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ORIGINAL: ENGLISH



EXECUTIVE COMMITTEE OF  
THE MULTILATERAL FUND FOR THE  
IMPLEMENTATION OF THE MONTREAL PROTOCOL  
Seventy-second Meeting  
Montreal, 12-16 May 2014

**Corrigendum**

**REPORT OF THE SEVENTY-SECOND MEETING OF THE EXECUTIVE COMMITTEE**

This document is issued to:

- **Replace** BL 1113 **with** BL 1303 in paragraph 205(b)(i);
- **Replace** “#REF!” **with** “0” in Distributed contributions of 2014 and “#REF!” **with** “43,739,288” in Distributed contributions of 1991-2014 in Table 2 of Annex I;
- **Delete** the first row of the table (Preparation of a HCFC phase-out management plan for Botswana) in Annex IV; and
- **Add** “622,711” to row 3.3 for column 2013 in Annex XI.

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Seventy-second Meeting  
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**REPORT OF THE SEVENTY-SECOND MEETING OF THE EXECUTIVE COMMITTEE**

Introduction

1. The 72<sup>nd</sup> meeting of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol was held at the headquarters of the International Civil Aviation Organization, Montreal, Canada, from, 12 to 16 May 2014.
2. The meeting was attended by representatives of the following countries, members of the Executive Committee in accordance with decision XXV/18 of the Twenty-Fifth Meeting of the Parties to the Montreal Protocol:
  - (a) Parties not operating under paragraph 1 of Article 5 of the Protocol: Australia, Belgium, Italy, Japan, the Russian Federation, Sweden and the United States of America (Vice-Chair); and
  - (b) Parties operating under paragraph 1 of Article 5 of the Protocol: China, the Comoros, Grenada, Mauritius (Chair), Nicaragua, Saudi Arabia and Uruguay.
3. In accordance with the decisions taken by the Executive Committee at its second and eighth meetings, representatives of the United Nations Development Programme (UNDP), the United Nations Environment Programme (UNEP), both as implementing agency and Treasurer of the Fund, the United Nations Industrial Development Organization (UNIDO) and the World Bank attended the meeting as observers.
4. A representative of the Ozone Secretariat, the Co-Chair of the Technology and Economic Assessment Panel (TEAP) and three members of the TEAP's Replenishment Task Force were also present.
5. A representative of the Global Environment Facility (GEF) attended the meeting.
6. Representatives of the Alliance for Responsible Atmospheric Policy and the Environmental Investigation Agency also attended as observers.

## **AGENDA ITEM 1: OPENING OF THE MEETING**

7. The meeting was opened by Mr. Premhans Jhugroo, who welcomed members and gratefully acknowledged the trust placed in him by the Executive Committee at his inaugural meeting as Chair.

8. Reminding members that only two Executive Committee meetings would be held in 2014 on a trial basis, the Chair drew attention to the particular significance of the work ahead, leading up to a new replenishment period for the Multilateral Fund from 2015 to 2017. The status of compliance of Article 5 countries with the 1 January 2013 freeze in HCFC consumption would be evaluated by the Parties to the Montreal Protocol during 2014, together with a number of other important deadlines for compliance, including the complete phase-out of production and consumption of controlled uses of methyl bromide (MB) and methyl chloroform, and the 10 per cent reduction target for HCFCs by 1 January 2015.

9. The agenda for the Executive Committee meeting included a vast array of projects and activities amounting to US \$46 million. The Secretariat's review of the projects had raised a number of policy issues requiring the Executive Committee's consideration and advice. One policy paper on the criteria for funding HCFC phase-out in the consumption sector for stage II of HCFC phase-out management plans (HPMPs), and another on the potential application to HCFC phase-out of key considerations to minimize adverse climate impact in the refrigeration servicing sector, presented issues that, despite having been discussed by the Executive Committee in the past, still had to be resolved. He added that the Sub-group on the Production Sector would have to be reconvened to continue its deliberations.

10. The Executive Committee would also be examining reports on: the success of approved HCFC demonstration projects; issues related to additional activities to maximize climate benefits in the HCFC production sector; progress on the use of the Multilateral Fund climate impact indicator (MCII); the accounts of the Multilateral Fund; and the revised budgets of the Secretariat.

11. The Chair ended his remarks by requesting a minute of silence to honour the passing away of Mr. Nandan Chirmulay, a valued colleague, friend and member of the Montreal Protocol community.

## **AGENDA ITEM 2: ORGANIZATIONAL MATTERS**

### **(a) Adoption of the agenda**

12. The Executive Committee adopted the following agenda for the meeting on the basis of the provisional agenda contained in document UNEP/OzL.Pro/ExCom/72/1:

1. Opening of the meeting.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Organization of work.
3. Secretariat activities.
4. Status of contributions and disbursements.
5. Status of resources and planning:
  - (a) Report on balances and availability of resources;

- (b) Update on the implementation of the 2014-2016 business plan of the Multilateral Fund and cash flow availability (decision 66/3);
  - (c) Tranche submission delays.
- 6. Programme implementation:
  - (a) Monitoring and evaluation:
    - (i) 2014 consolidated project completion report of multi-year agreements;
    - (ii) Multi-year agreement database report;
    - (iii) Evaluation of metered-dose inhaler projects (decision 71/26);
    - (iv) Revised draft monitoring and evaluation work programme for the year 2014 (decision 71/27);
  - (b) Status reports and compliance.
- 7. Project proposals:
  - (a) Overview of issues identified during project review;
  - (b) Bilateral cooperation;
  - (c) Work programmes:
    - (i) 2014 work programme of UNDP;
    - (ii) 2014 work programme of UNEP;
    - (iii) 2014 work programme of UNIDO;
    - (iv) 2014 work programme of the World Bank;
  - (d) Investment projects.
- 8. Disbursement of funds for the HCFC phase-out management plan for China (decision 71/44).
- 9. Criteria for funding HCFC phase-out in the consumption sector for stage II of HCFC phase-out management plans (decision 70/21(d)).
- 10. Overview of approved HCFC demonstration projects and options for additional projects to demonstrate climate-friendly and energy-efficient alternative technologies to HCFCs (decision 71/51(a)).
- 11. Additional activities to maximize climate benefits in the HCFC production sector (decision 71/51(b)).
- 12. Minimizing adverse climate impact of HCFC phase-out in the refrigeration servicing sector (decision 71/43).

13. Progress report on the use of the Multilateral Fund climate impact indicator (decision 69/23).
14. Accounts of the Multilateral Fund (decision 71/46(d) and (e)).
15. Revised 2014 and proposed 2015 and 2016 budgets of the Fund Secretariat (decision 71/48(f), (g) and (h)).
16. Report of the Sub-group on the Production Sector.
17. Other matters.
18. Adoption of the report.
19. Closure of the meeting.

**(b) Organization of work**

13. The Executive Committee agreed to consider under agenda item 17 (Other matters): the legal relationship among the Executive Committee, the implementing agencies and recipient countries; a general discussion on the rule prohibiting members from taking the floor in connection with projects for their own countries; and the dates and venue of the 73<sup>rd</sup> meeting of the Executive Committee.

14. The meeting agreed to reconvene the Sub-group on the Production Sector with the following composition: Australia (facilitator), China, Italy, Japan, Mauritius, Nicaragua, Saudi Arabia, Sweden, the United States of America, and Uruguay.

**AGENDA ITEM 3: SECRETARIAT ACTIVITIES**

15. The Chief Officer introduced document UNEP/OzL.Pro/ExCom/72/2, which provided an overview of the work done by the Secretariat since the 71<sup>st</sup> meeting, and welcomed the new Chair and colleagues attending the meeting for the first time as heads of delegation of two implementing agencies.

16. The Chief Officer then provided an update on Secretariat staff changes. He welcomed two new staff members, Ms. Muriel Aguiar and Mr. Joel Paré-Julian, noting that they had already participated in the organization of the 72<sup>nd</sup> meeting. He congratulated Mr. Stephan Sicars on his appointment as the new Director of the Montreal Protocol branch of UNIDO, saying that Mr. Sicars' outstanding contribution to the work of the Secretariat over the past ten years would certainly be missed. He pointed out that Mr. Sicars would be tasked with answering his former colleagues' questions when he attended future Executive Committee meetings in his new capacity, and said that it was pleasing to know that the goal of protecting the ozone layer would continue to benefit from his efforts. The Chief Officer went on to congratulate Ms. Valentine Musoga on her upcoming retirement, highlighting her long career and thanking her for her constant assistance and support in addressing human resource issues. He also congratulated and thanked Ms. Martha Leyva, who would be retiring in September, for her remarkable dedication and assistance. Her exceptional and long-standing career at the highest level of professionalism was well known to all, and the Chief Officer expressed particular appreciation for her personal assistance and guidance from the first time they had worked together at the 5<sup>th</sup> meeting of the Executive Committee.

17. The Chief Officer then briefly described the various meetings attended and missions undertaken by the staff of the Secretariat, highlighting the inter-agency coordination meeting held in Montreal in mid-February 2014, the report of which would be made available to Executive Committee members. On his missions, he had met with the Executive Director and other Directors of UNEP, the Executive Secretary of the Ozone Secretariat, and representatives of the Government of Canada, to which he expressed continuing appreciation for hosting the Secretariat and for all the support provided since 1991.

He had also made courtesy telephone calls to the Chief Executive Officer and Chairperson of the GEF, and the inaugural Executive Director of the Green Climate Fund.

18. The Chief Officer went on to report on the administrative audit of the Secretariat carried out by the United Nations Office of Internal Oversight Services. He also mentioned ongoing discussion with the office of the Executive Director of UNEP on the question of delegation of authority to the Chief Officer for decision-making on financial and administrative matters.

19. A number of members conveyed their appreciation of the activities aimed at fostering connections between the Multilateral Fund and secretariats of other Multilateral Environmental Agreements (MEAs). They expressed the desire to increase such ties and synergies through participation in more meetings, time and resources permitting. Relations with the GEF were highlighted as being particularly relevant to the work of the Multilateral Fund. In response to questions about specific meetings that the Secretariat had been unable to attend, the Chief Officer explained that time restrictions and workload had been the main factors, but that every effort had been made to be present, even by way of making presentations via the Internet at ozone officers' network meetings as a solution in some cases.

20. Several members wished to know more about the implications, including the outcomes and impacts, of delegation of authority from the Executive Director of UNEP to the Chief Officer of the Secretariat of the Multilateral Fund. The Chief Officer explained that the aim of the delegation of authority was to delegate appropriate authority and responsibilities to the Chief Officer for decision-making in relation to financial and administrative matters. The talks had led to a preliminary draft report, the final version of which would be presented to the Executive Committee for its consideration.

21. Following the discussion, the Executive Committee took note with appreciation of the report on Secretariat activities.

#### **AGENDA ITEM 4: STATUS OF CONTRIBUTIONS AND DISBURSEMENTS**

22. The Treasurer introduced the report on the status of contributions and disbursements (UNEP/OzL.Pro/ExCom/72/3) and provided updated information on countries' contributions to the Multilateral Fund as at 11 May 2014. She said that since the publication of the status of the Fund's report of 11 April 2014, additional cash contributions (US \$10.19 million) had been received from the Governments of Andorra, Belgium, Lithuania, Sweden, Switzerland and the United States of America, as well as promissory notes from the Government of the United States of America (US \$4.4 million). She reported that, as at the present meeting, the Fund's balance stood at US \$76.1 million, 24.63 per cent of 2014 pledges had been paid, and the balance on the gain from the fixed-exchange-rate-mechanism stood at US \$19.55 million. The Fund's balance was composed of US \$40.6 million in cash and US \$35.5 million in promissory notes. She also said that, on reviewing the list of Parties contributing to the Fund, it had been noted that the pledged contribution from the Government of Kazakhstan had inadvertently been overlooked and an invoice for the outstanding contribution of US \$1.08 million had been issued. She would report on any progress made on the matter at the 73<sup>rd</sup> meeting of the Executive Committee.

23. One member asked whether it was necessary to continue to name the countries with disputed contributions for 1996. The Treasurer said that the reference to the disputed contributions for 1996 would be edited to remove references to some of the countries concerned.

24. The same member also clarified that, the Government of France had no outstanding contribution for 2013. The Treasurer confirmed that the report would be adjusted to correct the entry on the outstanding contributions of the Government of France.

25. Another member suggested additional wording to confirm his understanding that, as of the 2015-2017 triennium, Parties would ensure accelerated encashment of their promissory notes. The meeting was informed that formal and informal discussions had taken place within the Government of Germany with a view to accelerating the encashment of Germany's promissory notes. However, for the current triennium, no changes could be made because the German Parliament had approved its contributions as part of its mid-term fiscal planning. For the next triennium, it was strongly suggested that the German contribution be based on an encashment schedule that allowed use of the contributions within the triennium, addressing the accelerated cash turnover within the Multilateral Fund.

26. In response to questions relating to the treatment of the interest earned on projects in China, the Executive Committee was informed that the report contained a footnote referring to an amount of US \$94,383, which was the interest earned by the Foreign Economic Cooperation Office of the Ministry of Environmental Protection of China (FECO/MEP), and that the amount had already been deducted from approved future tranches of funding for China. In response to a question on the contributions of the Russian Federation, it was explained that the Russian Federation had commenced paying its contributions in 2013 and that its contribution for 2014 would be recorded when received, as was the current practice of the Multilateral Fund.

27. The Executive Committee decided:

- (a) To note the report of the Treasurer on the status of contributions and disbursements and the information on promissory notes, as contained in Annex I to the present report;
- (b) To urge all Parties to pay their contributions to the Multilateral Fund in full and as early as possible;
- (c) To further urge the Government of Germany to continue to consider the accelerated encashment of its promissory notes during the replenishment period; and
- (d) To request the Treasurer, in consultation with the Secretariat, to continue the discussion with the Holy See and the Government of Kazakhstan on their respective outstanding contributions to the Multilateral Fund and to report back to the Executive Committee at its 73<sup>rd</sup> meeting.

**(Decision 72/1)**

## **AGENDA ITEM 5: STATUS OF RESOURCES AND PLANNING**

### **(a) Report on balances and availability of resources**

28. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/4 and provided updated information on the return of balances on ongoing projects from UNDP (US \$343,610) and the World Bank (US \$1,818,241). Taking into account the Fund's balance reported by the Treasurer and the updated information on the amounts being returned by the implementing agencies from ongoing projects, the total level of resources available stood at US \$78,240,625. There would therefore be sufficient funding for the Executive Committee to approve all the projects under consideration at the present meeting, as well as the budgets of the Secretariat and monitoring and evaluation activities.

29. One member informed the Executive Committee that the Government of Japan had been unable to return its balances from one project at the present meeting but that it would consider their return when the amount of the balances had been clarified. Another member said that the amount to be returned by the Government of France was US \$160,381 considering that the balance under

project IRA/PHA/45/INV/171 has been reduced to US \$147,981 since the issuance of the document on report of balances and availability of resources on 17 April 2014.

30. In response to questions on whether the return of balances by implementing agencies should be to the 73<sup>rd</sup> instead of the 72<sup>nd</sup> meeting, the representative of the Secretariat confirmed that the balances had already been returned at the present meeting. She also confirmed that there had been no return of balances for the ongoing MB project in China (CPR/PRO/47/INV/436) to the present meeting.

31. The Executive Committee decided:

(a) To note:

- (i) The report on balances and availability of resources contained in document UNEP/OzL.Pro/ExCom/72/4 and the updated information provided by the Secretariat at the meeting;
- (ii) That the net level of funds being returned by the implementing agencies at the 72<sup>nd</sup> meeting was US \$1,589,391 against completed projects, which included the return of US \$377,453 from UNDP, US \$1,088,529 from UNEP, and US \$123,409 from UNIDO;
- (iii) That the net level of funds being returned by the implementing agencies at the 72<sup>nd</sup> meeting was US \$4,479,994 against projects subject to decisions 70/7(b)(ii) and (iii), and 71/11(b), which included the return of: US \$317,501 from UNDP, US \$784,955 from UNEP, US \$1,705,511 from UNIDO, and US \$1,672,027 from the World Bank;
- (iv) That the net level of support costs being returned by the implementing agencies at the 72<sup>nd</sup> meeting was US \$133,798 against completed projects, which included the return of US \$28,823 from UNDP, US \$95,717 from UNEP, and US \$9,258 from UNIDO;
- (v) That the net level of support costs being returned by the implementing agencies at the 72<sup>nd</sup> meeting was US \$402,342 against projects subject to decisions 70/7(b)(ii) and (iii), and 71/11(b), which included the return of: US \$26,109 from UNDP, US \$102,045 from UNEP, US \$127,974 from UNIDO, and US \$146,214 from the World Bank;
- (vi) That implementing agencies had balances totalling US \$307,766, excluding support costs, from projects completed over two years previously, which included US \$8,332 for UNDP, US \$138,187 for UNEP and US \$161,247 for UNIDO;
- (vii) That UNDP was working to financially close the 10 projects operationally completed in 2011 and intended to return the balance of US \$8,332 to the 73<sup>rd</sup> meeting;
- (viii) That the Government of France had balances for two projects (ETH/PHA/51/PRP/17 and IRA/PHA/45/INV/171) completed over two years previously but not returned to the Fund, totalling US \$160,381, excluding support costs, and that these balances would be returned in 2014;



- (ix) That the Government of Italy had balances for one project completed over two years previously but not returned to the Fund, totalling US \$2,677, excluding support costs;-
  - (x) That the net level of funds and support costs being returned by the bilateral agencies at the 72<sup>nd</sup> meeting was US \$7,104, which included the return of US \$6,725 from the Government of Italy and US \$379 from the Government of Japan, which would be offset against future projects;
  - (xi) That UNIDO had requested that the methyl bromide project in China (CPR/PRO/47/INV/436) be reinstated as “ongoing” owing to an ongoing contract on technical assistance for which the final payment was scheduled in December 2015;
- (b) To request:
- (i) Implementing agencies with projects completed over two years previously to return the balances as soon as possible; and
  - (ii) UNEP to return all unobligated balances to the 73<sup>rd</sup> meeting.

**(Decision 72/2)**

**(b) Update on the implementation of the 2014-2016 business plan of the Multilateral Fund and cash flow availability (decision 66/3)**

32. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/72/5 and Add.1. In relation to the implementation of the business plan for the Multilateral Fund, he informed members that, if the recommended values for multi-year agreements (MYAs) submitted to the present meeting were approved, an additional US \$1.1 million would be added to forward commitments. Concerning cash flow availability, the unused balances returned to the Fund, including the additional \$2,161,851 reported under agenda item 5(a), were sufficient to offset shortfalls in contributions and interest.

33. Members’ comments reflected their hope that non-Article 5 countries which had not paid their contributions for 2012-2013 would do so soon.

34. The Executive Committee decided:

- (a) To note the update on the implementation of the 2014-2016 business plan of the Multilateral Fund and cash flow availability, as contained in documents UNEP/OzL.Pro/ExCom/72/5 and Add.1, and the remaining activities required/not required for compliance, as contained in Annex II to the present report; and
- (b) To request the Secretariat to continue to monitor cash flow availability in the update on the implementation of the 2014-2016 business plan to be submitted to the 73<sup>rd</sup> meeting.

**(Decision 72/3)**

**(c) Tranche submission delays**

35. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/6, emphasizing that, despite the late submission of tranches of MYAs for the phase-out of HCFCs in several Article 5 countries described in the document, there was no need for an intersessional meeting as there did not appear to be any risk of non-compliance with the HCFC consumption freeze by the relevant countries.

36. The Executive Committee decided:

(a) To note:

- (i) The report on tranche submission delays contained in document UNEP/OzL.Pro/ExCom/72/6;
- (ii) The information on tranche submission delays under multi-year agreements (MYAs) submitted to the Secretariat by the Government of Germany, UNDP, UNEP, UNIDO and the World Bank;
- (iii) That 48 out of 73 activities related to tranches of HCFC phase-out management plans (HPMPs) due for submission had been submitted on time to the 72<sup>nd</sup> meeting and that one of them had been withdrawn after discussion with the Secretariat;
- (iv) That relevant bilateral and implementing agencies had indicated no impact or unlikely impact on compliance resulting from the late submission of the tranches of HPMPs due for the first meeting of 2014 and that there had been no indication that any of those countries was in non-compliance with the 2013 freeze on HCFC consumption; and

(b) To request the Secretariat to send letters on the decisions on tranche submission delays to the governments listed in Annex III to the present report.

**(Decision 72/4)**

**AGENDA ITEM 6: PROGRAMME IMPLEMENTATION**

**(a) Monitoring and evaluation**

**(i) 2014 consolidated project completion report of multi-year agreements**

37. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/72/7.

38. The Executive Committee decided:

- (a) To take note of the 2014 consolidated project completion report (PCR) of multi-year agreements (MYA) contained in document UNEP/OzL.Pro/ExCom/72/7;
- (b) To request bilateral and implementing agencies concerned to submit to the 73<sup>rd</sup> meeting the backlog of MYA PCRs, as contained in Table 1 of document UNEP/OzL.Pro/ExCom/72/7; and

- (c) To invite all those involved in the preparation and implementation of MYA projects to take into consideration the lessons learned from MYA PCRs when preparing and implementing future projects.

**(Decision 72/5)**

**(ii) Multi-year agreement database report**

39. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/72/8, highlighting the need to improve the quality of the data and to update the MYA table regularly so that the database could be fully utilized as a monitoring tool for project implementation.

40. The representative of UNDP stressed the agency's willingness to reconcile the database through the usual means of interaction with the Secretariat, and expressed his hope that the Senior Monitoring and Evaluation Officer would provide an indication of the information missing so that it could be provided.

41. The Executive Committee decided:

- (a) To take note of the report on the multi-year agreement (MYA) database contained in document UNEP/OzL.Pro/ExCom/72/8;
- (b) To request bilateral and implementing agencies to fill in the missing information in the MYA database and to update it no later than eight weeks prior to the 73<sup>rd</sup> meeting of the Executive Committee; and
- (c) To note that bilateral agencies not directly involved in project implementation might request the implementing agencies to report the information required in sub-paragraph (b) on their behalf.

**(Decision 72/6)**

**(iii) Evaluation of metered-dose inhaler projects (decision 71/26)**

42. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/72/9, and pointed out that it was an update of the interim report presented to the Executive Committee at its 71<sup>st</sup> meeting.

43. Members thanked the Senior Monitoring and Evaluation Officer for the evaluation, describing it as comprehensive and well structured. It was pointed out that only one company in Argentina was developing isobutane-based technology for metered-dose inhalers internally, not two as indicated in the report. Members were interested in the non-hydrofluorocarbon (HFC) technology being used in this application, and expressed interest in seeing the project in Argentina written up as a case study. It was also suggested that the evaluation report and the case study be recommended to the TEAP for consideration. Finally, in light of the multiple possible designations for HFC, the Secretariat was asked to use a standard term in the future.

44. The Executive Committee decided to note the report on evaluation of projects for the conversion of CFC-based metered dose inhalers to CFC-free technologies contained in document UNEP/OzL.Pro/ExCom/72/9.

**(Decision 72/7)**

**(iv) Revised draft monitoring and evaluation work programme for the year 2014 (decision 71/27)**

45. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/72/10, thanking members who had proposed two evaluations: an evaluation of HCFC phase-out in the foam sector, with an evaluation of MB projects carried out subsequently.

46. In the ensuing discussion, members highlighted the importance and timeliness of the evaluation of HCFC phase-out in the foam sector, and stressed the need for those involved in the evaluation to be experts in the foam sector.

47. The Senior Monitoring and Evaluation Officer provided clarification on the budget, indicating that the staff travel portion, which was a standard budget item, covered evaluation field visits, as well as her participation in various meetings. She stressed that the proposed evaluation of HCFC phase-out in the foam sector involved not only a desk study, but also several field visits. She also responded to queries on country selection, saying that while three of the countries were in Asia, they all had very different situations and were worth including in the sample.

48. Nevertheless, a number of questions remained on which members agreed to hold informal discussions, and a revised work programme was subsequently issued to reflect the results of the discussions.

49. The Executive Committee decided:

- (a) To take note of the revised draft monitoring and evaluation work programme for the year 2014, as contained in document UNEP/OzL.Pro/ExCom/72/10/Rev.1; and
- (b) To approve the revised 2014 monitoring and evaluation work programme at a budget of US \$148,700, as shown below:

<b>Description</b>	<b>Amount (US \$)</b>
Evaluation of HCFC phase-out projects in the foam sector (desk study and field visits)	
Desk study (31 days*US \$500)	15,500
Field visits (7 countries)	
-Consultant fee (49 days*US \$500)	24,500
-Per diem (49 days*US \$351)	17,200
-Travel (7*US \$6,000)	42,000
Country report writing (5 days*US \$500)	17,500
Synthesis report (6 days*US \$500)	3,000
Total (foam sector evaluation)	119,700
Staff travel	25,000
Miscellaneous	4,000
<b>Total budget</b>	<b>148,700</b>

**(Decision 72/8)**

**(b) Status reports and compliance**

50. The Executive Committee had before it documents UNEP/OzL.Pro/ExCom/72/11, Corr.1, and Add.1, which contained nine parts.

Part I: Status of compliance of Article 5 countries that are subject to the control measures of the Montreal Protocol

Part II: Article 5 countries that are subject to decisions of the Parties on compliance

Part III: Data on the implementation of country programmes on sectoral distribution of HCFCs and prices of HCFCs

Part IV: Projects with implementation delays and for which special status reports were requested

51. On the basis of documents UNEP/OzL.Pro/ExCom/72/11 and Corr.1, the representative of the Secretariat introduced parts I to IV.

52. He explained that, since document UNEP/OzL.Pro/ExCom/72/11 had been issued, UNEP and UNIDO had provided additional information on the updating of licensing and/or quota systems for 13 of the countries listed in paragraph 9, namely, Argentina, Cuba, Dominica, El Salvador, Iraq, Jamaica, Kyrgyzstan, Peru, the Philippines, Republic of Moldova, Serbia, Tonga and Turkmenistan. Further information was not, therefore, required.

53. The Executive Committee decided:

(a) To note:

- (i) The status reports and compliance contained in documents UNEP/OzL.Pro/ExCom/72/11 and Corr.1 and the updated information provided by the Secretariat at the meeting;
- (ii) That 89 of the 132 countries that had provided 2012 data had submitted their country programme (CP) implementation reports through the web-based system;
- (iii) That the Secretariat and the bilateral and implementing agencies would take established actions according to the Secretariat's assessments and report on implementation delays, and would notify governments and implementing agencies as required;

(b) To request:

- (i) UNEP to report on the establishment of Botswana's and South Sudan's licensing systems and UNIDO to report on Libya's licensing system, by the 73<sup>rd</sup> meeting;
- (ii) Relevant implementing agencies to provide an update, by the 73<sup>rd</sup> meeting, on the action taken by the following countries to include the accelerated HCFC control measures agreed in 2007 in their licensing and/or quota system, namely the Plurinational State of Bolivia, Kiribati, Mauritania and Tuvalu;
- (iii) The Government of Israel to provide its report on projects with implementation delays to the 73<sup>rd</sup> meeting; and

- (iv) The submission of additional status reports to the 73<sup>rd</sup> meeting on the projects listed in Annex IV to the present report, as well as the HCFC phase-out management plan development for Mauritania (MAU/PHA/55/PRP/20), implemented by UNEP.

**(Decision 72/9)**

Part V: HCFC demonstration projects

54. The representative of the Secretariat introduced part V of document UNEP/OzL.Pro/ExCom/72/11/Add.1.

55. One member thanked the Secretariat for the review of the demonstration projects, as well as the Government of China and industries and the implementing agencies for their implementation of the valuable demonstration projects on alternative technologies. He hoped that the bilateral and implementing agencies would use the final reports in their discussions with Article 5 countries to assist them with their phase-out activities.

56. In response to a question on the refrigeration capacity of the equipment used in the three manufacturing enterprises, the representative of the Secretariat said that Midea Room Air Conditioner Manufacturer Company used rather small-scale equipment of approximately 2 kW; the power of the equipment at Tsinghua Tong Fang Artificial Environment Co. Ltd, which used heat pumps, ranged from 8 to 60 kW; and Yantai Moon Group Co. Ltd., which used carbon dioxide and ammonia for food storage and processing, had equipment of several hundred kW.

*Demonstration sub-project for conversion from HCFC-22 to propane at Midea Room Air Conditioner Manufacturer Company (UNIDO)*

57. The Executive Committee decided:

- (a) To note the additional information on the demonstration sub-project for conversion from HCFC-22 to propane at Midea Room Air Conditioner Manufacturer Company in China, implemented by UNIDO, contained in document UNEP/OzL.Pro/ExCom/72/11/Add.1; and
- (b) To request UNIDO to submit a final report to the 73<sup>rd</sup> meeting in line with decision 71/13.

**(Decision 72/10)**

*Demonstration project for HFC-32 technology in the manufacture of small-sized commercial air source chillers/heat pumps at Tsinghua Tong Fang Artificial Environment Co. Ltd. (UNDP)*

58. The Executive Committee decided:

- (a) To note the final report on the demonstration project for HFC-32 technology in the manufacture of small-sized commercial air-source chillers/heat pumps at Tsinghua Tong Fang Artificial Environment Co. Ltd. in China, implemented by UNDP, contained in document UNEP/OzL.Pro/ExCom/72/11/Add.1; and
- (b) To request bilateral and implementing agencies to consider the report on conversion from HCFC-22 technology to HFC-32 technology in the manufacture of small-sized commercial air source chillers/heat pumps, together with information on other alternatives, when assisting Article 5 countries in preparing projects for the phase-out of

HCFC-22 in small and medium capacity air-conditioning applications, including small-sized commercial air-source chillers/heat pumps.

**(Decision 72/11)**

*Demonstration project for conversion from HCFC-22 technology to ammonia/CO<sub>2</sub> technology in the manufacture of two-stage refrigeration systems for cold storage and freezing applications at Yantai Moon Group Co. Ltd. (UNDP)*

59. The Executive Committee decided:

- (a) To note the final report on the demonstration project for conversion from HCFC-22 technology to ammonia/CO<sub>2</sub> technology in the manufacture of two-stage refrigeration systems for cold storage and freezing applications at Yantai Moon Group Co. Ltd. in China, implemented by UNDP, contained in document UNEP/OzL.Pro/ExCom/72/11/Add.1; and
- (b) To request bilateral and implementing agencies to consider the report on conversion from HCFC-22 technology to ammonia/CO<sub>2</sub> technology in the manufacture of two-stage refrigeration systems, together with information on other alternatives, when assisting Article 5 countries in preparing projects for the phase-out of HCFC-22 in cold storage and freezing applications with two-stage refrigeration systems.

**(Decision 72/12)**

Part VI: Financial audit report for the halon, CFC production and foam sector plans in China

60. The representative of the Secretariat introduced part VI of document UNEP/OzL.Pro/ExCom/72/11/Add.1.

61. He recalled that decision 71/12 had requested the Secretariat to prepare for submission to the 72<sup>nd</sup> meeting a document containing all the information required for the Executive Committee to be able to continue considering China's plans to use the balances still held for the sector plans, but questions had not been answered and information required had not been provided.

62. Responding to questions about the delay in the response to the Secretariat's questions by the Government of China, the representative of the World Bank said that questions were perceived as going beyond the intent of decision 71/12, requiring far more detail than in the past, and seemed to call into question the provisions of the original performance-based agreements.

63. Representatives of UNDP and UNIDO noted that the agreements provided the Government of China with "maximum flexibility" in using the funds to meet its targets and that the remaining funds were needed to ensure the sustainability of the phase-out.

64. One member congratulated China on its phase-out achievements. He recalled, however, that China had met targets in 2009 and that the unspent money was not required to achieve compliance. He also asked why the interest on the unspent balance had not been returned to the Fund. He noted that other countries with unspent balances from completed projects – and even from completed project preparation for stage I of HPMPs – were returning the money to the Fund, and appealed to China to do the same.

65. Following informal consultations, the Executive Committee decided:

- (a) To note the financial audit reports for the halon, CFC production and foam sector plans submitted by the Government of China through the World Bank, as contained in document UNEP/OzL.Pro/ExCom/72/11/Add.1; and
- (b) To invite the Government of China, through the relevant implementing agencies, to submit to the 73<sup>rd</sup> meeting the financial audit reports for the process agent II, solvent and CFC refrigeration servicing sectors, together with the plans for the remaining funds for the halon, CFC production, foam, process agent II, solvent, and CFC refrigeration servicing sectors, describing how they would be used by the Government of China for activities related to ODS phase-out and allow for the completion of those sector plans by the end of 2018.

**(Decision 72/13)**

Part VII: Financial report for the national CFC phase-out plan in the Philippines

66. The representative of the Secretariat introduced part VII of document UNEP/OzL.Pro/ExCom/72/11/Add.1.

67. The Executive Committee decided:

- (a) To note the financial report on expenditures until December 2013 submitted by UNEP for the national CFC phase-out plan for the Philippines, as contained in document UNEP/OzL.Pro/ExCom/72/11/Add.1;
- (b) To approve the request for the extension of the Project Management Unit staff and its associated operational cost from January 2014 to May 2014, not exceeding US \$31,000 for this purpose;
- (c) To request the Government of the Philippines, through UNEP, to submit to the Secretariat, no later than 30 June 2014, the official audited financial report duly signed by an independent or Government-accredited auditor;
- (d) To request UNEP to:
  - (i) Ensure the return of any balance remaining unspent, based on the auditor's report to the Multilateral Fund, no later than the 73<sup>rd</sup> meeting; and
  - (ii) Submit the project completion report to the first meeting of the Executive Committee in 2015.

**(Decision 72/14)**

Part VIII: HPMP for Nigeria (stage I, third tranche)

68. The representative of the Secretariat introduced part VIII of document UNEP/OzL.Pro/ExCom/72/11/Add.1. In accordance with decision 71/30, UNIDO had submitted a revised implementation plan for the conversion of enterprises manufacturing insulation foam in the manufacturing of refrigeration equipment in Nigeria.



69. The Executive Committee decided to take note of the revised implementation plan for the conversion of enterprises manufacturing insulation foam in the manufacturing of refrigeration equipment in Nigeria submitted by UNIDO, as contained in document UNEP/OzL.Pro/ExCom/72/11/Add.1.

**(Decision 72/15)**

Part IX: Implementation of the national CFC phase-out plan for the Islamic Republic of Iran

70. The representative of the Secretariat introduced part IX of document UNEP/OzL.Pro/ExCom/72/11/Add.1.

71. The Executive Committee decided to request UNEP to provide a status report to the 73<sup>rd</sup> meeting on its discussions with the Government of the Islamic Republic of Iran on the unaccounted payment of US \$60,000 for the implementation of the national CFC phase-out plan: Policy and regulatory component.

**(Decision 72/16)**

**AGENDA ITEM 7: PROJECT PROPOSALS**

**(a) Overview of issues identified during project review**

72. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/72/12 and Add.1 containing: an analysis of the number of projects and activities submitted to the present meeting; policy issues identified during project review; the list of projects and activities submitted for blanket approval; the list of investment projects submitted for individual consideration; information on projects that had been withdrawn; a review of submissions for the preparation of stage II of HPMPs; and additional information about activities pertaining to retrofits of existing refrigeration equipment to hydrocarbon (HC) technology (retrofit to HC).

73. Following the introduction, one member raised additional issues stemming from its review of the projects. These included concerns regarding the retrofit of existing HCFC-based refrigeration and air-conditioning equipment to flammable technologies; the need for more complete information on institutional strengthening (IS) requests; and the need for further information on preparation funds for stage II of HPMPs.

Retrofit of existing HCFC-based refrigeration and air-conditioning equipment to flammable or toxic refrigerants

74. On the issue of retrofits to HC, it was pointed out that the retrofit project proposed in the HPMP for Ghana for implementation by UNDP provided as an example by the Secretariat featured special characteristics, given that the retrofit of existing equipment to HC technology had been institutionalized by the country, and the risks had been assessed and managed as part of the project. A standard for the safe set-up and operation of HC equipment had also been applied. However, fuller information was required in order to appreciate the safety impacts of retrofitting and the toxicity of alternatives.

75. During the discussion, it was pointed out that the standard mentioned in the Ghana retrofit project had been the European standard EN 378, covering generic requirements on refrigeration systems including safe design and operation, which comprises but does not specifically refer to retrofitting. Another member mentioned the International Organization for Standardization (ISO) standard on refrigeration classification (ISO 817.2), which had been revised in January 2014, and wondered whether it might have an impact on safety requirements for HC retrofits.

76. Some members stated that it should be the prerogative of each country to choose retrofitting as it saw fit. Oversight, training and awareness were cited as minimum necessary conditions for safety in any retrofit project.

77. Following the discussion, it was decided that a contact group would be convened to discuss the issue of safety standards and the Montreal Protocol's approach to retrofitting existing refrigeration and air-conditioning to HC technology.

78. The Executive Committee decided to include in the approval of HCFC phase-out management plans, tranches, projects or activities that proposed the retrofit of HCFC-based refrigeration and air-conditioning equipment to flammable or toxic refrigerants that the Executive Committee notes that, if the country engages in retrofitting HCFC-based refrigeration and air-conditioning equipment to flammable or toxic refrigerants and associated servicing, it does so on the understanding that they assume all associated responsibilities and risks.

**(Decision 72/17)**

Completeness of submission of IS renewal requests

79. On the issue of IS project requests, one member pointed out that, while some reports on past activities and plans of action for the future relying on approved IS funds were very comprehensive, others did not provide sufficient information to ascertain the value of the activities undertaken or to determine the specific activities planned for the next IS project. It was important for IS projects, which were like all other projects approved by the Multilateral Fund, to feature reporting that made it possible to account for the funds spent. Countries and implementing agencies should therefore take care to report to the Executive Committee on IS projects in a way that ensured that projects were properly managed and implemented.

Further information on requests for preparatory funding for stage II of HPMPs

80. On the issue of funds requested for project preparation for stage II of HPMPs for 18 countries, one member indicated that, despite the Secretariat's thorough analysis, the process of sifting through the requests by country and by implementing agency had failed to provide clear justification for all requests. These included the need for clearer explanations on why, in some cases, countries that had received project preparation funds for a given sector in stage I of their HPMPs but had not included that sector in the first stage were being recommended for further preparation funds for that same sector in stage II, and whether the funds provided in stage I had been returned to the Multilateral Fund. In other cases, countries that had received project preparation funds for a sector that had been included in stage I of their HPMPs were being recommended for further preparation funds for the same sector in stage II. It was also unclear whether the request for additional preparatory funds was linked only to meeting the 2020 compliance targets, as provided in the guidelines, or whether these included targets beyond 2020. Members also noted that all recommended approvals for the preparation of the overall HPMP strategy were at the maximum amount of funding. A further query was made regarding unspent balances of project preparation funds and how those related to the current requests. It was also reiterated that future requests should be submitted according to the guide prepared by the Secretariat in order to facilitate the Secretariat's review of the projects.

81. Given the number of outstanding questions, it was proposed that informal discussions take place with the Secretariat and bilateral and implementing agencies in the margins of the meeting to increase understanding before being able to approve the requests for project preparation funds.

82. Following the informal discussions, the Executive Committee decided to remind bilateral and implementing agencies and Article 5 countries to prioritize the phase-out of HCFC-141b and compliance with the 2020 target when requesting and using project preparation funds for projects in non-low-volume-consuming (LVC) countries.

**(Decision 72/18)**

Submission of verification reports of national consumption targets together with tranches of HPMPs submitted to the first meeting of the year

83. The representative of the Secretariat introduced the issue, explaining that, under a three-meetings-a-year regime, the implementing agencies had been required to present verification reports for the year prior to the year preceding the first meeting of the year. However, under a two-meetings-a-year regime, the first meeting of the year would take place later in the year. The Secretariat had therefore requested implementing agencies to present verification reports for the year immediately preceding the first meeting of the year when making tranche request submissions. In view of the difficulties faced by bilateral and implementing agencies in complying with that requirement, specific conditions had been included in the recommendations for tranche approval at the present meeting. Guidance was sought from the Executive Committee on how to proceed in future.

84. One member pointed out that submitting verification reports together with project documents would mean obtaining consumption data 14 weeks before the first meeting of the year, which was difficult for some countries. There was a concern that an increasing number of projects would have to be withdrawn and presented only six months later because of missing verification reports. It was therefore proposed that one solution would be to request the implementing agencies to provide preliminary data if verification reports were not ready by the first meeting of the year. Another solution might be to approve projects, but withhold disbursement for approved projects until the verification reports had been submitted.

85. The representative of the Secretariat pointed out that both proposed solutions had been applied for the 72<sup>nd</sup> meeting. Such compromises had been used frequently in the past. Therefore, in the interests of having the most recent verification reports possible and of allowing projects to go forward in a timely manner, it might be appropriate to continue to apply proven solutions.

86. A representative of an implementing agency pointed out that the discussions on the timing of Executive Committee meetings, to take place at the 73<sup>rd</sup> meeting, might facilitate the implementing agencies' task in this regard if the first meeting of the year in a future two-meetings-a-year regime were to take place in the first week of July. Such a schedule would give more time to countries to submit verification reports.

87. Following the discussion, the Executive Committee decided:

- (a) To encourage lead bilateral and implementing agencies submitting HCFC phase-out management plan tranche requests to the first meeting of the year to include a verification report of national consumption targets for the year immediately preceding the year during which the tranche was submitted; and
- (b) That, should the verification reports mentioned in sub-paragraph (a) above not be ready in time for the first meeting of the year, transfer of any approved funds for tranches to the bilateral and implementing agencies would occur only after receipt by the Secretariat of the verification reports confirming that, in the year immediately preceding the tranche

request, the country had been in compliance with the Montreal Protocol and the Agreement between its Government and the Executive Committee.

**(Decision 72/19)**

Clarification on application of the new administrative cost regime for HPMPs approved at the 66<sup>th</sup> meeting

88. The representative of the Secretariat introduced the issue, and sought clarification from the Executive Committee on the applicability of the new administrative cost regime, approved at the 67<sup>th</sup> meeting, to the second and subsequent tranches of those HPMPs approved at the 66<sup>th</sup> meeting. He explained that the Secretariat and one of the implementing agencies held differing opinions on the matter.

89. Following the presentation, one member asked whether the new administrative cost regime might, in fact, be applied to the second and subsequent tranches of HPMPs approved even before the 66<sup>th</sup> meeting. The representative of the Secretariat confirmed that the scope of decision 67/15 was limited to the HPMPs approved at the 66<sup>th</sup> meeting.

90. Following the clarification, the Executive Committee decided to apply the new support cost regime in line with decision 67/15 for the second and subsequent tranches of HCFC phase-out management plans approved at the 66<sup>th</sup> meeting.

**(Decision 72/20)**

ODS disposal demonstration projects withdrawn from submission to the 72<sup>nd</sup> meeting

91. The representative of the Secretariat introduced the issue, explaining that the relevant agencies had been requested to withdraw the ODS disposal projects for Lebanon and Asia and the Pacific region, as the proposals had not met some of the criteria set out in decision 58/19. Guidance was sought from the Executive Committee as to whether these two projects could be re-submitted on an exceptional basis to the 73<sup>rd</sup> meeting.

92. During the discussion, members highlighted the fact that lengthy discussions had taken place at previous meetings regarding the criteria for presenting ODS disposal demonstration projects and emphasis had been laid on the need for those projects meeting the guidelines to be submitted forthwith. Some members were perplexed by the continued failure of some projects to meet the criteria, given that five years had gone by since preparation funding had been approved. Furthermore, allowing the submission of these projects to the 72<sup>nd</sup> meeting was a *de facto* extension as it had been expected that they would have been finalized earlier. Views were expressed that the period between the present meeting and the 73<sup>rd</sup> meeting would not allow sufficient time for the projects to be revised to the point where they met the criteria.

93. It was pointed out that, when the Executive Committee had decided to provide preparation funds for ODS disposal demonstration projects, great care had been taken to ensure that different types of projects representing various regions would be among the approved projects. It might therefore be worth considering giving these projects another chance to go forward, particularly as the preparation funds had been spent.

94. With regard to the pilot demonstration project for ODS disposal in Lebanon, the representative of UNIDO clarified that the additional time would allow the submission to be sufficiently precise to meet the necessary information requirements in decision 58/19.

95. In the case of the regional ODS waste management project for Asia and the Pacific, some members mentioned that unfortunately there was no real indication that the guidelines for ODS disposal demonstration project submission would be met by the 73<sup>rd</sup> meeting. It was therefore not considered appropriate to grant an extension for the submission of that project.

96. Following the discussion, the Executive Committee decided:

- (a) To allow, on an exceptional basis, the pilot demonstration project for ODS disposal in Lebanon, to be implemented by UNIDO, to be resubmitted to the 73<sup>rd</sup> meeting on the following conditions:
  - (i) That the resubmitted proposal fully met all of the criteria in the ODS destruction guidelines established by decision 58/19;
  - (ii) That exporting ODS for destruction be included as an option within the proposal;
- (b) To request the Secretariat to refrain from submitting the project proposal to the 73<sup>rd</sup> meeting if the conditions in sub-paragraph (a) above had not been fully met; and
- (c) Not to allow the regional ODS waste management project for Asia and the Pacific, to be implemented by the Government of Japan, to be resubmitted to the 73<sup>rd</sup> meeting.

**(Decision 72/21)**

Verification reports of national consumption targets for LVC countries

97. The Executive Committee decided to request relevant bilateral and implementing agencies to include in their respective amendments to the work programmes for submission to the 73<sup>rd</sup> meeting funding for verification reports of national consumption targets for the HCFC phase-out management plans for Angola, Armenia, Bhutan, Burundi, Cambodia, Chad, Guatemala, Haiti, Honduras, Lesotho, Maldives, Myanmar, Namibia, Nicaragua, Papua New Guinea, Paraguay, and the Republic of Moldova.

**(Decision 72/22)**

Submission of stage II of an HPMP with stage I currently ongoing

98. The representative of the Secretariat introduced the issue on the submission of the agreement of a stage II of an HPMP when stage I was still ongoing. He highlighted several issues during the transition period between the stages. He indicated that in order to address those issues, it was necessary to have a common understanding on whether stages of an HPMP should be seen from the financial perspective as separate entities or as part of a unique continuous project until complete phase-out of HCFCs was achieved. Accordingly, guidance was sought from the Executive Committee on whether any of the options presented in the document could be considered for preparing the agreements for a stage II of an HPMP. This was particularly relevant to finalize the Agreement between the Government of Mexico and the Executive Committee, as stage II of the HPMP was submitted to the 72<sup>nd</sup> meeting.

99. While appreciating that this important issue had been raised, members suggested that the Secretariat was better placed to recommend the best approach for ensuring appropriate accountability for activities undertaken, funds spent and HPMP agreement targets. They agreed that it was a complex issue that needed to be thought through carefully and gave their views to guide the Secretariat in addressing it. One member expressed a preference for seeing stage I and stage II as separate legal entities, with the return of funds at the end of stage I, and the need for a clear understanding of what was meant by penalty clause. In considering the options proposed by the Secretariat in the document, members tended to prefer

the development of a new agreement for stage II separate from stage I as presented in paragraph 35 of document UNEP/OzL.Pro/ExCom/72/12.

100. The Executive Committee decided to request the Secretariat to further consider the issue of how to formulate a stage II agreement for an HCFC phase-out management plan when there was an overlap with stage I, based on the views expressed by the Executive Committee, and to submit a recommendation to the 73<sup>rd</sup> meeting under the agenda item on Overview of issues identified during project review.

**(Decision 72/23)**

Fund disbursement threshold for tranches of HPMPs

101. In his introduction on this issue, the representative of the Secretariat drew the Committee's attention to the fact that the 20 per cent disbursement threshold was not the only condition for tranche submissions; a significant level of implementation of investment activities was also required. Withdrawal of tranche submissions was often associated with a low level of implementation.

102. A number of members expressed the view that difficulties in achieving a 20 per cent disbursement level were often beyond a country's control, sometimes being associated with implementing agencies' administrative issues, and supported a proposal to change the threshold to 20 per cent of committed resources. Others could see no reasonable alternative to use the 20 per cent disbursement threshold as a measure of progress and a prerequisite for subsequent tranches, but suggested that adjustments be made in future submissions to the timing and amounts of the first and second tranches to alleviate implementation difficulties.

103. Following a discussion, the Executive Committee decided to request the Secretariat to continue:

- (a) Assessing different modalities for the fund disbursement threshold for HCFC phase-out management plan (HPMPs) and to inform the Executive Committee of the results of this analysis no later than the first meeting in 2015; and
- (b) Using the 20 per cent disbursement threshold as a requirement for the approval of tranches of HPMPs and, on an exceptional basis, to allow the bilateral and implementing agencies to submit information on disbursements related to tranches submitted to the 73<sup>rd</sup> and 74<sup>th</sup> meetings up to six weeks prior to these meetings.

**(Decision 72/24)**

Projects and activities submitted for blanket approval

104. The Chair drew the attention of the Executive Committee to Annex II to document UNEP/OzL.Pro/ExCom/72/12, which listed projects and activities recommended for blanket approval. He stated that three projects had been removed for individual consideration under agenda item 7(d) Investment projects: the HPMP (stage I, second tranche) for Angola, implemented by UNDP; the HPMP (stage I, third tranche) for Ghana, implemented by the Government of Italy and UNDP; and the HPMP (second tranche) for Mauritius, implemented by the Government of Germany. He also mentioned a change in the request for project preparation funding for stage II of the HPMP of the Islamic Republic of Iran. This involved the reduction of the funding for UNDP for the refrigeration and air-conditioning (RAC) manufacturing sector from US \$50,000 to US \$30,000, and allocating US \$20,000 to UNIDO.

105. The Executive Committee decided:

- (a) To approve the projects and activities submitted for blanket approval at the levels of funding indicated in Annex V to the present report, together with the conditions or provisions included in the corresponding project evaluation documents and the conditions attached to the projects by the Executive Committee, noting that the agreements between the following Governments and the Executive Committee had been updated based on the established HCFC baseline for compliance:
  - (i) The Agreement between the Government of Afghanistan and the Executive Committee contained in Annex VI to the present report;
  - (ii) The Agreement between the Government of the Plurinational State of Bolivia and the Executive Committee contained in Annex VII to the present report;
  - (iii) The Agreement between the Government of Kyrgyzstan and the Executive Committee contained in Annex VIII to the present report; and
- (b) That for projects related to renewal of institutional strengthening, blanket approval included approval of the observations to be communicated to recipient governments contained in Annex IX to the present report.

**(Decision 72/25)**

**(b) Bilateral cooperation**

106. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/13, and informed members that, after the document had been issued, the Government of France had indicated that it would become a bilateral agency for stage I of the HPMP for Tunisia as well. Furthermore, since the list of blanket approval projects had been amended to remove the second tranche of the HPMP for Mauritius and the third tranche of stage I of the HPMP for Ghana, the bilateral submissions for Italy and Germany for these two projects appeared in the list of projects submitted for individual consideration.

107. The Executive Committee decided to request the Treasurer to offset the costs of the bilateral projects approved at the 72<sup>nd</sup> meeting as reflected in Annex V to this report as follows:

- (a) US \$659,599 (including agency fees) against the balance of France's bilateral contribution for 2014;
- (b) US \$529,756 (including agency fees) against the balance of Germany's bilateral contribution for 2012-2014;
- (c) US \$79,100 (including agency fees) against the balance of Italy's bilateral contribution for 2014; and
- (d) US \$90,400 (including agency fees) against the balance of Japan's bilateral contribution for 2014.

**(Decision 72/26)**

**(c) Work programmes**

**(i) 2014 work programme of UNDP**

108. The Chair reminded the meeting that the funding requests in the 2014 work programme of UNDP, namely, four IS renewal projects and 15 requests for the preparation of stage II of HPMPs described in document UNEP/OzL.Pro/ExCom/72/14, had already been approved as part of the list of projects for blanket approval (see decision 72/25 and Annex V) and that the amount for project preparation for the RAC manufacturing sector for stage II of the HPMP for the Islamic Republic of Iran had been reduced to US \$30,000.

**(ii) 2014 work programme of UNEP**

109. The Chair reminded the meeting that the funding requests in the 2014 work programme of UNEP, namely, 18 IS renewal projects and six requests for the preparation of stage II of HPMPs described in document UNEP/OzL.Pro/ExCom/72/15, had already been approved as part of the list of projects for blanket approval (see decision 72/25 and Annex V).

**(iii) 2014 work programme of UNIDO**

110. The Chair reminded the meeting that the funding requests in the 2014 work programme of UNIDO, namely, one IS renewal project, one request for project preparation to phase out the use of MB in fumigation of high moisture in dates, and five requests for the preparation of stage II of HPMPs described in document UNEP/OzL.Pro/ExCom/72/16, had already been approved as part of the list of projects for blanket approval (see decision 72/25 and Annex V). Approval also included the amount of US \$20,000 for project preparation for the RAC manufacturing sector for stage II of the HPMP for the Islamic Republic of Iran.

**(iv) 2014 work programme of the World Bank**

111. The Chair reminded the meeting that the funding requests in the 2014 work programme of the World Bank, namely, two requests for the preparation of stage II of HPMPs described in document UNEP/OzL.Pro/ExCom/72/17, had already been approved as part of the list of projects for blanket approval (see decision 72/25 and Annex V).

**(d) Investment projects**

**ODS disposal**

Algeria: Pilot demonstration project on ODS waste management and disposal (UNIDO and France)

112. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/19.

113. In response to members' questions, she said that the 45.7 metric tonnes (mt) of ODS waste from the oil and gas sector had already been aggregated, whereas the waste from recovery and recycling centres had been collected but still needed to be aggregated and transported to the proposed destruction facility. The country, however, was not requesting funding from the Multilateral Fund for aggregation of the waste.

114. Regarding the potential future waste stream, she confirmed that the country did not have an institutionalized national waste collection system, but UNIDO had indicated that the Government was committed to putting in place such a system for ODS charged in domestic appliances. The representative of UNIDO informed the Committee that, once the pilot demonstration project showed results, the



estimated waste stream was expected to be 5 to 10 mt of ODS per year. He explained that the facility was currently exploring the possibility of also addressing various types of waste, including other substances with similar physical properties and medical waste.

115. Responding to the question of whether the Government of Algeria saw the project as an opportunity to revise national regulations to allow the import of hazardous waste into Algeria, particularly as one centre had been designated as a regional centre for the Stockholm Convention, the representative of UNIDO said that the results of the pilot demonstration project would feed those deliberations and that UNIDO would continue to pursue discussions on the subject with the Government of Algeria.

116. The representative of UNIDO also confirmed that the facility would meet existing national and international emission standards, and would have an advanced emission monitoring system in place.

117. The Executive Committee decided:

- (a) To note with appreciation the submission by the Government of Algeria of a pilot ODS waste management and disposal project to destroy a total of 61.09 mt of ODS waste; and
- (b) To approve the implementation of a pilot demonstration project for ODS waste management and disposal in Algeria in the amount of US \$683,813, consisting of US \$375,059, plus agency support costs of US \$26,254 for UNIDO, and US \$250,000, plus agency support costs of US \$32,500 for the Government of France, on the understanding that no further funds would be available for Algeria for any ODS disposal projects in future.

**(Decision 72/27)**

Brazil: Pilot demonstration project on ODS waste management and disposal (UNDP)

118. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/23.

119. Following the presentation, one member requested clarification regarding the terminology used in the project document, asking about the difference between “aggregation” and “consolidation” of ODS waste. She also expressed the view that the country-wide scope of the project was quite ambitious and asked whether the country and the implementing agency had considered conducting a pilot project in one region of the country before extending it to the entire country.

120. The representative of the Secretariat explained that the term “consolidation” meant bringing together already-collected amounts of ODS waste in larger facilities for transport to destruction centres, and thus was considered in the project. With regard to the scope of the project, the representative of UNDP explained that the waste collection system in the country was already well-established at the national level and the demonstration project had therefore been designed around it to be cost-effective. It was also mentioned that focusing on only one region of the country would not have provided sufficient quantities of ODS waste to justify the project proposal.

121. Further clarification was needed, however, and it was suggested that informal discussions take place in the margins of the meeting to obtain the necessary clarification.

122. Following the discussions and clarification, the Executive Committee decided:

- (a) To note with appreciation the submission by the Government of Brazil of a pilot ODS waste management and disposal project to destroy a total of 120 mt of ODS waste; and

- (b) To approve the implementation of a pilot demonstration project for ODS waste disposal and management in Brazil in the amount of US \$1,490,600, plus agency support costs of US \$104,342 for UNDP, on the understanding that no further funds would be available for Brazil for any ODS disposal projects in future.

**(Decision 72/28)**

## **MB production sector**

### China: Sector plan for the phase out of methyl bromide production (phase IV) (UNIDO)

123. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/24, drawing the meeting's attention, *inter alia*, to the use of funds not included in the budget for the 2011-2013 tranche, the balance of US \$4.6 million remaining from the previous tranche, possible duplicate activities, and the cost of planned budget items. However, the verification reports confirmed that China was in compliance with its agreement in terms of meeting the control measures, and had therefore met the requirement for the release of the last tranche.

124. One member referred to the issues that the Executive Committee had decided to address under agenda item 17 (Other matters) as being relevant to the present item and requested the implementing agency to provide clarification on the country's behalf.

125. The representative of UNIDO expressed disagreement with the recommendation with respect to the return of funds in 2015 and the due date for the project completion report.

126. Following the exchange of views, it was decided that further informal discussions on the matter would take place in the margins of the meeting in an attempt to arrive at a solution.

127. Following these discussions, the Executive Committee decided:

- (a) To note the progress report on phase III of the sector plan for the phase-out of methyl bromide (MB) production for the period 2011 to 2013 and the verification reports on MB production for controlled (2011 to 2013) and feedstock (2010 to 2012) uses in China;
- (b) To note that the Government of China would continue to use existing balances to undertake activities for the phase-out of MB production; and
- (c) To defer consideration of the fourth tranche of the sector plan for the phase-out of MB production, and the corresponding 2014-2015 tranche implementation plan, to the 73<sup>rd</sup> meeting.

**(Decision 72/29)**

## **HPMP tranche requests**

### Angola: HCFC phase-out management plan (stage I, second tranche) (UNDP)

128. The second tranche of stage I of the HPMP for Angola had originally been recommended for blanket approval, but had been removed from the list of projects recommended for blanket approval because the implementation plan proposed retrofitting of HCFC-based refrigeration and air-conditioning equipment to HC, a matter discussed in a contact group convened under agenda item 7(a).

129. Based on the outcome of discussions in the contact group on retrofit to HC (see paragraph 78), the Executive Committee decided:

- (a) To note the progress report on the implementation of the first tranche of stage I of the HCFC phase-out management plan (HPMP) for Angola; and
- (b) To approve the second tranche of stage I of the HPMP for Angola, and the corresponding tranche implementation plan, in the amount of US \$39,111, plus agency support costs of US \$3,520 for UNDP, on the understanding that Angola assumed all responsibilities and risks associated with retrofitting HCFC-based refrigeration and air-conditioning equipment to flammable or toxic refrigerants and associated servicing.

**(Decision 72/30)**

Bosnia and Herzegovina: HCFC phase-out management plan (stage I, second tranche) (UNIDO)

130. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/22, drawing members' attention to non-compliance with regard to the maximum allowable level of consumption in 2013 established in the Agreement between the Government of Bosnia and Herzegovina and the Executive Committee. He explained that this issue had arisen mainly from the delayed introduction of a quota system that was now in place. He also recalled that the outcome of discussions on agency support costs for the HPMP approved at the 66<sup>th</sup> meeting of the Committee also applied.

131. Members noted the non-compliance issue and other concerns in the document, but were reassured by the fact that the country now had an enforceable licensing and quota system in place and a plan for returning to compliance through import quotas. While generally feeling that there should be some penalty for non-compliance, they were open to the possibility of applying the penalty as a percentage of the current proposed tranche, as had been the practice when other countries had paid penalties, and taking into account decision 54/34 relating to LVC countries. Some concern was also expressed regarding the implication of any penalty on the implementation of the HPMP.

132. Following informal discussion on the matter, the Executive Committee decided:

- (a) To note the progress report on the implementation of the first tranche of stage I of the HCFC phase-out management plan (HPMP) for Bosnia and Herzegovina and the verification report on HCFC consumption for Bosnia and Herzegovina in the year 2013;
- (b) Also to note:
  - (i) That there were indications in the verification report that the country might have had a consumption of 580 ODP kg of HCFCs in 2013 above the maximum allowable consumption limit established in the Agreement between the Government of Bosnia and Herzegovina and the Executive Committee;
  - (ii) That further work was required to establish the actual consumption of Bosnia and Herzegovina in 2013;
  - (iii) That the Government of Bosnia and Herzegovina had undertaken the necessary steps to ensure compliance with the Agreement in 2014 and future years;
- (c) To note that the Secretariat had updated Appendix 2-A to the Agreement between the Government of Bosnia and Herzegovina and the Executive Committee to reflect the change in support costs owing to the new administrative cost regime, and that a new

paragraph 16 had been added to indicate that the updated Agreement superseded that reached at the 66<sup>th</sup> meeting, as contained in Annex X to the present report;

- (d) To withhold 10 per cent of the agreed funding for the second tranche of the stage I of the HPMP in line with decision 54/34 until the exact consumption of Bosnia and Herzegovina in the year 2013 had been established, and to consider at the 73<sup>rd</sup> meeting, on the basis of the actual 2013 consumption, whether and at what level a penalty would have to be applied, up to the limit of the funds withheld from the second tranche; and
- (e) To approve the second tranche of stage I of the HPMP for Bosnia and Herzegovina with a reduction of 10 per cent as per sub-paragraph (d) above, and the corresponding 2014-2016 tranche implementation plans, in the amount of US \$128,979, plus agency support costs of US \$9,029 for UNIDO.

**(Decision 72/31)**

Ghana: HCFC phase-out management plan (stage I, third tranche) (UNDP and Italy)

133. The third tranche of stage I of the HPMP for Ghana had originally been recommended for blanket approval, but had been removed from the list of projects recommended for blanket approval because the implementation plan proposed retrofitting of HCFC-based refrigeration and air-conditioning equipment to HC, a matter discussed in a contact group convened under agenda item 7(a).

134. Based on the outcome of discussions in the contact group on retrofit to HC (see paragraph 78), the Executive Committee decided:

- (a) To note the progress report on the implementation of the second tranche of stage I of the HCFC phase-out management plan (HPMP) for Ghana; and
- (b) To approve the third tranche of stage I of the HPMP for Ghana, and the corresponding 2014–2016 tranche implementation plans, in the amount of US \$283,350, consisting of US \$70,000, plus agency support costs of US \$9,100 for the Government of Italy, and US \$190,000, plus agency support costs of US \$14,250 for UNDP, on the understanding that Ghana assumed all responsibilities and risks associated with retrofitting HCFC-based refrigeration and air-conditioning equipment to flammable or toxic refrigerants and associated servicing, and that the regulatory environment for the safe use of hydrocarbon refrigerants would be established prior to the submission of the fourth tranche request to the Executive Committee.

**(Decision 72/32)**

Islamic Republic of Iran: HCFC phase-out management plan (stage I, third tranche) (UNDP and UNIDO)

135. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/29.

136. Highlighting that stage I would phase out 43.2 per cent of the baseline by 2015 and that the Government of the Islamic Republic of Iran had committed to a reduction in consumption of 15 per cent of the baseline by 2017, members sought a phase-out commitment that would be closer to the expected phase-out amount and consistent with commitments by other countries. After consultation with the implementing agencies, the representative of the Secretariat reported that the Government was prepared to commit to a reduction of 30 per cent of the HCFC consumption baseline by 1 January 2018.

137. Following informal discussion, the Executive Committee decided:

- (a) To note:
  - (i) The progress report on the implementation of the second tranche of stage I of the HCFC phase-out management plan (HPMP) for the Islamic Republic of Iran;
  - (ii) The change in the foam sector plan proposed by UNIDO, and that the amount of US \$97,127 associated with one non-eligible foam enterprise (Nobough) would be reallocated to the three new eligible enterprises manufacturing insulation foam for domestic refrigerators added to stage I, but its HCFC consumption (3.8 ODP tonnes) would continue to be deducted from the starting point;
  - (iii) That the Government of the Islamic Republic of Iran had committed to reducing its HCFC consumption by 30 per cent of the baseline by 1 January 2018;
  - (iv) That the Secretariat had updated paragraph 1 and Appendices 2-A and 7-A of the Agreement between the Government of the Islamic Republic of Iran and the Executive Committee, based on the revised sector plan submitted by UNIDO, and that a new paragraph 16 had been added to indicate that the updated Agreement attached as Annex XI to the present report superseded that reached at the 68<sup>th</sup> meeting;
- (b) To deduct an additional 63.1 ODP tonnes of HCFCs from the remaining consumption eligible for funding; and
- (c) To approve the third tranche of stage I of the HPMP for the Islamic Republic of Iran, and the corresponding 2014 tranche implementation plan, in the amount of US \$622,711, consisting of US \$477,816, plus agency support costs of US \$35,836 for UNDP, and US \$101,450, plus agency support costs of US \$7,609 for UNIDO, on the understanding that the approved funds would not be transferred to UNDP and UNIDO until the Secretariat had received a verification report confirming that the Government of the Islamic Republic of Iran was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee.

**(Decision 72/33)**

Mauritius: HCFC phase-out management plan (second tranche) (Germany)

138. The second tranche of the HPMP for Mauritius had originally been recommended for blanket approval, but had been removed from the list of projects recommended for blanket approval because of a question regarding the inclusion of HCFC-22 used for servicing ships in the HCFC baseline for compliance and the starting point for reduction.

139. The representative of the Secretariat provided clarification to the effect that discussions with the Governments of Germany and Mauritius in the margins of the meeting had indicated that the amounts of ODS used to service ships were included in the HCFC baseline and would be reported as part of compliance.

140. The Executive Committee decided:

- (a) To note:
  - (i) The progress report on the implementation of the first tranche of the HCFC phase-out management plan (HPMP) for Mauritius;
  - (ii) That the Secretariat had updated paragraph 1, Appendices 1-A and 2-A of the Agreement between the Government of Mauritius and the Executive Committee, based on the established HCFC baseline for compliance and the revised funding level, and that a new paragraph 16 had been added to indicate that the updated Agreement superseded that reached at the 63<sup>rd</sup> meeting, as contained in Annex XII to the present report;
  - (iii) That the revised starting point for sustained aggregate reduction in HCFC consumption was 8.0 ODP tonnes, calculated using actual consumption of 10.7 ODP tonnes and 5.3 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol and that the revised funding level for the HPMP for Mauritius was US \$950,000, plus agency support costs, in accordance with decisions 60/44(f)(xii) and 62/10; and
- (b) To approve the second tranche of the HPMP for Mauritius, and the corresponding 2014-2017 tranche implementation plan, in the amount of US \$131,400, plus agency support costs of US \$15,851 for the Government of Germany.

**(Decision 72/34)**

Saudi Arabia: HCFC phase-out management plan (stage I, second tranche) (UNIDO and UNEP)

141. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/34, informing members that a final HCFC consumption verification report had just been received and had not yet been reviewed by the Secretariat, although preliminary figures for 2013 indicated compliance.

142. In the ensuing discussion, members described the progress in foam sector conversions and the ban on imports of HCFC-142b as notable developments, but expressed concerns regarding the apparent lack of progress in the servicing sector, and non-compliance with CTC consumption in 2009. It was also recalled that CTC consumption had been covered by the national phase-out plan (NPP), but because NPP funds had been transferred to the HPMP, it made sense for the penalty for CTC consumption non-compliance to be set against the HPMP.

143. The Executive Committee decided:

- (a) To note:
  - (i) The national ODS phase-out plan (NPP) implementation report and the verification reports on consumption for 2009 and 2010 for Saudi Arabia;
  - (ii) With concern the over-consumption of 1.87 ODP tonnes of CTC in 2009 as compared to the maximum allowable consumption limit established in the Agreement between the Government of Saudi Arabia and the Executive Committee for the phase-out of ODS, in line with decision 53/28;
  - (iii) That Saudi Arabia had returned to compliance with the Agreement in the year 2010;

- (iv) That, in accordance with paragraph 10 and Appendix 7-A of that Agreement and decision 68/37, there would be a reduction of US \$7,813;
- (b) Also to note:
  - (i) The progress report on the implementation of the first tranche of stage I of the HCFC phase-out management plan (HPMP) for Saudi Arabia;
  - (ii) The refrigeration/air-conditioning manufacturing sector survey report, and the final list of eligible enterprises in the refrigeration and air-conditioning sector contained therein;
  - (iii) That the Secretariat, in agreement with UNIDO, had corrected rows 1.3 and 1.4 of Appendix 2-A to the Agreement between the Government of Saudi Arabia and the Executive Committee, and that a new paragraph 16 had been added to indicate that the updated Agreement attached as Annex XIII to the present report superseded that reached at the 68<sup>th</sup> meeting;
- (c) To approve the second tranche of stage I of the HPMP for Saudi Arabia, and the corresponding 2014-2015 tranche implementation plan, in the amount of US \$2,971,487, plus agency support costs of US \$208,004 for UNIDO, deducting from that amount US \$7,813 plus agency support costs of US \$586 in accordance with sub-paragraph (a)(iv) above, on the understanding that the approved funds would not be transferred to UNIDO until the Secretariat had reviewed the verification report and confirmed that the Government of Saudi Arabia was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee.

**(Decision 72/35)**

### **Stage I of HPMPs**

#### Tunisia: HCFC phase-out management plan (stage I, first tranche) (UNIDO)

144. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/36.
145. One week before the present meeting, UNIDO had informed the Secretariat in writing that the Government of Tunisia had requested that the Government of France and UNEP be involved in the final implementation of the HPMP, particularly for components in the refrigeration manufacturing and servicing sector, and had requested redistribution of the funding.
146. In the ensuing discussion, concern was expressed with regard to the choice of HFC-365mfc at the Société de Fabrication des Articles Pharmaceutiques as an alternative in the solvent sector given its flammability and its high global warming potential (GWP). Members wondered whether the use of other alternatives could still be considered or whether the conversion could perhaps be undertaken at a later stage of the HPMP to increase the likelihood of other preferable alternatives being available, as had been done with the air-conditioning manufacturing sector. It was explained that the solvent sector element of the HPMP had purposely been planned for stage I to facilitate the country's compliance with the 2015 reduction target as it could be implemented in a short period of time and have a direct impact on consumption. If phased out, it would allow the country to ban imports of HCFC-141b, thus achieving total phase-out of the substance.

147. Although the enterprise was willing to look at the use of hydrofluoroolefins (HFOs), the equipment manufacturer had stated that only HFCs could currently be used in the operations. The possibility of a demonstration-type project to enable use of HFOs was evoked and was agreeable to the country in principle, although the precise details would have to be discussed further.

148. The representative of UNIDO informed the Executive Committee that the Government of Tunisia had agreed to an extended commitment of a 15 per cent reduction of HCFC consumption baseline by 2018. Several members, however, felt that the Government should extend that commitment even further.

149. The Chair invited interested Parties to discuss the proposal further in the margins of meeting.

150. Reporting back, the representative of the Secretariat said that the Government of Tunisia was hesitant to commit to phasing out more than 15 per cent of its baseline by 2018. It was, however, agreeable to considering a decision by the Executive Committee noting that the reductions would assist the country in meeting its 2020 target. Taking into account the concerns raised by members with regard to the solvent sector, a change in the scope of the project was agreed: the enterprise would use low-GWP alternatives in the conversion process, which would result in additional costs to the project to account for additional technical experts and pilot testing. The Secretariat issued an addendum to document UNEP/OzL.Pro/ExCom/72/36 to reflect the changes to the activities in stage I of the HPMP for Tunisia.

151. The Executive Committee decided:

- (a) To approve in principle, stage I of the HCFC phase-out management plan (HPMP) for Tunisia for the period 2014 to 2018 to reduce HCFC consumption by 15 per cent of the baseline, in the amount of US \$1,966,209, consisting of US \$1,100,195, plus agency support costs of US \$77,014 for UNIDO, US \$100,000, plus agency support costs of US \$13,000 for UNEP, and US \$600,000, plus agency support costs of US \$76,000 for the Government of France;
- (b) To note that the Government of Tunisia had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 40.7 ODP tonnes, calculated using actual consumption of 44.3 ODP tonnes and 37.0 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 5.02 ODP tonnes of HCFC-141b contained in imported pre-blended polyol systems, resulting in 45.72 ODP tonnes;
- (c) To deduct 10.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (d) To note that any reduction in HCFC consumption above 15 per cent would assist the country in meeting its 2020 phase-out target under the Montreal Protocol;
- (e) To approve the Agreement between the Government of Tunisia and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XIV to the present report; and



- (f) To approve the first tranche of stage I of the HPMP for Tunisia, and the corresponding 2014–2015 implementation plans, in the amount of US \$735,564, consisting of US \$512,885, plus agency support costs of US \$35,902 for UNIDO, US \$30,000, plus agency support costs of US \$3,900 for UNEP, and US \$135,690, plus agency support costs of US \$17,187 for the Government of France.

**(Decision 72/36)**

## Stage II of HPMPs

Mexico: HCFC phase-out management plan (stage II, first tranche) (UNIDO, UNEP, Germany and Italy)

152. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/33 and reminded the Executive Committee that the draft Agreement between the Government of Mexico and the Executive Committee had not been finalized. He said that the Committee might wish to consider approving the project and request the Government of Mexico, the Secretariat and UNIDO to finalize the draft Agreement.

153. There was general support for the project proposal and the Government of Mexico was complimented on moving forward with stage II of its HPMP, which would also convert non-eligible enterprises without funding from the Multilateral Fund. Overall, the cost-effectiveness was good and there were a number of interesting elements in the proposal. However, several members expressed concern at approving the project without first seeing the draft Agreement. Questions were also raised about the choice of the technologies to be used in the aerosol sector, the accelerated phase-out proposed and the associated distribution of the funding tranches. It was also pointed out that having two overlapping stages meant that the issues being considered were particularly complex and the Executive Committee would need to proceed carefully when approving the Agreement.

154. The Executive Committee decided:

- (a) To note with appreciation that stage II of the HCFC phase-out management plan (HPMP) for Mexico, as contained in document UNEP/OzL.Pro/ExCom/72/33 was cost effective, well-crafted, and was formulated and submitted without preparatory funding;
- (b) To defer consideration of stage II of the HPMP for Mexico to the 73<sup>rd</sup> meeting to allow for the preparation of an Agreement and to further optimize the aerosol sector plan proposal to explore options to further reduce its climate impact;
- (c) To request the Government of Mexico, the Secretariat and UNIDO to finalize the draft Agreement between the Government of Mexico and the Executive Committee for the reduction in consumption of HCFCs; and
- (d) To approve funds on an exceptional basis at the level of US \$40,000, plus agency support costs of US \$2,800 for UNIDO, in order to optimize the aerosol sector plan proposal to explore options to further reduce its climate impact.

**(Decision 72/37)**

**AGENDA ITEM 8: DISBURSEMENT OF FUNDS FOR THE HCFC PHASE-OUT MANAGEMENT PLAN FOR CHINA (decision 71/44)**

155. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/38, which had been prepared with inputs from the implementing agencies. It provided information on possible options to achieve the objective of disbursing funds to the Government of China closer to the time when they would be needed. That objective could best be achieved by encouraging implementing agencies to work within their agreements. He also informed the meeting that UNDP had informed the Secretariat that UNDP had worked together with FECO/MEP to develop a working modality for the disbursement of funding.

156. The representative of UNDP was asked to describe the modality that had been developed. He said that, for the purpose of preparing agreements, FECO/MEP had required significant disbursement of funding in 2013 but those needs would be less in 2014 and 2015. UNDP had agreed to modify the disbursement schedule so that disbursement would take place semi-annually and thus closer to the time that the funds would be needed and better meet the requirements of decision 69/24(b).

157. One member said that the reason the implementing agencies were being asked to disburse funding closer to the time it was needed was to avoid a negative impact on the interest being accrued on the funds held by the implementing agencies. The implementing agencies had been asked to look into that issue and he was pleased to see that UNDP was adopting the semi-annual distribution modality that had also been adopted by UNEP. However, he was still not sure what effect such a modality would have on the transfer of funds and suggested that interested Parties discuss the matter informally with the representatives of the implementing agencies. The informal meeting was also an opportunity to discuss the disbursement options such as providing funds to FECO/MEP for stage II of the HPMP on a semi-annual basis or releasing funds to FECO/MEP up to a level not exceeding 30 per cent of the tranche in advance of FECO/MEP's submission of final contracts with beneficiary enterprises.

158. Following the informal consultations, the Executive Committee decided

- (a) To note the report on disbursement of funds for the HCFC phase-out management plan (HPMP) for China, as contained in document UNEP/OzL.Pro/ExCom/72/38;
- (b) To request the Secretariat, in collaboration with the implementing agencies and the Treasurer:
  - (i) To consider the options on the timing of the disbursement of funds under stage II of the HPMP for China; and
  - (ii) To provide a report on the matter to the 73<sup>rd</sup> meeting of the Executive Committee.

**(Decision 72/38)**

**AGENDA ITEM 9: CRITERIA FOR FUNDING HCFC PHASE-OUT IN THE CONSUMPTION SECTOR FOR STAGE II OF HCFC PHASE-OUT MANAGEMENT PLANS (decision 70/21(d))**

159. Introducing document UNEP/OzL.Pro/ExCom/72/39, the Chief Officer said that the Secretariat had updated the criteria for funding HCFC phase-out in the consumption sector for stage II of HPMPs in order to facilitate discussion at the present meeting.

160. Some members observed that small and medium-sized enterprises presented a new challenge for the Fund as their economies of scale were not the same as those of the large enterprises that had

previously been targeted by the Fund. The same cost-effectiveness thresholds could not be applied as there were higher operating costs associated with the use of new technologies involving flammable substances. Those costs would be proportionately greater for small and medium-sized enterprises and it was suggested that the Secretariat prepare a new paper that took that into consideration.

161. Other members pointed out that the existing guidelines had already been applied to stage II of HPMPs in some cases. While it might be possible to discuss the minor changes proposed by the Secretariat, it would not be useful to reopen the discussions on the existing guidelines, especially as they had been the result of a compromise between the positions of Article 5 and non-Article 5 countries. However, in some places the changes suggested by the Secretariat had gone beyond the intent of the existing guidelines and clarification was sought on several issues.

162. The Chair suggested that a contact group be set up to give additional guidance to the Secretariat, but some members thought that was unnecessary. Others said that it was important to give guidance to the Secretariat and there should at least be informal discussion on which elements the Secretariat would need to consider if it was asked to prepare a revised paper on the criteria for funding HCFC phase-out in the consumption sector for stage II of the HPMPs.

163. The representative of Australia reported that, following informal discussions and as a compromise, it had been agreed that members of the Executive Committee could submit additional information to the Secretariat, which would then be asked to prepare a document containing additional information for the consideration of the Executive Committee at its 73<sup>rd</sup> meeting.

164. Following a discussion, the Executive Committee decided:

- (a) To take note of the criteria for funding HCFC phase-out in the consumption sector for stage II of HCFC phase-out management plans, as contained in document UNEP/OzL.Pro/ExCom/72/39;
- (b) To invite Executive Committee members to submit to the Secretariat, by 30 June 2014, any additional information they considered necessary to complete the information already contained in document UNEP/OzL.Pro/ExCom/72/39; and
- (c) To request the Secretariat to prepare a document that contained the additional information submitted under subparagraph (b) above for the consideration of the Executive Committee at its 73<sup>rd</sup> meeting.

**(Decision 72/39)**

**AGENDA ITEM 10: OVERVIEW OF APPROVED HCFC DEMONSTRATION PROJECTS AND OPTIONS FOR ADDITIONAL PROJECTS TO DEMONSTRATE CLIMATE-FRIENDLY AND ENERGY-EFFICIENT ALTERNATIVE TECHNOLOGIES TO HCFCS (decision 71/51(a))**

165. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/40, saying that it showed that the 14 HCFC demonstration projects approved to date had been successful in facilitating the introduction of new low-GWP technologies as alternatives in HCFC phase-out plans. The Secretariat had concluded that there was no urgent need for additional demonstration projects, but had provided some basic requirements for future activities of this nature.

166. In response to a query from a member, the representative of the Secretariat confirmed that a number of technologies tested by the demonstration projects had inherent challenges such as toxicity, corrosiveness or flammability. The projects were designed to overcome such challenges, and were sometimes modified during implementation to allow for optimized solutions. As a result, project funds

were often transferred among activities within the budget envelope, but overall, the budgets were sufficient to essentially cover the eligible costs encountered.

167. In response to concerns regarding the applicability of projects in other countries and their replicability, the representative of the Secretariat pointed out that, unlike CFC demonstration projects, which often involved the transfer of existing technology to Article 5 countries, HCFC demonstration projects often involved the development of new technologies by Article 5 countries. While that was a positive difference, he acknowledged that the inherent lack of pre-existing expertise entailed certain challenges when it came to adapting such technologies for other countries.

168. Members were appreciative of the document. They agreed that the demonstration projects already approved had played a valuable role in HCFC phase-out, and supported the collection and dissemination of their results. They were also open to considering additional projects, while stressing that climate-friendly and energy-efficient technologies should be prioritized. There was discussion on how to go about selecting future demonstration projects.

169. The representative of the United States of America introduced an informal text outlining a proposed way forward, and members agreed to convene a contact group to discuss the proposal.

170. Following the report by the convener of the contact group on a new text submitted by the group, the Executive Committee decided:

- (a) To note the overview of approved HCFC demonstration projects and options for additional projects to demonstrate climate-friendly and energy efficient alternative technologies to HCFCs contained in document UNEP/OzL.Pro/ExCom/72/40;
- (b) Pursuant to decision XXV/5 of the Twenty-Fifth Meeting of the Parties, to consider at its 75<sup>th</sup> and 76<sup>th</sup> meetings proposals for demonstration projects for low-global-warming potential (GWP) alternatives to HCFCs within the following framework:
  - (i) The following criteria would be applied when selecting projects:
    - a. The project offered a significant increase in current know-how in terms of a low-GWP alternative technology, concept or approach or its application and practice in an Article 5 country, representing a significant technological step forward;
    - b. The technology, concept or approach had to be concretely described, linked to other activities in a country and have the potential to be replicated in the medium future in a significant amount of activities in the same sub-sector;
    - c. For conversion projects, an eligible company willing to undertake conversion of the manufacturing process to the new technology had been identified and had indicated whether it was in a position to cease using HCFCs after the conversion;
    - d. The project proposals should prioritize the refrigeration and air-conditioning sector, not excluding other sectors;
    - e. They should aim for a relatively short implementation period in order to maximize opportunities for the results to be utilized for activities funded by the Multilateral Fund as part of their stage II HCFC phase-out

management plans (HPMPs);

- f. The project proposals should promote energy efficiency improvements, where relevant, and address other environmental impacts;
- (ii) Total funding for such projects described in sub-paragraph (b) above would not exceed US \$10 million. The project proposals should also contain information on co-financing;
- (iii) Funding requests for project preparation and project concepts where no project preparation funding was required, including indicative costs of the final demonstration project for projects described in sub-paragraph (b) above, would be considered at the 74<sup>th</sup> meeting. The funding requirements and project concepts should address the criteria described in sub-paragraph (b) above;
- (iv) If not extended by decision of the Executive Committee, the demonstration project would be considered financially completed 12 months after the intended completion date, and remaining funds would be returned; reporting obligations for the demonstration project would become part of regular progress reporting under the HPMP, and their fulfilment would be required to allow submission of a tranche;
- (v) Any reductions in the consumption of HCFCs would be deducted from the starting point for sustained aggregate reductions in eligible consumption;
- (c) To invite bilateral and implementing agencies to provide proposals for feasibility studies, including business cases for district cooling, no later than the 75<sup>th</sup> meeting. The resulting studies should assess possible projects, their climate impact, economic feasibility and options for financing such undertakings. The studies should enable stakeholders to understand the advantages and challenges as compared to business as usual. The funding for each study would be limited to a maximum of US \$100,000, with a maximum of four studies to be funded. The Executive Committee is not agreeing with this approval to consider further funding beyond the feasibility studies; and
- (d) To request the Secretariat to prepare a paper analyzing the remaining eligible HCFC consumption in various sectors and subsectors of potential demonstration relevance, for consideration by the Executive Committee at its 74<sup>th</sup> meeting.

**(Decision 72/40)**

**AGENDA ITEM 11: ADDITIONAL ACTIVITIES TO MAXIMIZE CLIMATE BENEFITS IN THE HCFC PRODUCTION SECTOR (decision 71/51(b))**

171. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/41, which had been prepared pursuant to decision 71/51(b). The document provided a brief summary of issues related to the emission of by-products with high GWP in the HCFC production sector. It proposed, for inclusion in future business plans, several activities that could help to reduce emissions of HFC-23 – the substance that caused the most concern on account of its GWP of 11,700.

172. Members welcomed the document as an excellent basis for discussion. Despite a number of differing views being put forward, members agreed that any measures to reduce HFC-23 emissions should be undertaken on a purely voluntary basis. Other members said that the Meeting of the Parties

was currently debating the matter and that HFC-23 was not at present a controlled substance under the Montreal Protocol.

173. Given that there was no legal obligation to address HFC-23, several Article 5 countries expressed concern that the options of HFC-23 production monitoring and reporting and of policy and regulation would be too difficult for countries to implement. Several members from non-Article 5 countries, however, favoured policy and regulation measures as a means of reducing HFC-23 emissions. One of them highlighted the wealth of data on HFC-23 that already existed, thus questioning the need for further data collection and analysis through production monitoring and reporting.

174. It was pointed out that the root cause of the problem was in fact the HCFC production process: HFC-23 emissions were merely an effect. One member pointed out that prioritizing closure of HCFC-22 production facilities towards more efficient production as a means of reducing by-product emissions was also an option, which had not been considered in the study. Another member said this was their preferred option and did not agree to invest funds in activities not controlled by the Montreal Protocol and not included in the Multilateral Fund replenishment.

175. There was substantial interest in the option of destruction of HFC-23 through on-site/off-site incineration facilities, although the issues of cost, of the duration of any potential Multilateral Fund support for such activities and thus of the sustainability of such an approach were raised. In that respect, one member proposed also looking into other conversion technologies and into the possibility of a demonstration project on a new technology. Another member stressed that cost effectiveness should be an important element of any decision regarding the activities to be undertaken, but that cost information on the options was lacking in document UNEP/OzL.Pro/ExCom/72/41. Another member highlighted that several HFC-23 destruction projects were undertaken under the Clean Development Mechanism and questioned the need for such demonstration projects to be undertaken by the Multilateral Fund.

176. The idea of a study on reducing emissions and optimizing production processes was also debated, although members felt that they needed more information about the exact nature of such a study and about the potential related costs and challenges.

177. Some members expressed concern at by-product emissions and said that scientists were presently reporting an increase in emissions of CFC-112, CFC-113a and HCFC-133a. CFC-113a and HCFC-133a were also intermediates in some industrial processes producing refrigerants HFC-134a and HFC-125, and suggested that the paper also take that into account. Such inadvertent ODS and HFC by-product emissions should be dealt with on a case-by-case basis in the agreement between the Executive Committee and each given country, as was the case with China. That agreement included language on the management of by-product emissions.

178. Members suggested that further information on a number of issues would facilitate their deliberations, including on the cost effectiveness of the various options. Whatever the activity, however, it was important to ensure that it did not place too heavy a burden on countries, on the Executive Committee or on the Secretariat.

179. The Chair requested interested Parties to hold informal discussions in the margins of the meeting and to report back to the Executive Committee.

180. Later in the meeting, the representative of the United States of America proposed text for a draft decision on additional activities to maximize climate benefit in the HCFC production sector, drawing together some of the ideas expressed by other members during the previous discussions, stressing that, at present, the activities focused only on information gathering. Several members welcomed the text and suggested that the scope of the activities should not be limited to HFC-23 but should also include other by-product emissions; other members supported the proposal, but reiterated that any activities included in

a future decision should be implemented on a voluntary basis. Some members, however, were of the view that there was no legal basis to discuss the matter within the Executive Committee.

181. In an attempt to assist deliberations, the representative of the United States of America presented a revised version of the text to include other by-products and voluntary implementation. Several members welcomed the text as a meaningful way forward, reaffirming that, pursuant to decision XXV/5 of the Twenty-Fifth Meeting of the Parties, the Executive Committee had a clear mandate to consider whether additional activities to maximize the climate benefits in the HCFC production sector would be useful in assisting Article 5 Parties in further minimizing the environmental impact of HCFC phase-out. One member suggested an alternative way forward, which was to look at the decision at the 73<sup>rd</sup> meeting and understand the implications of decision XXV/5. The representative of the United States of America proposed further discussion of the precise mandate set out in decision XXV/5. He was backed by other members who were keen to pursue consideration of the issue. This proposal, however, was not accepted by all, and the Executive Committee remained unable to reach consensus. The representative of the United States of America expressed deep disappointment at that outcome.

182. The Executive Committee took note of document UNEP/OzL.Pro/ExCom/72/41 on additional activities to maximize climate benefits in the HCFC production sector.

**AGENDA ITEM 12: MINIMIZING ADVERSE CLIMATE IMPACT OF HCFC PHASE-OUT IN THE REFRIGERATION SERVICING SECTOR (decision 71/43)**

183. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/42.

184. One member said that progress had been made over the course of the various Executive Committee discussions on the matter since it had first been raised. He was pleased to note that, even without a decision by the Committee, some of the issues in the paper were being taken into account by the agencies and some countries as they implemented activities in the refrigeration servicing sector and developed stage II of HPMPs. He acknowledged the flexibility that Article 5 countries wished to have and to which they were entitled.

185. A number of concerns about the paper were, however, expressed, including a lack of clarity about its precise applicability by countries with different characteristics; and the narrow lack of focus of the recommendation, which could have been expanded to assisting Article 5 countries with expertise in institutional and regulatory matters to avoid any growth in the use of high-GWP alternatives.

186. One member expressed concern at the proposal to encourage Article 5 countries to consider measures to limit the import of HCFC-based equipment, which should consider unintended consequences based on experience during CFC phase-out. Another member said that all other avenues should be explored before considering retrofitting measures, while another reminded the Committee that the National Ozone Units could not establish codes and practices but could influence the relevant stakeholders to do so. Sub-paragraph (c) in the proposed recommendation should apply in those cases where it was needed and feasible.

187. Bilateral and implementing agencies were urged to continue to apply ingenuity in addressing HCFC phase-out in the refrigeration servicing sector to ensure increased energy efficiency as well as a reduction in emissions.

188. The Executive Committee decided:

- (a) To take note of documents UNEP/OzL.Pro/ExCom/70/53/Rev.1 and UNEP/OzL.Pro/ExCom/72/42 on minimizing adverse climate impact of HCFC phase-out in the refrigeration servicing sector;

- (b) To invite relevant bilateral and implementing agencies to consider the information contained in documents UNEP/OzL.Pro/ExCom/70/53/Rev.1 and UNEP/OzL.Pro/ExCom/72/42 when assisting Article 5 countries in the preparation and implementation of activities in the refrigeration servicing sector contained in their HCFC phase-out management plans (HPMPs); and
- (c) To encourage Article 5 countries, when implementing their HPMPs, to consider, as needed and feasible:
  - (i) The development of regulations and codes of practice, and the adoption of standards for the safe introduction of flammable and toxic refrigerants given the potential risk of accidents and negative effects on health associated with their use;
  - (ii) Measures to limit the import of HCFC-based equipment and to facilitate the introduction of energy-efficient and climate-friendly alternatives; and
  - (iii) Focusing activities in the refrigeration servicing sector on training of technicians, good practices, the safe handling of refrigerants, containment, recovery and recycling and reuse of recovered refrigerants rather than retrofitting.

**(Decision 72/41)**

**AGENDA ITEM 13: PROGRESS REPORT ON THE USE OF THE MULTILATERAL FUND CLIMATE IMPACT INDICATOR (decision 69/23)**

189. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/43, which contained a progress report on the Multilateral Fund Climate Impact Indicator (MCII).

190. One member, supported by another, reminded the meeting that at the 69<sup>th</sup> meeting he had suggested that it would be important to have the MCII reviewed by independent outside experts and that it should include input from other climate-related bodies of the United Nations for consistency with the work of those bodies. He also said that, while it was useful to have additional input from the implementing agencies, it was also necessary to have input from Article 5 countries.

191. Other members said that the MCII was useful as it was. The Secretariat had done a good job in developing it and so there was no need for an independent review of the indicator.

192. The representative of the Secretariat said that he had taken note of the comments made by members. The Secretariat would send a letter to Executive Committee members inviting further comments on the MCII and would incorporate these into the report to be presented to the 73<sup>rd</sup> meeting pursuant to decision 69/23.

193. One member said that he wished the report to reflect his view that the report to be made to the 73<sup>rd</sup> meeting would be the final report on the MCII. The Executive Committee had expended sufficient resources on the subject already. While the MCII could be used as an internal working tool by the Secretariat, no more time or money should be spent on it.

194. Other members disagreed and said that decision 69/23 had only requested the Secretariat to provide a progress report to the 72<sup>nd</sup> meeting. Consequently, it was too soon to decide whether the report being made to the 73<sup>rd</sup> meeting should be the final report on the MCII. It would also not be possible to decide on how to apply the MCII until after the Executive Committee had received the next report of the Secretariat at its 73<sup>rd</sup> meeting.



195. The Executive Committee took note of the progress report on the use of the Multilateral Fund Climate Impact Indicator contained in document UNEP/OzL.Pro/ExCom/72/43.

**AGENDA ITEM 14: ACCOUNTS OF THE MULTILATERAL FUND (decision 71/46(d) and (e))**

196. The Treasurer introduced document UNEP/OzL.Pro/ExCom/72/44 containing the accounts of the Multilateral Fund.

197. In the ensuing discussion, the Treasurer informed the Executive Committee that there was an established fund transfer policy with regard to the routine operations of UNEP but that it could be adapted to the decisions of the Executive Committee. The aims of the policy were to maximize the ability of implementing partners to conduct their operations, while minimizing associated risks and maximizing the interest accrued on the deposits. There was a variety of criteria for ensuring this, although the main concern was to minimize risk in the placement of public funds, while enabling delivery by implementing agencies.

198. One member requested clarification regarding the relationship between the UNEP-wide standard on cash advances and the 20 per cent disbursement threshold for tranches of HPMPs, proposing that the next report by the Treasurer integrate information on the two issues to show clearly how they inter-related.

199. The Executive Committee decided:

- (a) To note the accounts of the Multilateral Fund contained in document UNEP/OzL.Pro/ExCom/72/44;
- (b) To request the Treasurer:
  - (i) To report on the status of consolidation of the accounts of the Multilateral Fund to the 74<sup>th</sup> meeting once the International Public Sector Accounting Standards and Umoja were fully operational;
  - (ii) To seek, in consultation with the Secretariat, expert views on the issue of fund transfers from the Treasurer to the implementing agencies and to report back to the 73<sup>rd</sup> meeting; and
  - (iii) To include in the next report on the accounts of the Multilateral Fund integrated information on the UNEP-wide standard on cash advances and the 20 per cent disbursement threshold for tranches of HCFC phase-out management plans to show clearly the relationship between the two issues, together with further information on the criteria used for the placement of funds to ensure risk reduction and the maximization of interest accrual.

**(Decision 72/42)**

**AGENDA ITEM 15: REVISED 2014, AND PROPOSED 2015 AND 2016 BUDGETS OF THE FUND SECRETARIAT (decision 71/48(f), (g) and (h))**

200. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/72/45 containing a revision of the 2014, 2015 and 2016 budgets of the Fund Secretariat and providing information on options regarding the classification results and their financial and operational implications for the Fund. The document reflected the requests made by the Executive Committee to provide options for cost savings through reduced programme support costs, and featured a new presentation for the budget document.

201. Following the presentation, a number of members expressed appreciation for the comprehensive nature of the document and the fact that it took into account the suggestions they had made at the 71<sup>st</sup> meeting. The new format for budget presentation was found to be clearer and more informative, with the columns reflecting three years of budget information for reference purposes, together with explanatory notes for budget lines.

202. A question was raised regarding the budget planning for two Executive Committee meetings a year in 2015 and 2016, given that 2014 was to be a trial year for the two-meeting regime. If, at the end of the trial period, the Executive Committee were to revert to three meetings a year, the budget would have to take that into account, which would increase operating costs considerably. The representative of the Secretariat explained that the budget document covered three years for information purposes, and that 2014 had been used as the base year for estimates for the two following years. This in no way precluded a decision of the Executive Committee to change the number of meetings to be held in 2015, at which time the budget for that year would be adjusted accordingly.

203. One member made a point about the opportunity to increase the Secretariat's efficiency by reshuffling the staff, depending on roles and responsibilities, and reflecting the potentially reduced workload generated by two meetings a year rather than three. Some members had some additional, detailed questions that they wished to raise in a smaller, informal discussion between the representative of the Secretariat and interested Parties.

204. The informal discussions provided additional clarification on the long-term financial implications of the classification results and the entitlement for professional staff. It was explained that the costing of entitlements at the Professional (P) level could not be predetermined, making it necessary to use standard costs for budgeting purposes. Two of the three new cases reported to the 72<sup>nd</sup> meeting that have contested the classification results related to two General Services (GS) that had existing budget allocations, and the third case was a G to P that would be accommodated within the Secretariat's budget. No other cases were expected, as this one-time reclassification was linked to a renumbering of the International Civil Aviation Organization scale. With regard to Treasury fees, confirmation had been provided by the representative of the Treasurer that there was no intent to request an increase in fees despite additional costs related to annual audits and the new accounting system and the UMOJA enterprise resource planning system. Finally, the group had expressed appreciation of the efforts made by both the Treasurer and the Secretariat in reaching an agreement leading to the reduction of programme support costs from 13 per cent to 9 per cent, and had received assurances that the new arrangement would not affect the operation of the Secretariat and the level of services provided by UNEP. The group had therefore agreed to approve the budget with no additional funding.

205. Following the report on the informal discussions, the Executive Committee decided:

- (a) To take note of the revised 2014, and proposed 2015 and 2016 budgets of the Secretariat contained in Annex II of document UNEP/OzL.Pro/ExCom/72/45;
- (b) To approve the revised 2014 budget, as contained in Annex XV to the present report, based on two Executive Committee meetings per year, to reflect:
  - (i) The upgrade of posts 1301 and 1310 from G7 to P2 under BL 1115 and BL 1116, respectively, effective June 2014, with a transfer of US \$12,500 from BL 1201 and US \$12,500 from BL 1335 to BL 1115 and BL 1116, respectively, to offset the increase in personnel costs due to a six month upgrade of the two posts; and that post under BL 1113 is classifiable at P2 level and could be accommodated within the Secretariat staff allocation;

- (ii) A decrease in the following budget lines:

4101	Office stationery	(US \$5,265)
5201	Reproduction costs	(US \$4,590)
5302	Freight charges	(US \$4,050)
5401	Hospitality	(US \$7,200)

resulting in a total cost of US \$6,818,463, including 9 per cent in programme support costs;

- (c) To approve the revised 2015 budget, as contained in Annex XV to the present report to reflect the two upgrades with additional transfers of US \$12,500 compared to 2014 from BL 1201 and BL 1335 to BL 1115 and BL 1116, respectively, to cover the 12-month upgrade of posts BL 1115 and BL 1116, and to introduce operational costs at the same level as 2014 in the additional amount of US \$2,807,073, totalling US \$6,940,604, including 9 per cent programme support costs, and on the understanding that no allocation was made for a third meeting in 2015; and
- (d) To approve the revised 2016 budget, as contained in Annex XV to the present report to reflect the two upgrades, to introduce operational costs in the additional amount of US \$2,808,848 for 2016 to cover the two upgrades, and operational costs at the same level as 2015, totalling US \$7,066,385, including 9 per cent programme support costs, on the understanding that no allocation was made for a third meeting in 2016.

**(Decision 72/43)**

#### **AGENDA ITEM 16: REPORT OF THE SUB-GROUP ON THE PRODUCTION SECTOR**

206. The Facilitator presented the report of the Sub-group on the Production Sector (UNEP/OzL.Pro/ExCom/72/46) and said that the Sub-group had addressed all the issues on its agenda, which had included a proposed technical audit of the production facilities in Mexico that had been raised under the item on “Other matters” in its agenda.

207. The Sub-group had continued deliberating on the draft HCFC production sector guidelines; some issues had been resolved, but more time was needed to address the remaining elements. Consequently, it was agreed to continue discussing the draft guidelines at its next meeting. The Sub-group was recommending a number of revisions to the addendum to China’s HCFC production phase-out management plan (HPPMP), which would also be discussed at its next meeting, and was recommending approval of the 2014 tranche of China’s HPPMP in the amount of US \$23 million, plus agency support costs. The World Bank was also being requested to provide additional information on 2013 activities funded by the Multilateral Fund and, with the assistance of the Secretariat, to update the format for future submission of the annual implementation plans and progress reports for China’s HPPMP.

208. A number of clarifications had also been sought when discussing the proposed technical audit of the production facilities in Mexico and, as no data had been provided to support the request, the Sub-group had agreed to continue addressing the eligibility of the request at its next meeting, once the Government of Mexico had provided additional information.

209. In closing, the Facilitator thanked the members of the Sub-group, the implementing agencies and the Secretariat for all their hard work during the course of the meeting.

Addendum to the China HCFC production phase-out management plan (HPPMP)

210. Based on the report of the Sub-group on the Production Sector (UNEP/OzL.Pro/ExCom/72/46), the Executive Committee decided:

- (a) To request the World Bank, on behalf of the Government of China, to resubmit the Addendum to the China HCFC production phase-out management plan (HPPMP) to the 73<sup>rd</sup> meeting, and that it should include, *inter alia*:
  - (i) A glossary of terms used in the Agreement and Addendum including, *inter alia*, definitions for closure, dismantling, retirement, idle capacity, by-products, and co-production;
  - (ii) A draft preliminary closure strategy including, *inter alia*:
    - a. An overview of the strategy;
    - b. A plan for gradual closure, dismantling, and retirement for those plants that would be closed and those likely to be closed prior to 2030 and the relationship to idle capacity and capacity for ODS and feedstock, taking into account:
      - i. How funding would be linked to this closure, dismantling and retirement;
      - ii. How retirement of the additional 24 per cent of production capacity beyond the tonnage specified in decision 69/28(e)(iii) would be implemented throughout the phase-out;
- (b) That the World Bank's verification report should provide estimates of inadvertent emissions of HFC-23 and other by-products; submission of these estimates would not be a condition of approval for tranches in the HPPMP;
- (c) The following definition of progress: Progress would be considered with priority for the achievement of reduction targets and compliance. Timely issuance of quotas, bidding, and contracts for enterprises and development of terms of reference, consultant selection, awarding of contracts, and implementation of the annual work plan would also be taken into account; and
- (d) That the list of enterprises subject to plant closure and dismantling, their ODS production, feedstock and idle capacity should be verified by the World Bank, based on 2010 data, and the results should be reported as part of the World Bank's verification of 2013 production.

**(Decision 72/44)**

Report on the 2013 and 2014 annual implementation programmes, and request for release of the 2014 funding tranche of the HPPMP for China

211. Based on the report of the Sub-group on the Production Sector (UNEP/OzL.Pro/ExCom/72/46), the Executive Committee decided:

- (a) To note the Report on the 2013 annual implementation programme and implementation of the HCFC production phase-out management plan (HPPMP) (stage I, second tranche) submitted by the World Bank on behalf of the Government of China;
- (b) To approve the 2014 tranche of the China HPPMP, and the corresponding 2014 tranche implementation plan, in the amount of US \$23 million, plus US \$1.288 million in agency support costs for the World Bank;
- (c) To note that funds remaining from the CFC production phase-out plan for China used for stage I of the HPPMP, would be reimbursed by funds from the HPPMP for China;
- (d) To request the World Bank to provide the remaining information requested by the Secretariat in relation to 2013 HPPMP activities funded by the Multilateral Fund as soon as possible; and
- (e) To request the World Bank and the Secretariat to update the format for future submission of annual implementation plans and progress reports for the HPPMP.

**(Decision 72/45)**

**AGENDA ITEM 17: OTHER MATTERS**

Legal relationship among the Executive Committee, the implementing agencies and recipient countries

212. One member introduced a draft decision on legal relationship among the Executive Committee, the implementing agencies and recipient countries. It was stressed that the Executive Committee, the implementing agencies and recipient countries were all equal partners in any agreement signed between the Executive Committee and an Article 5 country and all had to work together to ensure that Article 5 countries were able to meet their obligations under the Montreal Protocol. It was important to ensure that any subsequent decisions by the Executive Committee were in line with the agreement signed and it was emphasized that an MYA could only be amended by mutual agreement between the Executive Committee and the Article 5 country in question.

General discussion on the rule prohibiting Committee members from taking the floor in connection with a project in which they had a direct interest

213. Although the purpose of the rule prohibiting Committee members from taking the floor in connection with projects in which they had a direct interest was to ensure equity among all countries, including those not represented on the Executive Committee, it was pointed out that difficulties in communication sometimes meant that the Executive Committee took decisions with which an Article 5 country did not concur, thereby hampering the common goal of implementing an agreement. It was suggested that the Executive Committee consider how to address a recipient country's concerns when the accepted channels of communication were blocked, and how to ensure that the Executive Committee was made aware of a country's point of view when there was disagreement with the recommendation proposed by the Secretariat.

214. In response, the Chief Officer read out the procedure regarding interventions by members, noting that, while in general members could not speak on projects in which they had a direct interest, they could do so when a policy issue was at stake. He emphasized that, in the interest of neutrality, the Secretariat only communicated with the implementing agencies, which in turn communicated with the countries. He referred to decision 20/15 regarding the need for agreement between the Secretariat and the implementing agency, and pointed out that any issues that arose during the review process were presented to the Committee in the overview of issues identified during project review.

215. Overall, members expressed their support for the existing rules and procedures and stressed their importance in ensuring fairness and equity. They had worked very well for many years, with issues arising from poor communication the exception rather than the rule. Nevertheless, it was important to air such issues and for the Committee to be reminded of the need to act in good faith and to adhere to the agreed practices and policies.

#### Venue of the 73<sup>rd</sup> meeting of the Executive Committee

216. The Chief Officer recalled that, in accordance with decision 71/52(b), the 73<sup>rd</sup> meeting would be held from 9 to 13 November 2014 back-to-back with the Twenty-Sixth Meeting of the Parties to the Montreal Protocol at the venue to be decided. The representative of the Secretariat indicated that the venue for the Meeting of the Parties had not yet been confirmed, and presented the alternative of holding the Executive Committee meeting during the week of 26 to 31 October in Montreal. In the absence of consensus for change, the Executive Committee agreed to maintain decision 71/52(b), on the understanding that the Secretariat would inform members of the venue intersessionally.

#### **AGENDA ITEM 18: ADOPTION OF THE REPORT**

217. The Executive Committee adopted its report on the basis of the draft report contained in document UNEP/OzL.Pro/ExCom/72/L.1.

#### **AGENDA ITEM 19: CLOSURE OF THE MEETING**

218. During the closure of the meeting, Ms. Martha Leyva, who would shortly be retiring from the Secretariat, and Mr. Stephan Sicars, who would be taking up another post, were both warmly congratulated for their hard work and dedication in furthering the objectives of the Montreal Protocol.

219. Following the customary exchange of courtesies, the Chair declared the meeting closed at 4.50 p.m. on Friday, 16 May 2014.



## TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

**TABLE 1 : STATUS OF THE FUND FROM 1991-2014 (IN US DOLLARS)**

As at 11 May 2014

<b>INCOME</b>		
Contributions received:		
- Cash payments including note encashments		2,799,024,747
- Promissory notes held		35,501,748
- Bilateral cooperation		150,162,962
- Interest earned *		210,957,689
- Additional income from loans and other sources		-
- Miscellaneous income		17,910,943
<b>Total Income</b>		<b>3,213,558,089</b>
<b>ALLOCATIONS** AND PROVISIONS</b>		
- UNDP	745,905,321	
- UNEP	248,387,353	
- UNIDO	766,615,567	
- World Bank	1,131,759,687	
Unspecified projects	-	
Less Adjustments	-	
<b>Total allocations to implementing agencies</b>		<b>2,892,667,928</b>
Secretariat and Executive Committee costs (1991-2012)		
- includes provision for staff contracts into 2016		103,677,455
Treasury fees (2003-2014)		5,556,982
Monitoring and Evaluation costs (1999-2013)		3,064,111
Technical Audit costs (1998-2010)		1,699,806
Information Strategy costs (2003-2004)		
- includes provision for Network maintenance costs for 2004		104,750
Bilateral cooperation		150,162,962
Provision for fixed-exchange-rate mechanism's fluctuations		
- losses/(gains) in value		(19,553,982)
<b>Total allocations and provisions</b>		<b>3,137,380,011</b>
Cash		<b>40,676,330</b>
<b>Promissory Notes:</b>		
	<b>2014</b>	8,605,290
	<b>2015</b>	10,559,041
	<b>2016</b>	6,013,020
	<b>Unscheduled</b>	10,324,398
		<b>35,501,748</b>
<b>BALANCE AVAILABLE FOR NEW ALLOCATIONS</b>		<b>76,178,078</b>

\* Includes interest amount earned of US\$94,384 by FECO/MEP

\*\* Amounts reflect net approvals for which resources are transferred including promissory notes that are not yet encashed by the Implementing Agencies. The Secretariat budget reflects actual costs as per the final 2012 accounts of the Fund and approved amounts for 2013 - 2016



TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL  
**TABLE 2 : 1991 - 2014 SUMMARY STATUS OF CONTRIBUTIONS AND OTHER INCOME**  
BALANCE AVAILABLE FOR NEW ALLOCATIONS

As at 11 May 2014

Description	1991-1993	1994-1996	1997-1999	2000-2002	2003-2005	2006-2008	2009-2011	1991 - 2011	2012	2013	2014	1991 - 2014
Pledged contributions	234,929,241	424,841,347	472,567,009	440,000,001	474,000,000	368,028,480	399,640,706	2,814,006,785	131,538,756	132,087,622	133,498,062	3,211,131,226
Cash payments/received	206,511,034	381,555,255	418,684,896	408,090,922	417,816,135	340,032,522	377,383,742	2,550,074,506	120,450,074	102,132,519	26,367,647	2,799,024,747
Bilateral assistance	4,366,255	11,909,814	21,358,066	21,302,696	47,851,135	19,073,214	13,917,899	139,779,080	5,418,848	2,857,131	2,107,903	150,162,962
Promissory notes	0	0	0	0	0	(0)	925,602	925,602	8,485,697	21,689,449	4,401,000	35,501,748
Total payments	210,877,289	393,465,069	440,042,962	429,393,618	465,667,270	359,105,735	392,227,243	2,690,779,188	134,354,619	126,679,099	32,876,550	2,984,689,457
Disputed contributions	0	8,098,267	0	0	0	32,471,642	405,792	40,975,701	1,794,577	969,010	#REF!	#REF!
Outstanding pledges	24,051,952	31,376,278	32,524,047	10,606,383	8,332,730	8,922,745	7,413,463	123,227,597	(2,815,863)	5,408,523	100,621,512	226,441,769
Payments %age to pledges	89.76%	92.61%	93.12%	97.59%	98.24%	97.58%	98.14%	95.62%	102.14%	95.91%	24.63%	92.95%
Interest earned	5,323,644	28,525,733	44,685,516	53,946,601	19,374,449	43,537,814	10,544,631	205,938,388	2,347,348	2,369,302	302,651	210,957,689
Miscellaneous income	1,442,103	1,297,366	1,223,598	1,125,282	1,386,177	3,377,184	3,547,653	13,399,363	1,534,909	1,540,797	1,435,873	17,910,943
<b>TOTAL INCOME</b>	<b>217,643,036</b>	<b>423,288,168</b>	<b>485,952,076</b>	<b>484,465,502</b>	<b>486,427,896</b>	<b>406,020,733</b>	<b>406,319,528</b>	<b>2,910,116,939</b>	<b>138,236,876</b>	<b>130,589,198</b>	<b>34,615,074</b>	<b>3,213,558,088</b>
<b>Accumulated figures</b>	<b>1991-1993</b>	<b>1994-1996</b>	<b>1997-1999</b>	<b>2000-2002</b>	<b>2003-2005</b>	<b>2006-2008</b>	<b>2009-2011</b>	<b>1991 - 2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>1991 - 2014</b>
Total pledges	234,929,241	424,841,347	472,567,009	440,000,001	474,000,000	368,028,480	399,640,706	2,814,006,785	131,538,756	132,087,622	133,498,062	3,211,131,226
Total payments	210,877,289	393,465,069	440,042,962	429,393,618	465,667,270	359,105,735	392,227,243	2,690,779,188	134,354,619	126,679,099	32,876,550	2,984,689,457
Payments %age to pledges	89.76%	92.61%	93.12%	97.59%	98.24%	97.58%	98.14%	95.62%	102.14%	95.91%	24.63%	92.95%
Total income	217,643,036	423,288,168	485,952,076	484,465,502	486,427,896	406,020,733	406,319,528	2,910,116,939	138,236,876	130,589,198	34,615,074	3,213,558,088
Total outstanding contributions	24,051,952	31,376,278	32,524,047	10,606,383	8,332,730	8,922,745	7,413,463	123,227,597	(2,815,863)	5,408,523	100,621,512	226,441,769
As % to total pledges	10.24%	7.39%	6.88%	2.41%	1.76%	2.42%	1.86%	4.38%	-2.14%	4.09%	75.37%	7.05%
Outstanding contributions for certain Countries with Economies in Transition (CEITs)	24,051,952	31,376,278	32,524,046	9,701,251	7,414,995	5,909,852	6,799,533	117,777,906	3,595,767	870,876	5,485,501	127,730,051
CEITs' outstandings %age to pledges	10.24%	7.39%	6.88%	2.20%	1.56%	1.61%	1.70%	4.19%	2.73%	0.66%	4.11%	3.98%

PS: CEITs are Azerbaijan, Belarus, Bulgaria, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Russian Federation, Slovakia, Slovenia, Tajikistan, Ukraine and Uzbekistan, including Turkmenistan up to 2004 as per decision XVI/39.

## TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 3 : 1991-2014 Summary Status of Contributions

As at 11 May 2014

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions	Exchange (Gain)/Loss. NB: Negative amount = Gain
Andorra	70,483	70,483	0	0	0	0
Australia*	63,749,654	62,138,746	1,610,907	0	0	807,301
Austria	34,163,361	34,031,571	131,790	0	0	-709,853
Azerbaijan	970,377	311,683	0	0	658,694	0
Belarus	2,971,965	0	0	0	2,971,965	0
Belgium	42,439,280	42,439,280	0	0	0	1,068,299
Bulgaria	1,443,856	1,379,221	0	0	64,635	0
Canada*	115,676,028	100,455,284	9,755,736	0	5,465,008	-3,732,093
Croatia	164,729	164,729	0	0	0	0
Cyprus	792,574	792,574	0	0	0	9,598
Czech Republic	9,844,199	9,556,629	287,570	0	0	346,720
Denmark	28,122,109	27,961,056	161,053	0	0	-711,885
Estonia	474,974	474,974	0	0	0	21,013
Finland	22,032,632	20,670,747	399,158	0	962,727	-598,395
France	246,408,246	210,164,103	15,504,947	10,324,398	10,414,798	-14,325,076
Germany	354,275,565	271,983,338	57,205,849	19,109,685	5,976,693	251,101
Greece	19,003,599	15,477,570	0	0	3,526,029	-1,340,447
Holy See	5,103	0	0	0	5,103	0
Hungary	6,794,499	4,825,259	46,494	0	1,922,747	-76,259
Iceland	1,321,869	1,250,430	0	0	71,439	51,218
Ireland	11,950,342	11,103,278	0	0	847,064	590,215
Israel	13,527,314	3,824,671	152,462	0	9,550,181	0
Italy	194,067,273	164,968,391	15,353,591	0	13,745,291	3,291,976
Japan	621,037,357	587,670,659	19,522,669	0	13,844,029	0
Kuwait	286,549	286,549	0	0	0	0
Latvia	673,876	673,875	0	0	0	-2,483
Liechtenstein	319,765	319,765	0	0	0	0
Lithuania	1,070,373	577,406	0	0	492,967	0
Luxembourg	2,946,224	2,793,140	0	0	153,084	-79,210
Malta	267,535	180,788	0	0	86,747	0
Monaco	202,982	202,982	0	0	0	-572
Netherlands	66,498,425	63,343,198	0	0	3,155,227	0
New Zealand	9,506,670	9,506,669	0	0	0	145,330
Norway	25,992,818	25,992,817	0	0	0	443,488
Panama	16,915	16,915	0	0	0	0
Poland	14,183,118	12,661,747	113,000	0	1,408,371	0
Portugal	15,528,214	11,191,959	101,700	0	4,234,556	198,162
Romania	1,343,255	1,042,190	0	0	301,065	0
Russian Federation	113,248,400	2,724,891	0	0	110,523,509	0
San Marino	27,042	21,939	0	0	5,103	0
Singapore	531,221	459,245	71,976	0	0	0
Slovak Republic	3,141,147	2,883,092	16,523	0	241,532	29,127
Slovenia	1,930,988	1,755,792	0	0	175,196	0
South Africa	3,793,691	3,763,691	30,000	0	0	0
Spain	100,455,966	90,974,346	4,077,763	0	5,403,857	631,930
Sweden	43,083,420	41,509,067	1,574,353	0	0	-439,483
Switzerland	46,905,883	44,992,653	1,913,230	0	0	-2,171,952
Tajikistan	116,710	44,666	0	0	72,044	0
Turkmenistan**	293,245	5,764	0	0	287,481	0
Ukraine	9,661,632	1,303,750	0	0	8,357,882	0
United Arab Emirates	559,639	559,639	0	0	0	0
United Kingdom	223,794,653	211,996,708	565,000	0	11,232,945	-3,251,754
United States of America	732,701,848	695,336,220	21,567,191	6,067,666	9,730,771	0
Uzbekistan	741,632	188,606	0	0	553,026	0
<b>SUB-TOTAL</b>	<b>3,211,131,226</b>	<b>2,799,024,747</b>	<b>150,162,962</b>	<b>35,501,749</b>	<b>226,441,768</b>	<b>-19,553,982</b>
Disputed Contributions***	43,739,288	0	0	0	43,739,288	0
<b>TOTAL</b>	<b>3,254,870,514</b>	<b>2,799,024,747</b>	<b>150,162,962</b>	<b>35,501,749</b>	<b>270,181,056</b>	<b>-19,553,982</b>

NB: (\*) The bilateral assistance recorded for Australia and Canada was adjusted following approvals at the 39<sup>th</sup> Meeting and taking into consideration a reconciliation carried out by the Secretariat through the progress reports submitted to the 40<sup>th</sup> Meeting to read US \$1,208,219 and US \$6,449,438 instead of US \$1,300,088 and US \$6,414,880 respectively.

(\*\*) In accordance with decisions VI/5 and XVI/39 of the Meeting of the Parties to the Montreal Protocol, Turkmenistan has been reclassified as operating under Article 5 in 2004 and therefore its contribution of US \$5,764 for 2005 should be disregarded.

(\*\*\*) Amount netted off from outstanding contributions and are shown here for records only.

## TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

**TABLE 4 : Status of Contributions for 2014**

As at 11 May 2014

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	11,907	11,907			0
Australia	3,287,899	3,287,899			0
Austria	1,447,492	1,447,492			0
Azerbaijan	25,514				25,514
Belarus	71,439				71,439
Belgium	1,828,500	1,828,500			0
Bulgaria	64,635				64,635
Canada	5,454,884				5,454,884
Croatia	164,729	164,729			0
Cyprus	78,243	78,243			0
Czech Republic	593,625	593,625			0
Denmark	1,251,885	1,251,885			0
Estonia	68,037	68,037			0
Finland	962,727				962,727
France	10,414,798				10,414,798
Germany	13,638,062		2,107,903		11,530,159
Greece	1,175,343				1,175,343
Holy See	1,701				1,701
Hungary	494,971				494,971
Iceland	71,439				71,439
Ireland	847,063				847,063
Israel	653,157				653,157
Italy	8,502,952				8,502,952
Japan	21,312,660	7,372,578			13,940,082
Latvia	64,635	64,635			0
Liechtenstein	15,308	15,308			0
Lithuania	110,560				110,560
Luxembourg	153,084				153,084
Malta	28,916				28,916
Monaco	5,103	5,103			0
Netherlands	3,155,226				3,155,226
New Zealand	464,354	464,354			0
Norway	1,481,511	1,481,511			0
Poland	1,408,371				1,408,371
Portugal	869,176				869,176
Romania	301,065				301,065
Russian Federation	2,724,891				2,724,891
San Marino	5,103				5,103
Slovak Republic	241,532				241,532
Slovenia	175,196				175,196
Spain	5,403,857				5,403,857
Sweden	1,809,790	1,809,790			0
Switzerland	1,922,052	1,922,052			0
Tajikistan	3,402				3,402
Ukraine	147,981				147,981
United Kingdom	11,232,946				11,232,946
United States of America	29,333,333	4,500,000		4,401,000	20,432,333
Uzbekistan	17,009				17,009
<b>TOTAL</b>	<b>133,498,062</b>	<b>26,367,647</b>	<b>2,107,903</b>	<b>4,401,000</b>	<b>100,621,512</b>

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

**TABLE 5 : Status of Contributions for 2013**

As at 11 May 2014

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	11,907	11,907			0
Australia	3,287,899	3,287,899			0
Austria	1,447,492	1,447,492			0
Azerbaijan	25,514				25,514
Belarus	71,439				71,439
Belgium	1,828,500	1,828,500			0
Bulgaria	64,635	64,635			0
Canada	5,454,884	5,444,761			10,123
Cyprus	78,243	78,243			0
Czech Republic	593,625	593,625			0
Denmark	1,251,885	1,251,885			0
Estonia	68,037	68,037			0
Finland	962,727	962,727			0
France	10,414,798		90,400	10,324,398	0
Germany	13,638,062	2,273,010	2,766,731	11,365,051	(2,766,731)
Greece	1,175,343				1,175,343
Holy See	1,701				1,701
Hungary	494,971				494,971
Iceland	71,439	71,439			0
Ireland	847,063	847,063			0
Israel	653,157				653,157
Italy	8,502,952	3,260,613			5,242,339
Japan	21,312,660	21,312,660			0
Latvia	64,635	64,635			0
Liechtenstein	15,308	15,308			0
Lithuania	110,560				110,560
Luxembourg	153,084	153,084			0
Malta	28,916				28,916
Monaco	5,103	5,103			0
Netherlands	3,155,226	3,155,226			0
New Zealand	464,354	464,354			0
Norway	1,481,511	1,481,511			0
Poland	1,408,371	1,408,371			0
Portugal	869,176				869,176
Romania	301,065	301,065			0
Russian Federation	2,724,891	2,724,891			0
San Marino	5,103	5,103			0
Slovak Republic	241,532	241,532			0
Slovenia	175,196	175,196			0
Spain	5,403,857	5,403,857			0
Sweden	1,809,790	1,809,790			0
Switzerland	1,922,052	1,922,052			0
Tajikistan	3,402				3,402
Ukraine	147,981				147,981
United Kingdom	11,232,946	11,232,946			0
United States of America	28,087,622	28,764,000			(676,378)
Uzbekistan	17,009				17,009
<b>SUB-TOTAL</b>	<b>132,087,622</b>	<b>102,132,519</b>	<b>2,857,131</b>	<b>21,689,449</b>	<b>5,408,523</b>
Disputed Contributions(*)	969,010				969,010
<b>TOTAL</b>	<b>133,056,632</b>	<b>102,132,519</b>	<b>2,857,131</b>	<b>21,689,449</b>	<b>6,377,533</b>

(\*) Additional amount on Disputed contributions relating to the USA.

## TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 6 : Status of Contributions for 2012

As at 11 May 2014

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	11,907	11,974			(67)
Australia	3,287,899	3,287,899			0
Austria	1,447,492	1,447,492			0
Azerbaijan	25,514				25,514
Belarus	71,439				71,439
Belgium	1,828,500	1,828,500			0
Bulgaria	64,635	64,635			0
Canada	5,454,884	5,454,884			0
Cyprus	78,243	78,243			0
Czech Republic	593,625	593,625			0
Denmark	1,251,885	1,251,885			0
Estonia	68,037	68,037			0
Finland	962,727	962,727			0
France	10,414,798	10,126,112	288,686		0
Germany	13,638,062	6,819,031	2,727,612	6,819,031	(2,727,612)
Greece	1,175,343				1,175,343
Holy See	1,701				1,701
Hungary	494,971				494,971
Iceland	71,439	71,439			0
Ireland	847,063	847,063			0
Israel	653,157				653,157
Italy	8,502,952	8,435,152	67,800		(0)
Japan	21,312,660	19,870,910	1,441,750		0
Latvia	64,635	64,635			0
Liechtenstein	15,308	15,308			0
Lithuania	110,560				110,560
Luxembourg	153,084	153,084			0
Malta	28,916				28,916
Monaco	5,103	5,103			0
Netherlands	3,155,226	3,155,226			0
New Zealand	464,354	464,354			0
Norway	1,481,511	1,481,511			0
Poland	1,408,371	1,408,371			0
Portugal	869,176				869,176
Romania	301,065	301,065			0
Russian Federation	2,724,891				2,724,891
San Marino	5,103	5,103			0
Slovak Republic	241,532	241,532			0
Slovenia	175,196	175,196			0
Spain	5,403,857	4,510,857	893,000		0
Sweden	1,809,790	1,809,790			0
Switzerland	1,922,052	1,922,052			0
Tajikistan	3,402				3,402
Ukraine	147,981				147,981
United Kingdom	11,232,946	11,232,946			0
United States of America	27,538,756	32,284,334		1,666,666	(6,412,244)
Uzbekistan	17,009				17,009
<b>SUB-TOTAL</b>	<b>131,538,756</b>	<b>120,450,074</b>	<b>5,418,848</b>	<b>8,485,697</b>	<b>(2,815,863)</b>
Disputed Contributions(*)	1,794,577				1,794,577
<b>TOTAL</b>	<b>133,333,333</b>	<b>120,450,074</b>	<b>5,418,848</b>	<b>8,485,697</b>	<b>-1,021,286</b>

(\*) Additional amount on Disputed contributions relating to the USA.

## TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

**TABLE 7 : Summary Status of Contributions for 2009-2011**

As at 11 May 2014

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	34,764	34,697	0	0	67
Australia	8,678,133	8,339,133	339,000	0	0
Austria	4,307,501	4,307,501	0	0	0
Azerbaijan	24,281	0	0	0	24,281
Belarus	97,125	0	0	0	97,125
Belgium	5,351,596	5,351,596	0	0	0
Bulgaria	97,125	97,125	0	0	0
Canada	14,457,080	14,028,245	428,835	0	0
Cyprus	213,675	213,675	0	0	0
Czech Republic	1,364,608	1,143,128	221,480	0	0
Denmark	3,588,775	3,588,775	0	0	0
Estonia	77,700	77,700	0	0	0
Finland	2,738,929	2,738,929	0	0	0
France	30,599,281	29,539,244	1,060,037	0	0
Germany	41,652,124	32,396,096	8,330,424	925,602	2
Greece	2,894,330	2,894,330	0	0	(0)
Hungary	1,184,927	747,093	0	0	437,834
Iceland	179,682	179,682	0	0	0
Ireland	2,161,035	2,161,035	0	0	0
Israel	2,034,772	0	0	0	2,034,772
Italy	24,664,934	23,856,984	807,950	0	0
Japan	80,730,431	78,893,258	1,837,173	0	0
Latvia	87,413	87,413	0	0	0
Liechtenstein	48,563	48,563	0	0	0
Lithuania	150,544	0	0	0	150,544
Luxembourg	412,782	412,782	0	0	0
Malta	82,556	82,556	0	0	0
Monaco	14,569	14,569	0	0	0
Netherlands	9,095,771	9,095,771	0	0	0
New Zealand	1,243,202	1,243,202	0	0	0
Norway	3,797,594	3,797,594	0	0	0
Poland	2,432,985	2,432,985	0	0	0
Portugal	2,559,248	932,219	0	0	1,627,029
Romania	339,938	339,938	0	0	0
Russian Federation	5,827,509	0	0	0	5,827,509
San Marino	11,734	11,734	0	0	0
Slovak Republic	305,944	305,944	0	0	0
Slovenia	466,201	466,201	0	0	0
Spain	14,413,373	12,955,373	893,000	0	565,000
Sweden	5,201,052	5,201,052	0	0	0
Switzerland	5,905,210	5,905,210	0	0	0
Tajikistan	4,857	0	0	0	4,857
Ukraine	218,532	0	0	0	218,532
United Kingdom	32,255,265	32,255,265	0	0	0
United States of America	87,594,208	91,207,148	0	0	(3,612,940)
Uzbekistan	38,850	0	0	0	38,850
<b>SUB-TOTAL</b>	<b>399,640,706</b>	<b>377,383,742</b>	<b>13,917,899</b>	<b>925,602</b>	<b>7,413,463</b>
Disputed Contributions(*)	405,792	0	0	0	405,792
<b>TOTAL</b>	<b>400,046,498</b>	<b>377,383,742</b>	<b>13,917,899</b>	<b>925,602</b>	<b>7,819,255</b>

(\*) Additional amount on Disputed contributions relating to the USA.

## TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 8 : Status of Contributions for 2011

As at 11 May 2014

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	12,948	12,881			67
Australia	2,892,711	2,553,711	339,000		0
Austria	1,435,834	1,435,834			0
Azerbaijan	8,094				8,094
Belarus	32,375				32,375
Belgium	1,783,865	1,783,865			0
Bulgaria	32,375	32,375			0
Canada	4,819,027	4,819,027			0
Cyprus	71,225	71,225			0
Czech Republic	454,869	415,319	39,550		0
Denmark	1,196,258	1,196,258			0
Estonia	25,900	25,900			0
Finland	912,976	912,976			0
France	10,199,760	9,634,760	565,000		0
Germany	13,884,041	4,628,014	2,776,808	925,602	5,553,618
Greece	964,777	964,777			0
Hungary	394,976				394,976
Iceland	59,894	59,894			0
Ireland	720,345	720,345			0
Israel	678,257				678,257
Italy	8,221,645	8,221,645			(0)
Japan	26,910,144	26,440,498	469,646		0
Latvia	29,138	29,138			0
Liechtenstein	16,188	16,188			0
Lithuania	50,181				50,181
Luxembourg	137,594	137,594			0
Malta	27,519	27,519			0
Monaco	4,856	4,856			0
Netherlands	3,031,924	3,031,924			0
New Zealand	414,401	414,401			0
Norway	1,265,865	1,265,865			0
Poland	810,995	810,995			0
Portugal	853,083				853,083
Romania	113,313	113,313			0
Russian Federation	1,942,503				1,942,503
San Marino	4,855	4,855			0
Slovak Republic	101,981	101,981			0
Slovenia	155,400	155,400			0
Spain	4,804,458	4,804,458			(0)
Sweden	1,733,684	1,733,684			0
Switzerland	1,968,403	1,968,403			0
Tajikistan	1,619				1,619
Ukraine	72,844				72,844
United Kingdom	10,751,755	10,751,755			0
United States of America	29,333,333	32,946,274			(3,612,941)
Uzbekistan	12,950				12,950
<b>TOTAL</b>	<b>133,351,137</b>	<b>122,247,905</b>	<b>4,190,004</b>	<b>925,602</b>	<b>5,987,626</b>

## TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

**TABLE 9 : Status of Contributions for 2010**

As at 11 May 2014

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	12,948	12,948			0
Australia	2,892,711	2,892,711			0
Austria	1,435,834	1,435,834			0
Azerbaijan	8,094				8,094
Belarus	32,375				32,375
Belgium	1,783,865	1,783,865			0
Bulgaria	32,375	32,375			0
Canada	4,819,027	4,489,632	329,395		0
Cyprus	71,225	71,225			0
Czech Republic	454,869	363,904	90,965		0
Denmark	1,196,258	1,196,258			0
Estonia	25,900	25,900			0
Finland	912,976	912,976			0
France	10,199,760	9,907,090	207,355		85,315
Germany	13,884,041	13,884,041	2,776,808	0	(2,776,808)
Greece	964,777	964,777			(0)
Hungary	394,976	352,117			42,859
Iceland	59,894	59,894			0
Ireland	720,345	720,345			0
Israel	678,257				678,257
Italy	8,221,645	7,566,245	655,400		(0)
Japan	26,910,144	25,702,795	1,207,349		0
Latvia	29,138	29,138			0
Liechtenstein	16,188	16,188			0
Lithuania	50,181				50,181
Luxembourg	137,594	137,594			0
Malta	27,519	27,519			0
Monaco	4,856	4,856			0
Netherlands	3,031,924	3,031,923			0
New Zealand	414,401	414,401			0
Norway	1,265,865	1,265,865			0
Poland	810,995	810,995			0
Portugal	853,083	79,137			773,946
Romania	113,313	113,313			0
Russian Federation	1,942,503				1,942,503
San Marino	4,855	4,855			0
Slovak Republic	101,981	101,981			0
Slovenia	155,400	155,400			0
Spain	4,804,458	3,911,458	893,000		(0)
Sweden	1,733,684	1,733,684			0
Switzerland	1,968,403	1,968,403			0
Tajikistan	1,619				1,619
Ukraine	72,844				72,844
United Kingdom	10,751,755	10,751,755			0
United States of America	28,927,541	28,927,541			0
Uzbekistan	12,950				12,950
<b>SUB-TOTAL</b>	<b>132,945,345</b>	<b>125,860,937</b>	<b>6,160,272</b>	<b>0</b>	<b>924,135</b>
Disputed Contributions(*)	405,792	0	0	0	405,792
<b>TOTAL</b>	<b>133,351,137</b>	<b>125,860,937</b>	<b>6,160,272</b>	<b>0</b>	<b>1,329,927</b>

(\*) Additional amount on Disputed contributions relating to the USA.



## TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

**TABLE 10 : Status of Contributions for 2009**

As at 11 May 2014

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	8,868	8,868			0
Australia	2,892,711	2,892,711			0
Austria	1,435,834	1,435,834			0
Azerbaijan	8,094				8,094
Belarus	32,375				32,375
Belgium	1,783,865	1,783,865			0
Bulgaria	32,375	32,375			0
Canada	4,819,027	4,719,586	99,440		0
Cyprus	71,225	71,225			0
Czech Republic	454,869	363,904	90,965		0
Denmark	1,196,258	1,196,258			0
Estonia	25,900	25,900			0
Finland	912,976	912,976			0
France	10,199,760	9,997,393	287,682		(85,315)
Germany	13,884,041	13,884,041	2,776,808	0	(2,776,808)
Greece	964,777	964,777			(0)
Hungary	394,976	394,976			(0)
Iceland	59,894	59,894			0
Ireland	720,345	720,345			0
Israel	678,257				678,257
Italy	8,221,645	8,069,094	152,550		0
Japan	26,910,144	26,749,966	160,178		0
Latvia	29,138	29,138			0
Liechtenstein	16,188	16,188			0
Lithuania	50,181				50,181
Luxembourg	137,594	137,594			0
Malta	27,519	27,519			0
Monaco	4,856	4,856			0
Netherlands	3,031,924	3,031,924			0
New Zealand	414,401	414,401			0
Norway	1,265,865	1,265,865			0
Poland	810,995	810,995			(0)
Portugal	853,083	853,082			0
Romania	113,313	113,313			0
Russian Federation	1,942,503				1,942,503
San Marino	2,023	2,023			0
Slovak Republic	101,981	101,981			0
Slovenia	155,400	155,400			0
Spain	4,804,458	4,239,458			565,000
Sweden	1,733,684	1,733,684			0
Switzerland	1,968,403	1,968,403			0
Tajikistan	1,619				1,619
Ukraine	72,844				72,844
United Kingdom	10,751,755	10,751,755			0
United States of America	29,333,333	29,333,333			0
Uzbekistan	12,950				12,950
<b>TOTAL</b>	<b>133,344,225</b>	<b>129,274,900</b>	<b>3,567,623</b>	<b>0</b>	<b>501,702</b>

Table 11: Status of Promissory Notes as at 11 May 2014

**MULTILATERAL FUND'S PROMISSORY NOTES**

Country	HELD BY			IMPLEMENTING AGENCY FOR WHICH HELD OR ASSIGNED TO					
	A WORLD BANK	B TREASURER	C= A+B TOTAL	D UNDP	E UNEP	F UNIDO	G WORLD BANK	H TREASURER	D+E+F+G+H=I I=C TOTAL
	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value
Canada			0					0	0
France		10,324,398	10,324,398					10,324,398	10,324,398
Germany		19,109,684	19,109,684					19,109,684	19,109,684
The Netherlands			0					0	0
United Kingdom			0					0	0
United States of America		6,067,666	6,067,666					6,067,666	6,067,666
<b>TOTAL</b>	<b>0</b>	<b>35,501,748</b>	<b>35,501,748</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>35,501,748</b>	<b>35,501,748</b>

Table 12: 2004-2014 Ledger of Promissory Notes as at 11 May 2014

RECEIPTS								ENCASHMENTS				
Date of Submission a/	Year of contribution	Country of Origin	P/Note code	Denomination/ Type of currency	Amount (in Original denomination)	Note Value in USD per UNEP	Date of transfer	Agency	Transfer amount in Original denomination	Date of Encashment	Actual Encashment value (USD)	Gain / (Loss) to intended value (USD)
25/10/2004	2004	Canada		Cans	6,216,532.80	3,963,367.12	09/11/2004	IBRD	6,216,532.80	19/01/2005	5,140,136.78	1,176,396.02
21/04/2005	2005	Canada		Cans	6,216,532.78	3,963,867.12	Nov. 2005	TREASURER	6,216,532.78	Nov. 2005	5,307,831.95	1,343,964.83
22/12/2006	2006	Canada		Cans	4,794,373.31	3,760,292.79	19/01/2007	TREASURER	4,794,373.31	19/01/2007	4,086,320.38	328,027.59
27/06/2008	2008	Canada		Cans	4,794,373.31	3,760,292.79	19/09/2008	TREASURER	4,794,373.31	19/09/2008	4,492,899.74	732,606.95
12/06/2009	2009	Canada		Cans	3,834,018.00	3,855,221.72	10/12/2009	TREASURER	3,834,018.00	10/12/2009	3,608,827.18	(246,394.52)
28/05/2010	2010	Canada		Cans	3,834,018.00	3,855,221.72	06/10/2010	TREASURER	3,834,018.00	06/10/2010	3,759,578.35	(95,643.37)
30/06/2011	2011	Canada		Cans	3,834,018.00	3,855,221.72	15/09/2011	TREASURER	3,855,221.72	15/09/2011	3,870,009.08	14,787.36
29/06/2012	2012	Canada		Cans	4,217,502.19	4,363,907.56	18/07/2012	TREASURER	4,217,502.19	18/07/2012	4,212,212.89	(151,894.87)
31/12/2004	2004	France		Euro	10,597,399.70	9,784,322.50	28/09/2006	TREASURER	10,597,399.70	28/09/2006	12,102,125.26	2,317,802.76
18/01/2006	2005	France		Euro	11,217,315.23	10,356,675.50	28/09/2006	TREASURER	11,217,315.23	28/09/2006	12,810,062.64	2,453,387.14
20/12/2006	2006	France		Euro	7,503,239.54	9,342,968.43	31/07/2007	TREASURER	7,503,239.54	31/07/2007	10,249,426.21	906,456.78
Dec. 2007	2007	France		Euro	7,483,751.51	9,287,393.43	18/03/2008	TREASURER	7,483,751.51	18/03/2008	10,829,363.40	1,342,569.37
Dec. 2008	2008	France		Euro	7,371,509.51	9,148,063.43	08/12/2009	TREASURER	7,371,509.51	08/12/2009	10,882,559.47	1,734,496.04
Oct. 2009	2009	France		Euro	6,568,287.40	9,997,393.30	06/10/2010	TREASURER	6,568,287.40	06/10/2010	8,961,114.64	(1,036,278.66)
Oct. 2010	2010	France		Euro	6,508,958.32	9,907,090.30	05/04/2011	TREASURER	6,508,958.32	05/04/2011	9,165,264.46	(741,825.84)
Oct. 2011	2011	France		Euro	6,330,037.52	9,634,760.30	25/10/2011	TREASURER	6,330,037.52	25/10/2011	8,750,643.84	(884,116.46)
Dec. 2012	2012	France		Euro	7,293,838.54	10,126,112.10	06/12/2012	TREASURER	7,293,838.54	22/01/2013	9,721,957.39	(404,154.71)
Dec. 2013	2013	France		Euro	7,436,663.95	10,324,398.10						
09/08/2004	2004	Germany	BU 104 1006 01	US\$	18,914,439.57	18,914,439.57	03/08/2005	TREASURER	6,304,813.19	03/08/2005	6,304,813.19	-
							11/08/2006	TREASURER	6,304,813.19	11/08/2006	6,304,813.19	-
							16/02/2007	TREASURER	3,152,406.60	16/02/2007	3,152,406.60	-
							10/08/2007	TREASURER	3,152,406.60	10/08/2007	3,152,406.60	-
									18,914,439.57			
08/07/2005	2005	Germany	BU 105 1003 01	US\$	7,565,775.83	7,565,775.83	18/04/2006	TREASURER	1,260,962.64	18/04/2006	1,260,962.64	-
							11/08/2006	TREASURER	1,260,962.64	11/08/2006	1,260,962.64	-
							16/02/2007	TREASURER	1,260,962.64	16/02/2007	1,260,962.64	-
							10/08/2007	TREASURER	1,260,962.64	10/08/2007	1,260,962.64	-
							12/02/2008	TREASURER	1,260,962.64	12/02/2008	1,260,962.64	-
							12/08/2008	TREASURER	1,260,962.63	12/08/2008	1,260,962.64	-
									7,565,775.83			
10/05/2006	2006	Germany	BU 106 1004 01	Euro	11,662,922.38	14,473,718.52	28/02/2007	TREASURER	1,943,820.40	28/02/2007	2,558,067.65	145,781.24
							10/08/2007	TREASURER	1,943,820.40	10/08/2007	2,681,305.85	159,079.44
							12/02/2008	TREASURER	1,943,820.40	12/02/2008	2,821,066.54	408,780.12
							12/08/2008	TREASURER	1,943,820.40	12/08/2008	2,930,114.87	517,828.45
							17/02/2009	TREASURER	1,943,820.40	17/02/2009	2,492,560.89	80,274.47
							12/08/2009	TREASURER	1,943,820.38	12/08/2009	2,760,613.72	348,327.28
									11,662,922.38			
23/07/2007	2007	Germany	BU 107 1006 01	Euro	11,662,922.38	14,473,718.52	12/02/2008	TREASURER	1,943,820.40	12/02/2008	2,821,066.54	408,780.12
							12/08/2008	TREASURER	1,943,820.39	12/08/2008	2,930,114.87	517,828.46
							17/02/2009	TREASURER	1,943,820.40	17/02/2009	2,492,560.89	80,274.47
							12/08/2009	TREASURER	1,943,820.38	12/08/2009	2,760,613.72	348,327.28
							11/02/2010	TREASURER	1,943,820.40	11/02/2010	3,179,312.65	767,026.23
							10/08/2010	TREASURER	1,943,820.41	10/08/2010	2,561,178.36	148,891.93
									11,662,922.38			
15/08/2008	2008	Germany	BU 108 1004 01	Euro	4,665,168.96	5,789,487.42	17/02/2009	TREASURER	777,528.16	17/02/2009	997,024.36	32,109.79
							12/08/2009	TREASURER	777,528.16	12/08/2009	1,104,245.49	139,330.92
							11/02/2010	TREASURER	777,528.16	11/02/2010	529,107.91	(435,806.66)
							10/08/2010	TREASURER	777,528.16	10/08/2010	1,024,470.50	59,555.93
							10/02/2011	TREASURER	777,528.16	10/02/2011	1,060,159.65	95,245.05
							20/06/2011	TREASURER	777,528.16	20/06/2011	1,095,381.67	130,467.13
									4,665,168.96			
18/12/2009	2009	Germany	BU 109 1007 01	Euro	9,121,815.12	13,884,041.00	11/02/2010	TREASURER	1,520,302.52	11/02/2010		
							10/08/2010	TREASURER	1,520,302.52	10/08/2010	2,003,150.60	(310,856.28)
							10/02/2011	TREASURER	1,520,302.52	10/02/2011	2,072,932.49	(241,074.39)
							20/06/2011	TREASURER	1,520,302.52	20/06/2011	2,141,802.19	(172,204.69)
							03/02/2012	TREASURER	1,520,302.52	03/02/2012	2,002,998.57	(311,008.31)
							08/08/2012	TREASURER	1,520,302.52	08/08/2012	1,881,982.56	(438,224.04)
									9,121,815.12			
14/04/2010	2010	Germany	BU 110 1002 01	Euro	9,121,815.12	13,884,041.00	10/02/2011	TREASURER	1,520,302.52	10/02/2011	2,072,932.48	(241,074.40)
							03/02/2012	TREASURER	1,520,302.52	03/02/2012	2,002,998.57	(311,008.31)
							08/08/2012	TREASURER	1,520,302.52	08/08/2012	1,881,982.56	(432,024.32)
							12/02/2013	TREASURER	1,520,302.52	12/02/2013	2,037,357.39	(276,649.49)
							12/08/2013	TREASURER	1,520,302.52	12/08/2013	2,028,843.72	(285,162.88)
									9,121,815.12			
27/04/2011	2011	Germany	BU 111 1001 01	Euro	3,648,726.05	5,553,616.51	03/02/2012	TREASURER	608,121.01	03/02/2012	801,199.43	(124,403.32)
							08/08/2012	TREASURER	608,121.00	08/08/2012	752,792.86	(172,809.89)
							12/02/2013	TREASURER	608,121.01	12/02/2013	814,942.98	(110,659.77)
							12/08/2013	TREASURER	608,121.01	12/08/2013	811,037.48	(114,065.27)
							11/02/2014	TREASURER	608,121.01	11/02/2014	824,186.40	(101,416.35)

RECEIPTS							ENCASHMENTS					
Date of Submission a/	Year of contribution	Country of Origin	P/Note code	Denomination/ Type of currency	Amount (in Original denomination)	Note Value in USD per UNEP	Date of transfer	Agency	Transfer amount in Original denomination	Date of Encashment	Actual Encashment value (USD)	Gain/(Loss) to intended value (USD)
						925,602.76		BALANCE	TREASURER			
24/01/2013	2012	Germany	BU 113 1001 01	Euro	9,823,495.77	13,838,061.59						
						2,273,010.27	12/02/2013	TREASURER	1,637,249.30	12/02/2013	2,194,077.79	(78,932.48)
						2,273,010.26	12/08/2013	TREASURER	1,637,249.30	12/08/2013	2,184,909.18	(88,101.08)
						2,273,010.27	11/02/2014	TREASURER	1,637,249.30	11/02/2014	2,220,601.22	(52,409.05)
						6,819,030.79		BALANCE	TREASURER			
25/03/2013	2013	Germany	BU 113 1004 01	Euro	9,823,495.77	13,838,061.59						
						2,273,010.27	11/02/2014	TREASURER	1,637,249.30	11/02/2014	2,220,601.22	(52,409.05)
						11,365,051.32		BALANCE	TREASURER			
08/12/2003	2004	Netherlands	D 11	US\$	3,364,061.32	3,364,061.32	17/11/2004	TREASURER	3,364,061.32	17/11/2004	3,364,061.32	-
08/12/2003	2005	Netherlands	D 11	US\$	3,364,061.32	3,364,061.32	05/12/2005	TREASURER	3,364,061.32	05/12/2005	3,364,061.32	-
18/05/2004	2004	UK		GBP	7,243,564.08	10,718,502.63						
						1,786,417.11	23/08/2005	TREASURER	1,207,260.68	23/08/2005	2,166,550.02	380,132.91
						5,359,251.32	Feb. 2006	TREASURER	3,621,782.04	Feb. 2006	6,303,711.64	944,460.32
						3,572,834.20	24/07/2006	TREASURER	3,621,782.04	24/07/2006	4,473,383.73	900,549.53
						10,718,502.63			7,243,564.08		12,943,645.39	2,225,142.76
01/06/2005	2005	UK		GBP	7,243,564.08	10,718,502.63						
						1,786,417.11	24/07/2006	TREASURER	1,207,260.68	24/07/2006	2,236,691.86	450,274.75
						4,681,386.55	09/08/2006	TREASURER	3,163,681.03	09/08/2006	6,036,303.40	1,354,916.85
						4,250,698.97	16/08/2006	TREASURER	2,872,622.37	16/08/2006	5,429,236.28	1,178,537.31
						10,718,502.63			7,243,564.08		13,702,231.54	2,983,728.91
13/05/2005	2004	USA		US\$	4,920,000.00	4,920,000.00	27/10/2005	TREASURER	2,000,000.00	27/10/2005	2,000,000.00	-
							02/11/2006	TREASURER	2,000,000.00	02/11/2006	2,000,000.00	-
							25/10/2007	TREASURER	920,000.00	25/10/2007	920,000.00	-
									4,920,000.00			
01/03/2006	2005	USA		US\$	3,159,700.00	3,159,700.00	02/11/2006	TREASURER	2,000,000.00	02/11/2006	2,000,000.00	-
							25/10/2007	TREASURER	1,159,700.00	25/10/2007	1,159,700.00	-
									3,159,700.00			
25/04/2007	2006	USA		US\$	7,315,000.00	7,315,000.00	25/10/2007	TREASURER	2,500,000.00	25/10/2007	2,500,000.00	-
							19/11/2008	TREASURER	2,500,000.00	19/11/2008	2,500,000.00	-
							11/05/2009	TREASURER	2,315,000.00	11/05/2009	2,315,000.00	-
									7,315,000.00			
21/02/2008	2008	USA		US\$	4,683,000.00	4,683,000.00	19/11/2008	TREASURER	2,341,500.00	19/11/2008	2,341,500.00	-
							11/05/2009	TREASURER	2,341,500.00	11/05/2009	2,341,500.00	-
									4,683,000.00			
21/04/2009	2009	USA		US\$	5,697,000.00	5,697,000.00						
							11/05/2009	TREASURER	1,900,000.00	11/05/2009	1,900,000.00	-
							04/11/2010	TREASURER	1,900,000.00	04/11/2010	1,900,000.00	-
							03/11/2011	TREASURER	1,897,000.00	03/11/2011	1,897,000.00	-

**TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROT****TABLE 13: OUTSTANDING PROMISSORY NOTES SCHEDULE OF ENCASHMENT AS AT 11 May 2014  
(IN US\$)**

	<b>Due in 2014</b>	<b>Due in 2015</b>	<b>Due in 2016</b>	<b>Unscheduled</b>	<b>TOTAL</b>
<b><u>FRANCE:</u></b>				10,324,398	10,324,398
<b><u>GERMANY:</u></b>					
2011	925,603				925,603
2012	2,273,010	4,546,020			6,819,030
2013	2,273,010	4,546,021	4,546,020		11,365,051
<b><u>USA</u></b>					
2013	1,666,666				1,666,666
2014	1,467,000	1,467,000	1,467,000		4,401,000
	<b>8,605,290</b>	<b>10,559,041</b>	<b>6,013,020</b>	<b>10,324,398</b>	<b>35,501,748</b>

**NOTE:**

Germany's promissory notes due are payable in February and August of the relevant years.

USA's promissory notes due are payable in November of the relevant years.

Annex II

REMAINING ACTIVITIES REQUIRED/NOT REQUIRED FOR COMPLIANCE

Country	Agency	Type	Chemical	Sector and Subsector	Value in 2014	ODP in 2014
<b>Required for compliance</b>						
Algeria	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	154,800	1.0
Angola	UNDP	INV	HCFC	Stage I HPMP	33,911	0.3
Angola	UNDP	PRP	HCFC	Stage II HPMP Preparation (refrigeration servicing)	32,100	0.0
Antigua and Barbuda	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	44,290	
Argentina	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	338,208	4.6
Argentina	IBRD	PRP	HCFC	FOA - Rigid PU foam (Stage II)	160,500	
Armenia	UNDP	INV	HCFC	Stage I HPMP	33,879	0.1
Armenia	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	8,458	0.0
Armenia	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	28,815	
Armenia	UNDP	PRP	HCFC	Stage II HPMP Preparation (refrigeration servicing)	63,665	0.0
Bahrain	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	163,850	1.2
Bangladesh	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	101,700	1.0
Bangladesh	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	28,000	
Bangladesh	UNDP	PRP	HCFC	Stage II HPMP Preparation (air condition, refrigeration servicing)	150,000	0.0
Brazil	UNDP	INV	HCFC	Stage I HPMP	3,225,000	33.7
Brazil	UNDP	INV	HCFC	Stage I HPMP	3,225,000	33.7
Brazil	Italy	PRP	HCFC	Project Preparation (Stage II)	20,990	
Burundi	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	33,900	0.2
Central African Republic (the)	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	62,150	0.4
Chile	UNDP	INV	HCFC	Stage I HPMP	317,925	3.6
Chile	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	30,535	0.3
Chile	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	33,840	
Chile	UNDP	PRP	HCFC	Stage II HPMP Preparation (foam, refrigeration servicing, other)	203,414	0.0
China	UNDP	INV	HCFC	Stage I Investment project/Sector Plans (ICR Sector Plan)	11,850,250	138.9
China	IBRD	PHA	HCFC	FOA - Rigid PU (Stage I)	4,364,530	51.2
China	UNIDO	PHA	HCFC	FOA-XPS foam (Stage I)	6,773,100	79.4
China	Japan	PHA	HCFC	HCFC Phase Out Plan (Stage I) - Servicing Sector, including enabling	90,400	1.0
China	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (servicing sector, including enabling) (Stage I)	1,304,269	14.7
China	UNIDO	PHA	HCFC	REF-Air Conditioning (Stage I)	10,298,750	120.7
China	IBRD	PRP	HCFC	FOA - Rigid PU foam (Stage II)	727,600	
China	UNIDO	PRP	HCFC	FOA-XPS foam (Stage II)	535,000	0.0

Country	Agency	Type	Chemical	Sector and Subsector	Value in 2014	ODP in 2014
China	UNIDO	PRP	HCFC	REF-Air conditioning (Stage II)	535,000	0.0
China	UNEP	PRP	HCFC	Stage 2- HCFC Phase-out Management Plan (servicing sector, including enabling)	536,750	
China	UNDP	PRP	HCFC	Stage II HPMP Preparation (ICR, solvents)	1,647,800	0.0
Costa Rica	UNDP	INV	HCFC	HCFC-INV: FOA sector (Stage I)	127,731	2.2
Cote d'Ivoire	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	212,998	2.3
Cuba	UNDP	INV	HCFC	Stage I HPMP	174,658	1.8
Democratic Republic of the Congo (the)	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	67,235	
Democratic Republic of the Congo (the)	UNDP	PRP	HCFC	Stage II HPMP Preparation (refrigeration servicing)	27,285	0.0
Egypt	UNIDO	PRP	HCFC	FOA-Rigid PU foam (Stage II)	53,500	0.0
Egypt	UNIDO	PRP	HCFC	REF-Manufacturing (Stage II)	108,401	0.0
Egypt	UNIDO	PRP	HCFC	REF-Servicing (Stage II)	96,300	0.0
Equatorial Guinea	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	33,900	0.2
Fiji	UNDP	INV	HCFC	Stage I HPMP	52,211	0.4
Fiji	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	36,047	0.3
Gabon	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	51,980	0.9
Global	ALL	TAS	HCFC	HPMP Verification	540,000	
Guyana	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	7,910	0.0
Guyana	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	43,365	
Guyana	UNDP	PRP	HCFC	Stage II HPMP Preparation (refrigeration servicing)	8,825	0.0
Haiti	UNDP	INV	HCFC	Stage I HPMP	105,860	0.4
Haiti	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	33,900	0.1
India	Japan	PRP	HCFC	PRP for the phase-out of HCFCs in the XPS sector (Stage II)	30,766	0.0
Iran (Islamic Republic of)	UNIDO	PRP	HCFC	REF-Servicing (Stage II)	36,832	0.0
Iraq	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	567,108	6.4
Iraq	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	75,250	0.9
Iraq	UNIDO	PRP	HCFC	REF-Manufacturing (Stage II)	32,100	0.0
Iraq	UNIDO	PRP	HCFC	REF-Servicing (Stage II)	32,100	0.0
Iraq	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	83,425	
Jordan	IBRD	PHA	HCFC	HCFC Air-Conditioning Sector Plan (Stage I)	905,956	8.3
Jordan	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	24,181	0.2
Jordan	IBRD	PRP	HCFC	REF - Commercial refrigeration (Stage II)	64,200	
Kuwait	UNIDO	PHA	HCFC	FOA-XPS foam (Stage I)	3,600,586	80.9
Kuwait	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	377,301	8.1
Lesotho	Germany	INV	HCFC	Ref-Servicing (Stage I)	76,840	0.3

Country	Agency	Type	Chemical	Sector and Subsector	Value in 2014	ODP in 2014
Libya	UNIDO	PHA	HCFC	FOA-Rigid PU foam (Stage I)	1,070,000	13.0
Libya	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	171,200	2.0
Mauritania	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	64,609	0.9
Mexico	UNIDO	PHA	HCFC	FOA-Rigid PU foam (Stage II)	5,971,875	109.6
Mexico	Italy	PHA	HCFC	HCFCs phase out and servicing sector (Stage II)	300,000	25.6
Mexico	UNIDO	PHA	HCFC	Manufacturing - Aerosols (Stage II)	400,180	9.4
Mexico	UNIDO	PHA	HCFC	Manufacturing - Aerosols (Stage II)	1,787,628	20.9
Mexico	UNIDO	PHA	HCFC	REF-Servicing (Stage II)	472,745	5.4
Mexico	UNIDO	PHA	HCFC	REF-Servicing (Stage II)	656,591	15.0
Mexico	UNIDO	PHA	HCFC	REF-Servicing and FOA (Stage I)	129,000	2.8
Mexico	Germany	PRP	HCFC	Ref-Servicing (Stage II)	33,900	0.0
Morocco	UNIDO	PRP	HCFC	FOA-Rigid PU foam (Stage II)	53,500	0.0
Morocco	UNIDO	PRP	HCFC	REF-Assembly (Stage II)	53,500	0.0
Morocco	UNIDO	PRP	HCFC	REF-Servicing (Stage II)	64,200	0.0
Mozambique	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	39,550	0.3
Nigeria	UNDP	INV	HCFC	Stage I HPMP	541,616	9.2
Oman	UNEP	PRP	HCFC	Stage 2- HCFC Phase-out Management Plan (preparation Stage-II)	23,109	
Pakistan	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	45,200	0.5
Pakistan	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	21,500	0.2
Paraguay	UNDP	INV	HCFC	HCFC-INV: FOA sector (Stage I)	255,462	4.5
Peru	UNDP	INV	HCFC	Stage I HPMP	109,000	1.3
Peru	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	22,600	0.3
Peru	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	84,750	
Peru	UNDP	PRP	HCFC	Stage II HPMP Preparation (foam, refrigeration servicing)	117,700	0.0
Philippines (the)	IBRD	PRP	HCFC	HPMP (Stage II)	198,451	
Philippines (the)	IBRD	PRP	HCFC	REF - AC (Stage II)	81,416	
Philippines (the)	IBRD	PRP	HCFC	REF - Servicing (Stage II)	81,416	
Philippines (the)	UNDP	PRP	HCFC	Stage II HPMP Preparation (RAC (commercial, industrial and transport))	61,367	0.0
Qatar	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	169,500	4.3
Qatar	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	571,935	15.1
Qatar	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation)	23,109	
Saudi Arabia	UNIDO	PHA	HCFC	REF-Air conditioning (Stage I)	1,284,000	54.6
Senegal	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	169,330	1.8
Senegal	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	80,625	0.9
South Sudan	UNDP	INV	HCFC	Stage I CP/HPMP	100,000	0.9
South Sudan	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	100,838	
Sudan (the)	UNIDO	PHA	HCFC	REF-Servicing (Stage I)	118,250	1.2
Suriname	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	32,205	0.1
Syrian Arab Republic	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	181,000	



Country	Agency	Type	Chemical	Sector and Subsector	Value in 2014	ODP in 2014
Syrian Arab Republic	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation Stage-II)	57,141	
Thailand	IBRD	PHA	HCFC	FOA/REF - AC (Stage I)	10,385,585	109.0
Uruguay	UNDP	INV	HCFC	Stage I HPMP	21,500	0.2
Yemen	UNEP	PHA	HCFC	HCFC Phase-out Management Plan (implementation) (Stage I)	186,450	13.2
Yemen	UNEP	PRP	HCFC	Stage 2 - HCFC Phase-out Management Plan (preparation Stage-II)	84,750	
Algeria	UNIDO	INV	MBR	Phase-out of MB in dates	228,900	1.8
Sudan (the)	UNIDO	TAS	MBR	Technical assistance for the complete phase-out of MB	109,000	1.2
Tunisia	UNIDO	INV	MBR	Phase-out of MB in dates	823,900	6.6
China	UNIDO	PHA	PRO MBR	Fumigants, Production Phase-out plan	1,924,250	113.5
Algeria	UNEP	INS	SEV	Institutional Strengthening	257,400	
Antigua and Barbuda	UNEP	INS	SEV	Institutional Strengthening	60,000	
Armenia	UNIDO	INS	SEV	Institutional strengthening	128,400	0.0
Bahrain	UNEP	INS	SEV	Institutional Strengthening	60,000	
Barbados	UNEP	INS	SEV	Institutional Strengthening	117,000	
Bolivia (Plurinational State of)	UNEP	INS	SEV	Institutional Strengthening	78,868	
Botswana	UNEP	INS	SEV	Institutional Strengthening	78,173	
Brazil	UNDP	INS	SEV	Ozone unit support	375,570	0.0
Brunei Darussalam	UNEP	INS	SEV	Institutional Strengthening	70,000	
Burkina Faso	UNEP	INS	SEV	Institutional Strengthening	72,410	
Central African Republic (the)	UNEP	INS	SEV	Institutional Strengthening	60,000	
China	UNDP	INS	SEV	Ozone unit support	417,300	0.0
Cote d'Ivoire	UNEP	INS	SEV	Institutional Strengthening	106,340	
Democratic People's Republic of Korea (the)	UNEP	INS	SEV	Institutional Strengthening	130,000	
Dominican Republic (the)	UNEP	INS	SEV	Institutional Strengthening	134,333	
Ecuador	UNEP	INS	SEV	Institutional Strengthening	176,801	
Equatorial Guinea	UNEP	INS	SEV	Institutional Strengthening	80,000	
Eritrea	UNEP	INS	SEV	Institutional Strengthening	60,000	
Ethiopia	UNEP	INS	SEV	Institutional Strengthening	60,000	
Grenada	UNEP	INS	SEV	Institutional Strengthening	60,000	
Guatemala	UNEP	INS	SEV	Institutional Strengthening	124,800	
Guinea-Bissau	UNEP	INS	SEV	Institutional Strengthening	60,000	
Guyana	UNEP	INS	SEV	Institutional Strengthening	60,000	
Haiti	UNEP	INS	SEV	Institutional Strengthening	100,000	
Honduras	UNEP	INS	SEV	Institutional Strengthening	60,000	
Jamaica	UNEP	INS	SEV	Institutional Strengthening	60,000	
Jordan	IBRD	INS	SEV	Renewal of Institutional Strengthening	157,646	
Kuwait	UNEP	INS	SEV	Institutional Strengthening	105,320	
Kyrgyzstan	UNEP	INS	SEV	Institutional Strengthening	115,830	
Lebanon	UNDP	INS	SEV	Ozone unit support	165,947	0.0
Lesotho	UNEP	INS	SEV	Institutional Strengthening	60,000	
Madagascar	UNEP	INS	SEV	Institutional Strengthening	60,700	
Mauritania	UNEP	INS	SEV	Institutional Strengthening	60,000	
Mauritius	UNEP	INS	SEV	Institutional Strengthening	60,000	
Mexico	UNIDO	INS	SEV	Institutional strengthening	264,290	0.0
Morocco	UNEP	INS	SEV	Institutional Strengthening	156,000	

Country	Agency	Type	Chemical	Sector and Subsector	Value in 2014	ODP in 2014
Mozambique	UNEP	INS	SEV	Institutional Strengthening	80,800	
Namibia	UNEP	INS	SEV	Institutional Strengthening	60,000	
Nicaragua	UNEP	INS	SEV	Institutional Strengthening	60,000	
Nigeria	UNDP	INS	SEV	Ozone unit support	278,200	0.0
Pakistan	UNDP	INS	SEV	Ozone unit support	240,180	0.0
Peru	UNEP	INS	SEV	Institutional Strengthening	133,510	
Saint Kitts and Nevis	UNEP	INS	SEV	Institutional Strengthening	60,000	
Saint Lucia	UNEP	INS	SEV	Institutional Strengthening	60,000	
Saint Vincent and the Grenadines	UNEP	INS	SEV	Institutional Strengthening	60,000	
Saudi Arabia	UNEP	INS	SEV	Institutional Strengthening	200,000	
Somalia	UNEP	INS	SEV	Institutional Strengthening	60,000	
South Sudan	UNEP	INS	SEV	Institutional Strengthening	60,000	
Suriname	UNEP	INS	SEV	Institutional Strengthening	73,333	
Swaziland	UNEP	INS	SEV	Institutional Strengthening	60,000	
Syrian Arab Republic	UNIDO	INS	SEV	Institutional strengthening	219,110	
Thailand	IBRD	INS	SEV	Renewal of Institutional Strengthening	370,935	
Togo	UNEP	INS	SEV	Institutional Strengthening	60,666	
Trinidad and Tobago	UNDP	INS	SEV	Ozone unit support	64,200	0.0
Uganda	UNEP	INS	SEV	Institutional Strengthening	63,180	
Venezuela (Bolivarian Republic of)	UNDP	INS	SEV	Ozone unit support	305,464	0.0
Yemen	UNEP	INS	SEV	Institutional Strengthening	170,000	
Global	IBRD	TAS	SEV	Agency Core Unit Costs	1,725,000	
Global	UNIDO	TAS	SEV	Agency Core Unit Costs	2,026,529	0.0
Global	UNDP	TAS	SEV	Agency Core Unit Costs	2,026,529	0.0
Global	UNEP	TAS	SEV	Global CAP 2015 work programme	10,492,980	
<b>Not required for compliance</b>						
India	UNDP	DEM	Disposal	Demo: ODS Bank Management/Destruction	953,927	100.0
Lebanon	UNIDO	DEM	Disposal	ODS destruction demonstration project	163,500	14.0
Region: AFR	UNEP	TAS	MBR	Regional Technical Workshops for sustainable adoption of Methyl Bromide Alternative technologies	120,000	



**Annex III**

**LETTERS TO BE SENT TO THE RELEVANT GOVERNMENTS  
ON TRANCHE SUBMISSION DELAYS**

<b>Country</b>	<b>Remarks and views of the Executive Committee to be transmitted to Article 5 countries</b>
Algeria	Noting that only 2 per cent of project funds had been disbursed and the need for the relevant enterprise to complete the preparatory work before funding from the HCFC phase-out management plan (HPMP) in Algeria under UNIDO implementation can best be utilized, and urging the Government of Algeria to encourage the relevant enterprise to complete the preparatory work for its projects, and work with UNIDO so that the 2014 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting on the understanding that the 20 per cent disbursement threshold requirement is achieved.
Bangladesh	Noting the recent signing of the required agreements for the HPMP in Bangladesh under UNEP implementation and that 26 per cent of funds approved had been disbursed, and urging the Government of Bangladesh to submit the required progress and financial reports to UNEP as soon as possible so that the 2013 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting.
Brazil	Noting the change in rules at UNDP for the direct implementation modality in Brazil for the HPMP and urging the Government of Brazil and UNDP to achieve the 20 per cent disbursement threshold to facilitate the submission of the 2013 tranche of the HPMP to the 73 <sup>rd</sup> meeting.
Burundi	Noting the recent change in the National Ozone Officer (NOO) in Burundi and that 67 per cent of the approved funds for the HPMP under UNEP implementation have been disbursed, and urging the Government of Burundi to submit the required progress and financial reports as soon as possible to UNEP so that the 2013 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting.
Central African Republic (the)	Noting that a new coordinator of the National Ozone Unit (NOU) had been appointed in the Central African Republic, and urging the Government of the Central African Republic to submit the relevant progress and financial reports to UNEP so that the 2013 tranche of the HPMP under UNEP implementation can be submitted to the 73 <sup>rd</sup> meeting.
Chile	Noting that the approval of the 2012 tranche of the HPMP in Chile under UNDP and UNEP implementation at the 71 <sup>st</sup> meeting had not provided sufficient time to further implement the tranche, and urging the Government of Chile to sign the agreement with UNEP to facilitate the submission of the 2013 tranche of the HPMP to the 73 <sup>rd</sup> meeting on the understanding that the 20 per cent disbursement threshold requirement is achieved.
Cote d'Ivoire	Noting that 36 per cent of approved funds for the HPMP in Cote d'Ivoire under UNEP implementation have been disbursed, and urging the Ministries of Environment and Education of the Government of Cote d'Ivoire to complete agreements to enable the HPMP to continue to be implemented so that the 2014 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting.
Cuba	Noting that the servicing sector component of the HPMP in Cuba under UNDP implementation is moving forward according to the plan that has focused on training and technical assistance while contracts for the conversion of foam manufacturing enterprises have not been completed that represent most of the funding for the first tranche, and urging the Government of Cuba to facilitate the completion of the contracts required to disburse funding from the first tranche so that the 2013 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting on the understanding that the 20 per cent disbursement threshold requirement is achieved.
Equatorial Guinea	Noting the planned mission of south-to-south technical cooperation from Gabon and that 55 per cent of the funds approved for the HPMP in Equatorial Guinea under UNEP implementation have been disbursed, and urging the Government of Equatorial Guinea to submit the required progress and financial reports to UNEP so that the 2013 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting.

<b>Country</b>	<b>Remarks and views of the Executive Committee to be transmitted to Article 5 countries</b>
Gabon	Noting that a new NOO has been appointed in Gabon and the NOU has been operationalized since the 71 <sup>st</sup> meeting and 55 per cent of project funds have been disbursed for the HPMP under UNEP implementation, and urging the Government of Gabon to submit the required progress and financial reports to UNEP so that the 2013 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting.
Guyana	Noting that a new NOO has been appointed in Guyana and that 36 per cent of the approved funds has been disbursed for the HPMP under UNEP implementation, and urging the Government of Guyana to submit the 2014 tranche of the HPMP to the 73 <sup>rd</sup> meeting.
Haiti	Noting the agreement between the NOU in Haiti and UNEP for UNEP to use the direct implementation modality for the HPMP, and urging the Government of Haiti to facilitate the completion of customs and technicians training as soon as possible so that the 2014 tranche of the HPMP for UNEP and UNDP can be submitted to the 73 <sup>rd</sup> meeting.
Iraq	Noting the recent completion of the relevant terms of reference (TORs) for the UNIDO component of the HPMP in Iraq, that several activities from the national phase-out plan in Iraq were carried over to the UNEP component of the HPMP, and urging the Government of Iraq to sign the relevant agreements with UNEP so that the 2013 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting.
Jordan	Noting that one of the three sub-grant agreements for the relevant enterprises in the World Bank component of the HPMP in Jordan has been signed, and urging the Government of Jordan and the World Bank to facilitate the signing of the remaining two sub-grant agreements so that the 2013 tranche of the HPMP under UNIDO and World Bank implementation can be submitted to the 73 <sup>rd</sup> meeting on the understanding that the 20 per cent disbursement threshold requirement is achieved.
Kuwait	Noting the recent mission of UNIDO to reach agreement on TORs for the equipment required for the conversion of enterprises included in stage I of the HPMP in Kuwait under UNEP and UNIDO implementation, urging the Government of Kuwait and UNIDO to facilitate the completion of the TORs, and further urging the Government of Kuwait and UNEP to sign the required agreements so that the 2014 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting on the understanding that the 20 per cent disbursement threshold requirement is achieved.
Lesotho	Urging the Government of Lesotho and the Government of Germany to submit the 2014 tranche of the HPMP in Lesotho under the Government of Germany implementation to the 73 <sup>rd</sup> meeting.
Mozambique	Noting that the agreements between the Government of Mozambique and UNEP have been signed, and urging the Government of Mozambique to submit the required progress and financial reports to UNEP so that the 2014 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting.
Nigeria	Noting the approval of the 2012 tranche of the HPMP in Nigeria at the 71 <sup>st</sup> meeting, under UNDP implementation, and that the 2013 verification report is under preparation, and urging the Government of Nigeria to submit the 2013 tranche of the HPMP to the 73 <sup>rd</sup> meeting on the understanding that the 20 per cent disbursement threshold requirement is achieved.
Peru	Noting that the quota/licensing system has been implemented since January 2014 and urging the Government of Peru to sign the relevant project document/agreement with UNDP and UNEP so that the 2013 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting on the understanding that the 20 per cent disbursement threshold requirement is achieved.
Suriname	Noting changes in the NOU in Suriname and that 24 per cent of the approved funds for the HPMP in Suriname under UNEP implementation have been disbursed, and urging the Government of Suriname to submit the required progress and financial reports to UNEP so that the 2013 tranche of the HPMP can be submitted to the 73 <sup>rd</sup> meeting.

**Annex IV**

**PROJECTS FOR WHICH ADDITIONAL STATUS REPORTS WERE REQUESTED**

<b>Agency</b>	<b>Code</b>	<b>Project title</b>	<b>Reasons</b>
Germany	BOT/PHA/60/PRP/14	Preparation of a HCFC phase-out management plan	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting, to monitor the actions taken by Botswana towards the establishment of the licensing system for ODS imports and exports to enable its HPMP to be submitted based on the guidelines in decision 60/44.
IBRD	JOR/PHA/65/INV/91	HCFC phase-out management plan (stage I, first tranche) (air-conditioning sector plan)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor the progress of implementation as well as the low disbursement rates of approved funds.
IBRD	THA/PHA/68/INV/161	HCFC phase-out management plan (stage I, first tranche) (foam sector plan)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor the signing of the grant agreement.
IBRD	THA/PHA/68/INV/162	HCFC phase-out management plan (stage I, first tranche) (residential air-conditioning group project)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor the signing of the grant agreement.
UNDP	BRA/PHA/68/INV/298	HCFC phase-out management plan (stage I, second tranche) (foam sector plan)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting to monitor low rates of disbursement of approved funds.
UNDP	CUB/PHA/65/INV/49	HCFC phase-out management plan (stage I, first tranche) (phase-out of HCFC-141b contained in formulated polyols in the foam sector)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor the low level of disbursement of approved funds for this project approved over two years previously.
UNDP	CUB/PHA/68/INV/50	HCFC phase-out management plan (stage I, second tranche) (phase-out of HCFC-141b contained in formulated polyols in the foam sector)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor the low level of disbursement of approved funds for this project approved over one year previously.
UNDP	NEP/PHA/66/INV/30	HCFC phase-out management plan (stage I, first tranche)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting to monitor the low level of disbursement of approved funds for this project approved over two years previously.

<b>Agency</b>	<b>Code</b>	<b>Project title</b>	<b>Reasons</b>
UNDP	STK/PHA/64/TAS/16	HCFC phase-out management plan (stage I, first tranche)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the second consecutive meeting to monitor the low level of disbursement and the signing of the document/letter of agreement for the HPMP approved over two years previously.
UNEP	BRU/SEV/67/INS/14	Renewal of the institutional strengthening project (phase III: 1/2013-12/2014)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor the signing of the renewal or extension of project.
UNEP	DOM/PHA/69/TAS/52	HCFC phase-out management plan (stage I, second tranche) (refrigeration servicing sector)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting to monitor progress in project implementation and disbursement rate.
UNEP	ECU/SEV/59/INS/43	Extension of institutional strengthening project (phase V)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor the rate of disbursement of approved funds.
UNEP	ERI/PHA/67/TAS/11	HCFC phase-out management plan (stage I, first tranche)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor signing of the agreement.
UNEP	HAI/SEV/59/INS/16	Extension of institutional strengthening project (phase III) in Haiti	To request the submission of additional status report to the 73 <sup>rd</sup> meeting on the clearance of the technical and financial report (phase II) to enable the release of the balance of the funds.
UNEP	IRQ/PHA/65/TAS/17	HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing sector)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor progress in project implementation for the HPMP approved over two years previously with low disbursement rates of approved funds, and the signing of the relevant agreement.
UNEP	KUW/PHA/66/TAS/19	HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing sector and monitoring and verification)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor progress in project implementation for the HPMP approved over two years previously with low disbursement of approved funds, and the signing of the relevant agreement.

<b>Agency</b>	<b>Code</b>	<b>Project title</b>	<b>Reasons</b>
UNEP	MAU/SEV/49/INS/17	Renewal of institutional strengthening project (phase IV)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting in order to monitor the signing of the renewal or extension of project.
UNEP	MAU/SEV/57/INS/23	Renewal of the institutional strengthening project (phase V)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting to monitor the rate of disbursement of approved funds and the signing of the renewal or extension of project.
UNEP	MOR/SEV/59/INS/63	Renewal of the institutional strengthening project (phase IV)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting on the closing of the project and the return of funds balances.
UNEP	PER/PHA/68/TAS/47	HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor the signing of the agreement.
UNEP	QAT/PHA/65/TAS/17	HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing sector)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor progress in project implementation for the HPMP approved over two year previously with low disbursement rates of approved funds, and the signing of the relevant agreement.
UNEP	SAU/SEV/67/INS/15	Extension of the institutional strengthening project (phase II: 7/2012-6/2014)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor the signing of the renewal or extension of project.
UNIDO	ALG/FOA/62/INV/75	Conversion from HCFC-141b in the manufacture of polyurethane rigid insulation foam for domestic refrigerators at Cristor	To request the submission of additional status report to the 73 <sup>rd</sup> meeting to monitor the signing of the agreement with companies.
UNIDO	ALG/PHA/66/INV/76	HCFC phase-out management plan (stage I, first tranche) (conversion from HCFC-22 in the manufacture of room air conditioners at Condor)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting to monitor HPMP approved over two years previously with disbursement reported but lower than 10 per cent.
UNIDO	ALG/PHA/66/INV/77	HCFC phase-out management plan (stage I, first tranche) (activities in the refrigeration servicing sector including phase-out of HCFC-141b used for flushing, and project monitoring)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting to monitor HPMP approved over two years previously with disbursement reported but lower than 10 per cent.



<b>Agency</b>	<b>Code</b>	<b>Project title</b>	<b>Reasons</b>
UNIDO	IVC/PHA/66/INV/36	HCFC phase-out management plan (stage I, first tranche)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor HPMP approved over two years previously with low disbursement rates of approved funds, and signing of agreement.
UNIDO	MOZ/PHA/66/INV/23	HCFC phase-out management plan (stage I, first tranche)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the third consecutive meeting to monitor HPMP approved over two years previously with low disbursement rates of approved funds.
UNIDO	QAT/SEV/59/INS/15	Renewal of institutional strengthening project (phase III)	To request the submission of additional status report to the 73 <sup>rd</sup> meeting for the sixth consecutive meeting to monitor progress of the institutional strengthening project and the recruitment of the National Ozone Officer.

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>AFGHANISTAN</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, second tranche)	Germany	1.0	\$84,000	\$10,920	\$94,920	
<i>Approved on the understanding that the approved funds would not be transferred to the Government of Germany and UNEP until the Secretariat had received a verification report confirming that in 2013 the Government of Afghanistan was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee. Noted that the Agreement was updated based on the established HCFC baseline for compliance, and that the revised starting point for sustained aggregate reduction in HCFC consumption was 23.8 ODP tonnes, calculated using the average of 22.2 ODP tonnes of consumption for 2009 and 25.4 ODP tonnes of consumption (consisting of 24.9 ODP tonnes of virgin HCFC-22 and 0.5 ODP tonnes of recycled HCFC-22) for 2010, reported under Article 7 of the Montreal Protocol.</i>						
HCFC phase-out management plan (stage I, second tranche)	UNEP	1.4	\$118,000	\$15,340	\$133,340	
<i>Approved on the understanding that the approved funds would not be transferred to the Government of Germany and UNEP until the Secretariat had received a verification report confirming that in 2013 the Government of Afghanistan was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee. Noted that the Agreement was updated based on the established HCFC baseline for compliance, and that the revised starting point for sustained aggregate reduction in HCFC consumption was 23.8 ODP tonnes, calculated using the average of 22.2 ODP tonnes of consumption for 2009 and 25.4 ODP tonnes of consumption (consisting of 24.9 ODP tonnes of virgin HCFC-22 and 0.5 ODP tonnes of recycled HCFC-22) for 2010, reported under Article 7 of the Montreal Protocol.</i>						
<b>Total for Afghanistan</b>		<b>2.3</b>	<b>\$202,000</b>	<b>\$26,260</b>	<b>\$228,260</b>	
<b>ALBANIA</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Renewal of institutional strengthening project (phase VI: 7/2014-6/2016)	UNEP		\$109,200	\$0	\$109,200	
<b>Total for Albania</b>			<b>\$109,200</b>		<b>\$109,200</b>	
<b>ALGERIA</b>						
<b>FUMIGANT</b>						
<b>Preparation of project proposal</b>						
Project preparation in the fumigants sector (high-moisture dates)	UNIDO		\$35,000	\$2,450	\$37,450	
<b>DESTRUCTION</b>						
<b>Demonstration</b>						
Pilot demonstration project on ODS waste management and disposal	UNIDO		\$375,059	\$26,254	\$401,313	10.23
<i>Approved on the understanding that no further funds would be available for Algeria for any ODS disposal projects in future.</i>						

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
Pilot demonstration project on ODS waste management and disposal	France		\$250,000	\$32,500	\$282,500	10.23
<i>Approved on the understanding that no further funds would be available for Algeria for any ODS disposal projects in future.</i>						
<b>Total for Algeria</b>			<b>\$660,059</b>	<b>\$61,204</b>	<b>\$721,263</b>	
<b>ANGOLA</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, second tranche)	UNDP		\$39,111	\$3,520	\$42,631	
<i>Approved on the understanding that Angola assumed all responsibilities and risks associated with retrofitting HCFC-based refrigeration and air-conditioning equipment to flammable or toxic refrigerants and associated servicing.</i>						
<b>Total for Angola</b>			<b>\$39,111</b>	<b>\$3,520</b>	<b>\$42,631</b>	
<b>BAHAMAS</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase V: 4/2014-3/2016)	UNEP		\$60,000	\$0	\$60,000	
<b>Total for Bahamas</b>			<b>\$60,000</b>		<b>\$60,000</b>	
<b>BELIZE</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Renewal of institutional strengthening project (phase VII: 4/2014-3/2016)	UNEP		\$76,700	\$0	\$76,700	
<b>Total for Belize</b>			<b>\$76,700</b>		<b>\$76,700</b>	
<b>BOLIVIA</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, second tranche)	Germany	0.5	\$94,500	\$12,285	\$106,785	
<i>Noted that the Agreement was updated based on the established HCFC baseline for compliance, and that the revised starting point for sustained aggregate reduction in HCFC consumption was 6.7 ODP tonnes, calculated using actual consumption of 4.4 ODP tonnes and 7.7 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, plus 0.6 ODP tonnes of HCFC-141b contained in imported pre-blended polyols.</i>						
<b>Total for Bolivia</b>			<b>0.5</b>	<b>\$94,500</b>	<b>\$12,285</b>	<b>\$106,785</b>

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>BOSNIA AND HERZEGOVINA</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, second tranche) (activities in the refrigeration servicing sector including policy actions)	UNIDO		\$128,979	\$9,029	\$138,008	
<i>Noted that the Agreement was updated to reflect the change in support costs owing to the new administrative cost regime; that there were indications in the verification report that the country might have had a consumption of 580 ODP kg of HCFCs in 2013 above the maximum allowable consumption limit established in the Agreement; that further work was required to establish the actual consumption of Bosnia and Herzegovina in 2013; and that the Government had undertaken the necessary steps to return to compliance with the Agreement in 2014 and future years. Approved on the understanding that 10 per cent of the agreed funding for the second tranche was withheld in line with decision 54/34 until the exact consumption in the year 2013 had been established; and that on the basis of the actual 2013 consumption, whether and at what level a penalty would have to be applied, up to the limit of the funds withheld from the second tranche would be considered at the 73rd meeting.</i>						
<b>Total for Bosnia and Herzegovina</b>			<b>\$128,979</b>	<b>\$9,029</b>	<b>\$138,008</b>	
<b>BRAZIL</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNDP		\$150,000	\$10,500	\$160,500	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (refrigeration and air-conditioning manufacturing sector)	UNIDO		\$150,000	\$10,500	\$160,500	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNIDO		\$10,000	\$700	\$10,700	
Preparation of a HCFC phase-out management plan (stage II)	Germany		\$30,000	\$3,900	\$33,900	
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$50,000	\$3,500	\$53,500	
<b>DESTRUCTION</b>						
<b>Demonstration</b>						
Pilot demonstration project on ODS waste management and disposal	UNDP		\$1,490,600	\$104,342	\$1,594,942	12.42
<i>Approved on the understanding that no further funds would be available for Brazil for any ODS disposal projects in future.</i>						
<b>Total for Brazil</b>			<b>\$1,880,600</b>	<b>\$133,442</b>	<b>\$2,014,042</b>	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>CHINA</b>						
<b>FUMIGANT</b>						
<b>Methyl bromide</b>						
National phase-out of methyl bromide (phase II, ninth tranche)	UNIDO		\$302,742	\$22,706	\$325,448	
<i>The Government of China, the Government of Italy and UNIDO were requested to submit the project completion report to the Executive Committee to the first meeting in 2016.</i>						
<b>PRODUCTION</b>						
<b>HCFC closure</b>						
HCFC production phase-out management plan (stage I, second tranche)	IBRD		\$23,000,000	\$1,288,000	\$24,288,000	
<i>Noted that funds remaining from the CFC production phase-out plan for China used for stage I of the HPPMP, would be reimbursed by funds from the HPPMP for China. The World Bank was requested to provide the remaining information requested by the Secretariat in relation to activities funded by the Multilateral Fund as soon as possible. The World Bank and the Secretariat were requested to update the format for future submission of annual implementation plans and progress reports for the HPPMP.</i>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, third tranche) (refrigeration servicing sector including enabling programme)	UNEP		\$1,104,000	\$123,547	\$1,227,547	
HCFC phase-out management plan (stage I, third tranche) (refrigeration servicing sector including enabling programme)	Japan		\$80,000	\$10,400	\$90,400	
	<b>Total for China</b>		<b>\$24,486,742</b>	<b>\$1,444,653</b>	<b>\$25,931,395</b>	
<b>COLOMBIA</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNDP		\$150,000	\$10,500	\$160,500	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (commercial and industrial air-conditioning manufacturing sector)	UNDP		\$25,000	\$1,750	\$26,750	
Preparation for HCFC phase-out investment activities (stage II) (commercial refrigeration manufacturing sector)	UNDP		\$25,000	\$1,750	\$26,750	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$75,000	\$5,250	\$80,250	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
HCFC phase-out management plan (stage I, third tranche) <i>Approved on the understanding that the approved funds would not be transferred to UNDP until the Secretariat had received a verification report confirming that in 2013 the Government of Colombia was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee. The Government of Colombia, UNDP and UNEP were requested to submit the project completion report to the second meeting of the Executive Committee in 2016.</i>	UNDP	2.9	\$150,000	\$11,250	\$161,250	
Preparation of a HCFC phase-out management plan (stage II)	Germany		\$15,000	\$1,950	\$16,950	
	<b>Total for Colombia</b>	<b>2.9</b>	<b>\$440,000</b>	<b>\$32,450</b>	<b>\$472,450</b>	
<b>COOK ISLANDS</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase V: 1/2015-12/2016)	UNEP		\$60,000	\$0	\$60,000	
	<b>Total for Cook Islands</b>		<b>\$60,000</b>		<b>\$60,000</b>	
<b>DOMINICA</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase VI: 4/2014-3/2016)	UNEP		\$60,000	\$0	\$60,000	
	<b>Total for Dominica</b>		<b>\$60,000</b>		<b>\$60,000</b>	
<b>DOMINICAN REPUBLIC</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$60,000	\$4,200	\$64,200	
	<b>Total for Dominican Republic</b>		<b>\$60,000</b>	<b>\$4,200</b>	<b>\$64,200</b>	
<b>EGYPT</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase X: 1/2015-12/2016)	UNIDO		\$228,323	\$15,983	\$244,306	
	<b>Total for Egypt</b>		<b>\$228,323</b>	<b>\$15,983</b>	<b>\$244,306</b>	
<b>FIJI</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase IX: 12/2014-11/2016)	UNEP		\$60,000	\$0	\$60,000	
	<b>Total for Fiji</b>		<b>\$60,000</b>		<b>\$60,000</b>	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>GAMBIA</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase VIII: 7/2014-6/2016)	UNEP		\$60,000	\$0	\$60,000	
<b>Total for Gambia</b>			<b>\$60,000</b>		<b>\$60,000</b>	
<b>GEORGIA</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, second tranche)	UNDP	0.5	\$150,000	\$11,250	\$161,250	
<b>Total for Georgia</b>			<b>0.5</b>	<b>\$150,000</b>	<b>\$11,250</b>	<b>\$161,250</b>
<b>GHANA</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, third tranche)	UNDP	4.4	\$190,000	\$14,250	\$204,250	
<i>Approved on the understanding that Ghana assumed all responsibilities and risks associated with retrofitting HCFC-based refrigeration and air-conditioning equipment to flammable or toxic refrigerants and associated servicing, and that the regulatory environment for the safe use of hydrocarbon refrigerants would be established prior to the submission of the fourth tranche request to the Executive Committee.</i>						
HCFC phase-out management plan (stage I, third tranche)	Italy	1.4	\$70,000	\$9,100	\$79,100	
<i>Approved on the understanding that Ghana assumed all responsibilities and risks associated with retrofitting HCFC-based refrigeration and air-conditioning equipment to flammable or toxic refrigerants and associated servicing, and that the regulatory environment for the safe use of hydrocarbon refrigerants would be established prior to the submission of the fourth tranche request to the Executive Committee.</i>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase XI: 1/2015-12/2016)	UNDP		\$139,100	\$9,737	\$148,837	
<b>Total for Ghana</b>			<b>5.7</b>	<b>\$399,100</b>	<b>\$33,087</b>	<b>\$432,187</b>
<b>GUINEA</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, second tranche)	UNEP		\$60,000	\$7,800	\$67,800	
<i>Approved on the understanding that the approved funds would not be transferred to UNEP until the Secretariat had received a verification report confirming that in 2013 the Government of Guinea was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee.</i>						
<b>Total for Guinea</b>			<b>\$60,000</b>	<b>\$7,800</b>	<b>\$67,800</b>	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)		C.E. (US\$/kg)	
			Project	Support		Total
<b>INDIA</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (extruded polystyrene foam sector)	UNDP		\$40,000	\$2,800	\$42,800	
Preparation for HCFC phase-out investment activities (stage II) (polyurethane foam sector)	UNDP		\$120,000	\$8,400	\$128,400	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (air-conditioning sector)	UNDP		\$120,000	\$8,400	\$128,400	
Preparation for HCFC phase-out investment activities (stage II) (refrigeration sector)	UNDP		\$120,000	\$8,400	\$128,400	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	Germany		\$20,000	\$2,600	\$22,600	
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$50,000	\$3,500	\$53,500	
Preparation of a HCFC phase-out management plan (stage II)	UNEP		\$20,000	\$2,600	\$22,600	
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of institutional strengthening project (phase X: 4/2014-3/2016)	UNDP		\$373,230	\$26,126	\$399,356	
			<b>Total for India</b>	<b>\$863,230</b>	<b>\$62,826</b>	<b>\$926,056</b>
<b>INDONESIA</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	IBRD		\$150,000	\$10,500	\$160,500	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$90,000	\$6,300	\$96,300	
<b>FIRE-FIGHTING</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (fire-fighting manufacturing sector)	UNDP		\$50,000	\$3,500	\$53,500	
			<b>Total for Indonesia</b>	<b>\$290,000</b>	<b>\$20,300</b>	<b>\$310,300</b>



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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>IRAN</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	Germany		\$75,000	\$9,750	\$84,750	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (refrigeration and air-conditioning sector)	UNDP		\$30,000	\$2,100	\$32,100	
Preparation for HCFC phase-out investment activities (stage II) (refrigeration and air-conditioning sector)	UNIDO		\$20,000	\$1,400	\$21,400	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$45,000	\$3,150	\$48,150	
HCFC phase-out management plan (stage I, third tranche) (foam sector plan)	UNIDO	1.2	\$101,450	\$7,609	\$109,059	
<p><i>Approved on the understanding that the approved funds would not be transferred to UNDP and UNIDO until the Secretariat had received a verification report confirming that the Government of the Islamic Republic of Iran was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee. Noted the change in the foam sector plan proposed by UNIDO and that the amount of US \$97,127 associated with one non-eligible foam enterprise (Nobough) would be reallocated to the three new eligible enterprises manufacturing insulation foam for domestic refrigerators added to stage I, but its tonnage reduction (3.8 ODP tonnes) would continue to be deducted from the starting point; that the Government of the Islamic Republic of Iran had committed to reduce its HCFC consumption by 30 per cent of the baseline by 1 January 2018; and that the Agreement was updated based on the revised sector plan submitted by UNIDO. Additional 63.1 ODP tonnes from the remaining eligible consumption would be deducted.</i></p>						
HCFC phase-out management plan (stage I, third tranche) (air conditioning sector plan and project management unit)	UNDP		\$477,816	\$35,836	\$513,652	
<p><i>Approved on the understanding that the approved funds would not be transferred to UNDP and UNIDO until the Secretariat had received a verification report confirming that the Government of the Islamic Republic of Iran was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee. Noted the change in the foam sector plan proposed by UNIDO and that the amount of US \$97,127 associated with one non-eligible foam enterprise (Nobough) would be reallocated to the three new eligible enterprises manufacturing insulation foam for domestic refrigerators added to stage I, but its tonnage reduction (3.8 ODP tonnes) would continue to be deducted from the starting point; that the Government of the Islamic Republic of Iran had committed to reduce its HCFC consumption by 30 per cent of the baseline by 1 January 2018; and that the Agreement was updated based on the revised sector plan submitted by UNIDO. Additional 63.1 ODP tonnes from the remaining eligible consumption would be deducted.</i></p>						
Preparation of a HCFC phase-out management plan (stage II)	UNEP		\$25,000	\$3,250	\$28,250	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
Preparation of a HCFC phase-out management plan (stage II)	Germany		\$20,000	\$2,600	\$22,600	
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase X: 1/2015-12/2016)	UNDP		\$173,511	\$12,146	\$185,657	
	<b>Total for Iran</b>	<b>1.2</b>	<b>\$967,777</b>	<b>\$77,841</b>	<b>\$1,045,618</b>	
<b>KENYA</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, second tranche)	France	5.2	\$200,000	\$24,222	\$224,222	
<i>Approved on the understanding that approval of further funding would be subject to satisfactorily addressing the issues on the licensing and quota system identified in the verification report.</i>						
	<b>Total for Kenya</b>	<b>5.2</b>	<b>\$200,000</b>	<b>\$24,222</b>	<b>\$224,222</b>	
<b>KYRGYZSTAN</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$20,000	\$1,400	\$21,400	
HCFC phase-out management plan (stage I, second tranche)	UNEP	0.2	\$3,520	\$458	\$3,978	
<i>Noted that the Agreement was updated based on the established HCFC baseline for compliance, and that the revised starting point for sustained aggregate reduction in HCFC consumption was 4.1 ODP tonnes, calculated using actual consumption of 4.4 ODP tonnes and 3.7 tonnes, reported for 2009 and 2010, respectively under Article 7 of the Montreal Protocol. The Government of Kyrgyzstan, UNDP and UNEP were requested to submit the project completion report to the Executive Committee no later than the last meeting of 2016.</i>						
HCFC phase-out management plan (stage I, second tranche)	UNDP		\$5,280	\$475	\$5,755	
<i>Noted that the Agreement was updated based on the established HCFC baseline for compliance, and that the revised starting point for sustained aggregate reduction in HCFC consumption was 4.1 ODP tonnes, calculated using actual consumption of 4.4 ODP tonnes and 3.7 tonnes, reported for 2009 and 2010, respectively under Article 7 of the Montreal Protocol. The Government of Kyrgyzstan, UNDP and UNEP were requested to submit the project completion report to the Executive Committee no later than the last meeting of 2016.</i>						
Preparation of a HCFC phase-out management plan (stage II)	UNEP		\$10,000	\$1,300	\$11,300	
	<b>Total for Kyrgyzstan</b>	<b>0.2</b>	<b>\$38,800</b>	<b>\$3,633</b>	<b>\$42,433</b>	
<b>LAO, PDR</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Renewal of the institutional strengthening project (phase VII: 12/2014-11/2016)	UNEP		\$60,000	\$0	\$60,000	
	<b>Total for Lao, PDR</b>		<b>\$60,000</b>		<b>\$60,000</b>	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>LEBANON</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNDP		\$20,000	\$1,400	\$21,400	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (refrigeration and air-conditioning sectors)	UNDP		\$60,000	\$4,200	\$64,200	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$70,000	\$4,900	\$74,900	
			<b>Total for Lebanon</b>	<b>\$150,000</b>	<b>\$10,500</b>	<b>\$160,500</b>
<b>MACEDONIA, FYR</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (phase I, fifth tranche)	UNIDO	0.2	\$82,000	\$6,150	\$88,150	
		<b>Total for Macedonia, FYR</b>	<b>0.2</b>	<b>\$82,000</b>	<b>\$6,150</b>	<b>\$88,150</b>
<b>MALAWI</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of institutional strengthening project (phase IX: 4/2014-3/2016)	UNEP		\$66,733	\$0	\$66,733	
		<b>Total for Malawi</b>	<b>\$66,733</b>		<b>\$66,733</b>	
<b>MALAYSIA</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNDP		\$150,000	\$10,500	\$160,500	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (refrigeration sector)	UNDP		\$50,000	\$3,500	\$53,500	
Preparation for HCFC phase-out investment activities (stage II) (air-conditioning sector)	UNDP		\$50,000	\$3,500	\$53,500	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$65,000	\$4,550	\$69,550	
		<b>Total for Malaysia</b>	<b>\$315,000</b>	<b>\$22,050</b>	<b>\$337,050</b>	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>MALDIVES</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase VIII: 12/2014-11/2016)	UNEP		\$60,000	\$0	\$60,000	
<b>Total for Maldives</b>			<b>\$60,000</b>		<b>\$60,000</b>	
<b>MAURITIUS</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (second tranche)	Germany		\$131,400	\$15,851	\$147,251	
<i>Noted that the Agreement was updated based on the established HCFC baseline for compliance and the revised funding level; that the revised starting point for sustained aggregate reduction in HCFC consumption was 8.0 ODP tonnes, calculated using actual consumption of 10.7 ODP tonnes and 5.3 tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol, and that the revised funding level for the HPMP for Mauritius was US \$950,000, plus agency support costs, in accordance with decisions 60/44(f)(xii) and 62/10.</i>						
<b>Total for Mauritius</b>			<b>\$131,400</b>	<b>\$15,851</b>	<b>\$147,251</b>	
<b>MEXICO</b>						
<b>AEROSOL</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (aerosol sector)	UNIDO		\$40,000	\$2,800	\$42,800	
<i>Approved on an exceptional basis in order to optimize the aerosol sector plan proposal to explore options to further reduce its climate impact.</i>						
<b>Total for Mexico</b>			<b>\$40,000</b>	<b>\$2,800</b>	<b>\$42,800</b>	
<b>MICRONESIA</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Renewal of institutional strengthening project (phase V: 1/2015-12/2016)	UNEP		\$60,000	\$0	\$60,000	
<b>Total for Micronesia</b>			<b>\$60,000</b>		<b>\$60,000</b>	
<b>MOLDOVA, REP</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$20,000	\$1,400	\$21,400	
Preparation of a HCFC phase-out management plan (stage II)	UNEP		\$10,000	\$1,300	\$11,300	
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase VIII: 7/2014-6/2016)	UNEP		\$69,334	\$0	\$69,334	
<b>Total for Moldova, Rep</b>			<b>\$99,334</b>	<b>\$2,700</b>	<b>\$102,034</b>	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>NAURU</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase V: 8/2014-7/2016)	UNEP		\$60,000	\$0	\$60,000	
	<b>Total for Nauru</b>		<b>\$60,000</b>		<b>\$60,000</b>	
<b>NEPAL</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase VIII: 12/2014-11/2016)	UNEP		\$60,000	\$0	\$60,000	
	<b>Total for Nepal</b>		<b>\$60,000</b>		<b>\$60,000</b>	
<b>NIGERIA</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNDP		\$80,000	\$5,600	\$85,600	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (refrigeration and air-conditioning manufacturing sector)	UNIDO		\$150,000	\$10,500	\$160,500	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$90,000	\$6,300	\$96,300	
	<b>Total for Nigeria</b>		<b>\$320,000</b>	<b>\$22,400</b>	<b>\$342,400</b>	
<b>PAKISTAN</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNIDO		\$50,000	\$3,500	\$53,500	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (refrigeration and air-conditioning sector)	UNIDO		\$60,000	\$4,200	\$64,200	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNEP		\$48,000	\$6,240	\$54,240	
Preparation of a HCFC phase-out management plan (stage II)	UNIDO		\$42,000	\$2,940	\$44,940	
	<b>Total for Pakistan</b>		<b>\$200,000</b>	<b>\$16,880</b>	<b>\$216,880</b>	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>PANAMA</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNDP		\$80,000	\$5,600	\$85,600	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$60,000	\$4,200	\$64,200	
<b>Total for Panama</b>			<b>\$140,000</b>	<b>\$9,800</b>	<b>\$149,800</b>	
<b>PARAGUAY</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of institutional strengthening project (phase VII: 7/2014-6/2016)	UNEP		\$60,000	\$0	\$60,000	
<b>Total for Paraguay</b>			<b>\$60,000</b>		<b>\$60,000</b>	
<b>SAUDI ARABIA</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, second tranche) (refrigeration servicing and monitoring)	UNIDO	2.6	\$270,000	\$18,900	\$288,900	
<p><i>Approved on the understanding that the approved funds would not be transferred to UNIDO until the Secretariat had reviewed the verification report and confirmed that the Government of Saudi Arabia was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee. Noted that the Agreement had been updated to correct rows 1.3 and 1.4 of Appendix 2-A. In relation to the national ODS phase-out plan, noted with concern the over-consumption of 1.87 ODP tonnes of CTC in 2009 as compared to the maximum allowable consumption limit established in the Agreement between Saudi Arabia and the Executive Committee for the phase-out of ODS, in line with decision 53/28; that Saudi Arabia had returned to compliance with the Agreement in the year 2010; that in accordance with paragraph 10 and Appendix 7-A of that Agreement and decision 68/37, a reduction of US \$7,813 plus agency support cost of US \$586 would be deducted from this tranche.</i></p>						

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
HCFC phase-out management plan (stage I, second tranche) (polyurethane foam sector plan)	UNIDO	75.8	\$2,701,487	\$189,104	\$2,890,591	
<p><i>Approved on the understanding that the approved funds would not be transferred to UNIDO until the Secretariat had reviewed the verification report and confirmed that the Government of Saudi Arabia was in compliance with the Montreal Protocol and the Agreement between the Government and the Executive Committee. Noted that the Agreement had been updated to correct rows 1.3 and 1.4 of Appendix 2-A. In relation to the national ODS phase-out plan, noted with concern the over-consumption of 1.87 ODP tonnes of CTC in 2009 as compared to the maximum allowable consumption limit established in the Agreement between Saudi Arabia and the Executive Committee for the phase-out of ODS, in line with decision 53/28; that Saudi Arabia had returned to compliance with the Agreement in the year 2010; that in accordance with paragraph 10 and Appendix 7-A of that Agreement and decision 68/37, a reduction of US \$7,813 plus agency support cost of US \$586 would be deducted from this tranche.</i></p>						
<b>Total for Saudi Arabia</b>		<b>78.4</b>	<b>\$2,971,487</b>	<b>\$208,004</b>	<b>\$3,179,491</b>	
<b>SRI LANKA</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase X: 1/2015-12/2016)	UNDP		\$134,056	\$9,384	\$143,440	
<b>Total for Sri Lanka</b>			<b>\$134,056</b>	<b>\$9,384</b>	<b>\$143,440</b>	
<b>SUDAN</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNIDO		\$40,000	\$2,800	\$42,800	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (refrigeration and air-conditioning sector)	UNIDO		\$35,000	\$2,450	\$37,450	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNIDO		\$25,000	\$1,750	\$26,750	
<b>Total for Sudan</b>			<b>\$100,000</b>	<b>\$7,000</b>	<b>\$107,000</b>	
<b>TANZANIA</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase VI: 4/2014-3/2016)	UNEP		\$60,000	\$0	\$60,000	
<b>Total for Tanzania</b>			<b>\$60,000</b>		<b>\$60,000</b>	

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>TIMOR LESTE</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$10,000	\$700	\$10,700	
Preparation of a HCFC phase-out management plan (stage II)	UNEP		\$20,000	\$2,600	\$22,600	
<b>Total for Timor Leste</b>			<b>\$30,000</b>	<b>\$3,300</b>	<b>\$33,300</b>	
<b>TUNISIA</b>						
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
HCFC phase-out management plan (stage I, first tranche) (project management and audit)	UNIDO		\$60,000	\$4,200	\$64,200	
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2014 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 40.7 ODP tonnes, calculated using actual consumption of 44.3 ODP tonnes and 37.0 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol; plus 5.02 ODP tonnes of HCFC-141b contained in imported pre-blended polyols systems, resulting in 45.72 ODP tonnes; and that any reduction in HCFC consumption above 15 per cent would assist the country in meeting its 2020 phase-out target under the Montreal Protocol. UNIDO and the Government were requested to deduct 10.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i></p>						
HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing sector)	UNEP	0.4	\$30,000	\$3,900	\$33,900	4.50
<p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2014 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 40.7 ODP tonnes, calculated using actual consumption of 44.3 ODP tonnes and 37.0 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol; plus 5.02 ODP tonnes of HCFC-141b contained in imported pre-blended polyols systems, resulting in 45.72 ODP tonnes; and that any reduction in HCFC consumption above 15 per cent would assist the country in meeting its 2020 phase-out target under the Montreal Protocol. UNIDO and the Government were requested to deduct 10.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i></p>						



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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<p>HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing sector)</p> <p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2014 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 40.7 ODP tonnes, calculated using actual consumption of 44.3 ODP tonnes and 37.0 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol; plus 5.02 ODP tonnes of HCFC-141b contained in imported pre-blended polyols systems, resulting in 45.72 ODP tonnes; and that any reduction in HCFC consumption above 15 per cent would assist the country in meeting its 2020 phase-out target under the Montreal Protocol. UNIDO and the Government were requested to deduct 10.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i></p>	UNIDO	1.4	\$115,000	\$8,050	\$123,050	4.50
<p>HCFC phase-out management plan (stage I, first tranche) (refrigeration servicing sector)</p> <p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2014 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 40.7 ODP tonnes, calculated using actual consumption of 44.3 ODP tonnes and 37.0 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol; plus 5.02 ODP tonnes of HCFC-141b contained in imported pre-blended polyols systems, resulting in 45.72 ODP tonnes; and that any reduction in HCFC consumption above 15 per cent would assist the country in meeting its 2020 phase-out target under the Montreal Protocol. UNIDO and the Government were requested to deduct 10.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i></p>	France	0.5	\$38,000	\$4,813	\$42,813	4.50
<p>HCFC phase-out management plan (stage I, first tranche) (residential air-conditioning manufacturing sector plan)</p> <p><i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2014 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 40.7 ODP tonnes, calculated using actual consumption of 44.3 ODP tonnes and 37.0 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol; plus 5.02 ODP tonnes of HCFC-141b contained in imported pre-blended polyols systems, resulting in 45.72 ODP tonnes; and that any reduction in HCFC consumption above 15 per cent would assist the country in meeting its 2020 phase-out target under the Montreal Protocol. UNIDO and the Government were requested to deduct 10.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i></p>	France	0.4	\$97,690	\$12,374	\$110,064	12.80

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Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
HCFC phase-out management plan (stage I, first tranche) (residential air-conditioning manufacturing sector plan)	UNIDO	0.5	\$105,965	\$7,418	\$113,383	12.80
<i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2014 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 40.7 ODP tonnes, calculated using actual consumption of 44.3 ODP tonnes and 37.0 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol; plus 5.02 ODP tonnes of HCFC-141b contained in imported pre-blended polyols systems, resulting in 45.72 ODP tonnes; and that any reduction in HCFC consumption above 15 per cent would assist the country in meeting its 2020 phase-out target under the Montreal Protocol. UNIDO and the Government were requested to deduct 10.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i>						
HCFC phase-out management plan (stage I, first tranche) (solvent sector plan)	UNIDO	1.3	\$231,920	\$16,234	\$248,154	16.32
<i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2014 to 2018 to reduce HCFC consumption by 15 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 40.7 ODP tonnes, calculated using actual consumption of 44.3 ODP tonnes and 37.0 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol; plus 5.02 ODP tonnes of HCFC-141b contained in imported pre-blended polyols systems, resulting in 45.72 ODP tonnes; and that any reduction in HCFC consumption above 15 per cent would assist the country in meeting its 2020 phase-out target under the Montreal Protocol. UNIDO and the Government were requested to deduct 10.6 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i>						
<b>Total for Tunisia</b>		<b>4.4</b>	<b>\$678,575</b>	<b>\$56,989</b>	<b>\$735,564</b>	
<b>TUVALU</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of the institutional strengthening project (phase V: 1/2015-12/2016)	UNEP		\$60,000	\$0	\$60,000	
<b>Total for Tuvalu</b>			<b>\$60,000</b>		<b>\$60,000</b>	
<b>URUGUAY</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNDP		\$68,000	\$4,760	\$72,760	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNDP		\$60,000	\$4,200	\$64,200	
<b>Total for Uruguay</b>			<b>\$128,000</b>	<b>\$8,960</b>	<b>\$136,960</b>	

## List of projects and activities approved for funding

UNEP/OzL.Pro/ExCom/72/47  
Annex V

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
<b>VANUATU</b>						
<b>SEVERAL</b>						
<b>Ozone unit support</b>						
Extension of institutional strengthening project (phase V: 1/2015-12/2016)	UNEP		\$60,000	\$0	\$60,000	
	<b>Total for Vanuatu</b>		<b>\$60,000</b>		<b>\$60,000</b>	
<b>VENEZUELA</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	UNDP		\$150,000	\$10,500	\$160,500	
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Update the preparation for HCFC phase-out investment activities (stage II) (refrigeration manufacturing sector)	UNIDO		\$50,000	\$3,500	\$53,500	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	UNIDO		\$90,000	\$6,300	\$96,300	
	<b>Total for Venezuela</b>		<b>\$290,000</b>	<b>\$20,300</b>	<b>\$310,300</b>	
<b>VIETNAM</b>						
<b>FOAM</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (foam sector)	IBRD		\$80,000	\$5,600	\$85,600	
<b>FUMIGANT</b>						
<b>Methyl bromide</b>						
National phase-out plan of methyl bromide (fifth tranche)	IBRD	50.0	\$40,000	\$3,000	\$43,000	
<i>The Government of Viet Nam and the World Bank were requested to submit the project completion report to the last meeting of the Executive Committee in 2015.</i>						
<b>REFRIGERATION</b>						
<b>Preparation of project proposal</b>						
Preparation for HCFC phase-out investment activities (stage II) (refrigeration sector)	IBRD		\$60,000	\$4,200	\$64,200	
Preparation for HCFC phase-out investment activities (stage II) (air-conditioning sector)	IBRD		\$60,000	\$4,200	\$64,200	
<b>PHASE-OUT PLAN</b>						
<b>HCFC phase out plan</b>						
Preparation of a HCFC phase-out management plan (stage II)	IBRD		\$90,000	\$6,300	\$96,300	
	<b>Total for Vietnam</b>	<b>50.0</b>	<b>\$330,000</b>	<b>\$23,300</b>	<b>\$353,300</b>	
	<b>GRAND TOTAL</b>	<b>151.4</b>	<b>\$38,421,706</b>	<b>\$2,430,353</b>	<b>\$40,852,059</b>	

## Summary

UNEP/OzL.Pro/ExCom/72/47  
Annex V

Sector	Tonnes (ODP)	Funds approved (US\$)		
		Project	Support	Total
<b>BILATERAL COOPERATION</b>				
Foam		\$75,000	\$9,750	\$84,750
Phase-out plan	8.9	\$880,590	\$111,015	\$991,605
Destruction		\$250,000	\$32,500	\$282,500
<b>TOTAL:</b>	8.9	\$1,205,590	\$153,265	\$1,358,855
<b>INVESTMENT PROJECT</b>				
Fumigant	50.0	\$342,742	\$25,706	\$368,448
Production		\$23,000,000	\$1,288,000	\$24,288,000
Phase-out plan	92.5	\$6,124,528	\$494,320	\$6,618,848
Destruction		\$1,865,659	\$130,596	\$1,996,255
<b>TOTAL:</b>	142.5	\$31,332,929	\$1,938,622	\$33,271,551
<b>WORK PROGRAMME AMENDMENT</b>				
Aerosol		\$40,000	\$2,800	\$42,800
Foam		\$1,328,000	\$92,960	\$1,420,960
Fumigant		\$35,000	\$2,450	\$37,450
Refrigeration		\$1,065,000	\$74,550	\$1,139,550
Phase-out plan		\$1,155,000	\$88,830	\$1,243,830
Several		\$2,210,187	\$73,376	\$2,283,563
Fire-fighting		\$50,000	\$3,500	\$53,500
<b>TOTAL:</b>		\$5,883,187	\$338,466	\$6,221,653
<b>Summary by Parties and Implementing Agencies</b>				
France	6.1	\$585,690	\$73,909	\$659,599
Germany	1.4	\$469,900	\$59,856	\$529,756
Italy	1.4	\$70,000	\$9,100	\$79,100
Japan		\$80,000	\$10,400	\$90,400
IBRD	50.0	\$23,480,000	\$1,321,800	\$24,801,800
UNDP	7.7	\$5,625,704	\$399,526	\$6,025,230
UNEP	1.9	\$2,610,487	\$168,335	\$2,778,822
UNIDO	82.9	\$5,499,925	\$387,427	\$5,887,352
<b>GRAND TOTAL</b>	151.4	\$38,421,706	\$2,430,353	\$40,852,059

**ADJUSTMENTS ARISING FROM THE 72<sup>ND</sup> MEETING FOR  
BALANCES ON PROJECTS AND ACTIVITIES**

Agency	Project Costs (US\$)	Support Costs (US\$)	Total (US\$)
Italy (per decision 72/2(a)(x))	6,004	721	6,725
Japan (per decision 72/2(a)(x))	335	44	379
UNDP (per decision 72/2(a)(ii),(iii),(iv)&(v))	694,954	54,932	749,886
UNEP (per decision 72/2(a)(ii),(iii),(iv)&(v))	1,873,484	197,762	2,071,246
UNIDO (per decision 72/2(a)(ii),(iii),(iv)&(v))	1,828,920	137,232	1,966,152
World Bank (per decision 72/2(a)(ii),(iii),(iv)&(v))	1,672,027	146,214	1,818,241
<b>Total</b>	<b>6,075,724</b>	<b>536,905</b>	<b>6,612,629</b>

**PENALTY**

Agency	Project Costs (US\$)	Support Costs (US\$)	Total (US\$)	Country
UNIDO (per decision 72/35(c))	7,813	586	8,399	Saudi Arabia

**NET ALLOCATIONS TO IMPLEMENTING AGENCIES AND BILATERAL  
CONTRIBUTIONS BASED ON DECISIONS OF THE 72<sup>ND</sup> MEETING**

Agency	Project Costs (US\$)	Support Costs (US\$)	Total (US\$)
France (1)	585,690	73,909	659,599
Germany (1)	469,900	59,856	529,756
Italy (1)	63,996	8,379	72,375
Japan (1)	79,665	10,356	90,021
UNDP	4,930,750	344,594	5,275,344
UNEP	737,003	-29,427	707,576
UNIDO	3,663,192	249,609	3,912,801
World Bank	21,807,973	1,175,586	22,983,559
<b>Total</b>	<b>32,338,169</b>	<b>1,892,862</b>	<b>34,231,031</b>

(1) Total amount to be assigned to 2014 bilateral contributions.

## Annex VI

### **UPDATED AGREEMENT BETWEEN THE ISLAMIC REPUBLIC OF AFGHANISTAN AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS**

1. This Agreement represents the understanding of the Government of the Islamic Republic of Afghanistan (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 15.47 ODP tonnes prior to 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the level defined in row 1.2 of Appendix 2-A (maximum allowable total consumption of Annex C, Group I substances) as the final reduction step under this agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances which exceeds the level defined in row 4.1.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (the “Targets and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (the “Funding Approval Schedule”).
4. The Country will accept independent verification, to be commissioned by the relevant bilateral or implementing agency, of achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) of this Agreement as described in sub-paragraph 5(b) of this Agreement.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
  - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the hydrochlorofluorocarbons phase-out management plan (HPMP) was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
  - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;
  - (c) That the Country had submitted tranche implementation reports in the form of Appendix 4-A (the “Format of Tranche Implementation Report and Plan”) covering each previous calendar year, that it had achieved a significant level of implementation of activities initiated with previously approved tranches, and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;

- (d) That the Country has submitted and received approval from the Executive Committee for a tranche implementation plan in the form of Appendix 4-A (the “Format of Tranche Implementation Reports and Plans”) covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68<sup>th</sup> Meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (the “Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous tranche implementation plan in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in sub-paragraph 5(b).

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest phase-down and phase-out of the Substances specified in Appendix 1-A. Reallocations categorized as major changes must be documented in advance in a Tranche Implementation Plan and approved by the Executive Committee as described in sub-paragraph 5(d). Major changes would relate to reallocations affecting in total 30 per cent or more of the funding of the last approved tranche, issues potentially concerning the rules and policies of the Multilateral Fund, or changes which would modify any clause of this Agreement. Reallocations not categorized as major changes may be incorporated in the approved Tranche Implementation Plan, under implementation at the time, and reported to the Executive Committee in the Tranche Implementation Report. Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and the Government of Germany has agreed to be cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country's activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the IA taking part in this Agreement.

10. The Lead IA will be responsible for carrying out the activities of the plan as detailed in the first submission of the HPMP with the changes approved as part of the subsequent tranche submissions, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility

includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have entered into a formal agreement regarding planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP tonne of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to information necessary to verify compliance with this Agreement.

14. The completion of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per Appendix 4-A (a), (b), (d) and (e) continue until the time of the completion if not specified by the Executive Committee otherwise.

15. All of the agreements set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of the Islamic Republic of Afghanistan and the Executive Committee at the 63<sup>rd</sup> meeting of the Executive Committee.



## APPENDICES

### APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	23.80

### APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Parameter/Year	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)			23.80	23.80	21.42	21.42	21.42	21.42	21.42	15.47	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)			23.80	23.80	21.42	21.42	21.42	21.42	21.42	15.47	n/a
2.1	Lead IA (UNEP) agreed funding (US \$)	120,000			118,000			120,000			40,825	398,825
2.2	Support costs for Lead IA (US \$)	15,600			15,340			15,600			5,307	51,847
2.3	Cooperating IA (Germany) agreed funding (US \$)	85,000			84,000			83,000			28,276	280,276
2.4	Support costs for cooperating IA (US \$)	11,050			10,920			10,790			3,676	36,436
3.1	Total agreed funding (US \$)	205,000			202,000			203,000			69,101	679,101
3.2	Total support costs (US \$)	26,650			26,260			26,390			8,983	88,283
3.3	Total agreed costs (US \$)	231,650			228,260			229,390			78,084	767,384
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)											8.33
4.1.2	Phase-out of HCFC-22 to be achieved through previously approved projects (ODP tonnes)											0
4.1.3	Remaining eligible consumption of HCFC-22 (ODP tonnes)											15.47

### APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the second meeting of the year specified in Appendix 2-A.

### APPENDIX 4-A: FORMAT OF TRANCHE IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Tranche Implementation Report and Plan will consist of five parts:
  - (a) A narrative report regarding the progress in the previous tranche, reflecting on the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the Plan, reflecting on changes in the circumstances in the country, and providing other relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of

the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;

- (b) A verification report of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken in the next tranche, highlighting their interdependence, and taking into account experiences made and progress achieved in the implementation of earlier tranches. The description should also include a reference to the overall Plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;
- (d) A set of quantitative information for the report and plan, submitted into a database. As per the relevant decisions of the Executive Committee in respect to the format required, the data should be submitted online. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the country and lead implementing agency; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).

#### **APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES**

1. The National Ozone Unit (NOU) will submit annual progress reports on implementation status of the HPMP to UNEP and the Government of Germany.
2. Monitoring of activities of the HPMP and verification of the achievement of the performance targets, specified in the Plan, will be assigned to an independent local company or to independent local consultant(s) by UNEP.

## **APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY**

1. The Lead IA will be responsible for a range of activities. These can be specified in the project document further, but include at least the following:

- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's phase-out plan;
- (b) Assisting the Country in preparation of the Tranche Implementation Plans and subsequent reports as per Appendix 4-A;
- (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Tranche Implementation Plan consistent with Appendix 4-A;
- (d) Ensuring that the experiences and progress is reflected in updates of the overall Plan and in future Tranche Implementation Plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (e) Fulfilling the reporting requirements for the tranches and the overall Plan as specified in Appendix 4-A as well as project completion reports for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Tranche Implementation Plan and accurate data reporting;
- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the co-ordinating implementing agencies, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent organization to carry out the verification of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

**APPENDIX 6-B: ROLE OF COOPERATING IMPLEMENTING AGENCY**

1. The Cooperating IA will be responsible for a range of activities. These activities can be specified in the respective project document further, but include at least the following:

- (a) Providing policy development assistance when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

**APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY**

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$166 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.



## Annex VII

### **UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF PLURINATIONAL STATE OF BOLIVIA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS**

1. This Agreement represents the understanding of the Government of the Plurinational State of Bolivia (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of ODP tonnes 3.97 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the level defined in row 1.2 of Appendix 2-A (“maximum allowable total consumption of Annex C, Group I Substances”; the Target) as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances which exceeds the level defined in rows 4.1.3, 4.2.3, 4.3.3 and 4.4.3 (phase-out and remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (“The Targets, and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
  - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the hydrochlorofluorocarbons phase-out management plan (HPMP) was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
  - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;

- (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year, that it had achieved a significant level of implementation of activities initiated with previously approved tranches, and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;
- (d) That the Country has submitted and received approval from the Executive Committee for an annual implementation plan in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68<sup>th</sup> Meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the Country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this Agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A.

- (a) Reallocations categorized as major changes must be documented in advance in an annual implementation plan and approved by the Executive Committee as described in sub-paragraph 5(d) above. Major changes would relate to issues potentially concerning the rules and policies of the Multilateral Fund; changes which would modify any clause of this Agreement; changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the annual implementation report; and
- (c) Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and

- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. The Government of Germany has agreed to be the lead implementing agency (the “Lead IA”) and UNDP has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for carrying out the activities of the overall plan with the changes approved as part of the subsequent submissions, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, and the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to information necessary to verify compliance with this Agreement.

14. The completion of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A continue until the time of the completion if not specified by the Executive Committee otherwise.



15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of the Plurinational State of Bolivia and the Executive Committee at the 64<sup>th</sup> meeting of the Executive Committee.

## APPENDICES

### APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	4.89
HCFC-141b	C	I	0.97
HCFC-142b	C	I	0.17
HCFC-124*	C	I	0.07
Sub-total	C	I	6.10
HCFC-141b in imported pre-blended polyols	C	I	0.60
Total	C	I	6.70

(\* ) Including negligible amounts of HCFC-123 (0.004 ODP tonnes).

### APPENDIX 2-A: THE TARGETS, AND FUNDING

		2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total	
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	n/a	6.10	6.10	5.49	5.49	5.49	5.49	5.49	3.97	n/a	
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	n/a	6.10	6.10	5.49	5.49	5.49	5.49	5.49	3.97	n/a	
2.1	Lead IA (Germany) agreed funding (US \$)	94,500	0	94,500	0	64,500	0	0	30,000	0	31,500	315,000	
2.2	Support costs for lead agency (US \$)	12,285	0	12,285	0	8,385	0	0	3,900	0	4,095	40,950	
2.3	Cooperating IA (UNDP) agreed funding (US \$) *	0	0	0	0	0	0	0	0	0	0	0	
2.4	Support costs for cooperating agency (US \$)	0	0	0	0	0	0	0	0	0	0	0	
3.1	Total agreed funding (US \$)	94,500	0	94,500	0	64,500	0	0	30,000	0	31,500	315,000	
3.2	Total support costs (US \$)	12,285	0	12,285	0	8,385	0	0	3,900	0	4,095	40,950	
3.3	Total agreed costs (US \$)	106,785	0	106,785	0	72,885	0	0	33,900	0	35,595	355,950	
4.1.1	Total phase-out of HCFC-22 under this Agreement (ODP tonnes)												1.89
4.1.2	Phase-out of HCFC-22 in previously approved projects (ODP tonnes)												n/a
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)												3.00

4.2.1	Total phase-out of HCFC-141b under this Agreement (ODP tonnes)	0.00
4.2.2	Phase-out of HCFC-141b in previously approved projects (ODP tonnes)	n/a
4.2.3	Remaining eligible consumption for HCFC141b (ODP tonnes)	0.97
4.3.1	Total phase-out of HCFC-142b and HCFC-124 under this Agreement (ODP tonnes)	0.24
4.3.2	Phase-out of HCFC-142b and HCFC-124 in previously approved projects (ODP tonnes)	n/a
4.3.3	Remaining eligible consumption for HCFC-142b and HCFC-124 (ODP tonnes)	0.00
4.4.1	Total phase-out of HCFC-141b in the imported pre-blended polyols agreed to be achieved under this Agreement (ODP tonnes)	0.00
4.4.2	Phase-out of HCFC-141b in the imported pre-blended polyols to be achieved in previously approved projects (ODP tonnes)	n/a
4.4.3	Remaining eligible consumption for HCFC-141b in the imported pre-blended polyols (ODP tonnes)	0.60

\*UNDP might implement a project for the phase-out of HCFC-141b contained in imported pre-blended polyols if submitted by the Government of the Plurinational State of Bolivia during the implementation of stage I of the HPMP.

### APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the second meeting of the year specified in Appendix 2-A.

### APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report regarding the progress since the approval of the previous tranche, reflecting on the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the Plan, reflecting on changes in the circumstances in the Country, and providing other relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until the planned submission of the next tranche request, highlighting their interdependence, and taking into account experiences made and progress achieved in the implementation of earlier tranches. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;
- (d) A set of quantitative information for the report and plan, submitted into a database. As per the relevant decisions of the Executive Committee in respect to the format required, the data should be submitted online. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description

for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the Country and the Lead IA; and

- (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).

#### **APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES**

1. The NOU (National Ozone Unit) will be responsible for overall monitoring and coordination of the implementation of the HPMP with the assistance from Germany. The NOU will submit annual progress reports of status of implementation of the HPMP to Germany. Monitoring of progress made and verification of performance indicators and outcomes, as specified in the Plan, will be assigned to independent consultants by the Governmental of Germany.

#### **APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY**

1. The Lead IA will be responsible for a range of activities. These can be specified in the project document further, but include at least the following:

- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
- (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
- (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
- (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of

the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;

- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent organization to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

#### **APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY**

1. In case UNDP implements the project for the phase-out of HCFC-141b contained in imported pre-blended polyols that could be submitted by the country during implementation of stage I of the HPMP, UNDP will be responsible for a range of activities. These activities are specified in the overall plan further, but include at least the following:

- (a) Providing policy development assistance when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

#### **APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY**

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.



## **Annex IX**

### **VIEWS EXPRESSED BY THE EXECUTIVE COMMITTEE ON RENEWAL OF INSTITUTIONAL STRENGTHENING PROJECTS SUBMITTED TO THE 72<sup>nd</sup> MEETING**

#### **Albania**

1. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Albania and noted with appreciation that the country reported 2012 Article 7 data to the Ozone Secretariat and 2012 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that Albania has introduced the licensing and quota system on HCFCs. The Executive Committee also noted that the country's HCFC phase-out management plan is being implemented in an efficient and timely manner. The Executive Committee is therefore confident that Albania will continue activities both at the project and policy levels to enable the country to meet the Montreal Protocol targets.

#### **Bahamas (the)**

2. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for the Bahamas, and noted with appreciation that the country reported 2011 and 2012 Article 7 data to the Ozone Secretariat as well as 2011 and 2012 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that the Bahamas has a licensing and quota system for HCFCs and related legislation in place. The Executive Committee also noted that the country's HCFC phase-out management plan has been approved and its implementation begun and is therefore confident that the Bahamas will meet the Montreal Protocol targets and sustain the freeze in HCFC consumption and achieve the 10 per cent reduction of its baseline by January 2015.

#### **Belize**

3. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Belize and noted with appreciation that the country reported 2011 and 2012 Article 7 data to the Ozone Secretariat and 2011 and 2012 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that Belize has maintained zero CFC consumption achieved in 2010. The Executive Committee also noted that the country is implementing its HCFC phase-out management plan. The Executive Committee is therefore confident that Belize will continue activities both at the project and policy levels to further enable the country to meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

#### **Cook Islands (the)**

4. The Executive Committee reviewed the report of the institutional strengthening project renewal request for the Cook Islands and noted with appreciation that the country has maintained compliance with the Montreal Protocol and met all mandatory reporting requirements. The Executive Committee is therefore optimistic that, in the next two years, the Cook Islands will continue the implementation of national activities to sustain the freeze in HCFC consumption and achieve the 10 per cent reduction of its baseline by January 2015 as per the Montreal Protocol targets.

### **Dominica**

5. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Dominica and noted with appreciation that the country reported 2012 Article 7 data to the Ozone Secretariat and 2012 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that Dominica has maintained the zero CFC consumption achieved in 2010. The Executive Committee also noted that the HCFC phase-out management plan for Dominica has been approved and its implementation is ongoing satisfactorily. The Executive Committee is therefore confident that Dominica will further sustain the zero consumption in CFCs and continue the activities both at the project and policy levels to enable the country to meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

### **Egypt**

6. The Executive Committee reviewed the report presented with the institutional strengthening (IS) project renewal request for Egypt and noted with appreciation that the country complied with the data reporting obligations under the Montreal Protocol during the reported period. The Executive Committee also noted that within the framework of the IS project, Egypt has taken significant steps to address the HCFC phase-out consumption to comply with the freezing target set for 2013. The Executive Committee is therefore confident that in the next two years, the Government of Egypt will continue its activities, both at the project and policy levels, to enable it to achieve the 10 per cent reduction of HCFCs in 2015.

### **Fiji**

7. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Fiji and noted with appreciation that the country reported 2012 Article 7 data to the Ozone Secretariat and 2012 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that Fiji has a very well structured licensing and quota system. The Executive Committee also noted that the HCFC phase-out management plan is being implemented in an efficient and timely manner. The Executive Committee is therefore confident that Fiji will continue activities both at the project and policy levels to enable the country to meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

### **Gambia (the)**

8. The Executive Committee reviewed the report presented with the institutional strengthening project renewal for the Gambia and noted with appreciation that the country reported 2012 Article 7 data to the Ozone Secretariat showing that the country is in compliance with the Montreal Protocol control measures. The Executive Committee is hopeful that, in the next two years, the Gambia will sustain the phase-out of CFCs and continue successfully with the implementation of its HCFC phase-out management plan in order to sustain the freeze in HCFC consumption and achieve the 10 per cent reduction of its baseline by January 2015 as per the Montreal Protocol targets.

### **Ghana**

9. The Executive Committee reviewed the information presented with the institutional strengthening renewal request for Ghana. The Executive Committee took note that Ghana's reported HCFC consumption is in conformity with its commitments under the Montreal Protocol. The Executive

Committee noted with appreciation that the HCFC phase-out management plan and ODS disposal project continue to undergo smooth and coordinated implementation in Ghana, giving clear expectations that the country will meet, in particular, the HCFC 10 per cent reduction target in 2015.

### **India**

10. The Executive Committee reviewed the report presented with the institutional strengthening renewal request (phase X) for India and noted with appreciation that the country has successfully sustained complete phase-out of CFCs, CTC and halons. The Executive Committee also noted with appreciation that India has continued close monitoring and control of production, imports and exports of ODS, in particular HCFCs, to ensure effective control of supply and consumption of ODS. It further noted that India initiated its HCFC phase-out management plan (HPMP) implementation including comprehensive regulatory measures and extensive consultations with industries and key stakeholders. The Committee expressed its expectation that India will continue effective management, monitoring and enforcement on ODS phase-out activities as well as monitoring effective implementation of its HPMP, to ensure compliance with the 2015 control target for HCFC consumption.

### **Iran (Islamic Republic of)**

11. The Executive Committee reviewed the information presented with the institutional strengthening renewal request (phase X) for the Islamic Republic of Iran and noted with appreciation that the Islamic Republic of Iran has successfully sustained complete phase-out of CFCs, halons, CTC, and methyl chloroform. In its request for renewal, in addition to its successful coordination with implementing agencies and key stakeholders in managing and monitoring ODS phase-out activities, the Islamic Republic of Iran reported a number of initiatives, including enforcement of import/export licensing system for HCFCs, capacity building for customs officers, relevant government officers, technicians and end-users, and utilization of the integrated ODS database management system. It also noted that the Islamic Republic of Iran's HCFC phase-out management plan is progressing in a timely manner. The Executive Committee greatly appreciated the effort of the Islamic Republic of Iran to reduce ODS consumption and expressed the expectation that in the next two years, the Islamic Republic of Iran will continue to sustain its ODS phase-out and to implement policies and regulations in order to achieve the 2015 control target under the Montreal Protocol.

### **Lao People's Democratic Republic (the)**

12. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for the Lao People's Democratic Republic and noted with appreciation that the country reported 2012 Article 7 data to the Ozone Secretariat and 2012 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee acknowledged with appreciation that the Lao People's Democratic Republic has a very well structured licensing and quota system. The Executive Committee also noted that the HCFC phase-out management plan is being implemented in an efficient and timely manner. The Executive Committee is therefore confident that the Lao People's Democratic Republic will continue activities both at the project and policy levels to enable the country to meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.



### **Malawi**

13. The Executive Committee reviewed the information presented with the institutional strengthening (IS) project renewal request for Malawi and noted with appreciation that the country reported 2012 data to the Ozone Secretariat and has sustained the CFC total phase-out target. The Executive Committee further noted that Malawi has taken significant steps to phase out its consumption of ODS in the period covered by its IS project. The Executive Committee greatly appreciated the efforts of Malawi to reduce the consumption of HCFCs and expressed the expectation that, in the next two years, Malawi will continue the implementation of the licensing and quota system, phase-out of HCFCs, and sustain and build upon its current levels of reductions in ODS and achieve HCFC phase-out as per the Montreal Protocol targets.

### **Maldives**

14. The Executive Committee reviewed the report of the institutional strengthening (IS) project renewal request for Maldives and noted with appreciation that Maldives has reported Article 7 data to the Ozone Secretariat indicating that the country is in compliance with the Montreal Protocol targets. The Executive Committee is therefore hopeful that, in the next two years, Maldives will continue to implement its IS and HCFC phase-out management plan projects with great success to meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

### **Micronesia (Federated States of)**

15. The Executive Committee reviewed the report of the institutional strengthening project renewal request for the Federated States of Micronesia and noted with appreciation that the country has maintained compliance with the Montreal Protocol and met all mandatory reporting requirements. The Executive Committee is therefore optimistic that, in the next two years, the Federated States of Micronesia will continue the implementation of its HCFC phase-out management plan with outstanding success in order to sustain the 2013 HCFC freeze and meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

### **Nauru**

16. The Executive Committee reviewed the report of the institutional strengthening project renewal request for Nauru and noted with appreciation that Nauru has maintained compliance with the Montreal Protocol and met all mandatory reporting requirements. The Executive Committee is therefore optimistic that, in the next two years, Nauru will continue the implementation of its HCFC phase-out management plan with outstanding success in order to sustain the 2013 HCFC freeze and to meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

### **Nepal**

17. The Executive Committee reviewed the report of the institutional strengthening project renewal request for Nepal and noted with appreciation that Nepal has reported data to the Ozone Secretariat indicating that the country is in compliance with the Montreal Protocol targets. The Executive Committee is therefore hopeful that, in the next two years, Nepal will continue to implement its HCFC phase-out management plan with success in order to meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

### **Paraguay**

18. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Paraguay and noted with appreciation that the country reported 2012 Article 7 data to the Ozone Secretariat and 2012 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee noted that the HCFC phase-out management plan for Paraguay has already been approved and its implementation is ongoing satisfactorily. The Executive Committee is therefore confident that Paraguay will further sustain the phase-out of CFCs and continue the activities both at the project and policy levels to enable the country to meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

### **Republic of Moldova (the)**

19. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for the Republic of Moldova and noted with appreciation that the country reported 2012 Article 7 data to the Ozone Secretariat and 2012 country programme implementation data to the Multilateral Fund Secretariat. The Executive Committee noted that HCFC phase-out management plan (stage I) of the Republic of Moldova has already been approved and its implementation is progressing well. The Executive Committee is therefore confident that the Republic of Moldova will continue activities both at the project and policy levels to enable the country to meet the 10 per cent reduction in the baseline consumption of HCFCs by 2015 as per the Montreal Protocol target.

### **Sri Lanka**

20. The Executive Committee reviewed the report presented with the institutional strengthening renewal request (phase X) for Sri Lanka and noted with appreciation that the country successfully sustained complete CFC phase-out. In its submission, Sri Lanka has also demonstrated effective monitoring and coordination of ODS phase-out activities and implementation of its HCFC phase-out management plan (HPMP) by closely working with industries and key stakeholders, specifically controlling imports of HCFCs and HCFC-based equipment through a licensing and quota system, capacity building activities for customs officers and end-users of HCFC-based equipment, and extensive awareness raising among key stakeholders and general public. The Executive Committee is therefore hopeful that, in the next two years, Sri Lanka will continue with the implementation of its country programme and HPMP with outstanding success to sustain ODS phase-out activities and achieve HCFC control target, in particular 2015, as agreed under the Montreal Protocol.

### **Tuvalu**

21. The Executive Committee reviewed the report of the institutional strengthening project renewal request for Tuvalu and noted with appreciation that the country has maintained compliance with the Montreal Protocol and met all mandatory reporting requirements. The Executive Committee is therefore optimistic that, in the next two years, Tuvalu will continue the implementation of its HCFC phase-out management plan with outstanding success in order to sustain the 2013 HCFC freeze and to meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

### **United Republic of Tanzania (the)**

22. The Executive Committee reviewed the information presented with the institutional strengthening renewal request for the United Republic of Tanzania and noted with appreciation that the country reported 2012 Article 7 data to the Ozone Secretariat and is compliant with the Montreal Protocol targets. The

Executive Committee is therefore confident that, in the next two years, the United Republic of Tanzania will continue the implementation of the licensing and quota system, the HCFC phase-out management plan, and sustain zero CFC consumption and achieve subsequent HCFC phase-out targets of the Montreal Protocol.

**Vanuatu**

23. The Executive Committee reviewed the report of the institutional strengthening project renewal request for Vanuatu and noted with appreciation that the country has maintained compliance with the Montreal Protocol and met all mandatory reporting requirements. The Executive Committee is therefore optimistic that, in the next two years, Vanuatu will continue the implementation of national activities in order to sustain the 2013 HCFC freeze and meet the Montreal Protocol target of 10 per cent reduction in the baseline consumption of HCFCs by 2015.

### Annex VIII

#### **UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF KYRGYZSTAN AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS**

1. This Agreement represents the understanding of the Government of Kyrgyzstan (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 3.08 ODP tonnes prior to 1 January 2015 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the level defined in row 1.2 of Appendix 2-A (“maximum allowable total consumption of Annex C, Group I Substances”; the Target) as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances which exceeds the level defined in row 4.1.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (“Targets and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country will accept independent verification, to be commissioned by the relevant bilateral or implementing agency, of achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) of this Agreement as described in sub-paragraph 5(b) of this Agreement.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
  - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the hydrochlorofluorocarbons phase-out management plan (HPMP) was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
  - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;
  - (c) That the Country had submitted tranche implementation reports in the form of Appendix 4-A (“Format of Tranche Implementation Reports and Plans”) covering each previous calendar year, that it had achieved a significant level of implementation of activities initiated with previously approved tranches, and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;
  - (d) That the Country has submitted and received approval from the Executive Committee for a tranche implementation plan in the form of Appendix 4-A (“Format of Tranche

Implementation Reports and Plans”) covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and

- (e) That, for all submissions from the 68<sup>th</sup> Meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous tranche implementation plan in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in sub-paragraph 5(b).

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest phase-down and phase-out of the Substances specified in Appendix 1-A. Reallocations categorized as major changes must be documented in advance in a Tranche Implementation Plan and approved by the Executive Committee as described in sub-paragraph 5(d). Major changes would relate to reallocations affecting in total 30 per cent or more of the funding of the last approved tranche, issues potentially concerning the rules and policies of the Multilateral Fund, or changes which would modify any clause of this Agreement. Reallocations not categorized as major changes may be incorporated in the approved Tranche Implementation Plan, under implementation at the time, and reported to the Executive Committee in the Tranche Implementation Report. Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNDP has agreed to be the lead implementing agency (the “Lead IA”) and UNEP has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for carrying out the activities of the plan as detailed in the first submission of the HPMP with the changes approved as part of the subsequent tranche submissions, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have entered into a formal agreement regarding planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular

co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP tonne of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to information necessary to verify compliance with this Agreement.

14. The completion of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per Appendix 4-A (a), (b), (d) and (e) continue until the time of the completion if not specified by the Executive Committee otherwise.

15. All of the agreements set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of Kyrgyzstan and the Executive Committee at the 63<sup>rd</sup> meeting of the Executive Committee.

## APPENDICES

### APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	3.2
HCFC-141b	C	I	0.7
HCFC-142b	C	I	0.2
Total			4.1

## APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2011	2012	2013	2014	2015	Total	
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	n/a	4.10	4.10	3.69	n/a	
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	n/a	4.10	4.10	3.08*	n/a	
2.1	Lead IA UNDP agreed funding (US \$)	47,520	0	0	5,280	0	52,800	
2.2	Support costs for Lead IA (US \$)	4,277	0	0	475	0	4,752	
2.3	Cooperating IA UNEP agreed funding (US \$)	31,680	0	0	3,520	0	35,200	
2.4	Support costs for Cooperating IA (US \$)	4,118	0	0	458	0	4,576	
3.1	Total agreed funding (US \$)	79,200	0	0	8,800	0	88,000	
3.2	Total support cost (US \$)	8,395	0	0	933	0	9,328	
3.3	Total agreed costs (US \$)	87,595	0	0	9,733	0	97,328	
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this agreement (ODP tonnes)							0.32
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)							0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)							2.88
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this agreement (ODP tonnes)							0.70**
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)							0
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)							0
4.3.1	Total phase-out of HCFC-142b agreed to be achieved under this agreement (ODP tonnes)							0
4.3.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes)							0
4.3.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)							0.20

\* Maximum allowable consumption is for HCFC-22 and HCFC-142b since the ban on HCFC-141b imports will be finally enforced.

\*\* HCFC-141b will be completely phased out by 2015 without assistance from the Multilateral Fund.

## APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the second meeting of the year specified in Appendix 2-A.

## APPENDIX 4-A: FORMAT OF TRANCHE IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Tranche Implementation Report and Plan will consist of five parts:
  - (a) A narrative report regarding the progress in the previous tranche, reflecting on the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the Plan, reflecting on changes in the circumstances in the Country, and providing other relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;
  - (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together

with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;

- (c) A written description of the activities to be undertaken in the next tranche, highlighting their interdependence, and taking into account experiences made and progress achieved in the implementation of earlier tranches. The description should also include a reference to the overall Plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;
- (d) A set of quantitative information for the report and plan, submitted into a database. As per the relevant decisions of the Executive Committee in respect to the format required, the data should be submitted online. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the Country and the Lead IA; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).

#### **APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES**

1. The Kyrgyz Republic has demonstrated experience in the successful implementation of its Country Programme to date. The capacity that has undertaken this within the Government, specifically the National Ozone Centre (NOC), operating under the direction of the Interdepartmental Commission on Ozone Issues will continue to act as the focal point for HPMP project coordination and management. This activity will be directly undertaken by experienced project managers acting under the direction of the Head of the NOC who also acts as the national focal point on Montreal Protocol and various international bodies involved with its implementation globally. The work will be undertaken with a high level of stakeholder consultation both with various government agencies and with external stakeholders and the general public.

2. Implementation will be undertaken under the continued supervision of Interdepartmental Commission on Ozone Issues. It will involve UNDP acting as the lead implementing agency throughout the HPMP and supervising the project's investment component. UNEP will act as a supporting implementing agency for non-investment activities associated with legislation and technical capacity strengthening. These agencies will utilize the established procedures governing procurement, financial management, reporting and monitoring of the relevant implementing agency and international funding facilities, specifically the Multilateral Fund. Implementation will be further supported by various administrative and service bodies within the government, international and national consultants, suppliers of equipment and services, and beneficiary enterprises.

#### **APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY**

1. The Lead IA will be responsible for a range of activities. These can be specified in the project document further, but include at least the following:



- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's phase-out plan;
- (b) Assisting the Country in preparation of the Tranche Implementation Plans and subsequent reports as per Appendix 4-A;
- (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Tranche Implementation Plan consistent with Appendix 4-A;
- (d) Ensuring that the experiences and progress is reflected in updates of the overall Plan and in future Tranche Implementation Plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (e) Fulfilling the reporting requirements for the tranches and the overall Plan as specified in Appendix 4-A as well as project completion reports for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Tranche Implementation Plan and accurate data reporting;
- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IAs, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent organization to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

#### **APPENDIX 6-B: ROLE OF COOPERATING IMPLEMENTING AGENCY**

1. The Cooperating IA will be responsible for a range of activities. These activities can be specified in the respective project document further, but include at least the following:

- (a) Providing policy development assistance when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by

the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and

- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

**APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY**

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.



## Annex X

### **UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF BOSNIA AND HERZEGOVINA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS**

1. This Agreement represents the understanding of the Government of Bosnia and Herzegovina (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 3.06 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3 and 4.3.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
  - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
  - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
  - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68<sup>th</sup> meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the Country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this Agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
  - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
  - (ii) Changes which would modify any clause of this Agreement;
  - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
  - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report;
- (c) Should the Country decide during implementation of the agreement to introduce an alternative technology other than that proposed in the approved HPMP, this would require approval by the Executive Committee as part of an Annual Implementation Plan or the revision of the approved plan. Any submission of such a request for change in technology would identify the associated incremental costs, the potential impact to the climate, and any differences in ODP tonnes to be phased out if applicable. The Country agrees that potential savings in incremental costs related to the change of technology would decrease the overall funding level under this Agreement accordingly; and

- (d) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.
8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:
- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.
9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNIDO has agreed to be the lead implementing agency (the “Lead IA”) in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.
10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). The Executive Committee agrees, in principle, to provide the Lead IA with the fees set out in row 2.2 of Appendix 2-A.
11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.
12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.
13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA with access to the information necessary to verify compliance with this Agreement.
14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. The updated Agreement supersedes the Agreement reached between the Government of Bosnia and Herzegovina and the Executive Committee at the 66<sup>th</sup> meeting of the Executive Committee.

## APPENDICES

### APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	3.2
HCFC-141b	C	I	1.5
Sub-total			4.7
HCFC-141b contained in imported pre-blended polyols	C	I	3.47
Total			8.17

### APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	4.70	4.70	4.23	4.23	4.23	4.23	4.23	3.06	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	4.70	4.70	4.23	4.23	4.23	4.23	4.23	3.06	n/a
2.1	Lead IA (UNIDO) agreed funding (US \$)	631,282	0	143,310	0	117,692	0	31,000	0	30,000	953,284
2.2	Support costs for Lead IA (US \$)	47,346	0	10,032	0	8,238	0	2,170	0	2,100	69,886
3.1	Total agreed funding (US \$)	631,282	0	143,310	0	117,692	0	31,000	0	30,000	953,284
3.2	Total support costs (US \$)	47,346	0	10,032	0	8,238	0	2,170	0	2,100	69,886
3.3	Total agreed costs (US \$)	678,628	0	153,342	0	125,930	0	33,170	0	32,100	1,023,170
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)										1.61
4.1.2	Phase-out of HCFC-22 to be achieved through previously approved projects (ODP tonnes)										0.00
4.1.3	Remaining eligible consumption of HCFC-22 (ODP tonnes)										1.59
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)										1.50
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)										0
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)										0
4.3.1	Total phase-out of HCFC-141b contained in imported pre-blended polyols agreed to be achieved under this Agreement (ODP tonnes)										3.47
4.3.2	Phase-out of HCFC-141b contained in imported pre-blended polyols to be achieved in previously approved projects (ODP tonnes)										0
4.3.3	Remaining eligible consumption for HCFC-141b contained in imported pre-blended polyols (ODP tonnes)										0

### **APPENDIX 3-A: FUNDING APPROVAL SCHEDULE**

1. Funding for the future tranches will be considered for approval not earlier than the second meeting of the year specified in Appendix 2-A.

### **APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS**

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and



- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

#### **APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES**

1. The implementation and monitoring of this HPMP will be co-ordinated by the National Ozone Unit in cooperation with respective governmental bodies and also national experts recruited for particular tasks which would arise in the course of the project implementation. An independent chartered national auditing organization will be recruited to verify consumption.

#### **APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY**

1. The Lead IA will be responsible for a range of activities, including at least the following:
  - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
  - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
  - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
  - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
  - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee
  - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
  - (g) Carrying out required supervision missions;
  - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
  - (i) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
  - (j) Ensuring that disbursements made to the Country are based on the use of the indicators; and
  - (k) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

#### **APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY**

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$307 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.



## Annex XI

### **UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF THE ISLAMIC REPUBLIC OF IRAN AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS**

1. This Agreement represents the understanding of the Government of the Islamic Republic of Iran (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 266.35 ODP tonnes by 1 January 2018 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A . The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the level defined in row 1.2 of Appendix 2-A (maximum allowable total consumption of Annex C, Group I substances) as the final reduction step under this agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances which exceeds the level defined in rows 4.1.3 and 4.2.3.
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (the “Targets and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (the “Funding Approval Schedule”).
4. The Country will meet the consumption limits for each of the Substances as indicated in Appendix 2-A. It will also accept independent verification, to be commissioned by the relevant bilateral or implementing agency, of achievement of these consumption limits as described in sub-paragraph 5(b) of this Agreement.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
  - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the hydrochlorofluorocarbons phase-out management plan (HPMP) was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
  - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;
  - (c) That the Country had submitted tranche implementation reports in the form of Appendix 4-A (the “Format of Tranche Implementation Report and Plan”) covering each previous calendar year, that it had achieved a significant level of implementation of activities initiated with previously approved tranches, and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;

- (d) That the Country has submitted and received approval from the Executive Committee for a tranche implementation plan in the form of Appendix 4-A (the “Format of Tranche Implementation Reports and Plans”) covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68<sup>th</sup> Meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (the “Monitoring Institutions and Roles”) will monitor and report on Implementation of the activities in the previous tranche implementation plan in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in sub-paragraph 5(b).

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest phase-down and phase-out of the Substances specified in Appendix 1-A. Reallocations categorized as major changes must be documented in advance in a Tranche Implementation Plan and approved by the Executive Committee as described in sub-paragraph 5(d). Major changes would relate to reallocations affecting in total 30 per cent or more of the funding of the last approved tranche, issues potentially concerning the rules and policies of the Multilateral Fund, or changes which would modify any clause of this Agreement. Reallocations not categorized as major changes may be incorporated in the approved Tranche Implementation Plan, under implementation at the time, and reported to the Executive Committee in the Tranche Implementation Report. Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNDP has agreed to be the lead implementing agency (the “Lead IA”) and UNEP, UNIDO and the Government of Germany have agreed to be cooperating agencies under the lead of the Lead IA in respect of the Country's activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the IA taking part in this Agreement.

10. The Lead IA will be responsible for carrying out the activities of the plan as detailed in the first submission of the HPMP with the changes approved as part of the subsequent tranche submissions, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the cooperating agencies to ensure appropriate timing and sequence of activities in the implementation. The cooperating agencies will support the Lead IA by

implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and cooperating agencies have entered into a formal agreement regarding planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular coordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the cooperating agencies with the fees set out in rows 2.2, 2.4, 2.6 and 2.8 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP tonne of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the cooperating agencies to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the cooperating agencies with access to information necessary to verify compliance with this Agreement.

14. The completion of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per Appendix 4-A (a), (b), (d) and (e) continue until the time of the completion if not specified by the Executive Committee otherwise.

15. All of the agreements set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of the Islamic Republic of Iran and the Executive Committee at the 68<sup>th</sup> meeting of the Executive Committee.

## APPENDICES

### APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	163.6
HCFC-141b	C	I	216.9
Total			380.5

### APPENDIX 2-A: THE TARGETS, AND FUNDING

		2011	2012	2013	2014	2015	2016	2017	2018	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes) *	n/a	n/a	380.5	380.5	342.45	342.45	342.45	342.45	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	n/a	380.5	380.5	342.45	342.45	342.45	266.35	n/a
2.1	Lead IA UNDP agreed funding(US \$)	2,242,000	1,370,000	477,816	0	475,930	0	0	0	4,565,746
2.2	Support costs for Lead IA(US \$)	168,150	102,750	35,836	0	35,695	0	0	0	342,431
2.3	Cooperating IA UNEP agreed funding (US \$)	262,000	0	0	0	0	0	0	0	262,000
2.4	Support costs for Cooperating IA (US \$)	34,060	0	0	0	0	0	0	0	34,060
2.5	Cooperating IA UNIDO agreed funding (US \$)	1,300,000	830,000	101,450	0	274,827	0	0	0	2,506,277
2.6	Support costs for Cooperating IA (US \$)	97,500	62,250	7,609	0	20,612	0	0	0	187,971
2.7	Cooperating agency Germany agreed funding (US \$)	2,063,000	534,233	0	0	288,582	0	0	0	2,885,815
2.8	Support costs for Cooperating agency (US \$)	234,079	60,617	0	0	32,744	0	0	0	327,440
3.1	Total agreed funding (US \$)	5,867,000	2,734,233	579,266	0	1,039,339	0	0	0	10,219,838
3.2	Total support cost (US \$)	533,789	225,617	43,445	0	89,051	0	0	0	891,902
3.3	Total agreed costs (US \$)	6,400,789	2,959,850			1,128,390				11,111,740
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)									38.6
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)									-
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)									125.0
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)									125.8
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)									-
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)									91.1

Note: US \$173,550 and agency support costs of US \$13,016 for UNIDO were deducted from its third tranche as enterprise Yakhchavan is not eligible for funding under the Multilateral Fund and will convert with its own resources.

\*Figures based on Article 7 Data, which are rounded to one decimal point.

### APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the first meeting of the year specified in Appendix 2-A.

**APPENDIX 4-A: FORMAT OF TRANCHE IMPLEMENTATION REPORTS AND PLANS**

1. The submission of the Tranche Implementation Report and Plan will consist of five parts:
  - (a) A narrative report regarding the progress in the previous tranche, reflecting on the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the Plan, reflecting on changes in the circumstances in the country, and providing other relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;
  - (b) A verification report of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
  - (c) A written description of the activities to be undertaken in the next tranche, highlighting their interdependence, and taking into account experiences made and progress achieved in the implementation of earlier tranches. The description should also include a reference to the overall Plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;
  - (d) A set of quantitative information for the report and plan, submitted into a database. As per the relevant decisions of the Executive Committee in respect to the format required, the data should be submitted online. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the country and lead implementing agency; and
  - (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).



### **APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES**

1. The monitoring process will be managed by the Islamic Republic of Iran Department of Environment (DOE) through the National Ozone Unit (NOU) with the assistance of the Lead IA.
2. The consumption will be monitored and determined based on official import and export data for the Substances recorded by relevant government departments.
3. The NOU shall compile and report the following data and information on an annual basis on or before the relevant due dates:
  - (a) Annual reports on consumption of the Substances to be submitted to the Ozone Secretariat;
  - (b) Annual reports on progress of implementation of HPMP to be submitted to the Executive Committee of the Multilateral Fund; and
4. The NOU and the Lead IA will engage an independent and qualified entity to carry out a qualitative and quantitative performance evaluation of the HPMP implementation.
5. The evaluating entity shall have full access to relevant technical and financial information related to implementation of the HPMP.
6. The evaluating entity shall prepare and submit to the NOU and the Lead IA, a consolidated draft report at the end of each Tranche Implementation Plan, comprising of the findings of the evaluation and recommendations for improvements or adjustments, if any. The draft report shall include the status of the Country's compliance with the provisions of this Agreement.
7. Upon incorporating the comments and explanations as may be applicable, from NOU, Lead IA and the Cooperating IAs, the evaluating entity shall finalize the report and submit to the NOU and Lead IA.
8. The NOU shall endorse the final report and the Lead IA shall submit the same to the relevant meeting of the Executive Committee along with the Tranche Implementation plan and reports.

### **APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY**

1. The Lead IA will be responsible for the following:
  - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's phase-out plan;
  - (b) Assisting the Country in preparation of the Tranche Implementation Plans and subsequent reports as per Appendix 4-A;
  - (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Tranche Implementation Plan consistent with Appendix 4-A;
  - (d) Ensuring that the experiences and progress is reflected in updates of the overall Plan and in future Tranche Implementation Plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;

- (e) Fulfilling the reporting requirements for the tranches and the overall Plan as specified in Appendix 4-A as well as project completion reports for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Tranche Implementation Plan and accurate data reporting;
- (i) Coordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the co-ordinating implementing agencies, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b), sub-paragraph 1(b) of Appendix 4-A and Appendix 5-A.

#### **APPENDIX 6-B: ROLE OF COOPERATING AGENCIES**

1. The Cooperating IA will be responsible for the following:
  - (a) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a coordinated sequence in the activities; and
  - (b) Providing timely reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

#### **APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY**

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$124 per ODP tonne of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.



## Annex XII

### **UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF MAURITIUS AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE PHASE-OUT OF HYDROCHLOROFLUOROCARBONS**

1. This Agreement represents the understanding of the Government of Mauritius (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 0.16 ODP tonne prior to 1 January 2025 and zero ODP tonne prior to 1 January 2030 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the level defined in row 1.2 of Appendix 2-A (“maximum allowable total consumption of Annex C, Group I Substances”; the Target) as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances which exceeds the level defined in rows 4.1.3 and 4.2.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (“Targets and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country will accept independent verification, to be commissioned by the relevant bilateral or implementing agency, of achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) of this Agreement as described in sub-paragraph 5(b) of this Agreement.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
  - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the hydrochlorofluorocarbons phase-out management plan (HPMP) was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
  - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;

- (c) That the Country had submitted tranche implementation reports in the form of Appendix 4-A (“Format of Tranche Implementation Reports and Plans”) covering each previous calendar year, that it had achieved a significant level of implementation of activities initiated with previously approved tranches, and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;
- (d) That the Country has submitted and received approval from the Executive Committee for a tranche implementation plan in the form of Appendix 4-A (“Format of Tranche Implementation Reports and Plans”) covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68<sup>th</sup> Meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous tranche implementation plan in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in sub-paragraph 5(b).

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest phase-down and phase-out of the Substances specified in Appendix 1-A. Reallocations categorized as major changes must be documented in advance in a Tranche Implementation Plan and approved by the Executive Committee as described in sub-paragraph 5(d). Major changes would relate to reallocations affecting in total 30 per cent or more of the funding of the last approved tranche, issues potentially concerning the rules and policies of the Multilateral Fund, or changes which would modify any clause of this Agreement. Reallocations not categorized as major changes may be incorporated in the approved Tranche Implementation Plan, under implementation at the time, and reported to the Executive Committee in the Tranche Implementation Report. Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. The Government of Germany has agreed to be the lead implementing agency (the “Lead IA”) in respect of the Country's activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for carrying out the activities of the plan as detailed in the first submission of the HPMP with the changes approved as part of the subsequent tranche submissions, including but not limited to independent verification as per sub-paragraph 5(b). The Executive Committee agrees, in principle, to provide the Lead IA with the fees set out in row 2.2 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP tonne of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee and the Lead IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA with access to information necessary to verify compliance with this Agreement.

14. The completion of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per Appendix 4-A (a), (b), (d) and (e) continue until the time of the completion if not specified by the Executive Committee otherwise.

15. All of the agreements set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of Mauritius and the Executive Committee at the 63<sup>rd</sup> meeting of the Executive Committee.

## APPENDICES

### APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	7.87
HCFC-141b	C	I	0.13
<b>Total</b>			<b>8.00</b>

### APPENDIX 2-A: THE TARGETS, AND FUNDING

		2011	2013	2014	2015	2017	2020	2023	2025	2030	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)		8.00	8.00	7.20	7.20	5.20	5.20	2.80	0.20	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)		8.00	8.00	7.14	7.14	4.00	1.57	0.16	0	n/a
2.1	Lead IA Germany agreed funding (US \$)	157,050	0	131,400	0	332,750	161,300	67,500	0	100,000	950,000
2.2	Support costs for Lead IA (US \$)	18,846	0	15,851	0	40,140	19,458	8,142	0	12,063	114,500
3.1	Total agreed funding (US \$)	157,050	0	131,400	0	332,750	161,300	67,500	0	100,000	950,000
3.2	Total support cost (US \$)	18,846	0	15,851	0	40,140	19,458	8,142	0	12,063	114,500
3.3	Total agreed costs (US \$)	175,896	0	147,251	0	372,890	180,758	75,642	0	112,063	1,064,500
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this agreement (ODP tonnes)										7.87
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)										0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)										0
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this agreement (ODP tonnes)										0.13
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)										0
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)										0

### APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the last meeting of the year specified in Appendix 2-A.

### APPENDIX 4-A: FORMAT OF TRANCHE IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Tranche Implementation Report and Plan will consist of five parts:
  - (a) A narrative report regarding the progress in the previous tranche, reflecting on the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the Plan, reflecting on changes in the circumstances in the Country, and providing other relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;
  - (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
  - (c) A written description of the activities to be undertaken in the next tranche, highlighting their interdependence, and taking into account experiences made and progress achieved

in the implementation of earlier tranches. The description should also include a reference to the overall Plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;

- (d) A set of quantitative information for the report and plan, submitted into a database. As per the relevant decisions of the Executive Committee in respect to the format required, the data should be submitted online. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the Country and the Lead IA; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).

#### **APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES**

1. Under the terminal phase-out management plan (TPMP), the National Ozone Unit (NOU) conducted the monitoring of the TPMP activities with assistance from the Government of Germany. The NOU will continue in a similar way with respect to monitoring of the HPMP activities. If there is a need for special assistance with monitoring then a suitable consultant will be hired to undertake the task. There are several persons who work at the ozone office of Mauritius and therefore the NOU is confident that the country will be able to undertake the monitoring activities as required by the HPMP.

#### **APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY**

1. The Lead IA will be responsible for a range of activities. These can be specified in the project document further, but include at least the following:

- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's phase-out plan;
- (b) Assisting the Country in preparation of the Tranche Implementation Plans and subsequent reports as per Appendix 4-A;
- (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Tranche Implementation Plan consistent with Appendix 4-A;
- (d) Ensuring that the experiences and progress is reflected in updates of the overall Plan and in future Tranche Implementation Plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (e) Fulfilling the reporting requirements for the tranches and the overall Plan as specified in Appendix 4-A as well as project completion reports for submission to the Executive Committee;



- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Tranche Implementation Plan and accurate data reporting;
- (i) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (j) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (k) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent organization to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

#### **APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY**

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

### Annex XIII

#### **UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF SAUDI ARABIA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS**

1. This Agreement represents the understanding of the Government of Saudi Arabia (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 881.21 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in rows 1.2, 1.3 and 1.4 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in rows 1.2, 1.3 and 1.4 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3, 4.3.3 and 4.4.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in rows 1.2, 1.3 and 1.4 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
  - (a) That the Country had met the Targets set out in rows 1.2, 1.3 and 1.4 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
  - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
  - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen;
- (e) That the country has met the conditions set out in Appendix 8-A.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
  - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
  - (ii) Changes which would modify any clause of this Agreement;
  - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
  - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Should the Country decide during implementation of the agreement to introduce an alternative technology other than that proposed in the approved HPMP, this would require approval by the Executive Committee as part of an Annual Implementation Plan or the revision of the approved plan. Any submission of such a request for change in technology would identify the associated incremental costs, the potential impact to the climate, and any differences in ODP tonnes to be phased out if applicable. The Country agrees that potential savings in incremental costs related to the change of technology would decrease the overall funding level under this Agreement accordingly;
- (d) Any enterprise to be converted to non-HCFC technology included in the approved HPMP and that would be found to be ineligible under the guidelines of the Multilateral Fund (i.e., due to foreign ownership or establishment post the 21 September 2007 cut-off date), will not receive assistance. This information would be reported to the Executive Committee as part of the Annual Implementation Plan;

- (e) The Country agrees, in cases where HFC technologies have been chosen as an alternative to HCFC, and taking into account national circumstances related to health and safety and other relevant factors; to monitor the availability of substitutes and alternatives that further minimize impacts on the climate; to consider, in the review of regulations standards and incentives adequate provisions that encourage introduction of such alternatives; and to consider the potential for adoption of cost-effective alternatives that minimize the climate impact in the implementation of the HPMP, when and where possible, and inform the Executive Committee on the progress accordingly;
- (f) The Country commits to examining the possibility of using pre-blended hydrocarbon systems instead of blending them in-house, for those foam enterprises covered under the umbrella project, should this be technically viable, economically feasible and acceptable to the enterprises; and
- (g) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNIDO has agreed to be the lead implementing agency (the “Lead IA”) and UNEP has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in rows 1.2, 1.3 or 1.4 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee

may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of Saudi Arabia and the Executive Committee at the 68<sup>th</sup> meeting of the Executive Committee.

## APPENDICES

### APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	1,011.64
HCFC-123	C	I	0.19
HCFC-141b	C	I	341.00
HCFC-142b	C	I	115.86
Total			1,468.69

**APPENDIX 2-A: THE TARGETS, AND FUNDING**

Row	Particulars	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	1,468.69	1,468.69	1,321.82	1,321.82	1,321.82	1,321.82	1,321.82	954.65	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	1,468.69	1,378.39	1,321.82	1,321.82	1,321.82	980.82	980.82	881.21	n/a
1.3	Maximum allowable total consumption of HCFC-141b	n/a	n/a	n/a	n/a	n/a	n/a	0.00	0.00	0.00	n/a
1.4	Maximum allowable total consumption of HCFC-142b	n/a	n/a	0.00	0.00	0.00	0.00	0.00	0.00	0.00	n/a
2.1	Lead IA (UNIDO) agreed funding (US \$)	2,169,600	2,971,487	1,200,000	1,766,600	850,000	1,047,375	400,000	185,583	170,625	10,761,270
2.2	Support costs for Lead IA (US \$)	151,872	208,004	84,000	123,662	59,500	73,316	28,000	12,991	11,944	753,289
2.3	Cooperating IA (UNEP) agreed funding (US \$)	290,400	0	0	250,400	0	123,125	0	0	56,875	720,800
2.4	Support costs for Cooperating IA (US \$)	35,973	0	0	31,018	0	15,253	0	0	7,045	89,288
3.1	Total agreed funding (US \$)	2,460,000	2,971,487	1,200,000	2,017,000	850,000	1,170,500	400,000	185,583	227,500	11,482,070
3.2	Total support costs (US \$)	187,845	208,004	84,000	154,680	59,500	88,569	28,000	12,991	18,989	842,578
3.3	Total agreed costs (US \$)	2,647,845	3,179,491	1,284,000	2,171,680	909,500	1,259,069	428,000	198,574	246,489	12,324,648
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)										181.69
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes) *										64.74
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)										765.21
4.2.1	Total phase-out of HCFC-123 agreed to be achieved under this Agreement (ODP tonnes)										0.00
4.2.2	Phase-out of HCFC-123 to be achieved in previously approved projects (ODP tonnes)										0.00
4.2.3	Remaining eligible consumption for HCFC-123 (ODP tonnes)										0.19
4.3.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)										341.00
4.3.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)										0.00
4.3.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)										0.00
4.4.1	Total phase-out of HCFC-142b agreed to be achieved under this Agreement (ODP tonnes)										0.00
4.4.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes) *										115.86
4.4.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)										0.00

\*The Agreement also covers two projects approved at the 62<sup>nd</sup> meeting of the Executive Committee for implementation by UNIDO and Japan with an associated phase-out of 180.6 ODP tonnes of HCFC-22 and HCFC 142b from the manufacture of extruded polystyrene (XPS) foam at a funding level of US \$1,938,901 plus agency support costs (decision 62/35), and subjects these projects to the same monitoring and reporting obligations as valid for all other activities under stage I of the HPMP.

**APPENDIX 3-A: FUNDING APPROVAL SCHEDULE**

1. Funding for the future tranches will be considered for approval at the last meeting of the year specified in Appendix 2-A.

**APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS**

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

## **APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES**

1. The National Ozone Unit (NOU) in close cooperation with relevant authorities will monitor the consumption data of all HCFCs. The two agencies will work together on consumption data reconciliation. Inspections by the NOU staff at converted enterprises are foreseen to ensure sustained HCFC phase-out after project completion. The licensing system will be a tool to monitor and ensure compliance with the control measures.
2. The NOU will liaise with importers and retailers of ODS to obtain HCFC consumption data and cross-check with data from the Customs Department. The NOU will also undertake regular inspections to monitor the use of required labelling in HCFC containers and regular reviews to HCFC customers to enforce the control on sale of HCFCs.
3. The NOU will monitor the implementation of the capacity-building activities with the relevant agencies e.g. refrigeration and air-conditioning technician training (training centres); enforcement officers training (the Customs Department and the Ministry of Commerce).

## **APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY**

1. The Lead IA will be responsible for a range of activities, including at least the following:
  - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
  - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
  - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
  - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
  - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
  - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
  - (g) Carrying out required supervision missions;
  - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
  - (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;



- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

#### **APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY**

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities;
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A;
- (d) Ensuring that disbursements made to the Country are based on the use of the indicators;
- (e) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting; and
- (f) Providing assistance with policy, management and technical support when required.

#### **APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY**

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$78 per ODP kg of consumption beyond the level defined in rows 1.2, 1.3 and 1.4 of Appendix 2-A for each year in which the target specified in rows 1.2, 1.3 or 1.4 of Appendix 2-A has not been met.

#### **APPENDIX 8-A: SECTOR SPECIFIC ARRANGEMENTS**

1. This section outlines specific conditions required to be met before the portion of the funding shown in rows 2.1 to 2.4 and 3.1 to 3.3 of Appendix 2-A related to activities in the servicing sector from 2015 and later years could be released:

- (a) Banning of disposable refrigerant containers for HCFC refrigerants;
- (b) Introducing a certification scheme for refrigeration technicians, requiring certain standards of training and equipment;
- (c) Introducing a system regulating access to refrigerant only to entities where certified technicians are carrying out and supervising the work on servicing refrigeration and air conditioning systems,
  - (i) Taking into account the rate of increase of certified personnel, and ensuring that training efforts are carried out timely; and
  - (ii) With means to discourage that supervising of uncertified personnel by certified technicians is carried out only in a perfunctory manner; and
- (d) Developing and submitting with the 2015 tranche request a strategy to strongly encourage end-users of refrigeration and air conditioning equipment to carry out leak detection and repair measures in case of refrigerant losses from refrigeration and air conditioning systems, and committing to implement the strategy during the remainder of the HPMP without additional cost.

2. The portion of the funding related to activities in the servicing sector and being subject to above condition is shown in Table 8-A-1.

Table 8-A-1: Portion of funding related to activities in the servicing sector

Row	Particulars	2015	2016	2017	2018	2019	2020
1.1	UNIDO servicing sector funding (US \$)	777,000	0	387,375	0	0	130,625
1.2	UNEP servicing sector funding (US \$)	120,000	0	63,125	0	0	16,875



## Annex XIV

### **AGREEMENT BETWEEN THE GOVERNMENT OF TUNISIA AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS**

1. This Agreement represents the understanding of the Government of Tunisia (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 34.6 ODP tonnes by 1 January 2018 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3, 4.2.3, 4.3.3 and 4.4.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
  - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
  - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
  - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
  - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
  - (ii) Changes which would modify any clause of this Agreement;
  - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
  - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report;
- (c) Should the Country decide during implementation of the agreement to introduce an alternative technology other than that proposed in the approved HPMP, this would require approval by the Executive Committee as part of an Annual Implementation Plan or the revision of the approved plan. Any submission of such a request for change in technology would identify the associated incremental costs, the potential impact to the climate, and any differences in ODP tonnes to be phased out if applicable. The Country agrees that potential savings in incremental costs related to the change of technology would decrease the overall funding level under this Agreement accordingly;
- (d) Any enterprise to be converted to non-HCFC technology included in the approved HPMP and that would be found to be ineligible under the guidelines of the Multilateral Fund (i.e., due to foreign ownership or establishment post the 21 September 2007 cut-off date), will not receive assistance. This information would be reported to the Executive Committee as part of the Annual Implementation Plan; and

- (e) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNIDO has agreed to be the lead implementing agency (the “Lead IA”) and UNEP and France have agreed to be the cooperating implementing agencies (the “Cooperating IAs”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IAs to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IAs will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IAs have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA [and the Cooperating IAs with the fees set out in rows 2.2, 2.4 and 2.6 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country, with the exception of the provision contained in Appendix 8-A.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IAs to facilitate implementation of this Agreement. In particular, it will provide the

Lead IA and the Cooperating IAs with access to the information necessary to verify compliance with this Agreement.

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

## APPENDICES

### APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	39.01
HCFC-141b	C	I	1.61
HCFC-142b	C	I	0.04
Sub-total			40.70
HCFC-141b contained in imported pre-blended polyols	C	I	5.02
Total			45.67

### APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2014	2015	2016	2017	2018	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	40.70	36.63	36.63	36.63	36.63	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	40.70	36.63	36.63	36.63	34.60	n/a
2.1	Lead IA (UNIDO) agreed funding (US \$)	512,885	478,896	0	108,414	0	1,100,195
2.2	Support costs for Lead IA (US \$)	35,902	33,523	0	7,589	0	77,014
2.3	Cooperating IA (UNEP) agreed funding (US \$)	30,000	55,000	0	15,000	0	100,000

Row	Particulars	2014	2015	2016	2017	2018	Total
2.4	Support costs for Cooperating IA (UNEP, US \$)	3,900	7,150	0	1,950	0	13,000
2.5	Cooperating IA (France) agreed funding (US \$)	135,690	394,397	0	69,913	0	600,000
2.6	Support costs for Cooperating IA (France, US \$)	17,187	49,957	0	8,856	0	76,000
3.1	Total agreed funding (US \$)	678,575	928,293	0	193,327	0	1,800,195
3.2	Total support costs (US \$)	56,989	90,630	0	18,395	0	166,014
3.3	Total agreed costs (US \$)	735,564	1,018,923	0	211,722	0	1,966,209
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)						9.26
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)						0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)						29.75
4.2.1	Total phase-out of HCFC-141b agreed to be achieved under this Agreement (ODP tonnes)						1.34
4.2.2	Phase-out of HCFC-141b to be achieved in previously approved projects (ODP tonnes)						0
4.2.3	Remaining eligible consumption for HCFC-141b (ODP tonnes)						0.27
4.3.1	Total phase-out of HCFC-142b agreed to be achieved under this Agreement (ODP tonnes)						0
4.3.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes)						0
4.3.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)						0.04
4.4.1	Total phase-out of HCFC-141b contained in imported pre-blended polyols agreed to be achieved under this Agreement (ODP tonnes)						0
4.4.2	Phase-out of HCFC-141b contained in imported pre-blended polyols to be achieved in previously approved projects (ODP tonnes)						0
4.4.3	Remaining eligible consumption for HCFC-141b contained in imported pre-blended polyols (ODP tonnes)						5.02

### APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the last meeting of the year specified in Appendix 2-A.

### APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the



implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;

- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

## **APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES**

1. The National Ozone Unit (NOU) will monitor the effectiveness of implementing of the different components under the HPMP, including monitoring compliance with phase-out levels and the impact of all of the activities against the set objectives and goals.

2. The National Commission for the Protection of the Ozone Layer in close cooperation and co-ordination with the NOU and support of the Lead IA will play a key role in monitoring the HPMP implementation through establishing and managing a comprehensive monitoring database for the

implementation of all activities under the HPMP. The NOU will undertake monitoring, reporting and record keeping on:

- (a) ODS import/export, including data collection from local importers;
- (b) ODS use of different sectors; including data collection from manufacturers and surveys conducted by the Project Management Unit;
- (c) Amount of recovered, recycled, unwanted quantities of ODS;
- (d) Regular update on projects' deliverables as per targeted milestones;
- (e) Plans, progress reports and completion reports of components and projects; and
- (f) Information on ODS-based equipment, banks and status of its operation and retirement.

3. The Lead IA, in cooperation with the NOU, will prepare detailed terms of reference for the monitoring database and will contract accordingly the technical institution that can develop this database. The operation and management of the database will be carried out through a consultant that will act as the database administrator and monitoring coordinator for the HPMP of the Country.

4. The verification will, in addition to other tasks, also cover the reports generated regarding achievements under the HPMP implementation.

#### **APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY**

1. The Lead IA will be responsible for a range of activities, including at least the following:
  - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
  - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
  - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
  - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
  - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
  - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
  - (g) Carrying out required supervision missions;
  - (h) Ensuring the presence of an operating mechanism to allow effective, transparent

implementation of the Implementation Plan and accurate data reporting;

- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

#### **APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY (FRANCE)**

1. The Cooperating IA (France) will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA (France), and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (b) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

2. The Cooperating IA (France) will support the Lead IA in undertaking the following activities, for which the Lead IA is responsible:

- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
- (b) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A; and
- (c) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country, the Lead IA and the other Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved.

#### **APPENDIX 6-C: ROLE OF THE COOPERATING IMPLEMENTING AGENCY (UNEP)**

1. The Cooperating IA (UNEP) will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:

- (a) Providing assistance for policy development when required;

- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA (UNEP), and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

2. The Cooperating IA (UNEP) will support the Lead IA in undertaking the following activities, for which the Lead IA is responsible:

- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
- (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
- (c) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (d) Carrying out required supervision missions;
- (e) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
- (f) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country, the Lead IA and the other Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved; and
- (g) Ensuring that disbursements made to the Country are based on the use of the indicators.

#### **APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY**

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$339 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.

#### **APPENDIX 8-A: PROVISION FOR MODIFICATION OF FUTURE TRanches FUNDING LEVEL RELATED TO PHASE-OUT IN THE REFRIGERATION AND AIR-CONDITIONING MANUFACTURING SECTOR**

1. The funding for the conversion of the four enterprises in the refrigeration and air-conditioning manufacturing sector has been agreed on the basis of equipment and processes available and knowledge at the time of the discussion of stage I of the HPMP in the Country. The funding currently provides for leak detection and charging equipment and processes which are designed for large scale production, making them not cost effective for use in smaller productions. The purchase of equipment for these four enterprises will only commence after the approval of the second tranche.

2. Should different manufacturing technologies and processes suitable for these enterprises emerge before the submission of the second tranche, it has been agreed that the funding for the second and/or third tranche and, with that, the overall funding level could be reduced accordingly.

3. The activities and items for which costs might be reduced under these provisions are provided in Table 1 below:

**Table 1. Activities and items for which a cost reduction might be discussed**

Enterprises	Incremental capital costs agreed at the 72 <sup>nd</sup> Meeting (US \$)	Potential candidates for cost reduction	
		Current cost for charging equipment (US \$)	Current cost for leak detector (US \$)
Hachicha High World Wide (HHW)	166,000	50,000	50,000
Société Afrivision	93,000	50,000	0
Société Electrostar	166,000	50,000	50,000
Société Industrielle Mega	94,000	50,000	0
Total	519,000	200,000	100,000

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Annex XV  
ACTUAL 2013, APPROVED 2014, 2015 AND 2016 BUDGETS OF THE FUND SECRETARIAT

		Column A	Column B	Column C	Column D	Column E
		Actual	Approved	Approved	Approved	Comments 2014
		2013	2014	2015	2016	
10	PERSONNEL COMPONENT					
1100	Project Personnel (Title & Grade)					
	01 Chief Officer (D2)	341,512	251,635	259,184	266,960	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	02 Deputy Chief Officer (D1)	154,903	248,333	255,783	263,456	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	03 Programme Management Officer (P3)	157,745	164,585	169,522	174,608	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	04 Deputy Chief Officer on Financial and Economic Affairs (P5)	228,123	224,409	231,142	238,076	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	05 Senior Project Management Officer (P5)	191,026	224,409	231,142	238,076	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	06 Senior Project Management Officer (P5)	206,004	224,409	231,142	238,076	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	07 Senior Project Management Officer (P5)	193,164	224,409	231,142	238,076	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	08 Information Management Officer (P3)	193,549	198,426	204,379	210,510	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	09 Senior Administrative & Fund Management Officer (P5)*	191,469	201,342	207,383	213,604	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	10 Senior Monitoring and Evaluation Officer (P5)	200,632	224,409	231,142	238,076	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	11 Programme Management Officer (P3)	153,360	164,585	169,522	174,608	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	12 Information Network Officer (P3)	128,767	137,917	142,055	146,316	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	13 Associate Human Resources Officer (P2)		-	-	-	Funded from Programme Support Cost and to be downgraded from P2 to G7
	14 Programme Management Officer (P3)	155,631	164,585	169,522	174,608	Based on standard salary cost and adjusted based on actual cost with a 3% annual increase.
	15 Associate Finance Officer (P2)-former 1301 starting 2014		60,000	123,600	127,308	Upgrade G7 to P2 from June 2014 due to classification as a result of the re-numbering exercise
	16 Associate Database Officer (P2)-former 1310 starting 2014		60,000	123,600	127,308	Upgrade G7 to P2 from June 2014 due to classification as a result of the re-numbering exercise
	98 Prior Year	7,070				
1199	Sub-Total	2,502,954	2,773,455	2,980,259	3,069,667	
1200	Consultants					
	01 Projects and technical reviews etc.	27,775	87,500	75,000	75,000	Reduced by \$12,500 (6 Months) in 2014 to offset the upgrade of G7 to P2 for post 1301, and \$25,000 in 2015-2016
1299	Sub-Total	27,775	87,500	75,000	75,000	
1300	Administrative Support Personne					
	01 Administrative Assistant (G7)	83,857	47,501	-	-	Upgrade G7 to P2 starting June 2014 and replaced by BL 1115 starting 2014
	02 Meeting Services Assistant (G7)	76,920	94,591	97,429	100,352	Based on actual cost incl. overtime with a 3% annual increase
	03 Programme Assistant (G7)/(P2)	85,733	94,591	97,429	100,352	Post classifiable as P2 as per notice dated May 2014 as a result of the re-numbering exercise
	04 Programme Assistant (G5)	37,881	70,067	72,169	74,334	Based on actual cost incl. overtime with a 3% annual increase
	05 Programme Assistant (G5)	63,834	70,067	72,169	74,334	Based on actual cost incl. overtime with a 3% annual increase
	06 Computer Operations Assistant (G6)	74,591	89,504	92,189	94,955	Based on actual cost incl. overtime with a 3% annual increase
	07 Programme Assistant (G5)	70,555	74,054	76,276	78,564	Based on actual cost incl. overtime with a 3% annual increase
	08 Secretary/Clerk, Administration (G6)	55,519	79,441	81,825	84,279	Based on actual cost incl. overtime with a 3% annual increase
	09 Registry Clerk (G4)	51,787	60,527	62,343	64,213	Based on actual cost incl. overtime with a 3% annual increase
	10 Database Assistant (G7)	91,749	47,501	-	-	Upgrade G7 to P2 starting June 2014 and replaced by BL 1116 starting 2014
	11 Programme Assistant, Monitoring & Evaluation (G5)	43,240	70,067	72,169	74,334	Based on actual cost incl. overtime with a 3% annual increase
	12 IMIS Assistant (G6)		-	-	-	Funded from Programme Support Costs (PSC)
	13 Programme Assistant (G5)	57,445	70,067	72,169	74,334	Based on actual cost incl. overtime with a 3% annual increase
	14 Programme Assistant (G5)	73,015	70,067	70,067	72,169	Based on actual cost incl. overtime with a 3% annual increase
	Sub-Total	866,126	938,046	866,235	892,222	
1330	Conference Servicing Cost					
1333	Meeting Services: ExCom	220,032	325,000	325,000	325,000	Allocation for 2 meetings a year in Montreal and 5 languages
1334	Meeting Services: ExCom	299,651	325,000	325,000	325,000	Allocation for 2 meetings a year in Montreal and 5 languages
1336	Meeting Services: ExCom	258,478				No allocation for 3rd meeting in 2014, 2015 and 2016
1335	Temporary Assistance	33,221	31,282	18,782	18,782	Reduced by \$12,500 (6 Months) in 2014 to offset the upgrade of G7 to P2 for post 1310, and \$25,000 in 2015-2016
1337	Meeting Ozone		-338			
	Sub-Total	811,045	681,282	668,782	668,782	
1399	TOTAL ADMINISTRATIVE SUPPORT	1,677,171	1,619,328	1,535,017	1,561,004	

\* Difference in cost between P4 and P5 is to be charged to BL 2101

Note: Personnel costs under BLs 1100 and 1300 will be reduced by US \$516,641 based on 2012 actual cost differentials between staff cost in Montreal and staff cost in Nairobi covered by the Government of Canada

		Actual 2013	Approved 2014	Approved 2015	Approved 2016	
1600	Travel on official business					
	01 Mission Costs	149,558	208,000	208,000	208,000	Based on tentative a travel plan schedule
	02 Network Meetings (4)	49,750	50,000	50,000	50,000	Allocation for 4 network meetings a year
<b>1699</b>	<b>Sub-Total</b>	<b>199,309</b>	<b>258,000</b>	<b>258,000</b>	<b>258,000</b>	
<b>1999</b>	<b>COMPONENT TOTAL</b>	<b>4,407,208</b>	<b>4,738,284</b>	<b>4,848,276</b>	<b>4,963,671</b>	
20	CONTRACTUAL COMPONENT					
2100	Sub-contracts					
	01 Treasury services (Decision 59/51(b))	500,000	500,000	500,000	500,000	Fixed fees per the agreement with the Treasurer (Decision 59/51(b))
	02 Corporate Consultancies	-2,076.55				
2200	Subcontracts					
	01 Various Studies					
	02 Corporate contracts	-		-	-	
<b>2999</b>	<b>COMPONENT TOTAL</b>	<b>497,923</b>	<b>500,000</b>	<b>500,000</b>	<b>500,000</b>	
30	MEETING PARTICIPATION COMPONENT					
3300	Travel & DSA for Art 5 delegates to ExCom meetings					
	01 Travel of Chairperson and Vice-Chairperson	558	15,000	15,000	15,000	Covers travel other than attendance to Excom
	02 Executive Committee (2 in 2014)	214,096	150,000	150,000	150,000	Reduced to 2 Excom meetings
<b>3999</b>	<b>COMPONENT TOTAL</b>	<b>214,654</b>	<b>165,000</b>	<b>165,000</b>	<b>165,000</b>	
40	EQUIPMENT COMPONENT					
4100	Expendables					
	01 Office Stationery	4,438	12,285	12,285	12,285	Reduced by 30% in 2014-2016
	02 Computer expendable (software, accessories, hubs, switches, memory) **	5,016	10,530	10,530	10,530	2013 balance of US \$5,514 rephased to 2014 to complete 2013 purchase plan
<b>4199</b>	<b>Sub-Total</b>	<b>9,454</b>	<b>22,815</b>	<b>22,815</b>	<b>22,815</b>	
4200	Non-Expendable Equipment					
	01 Computers, printers ****	12,674	13,000	13,000	13,000	2013 balance US \$10,454 rephased to 2014 to complete 2013 purchase plan
	02 Other expendable equipment (shelves, furnitures)	194	5,850	5,850	5,850	Based on anticipated needs
<b>4299</b>	<b>Sub-Total</b>	<b>12,868</b>	<b>18,850</b>	<b>18,850</b>	<b>18,850</b>	
4300	Premises					
	01 Rental of office premises****	789,856.11	870,282	870,282	870,282	Allocation to be reduced to US \$46,248. Balance to be covered by Govt. of Canada cost differential
<b>4999</b>	<b>COMPONENT TOTAL</b>	<b>812,178</b>	<b>911,947</b>	<b>911,947</b>	<b>911,947</b>	

\*\*Balance of 2013 (US \$5,514) to be rephased to 2014 to complete 2013 purchase plan

\*\*\*Balance of 2013 (US \$10,454) to be rephased to 2014 to complete 2013 purchase plan

\*\*\*\*Rental of premises will be offset by US \$743,608 being covered by cost differential with Government of Canada leaving US \$46,248 to be charged to the MLF.

		Actual 2013	Approved 2014	Approved 2015	Approved 2016	
50	MISCELLANEOUS COMPONENT					
5100	Operation and Maintenance of Equipment					
	01 Computers and printers, etc.(toners, colour printer)*****	1,673	8,100	8,100	8,100	2013 balance US \$6,427 rephase from 2013 to complete 2013 purchase plan
	02 Maintenance of office premises	2,487	8,000	8,000	8,000	Based on anticipated needs
	03 Rental of photocopiers (office)	7,737	15,000	15,000	15,000	Based on anticipated needs
	04 Telecommunication equipment rental	301	8,000	8,000	8,000	Based on anticipated needs
	05 Network maintenance	12,882	10,000	10,000	10,000	Based on anticipated needs
<b>5199</b>	<b>Sub-Total</b>	<b>25,079</b>	<b>49,100</b>	<b>49,100</b>	<b>49,100</b>	
5200	Reproduction Costs					
	01 Executive Committee meetings and reports to MOP	1,187	10,710	10,710	10,710	Reduced by 30% in 2014-2016
<b>5299</b>	<b>Sub-Total</b>	<b>1,187</b>	<b>10,710</b>	<b>10,710</b>	<b>10,710</b>	
5300	Sundries					
	01 Communications	47,587	58,500	58,500	58,500	Based on anticipated needs
	02 Freight Charges	3,202	9,450	9,450	9,450	Reduced by 30% in 2014-2016
	03 Bank Charges	1,584	4,500	4,500	4,500	Based on anticipated needs (no changes)
	05 Staff Training	16,939	20,137	20,137	20,137	Based on anticipated needs (no changes)
<b>5399</b>	<b>Sub-Total</b>	<b>69,312</b>	<b>92,587</b>	<b>92,587</b>	<b>92,587</b>	
5400	Hospitality & Entertainment					
	01 Hospitality Costs	20,292	16,800	16,800	16,800	Reduced by 30% to cater for 2 meetings
<b>5499</b>	<b>Sub-Total</b>	<b>20,292</b>	<b>16,800</b>	<b>16,800</b>	<b>16,800</b>	
<b>5999</b>	<b>COMPONENT TOTAL</b>	<b>115,869</b>	<b>169,197</b>	<b>169,197</b>	<b>169,197</b>	
GRAND TOTAL		6,047,833	6,484,428	6,594,420	6,709,815	
	Programme Support Costs (9%)	437,980	334,035	346,184	356,570	PSC 9% in 2014 -2016
<b>COST TO MULTILATERAL FUND</b>		<b>6,485,813</b>	<b>6,818,463</b>	<b>6,940,604</b>	<b>7,066,385</b>	
	Previous budget schedule	7,067,547	6,983,852	4,287,391	4,416,013	
	<b>Increase/decrease</b>	<b>(581,734)</b>	<b>(165,389)</b>	<b>2,653,213</b>	<b>2,650,372</b>	

\*\*\*\*\*Balance of 2013 (US \$6,427) to be rephased to 2014 to complete 2013 purchase plan

2014 MONITORING AND EVALUATION BUDGETS OF THE FUND SECRETARIAT

		Actual 2013	Approved 2014	2015	2016	
1200	Consultants					
	01 Projects and technical reviews <sup>4</sup>	86,088				2013 balance of US \$20,000 rephased from 2013 to complete 2013 Evaluation of MDI Projects
	02 Projects and technical reviews etc: HCFC phase out projects in foam sector (desk study)/Fielc	15,000	119,700			7 countries field visits / Desk study 49 days
	03 Projects and technical reviews etc	1,500				
	04 Projects and technical reviews etc	11,007				
1600	Travel on official business					
	01 Travel on Official business	31,820	25,000			
4200	Non-Expendable Equipmen					
	01 Non Expendable Computer Equipmen	6,000	4,000			
	<b>ACCOUNT TOTAL</b>	<b>151,415</b>	<b>148,700</b>			

<sup>4</sup>Balance of 2013 (US \$20,000) to be rephased to 2014 to complete 2013 Evaluation of MDI Projects)

Monitoring & evaluation work programme for 2014 (UNEP/OzL.Pro/ExCom/72/47, decision 72/8)