	14,983	
32	Peru	UNDP	PER/FOA/57/PRP/43	FIN	Preparation for HCFC phase-out investment activities (foam sector)		
33	Peru	UNDP	PER/PHA/55/PRP/40	FIN	Preparation of a HCFC phase-out management plan	28,052	
34	Philippines (the)	UNDP	PHI/REF/59/PRP/87	FIN	Preparation for HCFC phase-out investment activities (refrigeration and air-conditioning sectors except residential air conditioning)		50,058
1	Antigua and Barbuda	UNEP	ANT/PHA/55/PRP/12	COM	Preparation of a HCFC phase-out management plan	2,550	
4	Barbados	UNEP	BAR/PHA/55/PRP/18	COM	Preparation of a HCFC phase-out management plan	2,852	
6	Bahamas (the)	UNEP	BHA/PHA/55/PRP/15	COM	Preparation of a HCFC phase-out management plan	2,549	
7	Bhutan	UNEP	BHU/PHA/55/PRP/13	COM	Preparation of a HCFC phase-out management plan	4,000	
12	Djibouti	UNEP	DJI/PHA/58/PRP/16	COM	Preparation of a HCFC phase-out management plan	3,526	
16	Eritrea	UNEP	ERI/PHA/55/PRP/07	COM	Preparation of a HCFC phase-out management plan	5,000	
19	Guinea-Bissau	UNEP	GBS/PHA/57/PRP/12	COM	Preparation of a HCFC phase-out management plan	6,242	
20	Grenada	UNEP	GRN/PHA/55/PRP/13	COM	Preparation of a HCFC phase-out management plan	2,650	
21	Guatemala	UNEP	GUA/PHA/57/PRP/37	COM	Preparation of a HCFC phase-out management plan	2,249	

UNEP/OzL.Pro/ExCom/73/4
Annex V

23	Haiti	UNEP	HAI/PHA/57/PRP/13	COM	Preparation of a HCFC phase-out management plan	2,550	
24	Honduras	UNEP	HON/PHA/55/PRP/25	COM	Preparation of a HCFC phase-out management plan	641	
32	Mongolia	UNEP	MON/PHA/55/PRP/14	COM	Preparation of a HCFC phase-out management plan	3,000	
35	Nepal	UNEP	NEP/PHA/55/PRP/23	COM	Preparation of a HCFC phase-out management plan	13,000	
42	Sri Lanka	UNEP	SRL/PHA/55/PRP/34	COM	Preparation of a HCFC phase-out management plan	4,000	
43	Saint Kitts and Nevis	UNEP	STK/PHA/56/PRP/14	COM	Preparation of a HCFC phase-out management plan	2,526	
45	Sao Tome and Principe	UNEP	STP/PHA/55/PRP/15	COM	Preparation of a HCFC phase-out management plan	15,000	
46	Saint Vincent and the Grenadines	UNEP	STV/PHA/55/PRP/13	COM	Preparation of a HCFC phase-out management plan	2,834	
47	Suriname	UNEP	SUR/PHA/55/PRP/14	COM	Preparation of a HCFC phase-out management plan	1,049	
53	Yemen	UNEP	YEM/PHA/55/PRP/30	COM	Preparation of a HCFC phase-out management plan	18751	
3	Iraq	UNIDO	IRQ/PHA/59/PRP/11	COM	Preparation of a HCFC phase-out management plan (additional funding)		931
9	Myanmar	UNIDO	MYA/PHA/57/PRP/09	FIN	Preparation of a HCFC phase-out management plan	889	
11	Pakistan	UNIDO	PAK/REF/59/PRP/74	FIN	Preparation for HCFC phase-out investment activities (refrigeration and air-conditioning manufacturing sectors)	5,074	
16	South Africa	UNIDO	SOA/FOA/59/PRP/02	FIN	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	2,119	
17	South Africa	UNIDO	SOA/PHA/55/PRP/01	COM	Preparation of a HCFC phase-out management plan		8,394
18	Somalia	UNIDO	SOM/PHA/60/PRP/07	FIN	Preparation of a HCFC phase-out management plan	4,040	
23	Uruguay	UNIDO	URU/REF/60/PRP/55	COM	Preparation for HCFC phase-out investment activities (refrigeration manufacturing sector)		15,215

*Excluding projects without an approved HPMP and projects with funds returned to the 69th or 70th meeting.