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EXECUTIVE COMMITTEE OF  
THE MULTILATERAL FUND FOR THE  
IMPLEMENTATION OF THE MONTREAL PROTOCOL  
Seventieth Meeting  
Bangkok, 1-5 July 2013

**PROGRESS REPORT OF UNEP AS AT 31 DECEMBER 2012**

This document includes:

- The comments and recommendations of the Fund Secretariat
- UNEP 2012 Progress and Financial Report (1 January–31 December 2012)

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\* Reissued for technical reasons on 12 June 2013.

## COMMENTS OF THE FUND SECRETARIAT

### Introduction

1. This document presents the comments and recommendations of the Fund Secretariat on the progress report of UNEP for activities up to 31 December 2012. The progress report is attached. The data has been included in the Consolidated Progress Report database which is available upon request. UNEP did not submit a revised narrative.

### Snapshot of issues

- US \$26.5 million has been approved in multi-year agreements (MYAs) for UNEP implementation of which there was a balance of US \$12.4 million as at 31 December 2012.
- US \$18.8 million was approved in activities for UNEP's implementation in 2012 while administrative costs amounted to US \$1.4 million (7.2 per cent).
- 169 MYAs are under implementation; less than 10 per cent of the funds approved have been disbursed for 24 MYAs approved over a year ago.
- Four projects were classified as projects with implementation delays that are subject to procedures for project cancellation.
- Several non-MYA projects are facing low rates of disbursements of approved funds and/or slow implementation of activities.
- Twenty countries have not signed their MYAs.
- Agreement has not been signed for eight institutional strengthening (IS) renewal projects.

### Status of implementation

2. By the end of 2012, UNEP had completed 82 per cent (1,332 of 1,629 projects) of the projects approved for its implementation. It had disbursed 85 per cent (US \$181.8 million) of the resources approved by the Executive Committee (US \$213.6 million), and had a balance of US \$1,242,665 from project preparation funds. The project preparation funds approved for UNEP are for the development of refrigerant management plans (RMPs), terminal phase-out management plans (TPMPs) and HCFC phase-out management plans (HPMPs). UNEP also completed 14 technical assistance programmes, and 6 project preparation activities during 2012. It renewed 50 ongoing IS projects.

3. The total value of all projects approved in 2012 for UNEP was US \$18.8 million plus administrative costs of US \$1.4 million, of which US \$9.16 million with US \$732,640 in administrative costs was for the Compliance Assistance Programme (CAP); US \$4 million for IS; and US \$5.7 million with administrative costs of US \$629,793 (11.1 per cent) for HPMPs and other activities.

### Progress during 2012 at the country level

4. Annex I provides an assessment, by country, of UNEP's 2012 activities. UNEP had planned disbursements in 125 countries or regions. It disbursed over 85 per cent of the funds estimated in 17 of these countries or regions. As of 31 December 2012, UNEP had completed all of the projects it had planned to complete in 28 of the 92 countries where completion was planned for 2012.

### Multi-year performance-based agreements

5. UNEP is currently implementing 169 multi-year performance-based agreements for which US \$26.5 million had been approved up to the end of 2012. In addition, one MYA was added to UNEP's portfolio in 2013.

## Disbursements for MYAs

6. There are 151 annual tranches of 169 MYAs under implementation by UNEP with balances remaining to be disbursed. These MYAs are indicated in Table 1.

Table 1

### MULTI-YEAR AGREEMENTS

Agreement	Approved Funding plus Adjustments (US\$)	Funds Disbursed (US\$)	Balance (US\$)	Funds Obligated (US\$)	Estimated disbursement in 2013 (US\$)	Difference to be disbursed after 2013 (US\$)	Date Completed	Planned Date of Completion
Afghanistan HCFC Phase-Out	120,000	69,254	50,746	30,000	50,746	0		Jul-13
Afghanistan ODS Phase-Out	305,000	305,000	0	0	0	0	Dec-10	
Albania HCFC Phase-Out	25,000	20,156	4,844	4,844	4,844	0		Jun-14
Albania ODS Phase-Out	107,684	107,684	0	0	0	0	Jul-06	
Antigua and Barbuda HCFC Phase-Out	45,850	7,240	38,610	559	38,610	0		Apr-15
Armenia HCFC Phase-Out	31,515	26,000	5,515	5,515	5,515	0		Dec-13
Bahamas HCFC Phase-Out	18,200	6,155	12,045	5,000	12,045	0		Dec-13
<i>Bahrain HCFC Phase-Out</i>	<i>120,000</i>	<i>0</i>	<i>120,000</i>	<i>0</i>	<i>120,000</i>	<i>0</i>		<i>Dec-14</i>
Bahrain CFC Phase-Out	305,000	80,000	225,000	0	225,000	0		Nov-13
<b>Bangladesh HCFC Phase-Out</b>	<b>230,000</b>	<b>0</b>	<b>230,000</b>	<b>0</b>	<b>230,000</b>	<b>0</b>		<b>Nov-13</b>
Bangladesh ODS Phase-Out	294,175	294,175	0	0	0	0	Dec-08	
Belize CFC Phase-Out	101,000	101,000	0	0	0	0	Dec-10	
Belize HCFC Phase-Out	80,000	30,000	50,000	50,000	50,000	0		Dec-15
Benin CFC Phase-Out	150,000	150,000	0	0	0	0	Nov-10	
Benin HCFC Phase-Out	85,000	56,314	28,686	25,000	28,686	0		Mar-13
Bhutan HCFC Phase-Out	100,000	35,934	64,066	46,000	64,066	0		Nov-13
Brunei Darussalam HCFC Phase-Out	123,000	31,144	91,856	56,678	91,856	0		Apr-14
Burkina Faso CFC Phase-Out	202,369	202,369	0	0	0	0	Mar-09	
Burkina Faso HCFC Phase-Out	120,000	111,430	8,570	4,000	8,570	0		Dec-13
Burundi CFC Phase-Out	115,000	104,000	11,000	0	11,000	0		Dec-13
Burundi HCFC Phase-Out	45,000	25,000	20,000	15,000	20,000	0		Nov-13
Cambodia CFC Phase-Out	170,000	170,000	0	0	0	0	Mar-10	
Cambodia HCFC Phase-Out	150,000	126,974	23,026	15,789	23,026	0		Jun-13
Cape Verde CFC Phase-Out	100,000	100,000	0	0	0	0	Dec-09	
Cape Verde HCFC Phase-Out	44,000	6,308	37,692	15,000	37,692	0		Jul-13
Central African Republic (the) CFC Phase-Out	105,000	105,000	0	0	0	0	Dec-12	
Central African Republic (the) HCFC Phase-Out	75,000	45,000	30,000	25,027	30,000	0		Jul-13
Chad CFC Phase-Out	171,000	169,355	1,645	0	1,645	0	Mar-10	
Chad HCFC Phase-Out	100,000	95,000	5,000	0	5,000	0		Jun-13
<b>Chile HCFC Phase-Out</b>	<b>153,217</b>	<b>0</b>	<b>153,217</b>	<b>0</b>	<b>153,217</b>	<b>0</b>		<b>Mar-13</b>
Chile Methyl Bromide	73,000	68,700	4,300	4,300	4,300	0		Apr-14
China HCFC Phase-Out	2,177,000	309,283	1,867,717	0	1,867,717	0		Jul-13
China Refrigeration Servicing Phase-Out	450,000	436,616	13,384	0	13,384	0	Dec-10	
Colombia HCFC Phase-Out	100,000	49,216	50,784	46,475	50,784	0		Apr-14
Comoros (the) CFC Phase-Out	124,022	124,022	0	0	0	0	Dec-11	
Comoros (the) HCFC Phase-Out	44,000	41,950	2,050	0	2,050	0		Jul-13
Congo (the) HCFC Phase-Out	45,000	23,595	21,405	16,405	21,405	0		Mar-13
Congo (the) ODS Phase-Out	110,000	110,000	0	0	0	0	Jan-12	
Cook Islands (the) HCFC Phase-Out	49,250	18,875	30,375	18,375	30,375	0		Feb-15
Cote D'Ivoire CFC Phase-Out	282,000	277,225	4,775	0	4,775	0	Feb-12	
Cote D'Ivoire HCFC Phase-Out	250,000	85,014	164,986	60,015	164,986	0		Apr-14
Democratic People's Republic of Korea (the) CFC Phase-Out	501,468	501,468	0	0	0	0	Apr-11	

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Democratic Republic of the Congo (the) CFC Phase-Out	287,500	287,500	0	0	0	0	Dec-11	
Democratic Republic of the Congo (the) HCFC Phase-Out	95,000	85,478	9,522	5,000	9,522	0		Mar-13
Djibouti CFC Phase-Out	138,000	120,000	18,000	18,000	18,000	0		Dec-13
Djibouti HCFC Phase-Out	81,000	50,000	31,000	26,000	31,000	0		Apr-16
Dominica CFC Phase-Out	114,000	104,792	9,208	9,208	9,208	0		Dec-13
<b>Dominica HCFC Phase-Out</b>	<b>82,250</b>	<b>0</b>	<b>82,250</b>	<b>60,000</b>	<b>82,250</b>	0		<b>Dec-14</b>
<b>Dominican Republic (the) HCFC Phase-Out</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>	0		<b>Nov-13</b>
<i>Ecuador CFC Phase-Out</i>	<i>193,155</i>	<i>7,188</i>	<i>185,967</i>	<i>9,835</i>	<i>185,967</i>	0		<i>Mar-13</i>
Ecuador HCFC Phase-Out	30,000	30,000	0	0	0	0		Dec-13
El Salvador CFC Phase-Out	50,000	50,000	0	0	0	0	Jun-12	
<b>El Salvador HCFC Phase-Out</b>	<b>166,500</b>	<b>11,304</b>	<b>155,196</b>	<b>0</b>	<b>155,196</b>	0		<b>Nov-15</b>
Equatorial Guinea HCFC Phase-Out	40,000	21,985	18,015	18,015	18,015	0		Nov-13
Equatorial Guinea ODS Phase-Out	105,000	80,000	25,000	0	25,000	0		Jun-13
<i>Eritrea HCFC Phase-Out</i>	<i>40,000</i>	<i>0</i>	<i>40,000</i>	<i>0</i>	<i>40,000</i>	0		<i>Jul-16</i>
Eritrea ODS Phase-Out	170,000	80,000	90,000	0	90,000	0		Jul-13
<i>Ethiopia HCFC Phase-Out</i>	<i>85,000</i>	<i>0</i>	<i>85,000</i>	<i>0</i>	<i>85,000</i>	0		<i>Dec-16</i>
Federated States of Micronesia HCFC Phase-Out	53,875	26,068	27,807	17,200	27,807	0		Feb-15
Fiji HCFC Phase-Out	47,900	25,000	22,900	22,900	22,900	0		Nov-14
Gabon CFC Phase-Out	112,507	97,507	15,000	0	15,000	0	Dec-11	
<b>Gabon HCFC Phase-Out</b>	<b>90,000</b>	<b>0</b>	<b>90,000</b>	<b>85,000</b>	<b>90,000</b>	0		<b>Dec-13</b>
Gambia CFC Phase-Out	165,000	165,000	0	0	0	0	Mar-11	
Gambia HCFC Phase-Out	25,000	10,000	15,000	10,000	15,000	0		Nov-13
Grenada CFC Phase-Out	117,500	108,972	8,528	0	8,528	0		Dec-13
Grenada HCFC Phase-Out	105,000	19,221	85,779	55,000	85,779	0		Dec-13
Guatemala CFC Phase-Out	33,000	23,016	9,984	0	9,984	0	Dec-12	
<b>Guatemala HCFC Phase-Out</b>	<b>28,250</b>	<b>0</b>	<b>28,250</b>	<b>0</b>	<b>28,250</b>	0		<b>Jul-13</b>
<b>Guatemala Methyl Bromide</b>	<b>70,000</b>	<b>0</b>	<b>70,000</b>	<b>0</b>	<b>70,000</b>	0		<b>Nov-13</b>
Guinea CFC Phase-Out	132,000	131,000	1,000	0	1,000	0	Dec-11	
Guinea HCFC Phase-Out	85,000	55,019	29,981	25,000	29,981	0		Apr-14
Guinea-Bissau CFC Phase-Out	100,000	99,000	1,000	1,000	1,000	0	Dec-11	
Guinea-Bissau HCFC Phase-Out	35,000	19,293	15,707	15,004	15,707	0		Nov-13
Guyana CFC Phase-Out	130,000	111,434	18,566	0	18,566	0	Dec-11	
<b>Guyana HCFC Phase-Out</b>	<b>11,000</b>	<b>0</b>	<b>11,000</b>	<b>9,000</b>	<b>11,000</b>	0		<b>Mar-13</b>
Haiti CFC Phase-Out	125,000	64,943	60,057	0	60,057	0		Jun-13
<i>Haiti HCFC Phase-Out</i>	<i>40,000</i>	<i>0</i>	<i>40,000</i>	<i>0</i>	<i>40,000</i>	0		<i>Dec-15</i>
Honduras CFC Phase-Out	198,000	171,000	27,000	0	27,000	0		Dec-13
Honduras HCFC Phase-Out	75,000	38,355	36,645	0	36,645	0		Mar-14
<b>India HCFC Phase-Out</b>	<b>430,800</b>	<b>7,112</b>	<b>423,688</b>	<b>0</b>	<b>423,688</b>	0		<b>Apr-13</b>
India Refrigeration Servicing Phase-Out	702,500	702,500	0	0	0	0	Jan-11	
<b>Iraq HCFC Phase-Out</b>	<b>115,000</b>	<b>0</b>	<b>115,000</b>	<b>0</b>	<b>115,000</b>	0		<b>Nov-13</b>
Iraq ODS Phase-Out	1,641,000	586,959	1,054,041	11,880	1,054,041	0		Jul-13
Islamic Republic of Iran CFC Phase-Out	140,253	140,253	0	0	0	0	Dec-10	
<b>Islamic Republic of Iran HCFC Phase-Out</b>	<b>262,000</b>	<b>3,293</b>	<b>258,707</b>	<b>0</b>	<b>258,707</b>	0		<b>Feb-15</b>
Jamaica HCFC Phase-Out	20,000	9,000	11,000	11,000	11,000	0		Jul-13
Kiribati HCFC Phase-Out	53,250	35,250	18,000	6,000	18,000	0		Feb-15
<b>Kuwait HCFC Phase-Out</b>	<b>277,000</b>	<b>0</b>	<b>277,000</b>	<b>0</b>	<b>277,000</b>	0		<b>Apr-14</b>
<b>Kuwait ODS Phase-Out</b>	<b>240,000</b>	<b>1,757</b>	<b>238,243</b>	<b>0</b>	<b>238,243</b>	0		<b>Dec-13</b>
Kyrgyzstan CFC Phase-Out	233,000	233,000	0	0	0	0	Apr-11	
Kyrgyzstan HCFC Phase-Out	31,680	30,000	1,680	1,680	1,680	0		Dec-14

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Lao People's Democratic Republic (the) HCFC Phase-Out	113,625	41,062	72,563	46,282	72,563	0		Mar-15
Liberia CFC Phase-Out	213,000	208,000	5,000	0	5,000	0	Dec-11	
Madagascar HCFC Phase-Out	70,000	51,362	18,638	5,000	18,638	0		Dec-13
Madagascar ODS Phase-Out	220,000	220,000	0	0	0	0	Jul-09	
Malawi CFC Phase-Out	172,000	172,000	0	0	0	0	Dec-11	
Malawi HCFC Phase-Out	60,000	50,000	10,000	0	10,000	0		Dec-13
Maldives CFC Phase-Out	95,000	95,000	0	0	0	0	Jul-10	
Maldives HCFC Phase-Out	355,940	183,738	172,202	0	172,202	0		Dec-19
Mali CFC Phase-Out	192,412	192,412	0	0	0	0	Dec-10	
Mali HCFC Phase-Out	65,000	37,830	27,170	25,000	27,170	0		Mar-13
Marshall Islands (the) HCFC Phase-Out	54,000	32,546	21,454	13,977	21,454	0		Feb-15
Mauritania CFC Phase-Out	155,000	96,000	59,000	0	59,000	0		Dec-13
Mongolia HCFC Phase-Out	65,000	50,500	14,500	9,500	14,500	0		Mar-13
Mozambique CFC Phase-Out	126,450	107,450	19,000	0	19,000	0		Dec-13
<b>Mozambique HCFC Phase-Out</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>	<b>0</b>		<b>Apr-14</b>
<i>Myanmar HCFC Phase-Out</i>	<i>159,000</i>	<i>0</i>	<i>159,000</i>	<i>0</i>	<i>159,000</i>	<i>0</i>		<i>Dec-15</i>
Nauru HCFC Phase-Out	45,625	17,625	28,000	16,000	28,000	0		Feb-15
Nepal CFC Phase-Out	70,000	70,000	0	0	0	0	Mar-10	
Nepal HCFC Phase-Out	63,000	15,530	47,470	37,700	47,470	0		Apr-15
Nicaragua CFC Phase-Out	200,000	191,466	8,534	1,765	8,534	0	Jun-12	
<b>Nicaragua HCFC Phase-Out</b>	<b>38,000</b>	<b>0</b>	<b>38,000</b>	<b>0</b>	<b>38,000</b>	<b>0</b>		<b>Apr-15</b>
Niger (the) CFC Phase-Out	148,000	148,000	0	0	0	0	Dec-10	
Niger (the) HCFC Phase-Out	100,000	60,000	40,000	35,000	40,000	0		Apr-16
Niue HCFC Phase-Out	45,625	23,625	22,000	10,000	22,000	0		Feb-15
<b>Oman HCFC Phase-Out</b>	<b>65,000</b>	<b>0</b>	<b>65,000</b>	<b>0</b>	<b>65,000</b>	<b>0</b>		<b>Nov-15</b>
Pakistan HCFC Phase-Out	200,000	102,956	97,044	68,500	97,044	0		Dec-13
Palau HCFC Phase-Out	62,375	36,402	25,973	16,330	25,973	0		Feb-15
Panama CFC Phase-Out	50,000	50,000	0	0	0	0	Jun-11	
<b>Panama HCFC Phase-Out</b>	<b>35,000</b>	<b>1,840</b>	<b>33,160</b>	<b>5,000</b>	<b>33,160</b>	<b>0</b>		<b>Jan-14</b>
Paraguay CFC Phase-Out	194,000	194,000	0	0	0	0	Dec-11	
Paraguay HCFC Phase-Out	146,500	50,027	96,473	52,000	96,473	0		Dec-13
Peru CFC Phase-Out	77,500	36,266	41,234	0	41,234	0		Dec-13
<i>Peru HCFC Phase-Out</i>	<i>25,000</i>	<i>0</i>	<i>25,000</i>	<i>0</i>	<i>25,000</i>	<i>0</i>		<i>Dec-13</i>
<b>Philippines (the) CFC Phase-Out</b>	<b>1,835,205</b>	<b>103,924</b>	<b>1,731,281</b>	<b>0</b>	<b>1,731,281</b>	<b>0</b>		<b>Mar-14</b>
<i>Philippines (the) HCFC Phase-Out</i>	<i>207,000</i>	<i>0</i>	<i>207,000</i>	<i>0</i>	<i>207,000</i>	<i>0</i>		<i>Dec-15</i>
Qatar CFC Phase-Out	120,000	15,000	105,000	0	105,000	0		Dec-13
<b>Qatar HCFC Phase-Out</b>	<b>105,000</b>	<b>0</b>	<b>105,000</b>	<b>0</b>	<b>105,000</b>	<b>0</b>		<b>Nov-13</b>
Region: PIC HCFC Phase-Out	134,000	125,000	9,000	0	9,000	0		Mar-15
Republic of Moldova (the) CFC Phase-Out	215,000	215,000	0	0	0	0	Jun-10	
Rwanda CFC Phase-Out	177,000	160,013	16,987	0	16,987	0		Dec-13
Rwanda HCFC Phase-Out	42,000	22,000	20,000	15,000	20,000	0		Jul-13
Saint Kitts and Nevis CFC Phase-Out	130,000	104,842	25,158	25,158	25,158	0		Jun-13
<b>Saint Kitts and Nevis HCFC Phase-Out</b>	<b>58,400</b>	<b>0</b>	<b>58,400</b>	<b>16,876</b>	<b>58,400</b>	<b>0</b>		<b>Jun-15</b>
Saint Lucia HCFC Phase-Out	26,150	6,000	20,150	7,000	20,150	0		Dec-15
Saint Vincent and the Grenadines HCFC Phase-Out	55,809	34,450	21,359	12,342	21,359	0		Dec-14
Saint Vincent and the Grenadines ODS Phase-Out	109,000	109,000	0	0	0	0	Dec-10	
Samoa CFC Phase-Out	75,000	75,000	0	0	0	0	Dec-10	
Samoa HCFC Phase-Out	76,250	50,632	25,618	15,371	25,618	0		Feb-15
Sao Tome and Principe CFC Phase-Out	75,000	75,000	0	0	0	0	Dec-10	
Sao Tome and Principe HCFC Phase-Out	44,000	24,009	19,991	15,000	19,991	0		Mar-13
<i>Saudi Arabia HCFC Phase-Out</i>	<i>290,400</i>	<i>0</i>	<i>290,400</i>	<i>0</i>	<i>290,400</i>	<i>0</i>		<i>Dec-15</i>

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Saudi Arabia ODS Phase-Out	405,000	242,989	162,011	0	162,011	0		Dec-13
Senegal CFC Phase-Out	209,000	209,000	0	0	0	0	Dec-10	
Senegal HCFC Phase-Out	100,000	62,290	37,710	35,000	37,710	0		Nov-14
Serbia HCFC Phase-out	26,000	7,827	18,173	154	18,173	0		Dec-13
Sierra Leone HCFC Phase-Out	25,000	10,000	15,000	10,000	15,000	0		Nov-13
Sierra Leone ODS Phase-Out	150,000	97,545	52,455	0	52,455	0		Dec-13
Solomon Islands HCFC Phase-Out	110,250	68,739	41,511	27,818	41,511	0		Feb-15
Sri Lanka HCFC Phase-Out	125,000	56,293	68,707	47,103	68,707	0		Dec-14
Suriname CFC Phase-Out	100,000	85,441	14,559	14,032	14,559	0		Dec-13
<b>Suriname HCFC Phase-Out</b>	<b>27,000</b>	<b>0</b>	<b>27,000</b>	<b>27,000</b>	<b>27,000</b>	0		<b>Nov-13</b>
Swaziland CFC Phase-Out	132,000	90,017	41,983	0	41,983	0		Dec-13
Swaziland HCFC Phase-Out	75,000	45,010	29,990	20,000	29,990	0		Mar-13
Timor-Leste HCFC Phase-Out	93,500	24,000	69,500	49,500	69,500	0		Mar-13
Togo CFC Phase-Out	158,708	158,712	-4	0	-4	0	Dec-10	
Togo HCFC Phase-Out	70,000	61,946	8,054	0	8,054	0		Dec-13
Tonga HCFC Phase-Out	64,750	35,763	28,987	17,681	28,987	0		Feb-15
<i>Turkey HCFC Phase-Out</i>	<i>103,450</i>	<i>0</i>	<i>103,450</i>	<i>0</i>	<i>103,450</i>	0		<i>Dec-17</i>
Tuvalu HCFC Phase-Out	47,875	38,782	9,093	9,093	9,093	0		Feb-15
<i>Uganda HCFC Phase-Out</i>	<i>40,500</i>	<i>0</i>	<i>40,500</i>	<i>0</i>	<i>40,500</i>	0		<i>Dec-16</i>
<i>United Republic of Tanzania (the) HCFC Phase-Out</i>	<i>55,000</i>	<i>0</i>	<i>55,000</i>	<i>0</i>	<i>55,000</i>	0		<i>Jul-15</i>
United Republic of Tanzania (the) ODS Phase-Out	209,000	181,000	28,000	0	28,000	0		Dec-13
Vanuatu HCFC Phase-Out	76,250	51,263	24,987	15,056	24,987	0		Feb-15
<b>Venezuela (Bolivarian Republic of) HCFC Phase-Out</b>	<b>97,307</b>	<b>7,465</b>	<b>89,842</b>	<b>0</b>	<b>89,842</b>	0		<b>Jul-13</b>
<i>Yemen HCFC Phase-Out</i>	<i>215,000</i>	<i>0</i>	<i>215,000</i>	<i>0</i>	<i>215,000</i>	0		<i>Dec-15</i>
Yemen ODS Phase-Out	455,000	227,600	227,400	0	227,400	0		Dec-13
Zambia CFC Phase-Out	136,000	131,000	5,000	0	5,000	0	Mar-12	
Zambia HCFC Phase-Out	40,000	22,000	18,000	13,000	18,000	0		Jul-13
<b>Total</b>	<b>26,469,526</b>	<b>14,038,844</b>	<b>12,430,682</b>	<b>1,594,941</b>	<b>12,430,682</b>	0		

Note: Entries in bold are for projects approved over one year ago with disbursements of less than 10 per cent. Entries in italics are for projects approved less than one year ago with disbursements of less than 10 per cent. Entries in bold italics are for projects for CFC activities for which there remain significant balances.

7. At the end of 2012, out of US \$26,469,526 in approved funds for tranches of MYAs, UNEP had disbursed US \$14,038,844 (53 per cent) leaving a balance of US \$12,430,682. US \$12,430,682 of the balance is expected to be disbursed in 2013.

8. The Executive Committee may wish to note that there remain significant balances for the CFC activities indicated in bold italics in Table 1.

#### *MYAs excluding HPMPs*

9. UNEP had completed 43 MYAs for non-HCFC activities by December 2012. It plans to complete 24 MYAs for CFC or other ODS phase-out in 2013 and one in 2014. A methyl bromide (MB) project in Chile is planned for completion in 2014 and another one in Guatemala in 2013.

10. There are four MYAs approved over a year ago that have disbursement levels below 10 per cent (see data in bold in Table 1). These include: one MB phase-out plan in Guatemala for which no disbursement was reported, ODS or CFC phase-out plans in Ecuador, Kuwait, and the Philippines for which disbursement was reported but below 10 per cent. The review of the progress report also revealed

that of the 24 MYAs for CFC/ODS phase-out, three countries (Bahrain, Ecuador and Eritrea) have not signed their respective agreements for the plans.

11. The analysis of the issues that have impacted the smooth implementation and/or led to the low disbursement reported for the MYAs, excluding HPMPs, include *inter alia*:

- Signing of Agreements;
- Security and political situation;
- Government changes;
- National Ozone Unit (NOU) changes ;
- NOU not submit required reports but most or all activities completed;
- Delay in disbursing funds under previous tranche that resulted in delay in establishing the second tranche contract; and
- Administrative stalemate unresolved.

12. The Executive Committee may wish to request additional status reports to the 71<sup>st</sup> meeting to monitor:

- Project implementation progress for the MB phase-out plan in Guatemala approved over one year ago and for which no disbursement has been recorded;
- Project implementation progress for the three MYAs for CFC/ODS phase-out in Ecuador, Kuwait, and the Philippines approved over one year ago with disbursement reported but lower than 10 per cent; and
- Signing of the Agreements for Bahrain, Ecuador and Eritrea.

#### *MYAs for HCFCs*

13. UNEP is implementing 105 HPMP activities in 98 countries. Twelve of these were approved less than one year ago, and funds have been disbursed for 72 countries. Fifteen MYAs were approved over one year ago, for which no disbursement has been recorded, namely: Bangladesh, Chile, Dominica, the Dominican Republic, Gabon, Guatemala, Guyana, Iraq, Kuwait, Mozambique, Nicaragua, Oman, Qatar, Saint Kitts and Nevis and Suriname. Moreover, five MYAs for HPMPs approved over one year ago have reported a disbursement, but lower than 10 per cent, namely, El Salvador, India, Islamic Republic of Iran, Panama, and Venezuela (Bolivarian Republic of). The review of the progress report also revealed that 17 countries have not signed their respective agreements: Antigua and Barbuda, Bahrain, Bangladesh, Chile, the Dominican Republic, El Salvador, Eritrea, Ethiopia, Guatemala, Iraq, Kuwait, Peru, the Philippines, Qatar, Saudi Arabia, Uganda, and Venezuela (Bolivarian Republic of).

14. The analysis of the issues that have impacted the smooth implementation and/or led to the low disbursement reported for the MYAs for HCFCs include among others:

- Government changes and/or absence of National Ozone Officer (NOO);
- Delays in signing the Agreement;
- Finalisation of the implementation plans;
- Use of TPMP remaining funds before using HPMP funds; and
- NOU efforts focused on legislation approval and enforcement of the HCFC quota system.

15. The Executive Committee may wish to request additional status reports to the 71<sup>st</sup> meeting to monitor:

- Project implementation progress for the 15 MYAs for HPMPs approved over one year ago and for which no disbursement has been recorded namely, Bangladesh, Chile, Dominica, the Dominican Republic, Gabon, Guatemala, Guyana, Iraq, Kuwait, Mozambique, Nicaragua, Oman, Qatar, Saint Kitts and Nevis and Suriname;
- Project implementation progress for the 5 MYAs for HPMPs approved over one year ago with disbursement reported but lower than 10 per cent namely, El Salvador, India, Islamic Republic of Iran, Panama, and Venezuela (Bolivarian Republic of); and
- Signing of the Agreements for Antigua and Barbuda, Bahrain, Bangladesh, Chile, the Dominican Republic, El Salvador, Eritrea, Ethiopia, Guatemala, Iraq, Kuwait, Peru, the Philippines, Qatar, Saudi Arabia, Uganda, and Venezuela (Bolivarian Republic of).

### **IS, RMPs, halon banking technical assistance and preparation projects**

16. IS projects, RMPs, halon banking technical assistance projects and preparation projects are not subject to monitoring through implementation delay reports and, therefore, are not subject to the procedures for project cancellation. The Secretariat reviewed progress report database information provided by the agencies to determine if additional status reports are required.

### IS

17. UNEP is implementing 123 IS projects in 102 countries. The review of the progress report revealed that the agreement has not been signed for eight institutional strengthening renewal projects. The reasons provided to explain this situation are as follows:

- Government is in the process of finalising NOU recruitment process;
- No NOU has been recruited;
- Administrative stalemate has still not been resolved; and
- NOU has not submitted required reports despite several reminders.

18. The Executive Committee may wish to request additional status reports to the 71<sup>st</sup> meeting to monitor the signing of the renewal or extension of institutional strengthening projects in: Algeria (ALG/SEV/57/INS/69), Brunei Darussalam (BRU/SEV/67/INS/14), Cook Islands (the) (CKI/SEV/67/INS/09), Grenada (GRN/SEV/67/INS/19), Mauritania (MAU/SEV/49/INS/17), (MAU/SEV/57/INS/23), Morocco (MOR/SEV/59/INS/63), and Saudi Arabia (SAU/SEV/67/INS/15).

19. Seven institutional strengthening renewal projects approved over one year ago have reported disbursement below 10 per cent. The Executive committee may wish to request additional status reports to the 71<sup>st</sup> meeting of the Executive Committee to monitor the disbursement rates of approved funds for the renewal or extension of institutional strengthening projects in Albania (ALB/SEV/66/INS/24), Dominica (DMI/SEV/62/INS/20), Ecuador (ECU/SEV/59/INS/43), Mauritania (MAU/SEV/57/INS/23), Morocco (MOR/SEV/59/INS/63), Myanmar (MYA/SEV/64/INS/13) and Namibia (NAM/SEV/66/INS/18).

### RMPs

20. UNEP is the implementing agency for one RMP activity approved in 2012 for monitoring activities in Yemen (YEM/REF/37/TAS/19). UNEP indicated that it was still following up with the country to receive final financial statements to allow closing of the project. The Executive Committee



may wish to request the submission of an additional status report to the 71<sup>st</sup> meeting for the RMP in Yemen (YEM/REF/37/TAS/19) to monitor the closing of the project.

#### Halon banking technical assistance

21. UNEP has one ongoing halon banking activity, the “study on challenges associated with halon banking in developing countries” (GLO/HAL/52/TAS/281) that was approved in July 2007. UNEP indicated that a draft document had been produced by the consultant; however, during the pre-publishing review phase, some of the key data were found to be out of date. In consultation with the Halons Technical Options Committee (HTOC) co-Chairs, UNEP is currently discussing with the consultant about the means and timeline to update the data to enable production of a useful document. Additional time will be required to finalize the document. The Executive Committee may wish to urge UNEP to publish the “study on challenges associated with halon banking in developing countries” (GLO/HAL/52/TAS/281) by the 71<sup>st</sup> meeting in order to avoid consideration of possible return of project funds.

#### HPMP project preparation

22. UNEP has 22 ongoing projects for the preparation of HPMPs in 21 countries. Globally, 61 project preparation activities were approved in 2008, 13 in 2009, three in 2010 and one in 2011. Funds have been disbursed for all preparation projects.

23. Twenty HPMPs for the 22 ongoing preparation projects have been approved. The remaining two HPMPs that have not been approved yet are for Mauritania and Democratic People's Republic of Korea (the). The reasons for delay include: political instability and/or security situations or administrative stalemate still not resolved. This year all countries have their HPMP project preparation activities planned for completion in 2013.

Country	Project code	Approved Funding (US \$)	Funds Disbursed (US \$)	Estimated Disbursement in Current Year (US \$)	Comments
Antigua and Barbuda	ANT/PHA/55/PRP/12	85,000	67,284	17,716	Completed but not financially closed
Bahamas (the)	BHA/PHA/55/PRP/15	85,000	50,119	34,881	Completed but not financially closed
Bahrain	BAH/PHA/55/PRP/19	105,000	79,083	25,917	Completed but not financially closed
Barbados	BAR/PHA/55/PRP/18	85,000	51,498	33,502	Completed but not financially closed
Djibouti	DJI/PHA/55/PRP/14	30,000	5,602	24,398	Completed but not financially closed
Djibouti	DJI/PHA/58/PRP/16	55,000	45,013	9,987	Completed but not financially closed
Eritrea	ERI/PHA/55/PRP/07	85,000	70,000	15,000	Completed but not financially closed
Ethiopia	ETH/PHA/56/PRP/19	85,000	61,419	23,581	Completed but not financially closed
Grenada	GRN/PHA/55/PRP/13	85,000	77,469	7,531	Completed but not financially closed
Guatemala	GUA/PHA/57/PRP/37	75,000	22,357	52,643	Completed but not financially closed
Guinea-Bissau	GBS/PHA/57/PRP/12	85,000	66,979	18,021	Completed but not financially closed
Haiti	HAI/PHA/57/PRP/13	85,000	56,110	28,890	Completed but not financially closed
Democratic People's Republic of Korea (the)	DRK/PHA/64/PRP/56	35,000	6,450	28,550	HPMP deferred to future meetings (removed from UNIDO and UNEP's BP)
Mali	MLI/PHA/55/PRP/25	85,000	73,894	11,106	Completed but not financially closed
Mauritania	MAU/PHA/55/PRP/20	85,000	25,000	60,000	Administrative stalemate still not resolved
Saint Kitts and Nevis	STK/PHA/56/PRP/14	85,000	44,909	40,091	Completed but not financially closed
Saint Vincent and the Grenadines	STV/PHA/55/PRP/13	85,000	58,131	26,869	Completed but not financially closed
Saudi Arabia	SAU/PHA/55/PRP/06	65,000	35,766	29,234	Completed but not financially closed
United Republic of Tanzania (the)	URT/PHA/57/PRP/26	85,000	75,000	10,000	Completed but not financially closed
Uganda	UGA/PHA/56/PRP/14	30,000	21,752	8,248	Completed but not financially closed
Yemen	YEM/PHA/55/PRP/30	125,000	35,151	89,849	Completed but not financially closed
Zambia	ZAM/PHA/56/PRP/22	85,000	65,000	20,000	Completed but not financially closed
<b>TOTAL</b>		<b>1,710,000</b>	<b>1,093,986</b>	<b>616,014</b>	

24. The Executive Committee may wish to request UNEP to submit an additional status report to the 71<sup>st</sup> meeting on the HPMP in Mauritania (MAU/PHA/55/PRP/20) in order to monitor the submission of the HPMP if it is not submitted to the 71<sup>st</sup> meeting.

### **Other individual projects**

25. This section addresses implementation delays, and comments on specific projects that have not yet been classified with such delays but appear to be subject to implementation difficulties.

#### Implementation delays

26. There are four projects with implementation delays that are subject to monitoring by the Executive Committee. None of these projects was classified with implementation delays in 2011. In 2012, UNEP had more projects classified with implementation delays than in 2011, when a total of four projects were classified as such.

27. The Executive Committee may wish to note that UNEP has four projects classified with implementation delays, and that a report on these projects should be submitted to the 71<sup>st</sup> meeting.

#### Chiller projects

28. UNEP is implementing one chiller project, “global technical assistance programme in the chiller sector” (GLO/REF/48/TAS/275) that was approved in April 2006 and for which 50 per cent of the funds approved have been disbursed. With the complete phase-out of CFCs achieved by Article 5 countries in 2010, the issue of CFC consumption in the chillers sector has evolved and the remaining components of the project are less relevant. Since Article 5 countries have already phased out CFCs, UNEP considered that the development of the remaining guidance kits, quick reference tools and other awareness materials in the CFC chiller sector is no longer relevant. UNEP proposed to close the project and return the balance to the Secretariat. This project will be completed by July 2013. It is tracked as a project with implementation delays.

#### Metered-dose inhaler (MDI) transition strategy projects

29. UNEP is implementing one MDI transition strategy project in India (IND/ARS/56/TAS/425). This project was approved in November 2008 and 39 per cent of funds have been disbursed. UNEP indicated that it was in the process of project completion. UNEP completed the awareness activities and is finalizing the reports. The project is planned for completion by November 2013.

#### MB technical assistance project

30. UNEP is implementing two MB technical assistance projects in Ecuador (ECU/FUM/65/TAS/56) and Trinidad and Tobago (TRI/FUM/65/TAS/28). These projects were approved in November 2011. For ECU/FUM/65/TAS/56, UNEP indicated that the investment activities were implemented by UNIDO. According to the work plan, UNEP was responsible for the non-investment activities, scheduled for 2013. The Agreement is being drafted and is expected to be signed by July 2013. The low disbursement was due to lengthy procedures to open a special banking account at the Government level to enable funds transfer to the NOU. The special banking account is expected to be operational by July 2013. This project is planned for completion by November 2014.

31. For TRI/FUM/65/TAS/28, UNEP indicated that the project funds approved by the Executive Committee have been pulled together with the national funds in order to provide comprehensive technical assistance for the MB phase-out at country level. The Government of Trinidad and Tobago requested

UNEP's administration of funds to pay directly to suppliers instead of signing an agreement. UNEP reported that the project is progressing. Following the NOU's completion of the technical specifications for equipment required, UNEP will proceed with the procurement. Consequently, the disbursements are foreseen for the second half of 2013. This project is planned for completion by November 2013.

#### ASHRAE's technical assistance project

32. UNEP is implementing one project for the development of a guide for sustainable refrigerated facilities and systems, in cooperation with ASHRAE (GLO/SEV/66/TAS/314) that was approved in April 2012. UNEP and ASHRAE established a taskforce to agree on the contractual arrangements for the guide. UNEP reported that ASHRAE established a Project Management Sub-Committee to oversee the development of the guide. It was also indicated that ASHRAE tendered the development of the guide amongst international specialized institutes and concluded with two institutes to undertake the development work. A list of international reviewers was prepared by UNEP to support the review of the guide as it progressed. UNEP finalized, through its legal office, the review of the license agreement, and the contract between UNEP and ASHRAE was planned to be signed by May 2013. This project is planned for completion by April 2014.

#### ODS destruction technical assistance project

33. UNEP is implementing one activity in the ODS destruction sector for Nepal (NEP/DES/59/TAS/27). An amount of US \$113,901 has been disbursed out of the US \$157,200 approved by the Executive Committee. The transportation of ODS and its destruction was completed and reports were being finalized for submission to UNEP. This project is planned for completion by June 2013. This project is tracked as a project with implementation delays.

#### TPMP verification projects

34. UNEP is implementing two TPMP verification projects that were approved in April 2009. It appears that no funds had been disbursed for the project in Kuwait (KUW/PHA/57/TAS/15). UNEP indicated that TPMP verification project funds are incorporated in the HPMP's project for developing verification for the first tranche of the HPMP. An independent institute/firm will be contracted before the end of 2013 to prepare the verification report including verifying the TPMP, to be submitted with the second tranche of the HPMP. UNEP and the NOU agreed on the terms of reference. This project is planned for completion by July 2013. The Executive Committee may wish to consider requesting UNEP to return the balances for the TPMP verification project in Kuwait (KUW/PHA/57/TAS/15) by 31 December 2013 in light of the information provided by UNEP on this project. This project is tracked as a project with implementation delays.

35. The TPMP verification project in Zambia (ZAM/PHA/57/TAS/25) was finalised and submitted to the 69<sup>th</sup> meeting. This project is planned for completion by December 2013.

#### Resource mobilization projects

36. UNEP is implementing one resource mobilization project that was approved in April 2011 (GLO/SEV/63/TAS/308). With respect to the study on financing options, UNEP reported that the terms of reference have been prepared, the consultant recruitment process is being finalized, the members of the quality review team are being identified, and the CAP staff has conducted initial background research on co-financing issues, including existing documentation on the experiences of other agencies' resource mobilization activities. Concerning the regional workshops on co-financing, UNEP reported that the internal discussions are underway within CAP to identify common agenda elements, workshop methodology, and key participants to invite to ensure a certain level of standardization and comparability

across regions. The CAP teams are scheduling the regional workshops in the context of the Regional Network meetings planned for 2013. This project is tracked as a project with implementation delays.

### **Compliance assistance programme (CAP)**

37. UNEP provided a detailed analysis of progress on its CAP programme overall and by region. The Executive Committee may recall that decision 66/16 on the systematizing and streamlining of reporting on progress requested UNEP to present its detailed annual progress report on CAP activities to the third meeting of each year in the context of the annual CAP approval, and to identify any project implementation impediments for the CAP project in the Annual Progress and Financial Report.

38. For the 70<sup>th</sup> meeting, UNEP provided a report on expenditures under the CAP budget with its 1 May 2012 progress report. The report provides information by line-item on budget and expenditures. Out of a total of US \$9,705,960 in CAP and administrative costs, UNEP indicated that it had expenditures amounting to US \$9,273,541 leaving a balance of US \$432,419 to be returned from the 2012 CAP budget.

39. At its 50<sup>th</sup> meeting, the Executive Committee decided that “the CAP budget should only be spent in accordance with the terms of reference for the financial mechanism contained in Article 10 of the Montreal Protocol and therefore resources should not be spent on inter-multilateral environmental agreement coordination activities” (decision 50/26(a)(iii)).

40. The Secretariat requested UNEP to provide information on the extent to which UNEP staff were used for non-Multilateral Fund Montreal Protocol related activities. UNEP indicated that although it did not have time recording systems for the work of its staff, the information that it could provide was its best estimate.

41. UNEP’s report classified professional staff in Paris and the regions according to the following five categories:

- (a) Projects and services approved by the Executive Committee;
- (b) Pursuing synergies or implementing joint activities with other non-Multilateral Fund Montreal Protocol partnerships and initiatives;
- (c) Mobilizing resources for ozone protection activities from entities outside of Multilateral Fund;
- (d) UNEP-wide processes and activities; and
- (e) Administrative activities.

42. UNEP indicated that categories (b), (d) and (e) are not related to Multilateral Fund Montreal Protocol activities although category (e) refers to administrative activities that are associated with employment in the UN and not related to other programmatic activities. Most of these activities had been indicated to the Committee through documents submitted by UNEP. The types of activities included in category (b) are: Climate and Clean Air Coalition’s HFC Initiative, Refrigerants Naturally partnership, and the Green Customs Initiative. The types of activities included in category (d) are: Multilateral Environment Agreements Regional Enforcement Network, Partnership against Transnational-crime through Regional Organized Law-enforcement, Asia Regional Partners Forum for Combating Environmental Crime, and Transnational organized crime threat assessment in the East Asia and Pacific.

43. UNEP’s Executive Director’s Management Note of 1 March 2012 indicated that Regional Office staff paid under the various sub-programmes including the extra-budgetary staff “can spend up to 20 per

cent of their time on regional representational work”. UNEP indicated that the application of this Management Note varies from region to region, but that since the work plan of the regionally posted officers is approved by the regional directors<sup>1</sup> and not the Chief of the OzonAction branch, there is a possibility that staff could be assigned tasks covering 20 per cent or more of their time.

44. While most of 26 professionals funded by CAP spent at least 90 per cent of their time on Multilateral Fund Montreal Protocol activities, five professionals did not, including the Network and Policy Manager (87.5 per cent), two regional network coordinators (85 per cent and 60 per cent), a technology officer (60 per cent), and a policy officer (30 per cent). Over 10 per cent of their time was spent on activities in categories (b) and (d).

45. UNEP indicated that it has taken actions to transfer projects found in categories (b) and (d) to outside of the OzonAction programme. It also has a goal of addressing reporting lines as part of a restructuring process to (i) ensure stronger technical oversight, (ii) monitoring and evaluation, and (iii) that the lines of accountability to the Executive Committee by the Head of Branch and Network/Policy Manager are appropriately reflected in the reporting lines of all CAP staff, in a consistent and effective manner.

46. UNEP hopes that the proposed restructuring will ensure accountability, efficiency, and enhance cooperation within and across the regions including effective oversight of UNEP staff deployed to the regions based on the CAP organigram presented in the 2010 CAP budget (UNEP/OzL.Pro/ExCom/59/17) according to the CAP structure as indicated in the original description of the CAP programme provided in UNEP/OzL.Pro/ExCom/35/4.

47. The Executive Committee may wish to consider requesting UNEP to continue to provide such reports in the future, and to report to the 71<sup>st</sup> meeting on its efforts to ensure CAP staff is used for activities other than categories (b) and (d), and to enhance accountability on regional network staff to the Paris office.

## RECOMMENDATIONS

48. The Executive Committee may wish to:

- (a) Note:
  - (i) UNEP’s progress report contained in document UNEP/OzL.Pro/ExCom/70/13;
  - (ii) That there remain significant balances for the CFC activities indicated in bold italics in Table 1 of document UNEP/OzL.Pro/ExCom/70/13;
  - (iii) That UNEP has four projects classified with implementation delays, and that a report on these projects should be submitted to the 71<sup>st</sup> meeting;
- (b) Urge UNEP to publish the “study on challenges associated with halon banking in developing countries” (GLO/HAL/52/TAS/281) by the 71<sup>st</sup> meeting in order to avoid consideration of possible return of project funds;
- (c) Request the submission of additional status reports to the 71<sup>st</sup> meeting to monitor:
  - (i) Multi-year agreements (MYAs), excluding HCFC phase-out management plans

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<sup>1</sup> Staff out-posted by Divisions to Regional Offices will have the Regional Directors as their first reporting officer and the Chief of respective Section/Unit/Deputy Director or Director of the Division as the second reporting officer” (ED’s note of 1 March 2012).

(HPMPs), with issues related to:

- a. Project implementation progress for the methyl bromide (MB) phase-out plan in Guatemala approved over one year ago and for which no disbursement has been recorded;
- b. Project implementation progress for the three CFC/ODS phase-out plans in Ecuador, Kuwait, and the Philippines approved over one year ago with disbursement reported but lower than 10 per cent;
- c. Signing of the Agreements for the CFC/ODS phase-out plans for Bahrain, Ecuador and Eritrea;

(ii) MYAs for HPMPs with issues related to:

- a. Project implementation progress for the 15 HPMPs approved over one year ago and for which no disbursement has been recorded namely, Dominica, Gabon, Guyana, Mozambique, Nicaragua, Oman, Saint Kitts and Nevis and Suriname, as well as Bangladesh, Chile, the Dominican Republic, Guatemala, Iraq, Kuwait, and Qatar to also monitor the signing of their Agreements;
- b. Project implementation progress for the 5 MYAs for HPMPs approved over one year ago with disbursement reported but lower than 10 per cent namely, India, Islamic Republic of Iran, and Panama, as well as El Salvador and Venezuela (Bolivarian Republic of) to also monitor the signing of their Agreements;
- c. Signing of the Agreements for HPMPs for Antigua and Barbuda, Bahrain, Eritrea, Ethiopia, Peru, the Philippines, Saudi Arabia, and Uganda;

(iii) Institutional strengthening (IS) projects with issues related to:

- a. The signing of the renewal or extension of projects in Algeria (ALG/SEV/57/INS/69), Brunei Darussalam (BRU/SEV/67/INS/14), Cook Islands (the) (CKI/SEV/67/INS/09), Grenada (GRN/SEV/67/INS/19), Mauritania (MAU/SEV/49/INS/17) and (MAU/SEV/57/INS/23), Morocco (MOR/SEV/59/INS/63), and Saudi Arabia (SAU/SEV/67/INS/15);
- b. Disbursement rates of approved funds for the renewal or extension of projects in Albania (ALB/SEV/66/INS/24), Dominica (DMI/SEV/62/INS/20), Ecuador (ECU/SEV/59/INS/43), Mauritania (MAU/SEV/57/INS/23), Morocco (MOR/SEV/59/INS/63), Myanmar (MYA/SEV/64/INS/13) and Namibia (NAM/SEV/66/INS/18);

(iv) The closing of the refrigerant management plan (RMP) in Yemen (YEM/REF/37/TAS/19);

(v) HPMP project preparation in Mauritania (MAU/PHA/55/PRP/20) if the HPMP is not submitted to the 71<sup>st</sup> meeting;

(d) Request UNEP to:

- (i) Return the balances for the terminal phase-out management plan (TPMP)

verification project in Kuwait (KUW/PHA/57/TAS/15) by 31 December 2013;

- (ii) Continue to provide reports on the utilization of Compliance Assistance Programme (CAP) staff for Multilateral Fund Montreal Protocol activities according to five categories presented in document UNEP/OzL.Pro/ExCom/70/13 in the context of annual progress and financial reports; and
- (iii) Provide a status report to the 71<sup>st</sup> meeting on the extent to which UNEP has implemented measures to ensure that all professional staff time from CAP funds is used for Multilateral Fund Montreal Protocol activities in line with decision 50/26(a)(iii), and that there is direct accountability of regional network coordinators to the Network and Policy Manager in OzonAction branch based on the CAP organigram presented in the 2010 CAP budget (UNEP/OzL.Pro/ExCom/59/17) according to the CAP structure as indicated in the original description of the CAP programmed provide in UNEP/OzL.Pro/ExCom/35/4.

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Annex I

UNEP PROJECT IMPLEMENTATION BY COUNTRY

Country	Estimated Funds Disbursed in 2012 (US\$)	Funds Disbursed in 2012 (US\$)	Percentage of Funds Disbursed over Estimation in 2012	Percentage of Planned Projects Completed in 2012
Afghanistan	180,000	60,571	34%	
Albania	73,622	68,715	93%	100%
Algeria	205,050	76,350	37%	0%
Angola	101,675	41,675	41%	100%
Antigua and Barbuda	59,739	49,263	82%	50%
Argentina	0	0		
Armenia	18,515	13,000	70%	0%
Bahamas (the)	129,904	50,864	39%	0%
Bahrain	298,416	47,499	16%	25%
Bangladesh	230,000	0	0%	
Barbados	106,952	70,690	66%	0%
Belize	90,406	50,680	56%	0%
Benin	117,000	20,814	18%	0%
Bhutan	176,744	58,834	33%	
Bolivia (Plurinational State of)	0	31,500		100%
Botswana	87,302	51,216	59%	0%
Brunei Darussalam	46,000	77,144	168%	100%
Burkina Faso	64,500	54,630	85%	100%
Burundi	128,453	41,453	32%	0%
Cambodia	121,681	26,974	22%	
Cameroon	181,289	71,516	39%	100%
Cape Verde	130,997	33,253	25%	0%
Central African Republic (the)	186,323	118,703	64%	50%
Chad	110,753	54,623	49%	100%
Chile	201,870	44,353	22%	
China	1,753,560	470,459	27%	
Colombia	50,000	49,216	98%	0%
Comoros (the)	126,213	96,713	77%	
Congo (the)	133,982	85,541	64%	100%
Cook Islands	72,500	42,125	58%	100%
Costa Rica	0	0		
Cote D'Ivoire	136,131	194,617	143%	100%
Croatia	105,414	52,857	50%	
Cyprus	0	0		
Democratic People's Republic of Korea (the)	70,073	36,523	52%	0%
Democratic Republic of the Congo (the)	123,791	70,558	57%	0%
Djibouti	152,141	136,256	90%	25%
Dominica	192,719	3,508	2%	0%
Dominican Republic (the)	90,333	65,333	72%	100%
Ecuador	426,924	53,682	13%	100%
El Salvador	194,865	19,330	10%	100%
Equatorial Guinea	111,278	21,985	20%	0%
Eritrea	125,000	0	0%	0%
Ethiopia	85,161	0	0%	0%
Fiji	83,900	61,000	73%	100%
Gabon	142,931	13,484	9%	0%

Country	Estimated Funds Disbursed in 2012 (US\$)	Funds Disbursed in 2012 (US\$)	Percentage of Funds Disbursed over Estimation in 2012	Percentage of Planned Projects Completed in 2012
Gambia (the)	65,500	45,161	69%	100%
Georgia	0	0		
Ghana	0	0		
Global	10,280,595	8,329,876	81%	33%
Grenada	165,792	53,454	32%	0%
Guatemala	275,772	13,055	5%	50%
Guinea	41,500	68,519	165%	
Guinea-Bissau	65,177	49,945	77%	0%
Guyana	94,284	47,500	50%	0%
Haiti	214,725	84,154	39%	20%
Honduras	149,506	68,361	46%	50%
India	89,998	23,709	26%	
Iran (Islamic Republic of)	258,707	0	0%	
Iraq	1,580,984	384,913	24%	33%
Jamaica	56,000	45,000	80%	0%
Kenya	113,867	76,067	67%	0%
Kiribati	98,000	44,000	45%	
Kuwait	406,863	113,389	28%	33%
Kyrgyzstan	90,810	84,500	93%	0%
Lao People's Democratic Republic (the)	152,109	40,000	26%	100%
Lebanon	0	0		
Lesotho	27,919	27,000	97%	100%
Liberia	63,500	39,000	61%	
Madagascar	52,313	53,350	102%	100%
Malawi	25,341	36,525	144%	100%
Malaysia	0	0		
Maldives	273,761	81,653	30%	100%
Mali	80,606	42,330	53%	0%
Malta	0	0		
Marshall Islands (the)	97,000	39,546	41%	
Mauritania	193,007	962	0%	0%
Mauritius	60,000	19,500	33%	0%
Micronesia (Federated States of)	71,875	44,068	61%	100%
Mongolia	73,705	29,500	40%	
Morocco	194,202	0	0%	0%
Mozambique	115,787	24,700	21%	50%
Myanmar	72,885	10,924	15%	
Namibia	27,000	27,000	100%	0%
Nauru	50,625	22,625	45%	100%
Nepal	62,270	15,530	25%	50%
Nicaragua	96,408	93,874	97%	100%
Niger (the)	65,828	96,616	147%	
Nigeria	0	0		
Niue	87,000	29,000	33%	
Oman	76,000	0	0%	
Pakistan	163,916	64,422	39%	
Palau	122,375	60,402	49%	
Panama	176,037	75,244	43%	
Papua New Guinea	0	0		
Paraguay	219,451	89,949	41%	0%
Peru	169,281	107,207	63%	0%

Country	Estimated Funds Disbursed in 2012 (US\$)	Funds Disbursed in 2012 (US\$)	Percentage of Funds Disbursed over Estimation in 2012	Percentage of Planned Projects Completed in 2012
Philippines (the)	191,264	272,604	143%	0%
Qatar	211,000	0	0%	0%
Region: AFR	9,360	0	0%	
Region: ASP	156,770	26,290	17%	
Region: EUR	40,971	40,971	100%	100%
Region: LAC	44,872	0	0%	
Republic of Moldova (the)	63,422	66,622	105%	100%
Rwanda	202,509	128,355	63%	0%
Saint Kitts and Nevis	153,137	10,488	7%	0%
Saint Lucia	60,417	50,885	84%	100%
Saint Vincent and the Grenadines	110,699	85,421	77%	0%
Samoa	136,250	74,632	55%	
Sao Tome and Principe	150,333	74,341	49%	
Saudi Arabia	241,886	641	0%	0%
Senegal	251,101	182,057	73%	
Serbia	26,000	7,827	30%	0%
Seychelles	40,500	13,504	33%	0%
Sierra Leone	159,850	52,495	33%	33%
Solomon Islands	142,000	64,489	45%	
Somalia	42,307	17,400	41%	
South Sudan		0		
Sri Lanka	80,556	6,437	8%	
Sudan (the)	164,000	69,981	43%	0%
Suriname	116,789	49,130	42%	50%
Swaziland	204,483	45,010	22%	0%
Syrian Arab Republic	117,437	0	0%	
Thailand	0	0		
Timor-Leste	120,274	49,029	41%	0%
Togo	113,309	90,947	80%	100%
Tonga	112,000	71,013	63%	
Trinidad and Tobago	25,000	0	0%	0%
Turkey		0		
Turkmenistan	17,000	17,000	100%	100%
Tuvalu	24,000	14,907	62%	0%
Uganda	42,989	0	0%	0%
United Republic of Tanzania (the)	52,000	19,500	38%	0%
Uruguay	0	0		
Vanuatu	102,000	77,013	76%	0%
Venezuela (Bolivarian Republic of)	48,646	5,465	11%	0%
Viet Nam	59,488	41,642	70%	
Yemen	408,010	78,011	19%	20%
Zambia	119,546	22,000	18%	50%
Zimbabwe	98,223	65,476	67%	0%
<b>Grand Total</b>	<b>28,602,786</b>	<b>15,281,620</b>	<b>53%</b>	<b>34%</b>

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**UNEP  
PROGRESS AND FINANCIAL  
REPORT**

**1 JANUARY – 31 DECEMBER 2012**

**Presented to the  
70<sup>th</sup> Meeting of the Executive Committee  
of the Multilateral Fund for the Implementation  
Of the Montreal Protocol**



**United Nations Environment Programme**

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## INTRODUCTION

The United Nations Environment Programme (UNEP) is submitting this Annual Financial and Progress Report (APR) for the consideration of the 70<sup>th</sup> meeting of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol. This report covers the period 1 January to 31 December 2012 with the most current 2013 project status provided in the Annex I.

The preparation of this APR takes into account Executive Committee Decision 66/16, which in the interest of further streamlining the reporting process requests UNEP to present its detailed annual progress report on Compliance Assistance Programme (CAP) activities to the third meeting of each year in the context of the annual CAP approval, and to identify any project implementation impediments for the CAP project in the APR. Accordingly, starting with this APR cycle UNEP's progress reporting related to CAP activities will be reported through the CAP budget document and the APR will only include progress reporting related to individual projects.

This APR reports on project assistance UNEP provided to developing countries operating under Article 5 of the Montreal Protocol ("Article 5 countries") in accordance with UNEP's 2012-2014 Business Plan,<sup>1</sup> which was endorsed by the 66<sup>th</sup> meeting of the Executive Committee. The focus and orientation of UNEP's work in 2012 continued to be defined by the evolving needs of Article 5 countries as they progress in their implementation of the Montreal Protocol, and as they meet and sustain compliance with specific obligations. UNEP met its Business Plan objectives by following three parallel tracks of assistance for Article 5 countries:

- Track 1: Enhance the capacity for implementing new responsibilities related to the HCFC phase-out by taking other environmental advantages including climate change into account.
- Track 2: Ensure that countries are prepared for achieving compliance with the 2013 and 2015 control measures for HCFCs and methyl bromide.
- Track 3: Strengthen the institutional capacity of Article 5 countries to ensure sustainable compliance of phase out targets already met (including CFCs, halons and CTC).<sup>2</sup>

UNEP delivered its Business Plan through project-related services at the global, regional and national levels, including new and ongoing projects related to HPMP preparation and implementation, Institutional Strengthening, residual CFC phase out projects and various other training and technical assistance projects.

UNEP offered assistance to a majority of Article 5 countries to achieve sustained compliance. UNEP prioritize and delivered assistance on the basis of countries' differentiated needs and priorities. In conformity with its mandate, UNEP dedicated most of its resources to addressing specific problems of low volume consuming countries (LVCs) and very low volume consuming countries (VLVCs). UNEP complemented, supported and backstopped the work of the other Implementing and bilateral Agencies in areas of its comparative advantage and expertise whenever opportune and agreed upon with the agencies concerned.

In 2012, UNEP in cooperation with the other Implementing and Bilateral Agencies provided significant advisory and project implementation support to Article 5 countries that resulted in an overall high note of compliance with the Montreal Protocol and its Amendments by those countries.

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<sup>1</sup> UNEP/OzL.Pro/ExCom/66/10.

<sup>2</sup> This approach was endorsed by the Executive Committee through its approval of UNEP's CAP Budget in Decision 65/17. Details on the three tracks – including situation analyses, vision for 2012, and the CAP approach to implement the vision - was detailed in UNEP's approved 2012 CAP budget (UNEP/OzL.Pro/ExCom/65/19).

## I. PROJECT APPROVALS AND EXPENDITURES

### A. ANNUAL SUMMARY DATA

From its inception in June 1991 until the end of December 2012, the Executive Committee of has approved 1,629 projects<sup>3</sup> for implementation by UNEP. The total funding approved plus adjustments (excluding PSC) for UNEP during the same period was US\$ 213,562,637 representing about 12% of the total funding allocations approved by the Multilateral Fund for the four Implementing Agencies.

During 2012, the Executive Committee approved 82 projects<sup>4</sup> for UNEP to implement. The total value of UNEP's approvals in 2012 was US\$ 18,812,440 plus programme support costs of US\$ 1,362,433. See Annex 1: Annual Summary provides details.

### B. INTEREST

The interest accrued from 1991-2012 was US\$ 9,360,767 as follows:

Year	Interest (US\$)
1991-2011	9,123,614
2012	237,153
<b>Total</b>	<b>9,360,767</b>

### C. SUMMARY DATA BY TYPE

Of the 1,629 projects<sup>5</sup> approved for UNEP from June 1991 until December 2012, 586 are time-sensitive Institutional Strengthening (IS) projects and 1,043 are objective-sensitive activities, including 576 technical assistance projects (TAS), 196 training projects (TRA), 94 Country Programmes (CPG) and 174 project preparations (PRP), including Refrigerant Management Plans (RMPs), Terminal Phase-out Management Plans (TPMPs), and HCFC Phase-out Management Plan (HPMP) preparation.

The above data include 82 projects that the Executive Committee approved in 2012 to be implemented by UNEP. Of those, 50 are time-sensitive IS projects and 32 are objective-sensitive activities comprising 32 technical assistance projects, 0 project preparations and 0 training projects. See Annex 1: Summary Data by Projects Type.

<sup>3</sup> Figure does not include transferred or closed projects.

<sup>4</sup> Including the CAP budget for 2012

<sup>5</sup> Figure does not include transferred or closed projects.

## **II. PROJECT COMPLETIONS SINCE LAST REPORT**

### **A. ODP PHASED OUT SINCE LAST REPORT**

UNEP only implements non-investment projects (i.e. capacity building and technical assistance). Due to the nature of these projects, the directly-associated ODP phase out is either zero or small according to the approved projects. The cumulative ODP phase-out achieved as a result of all completed UNEP projects to date is 1,543.1 ODP tonnes.

### **B. NON-INVESTMENT PROJECT COMPLETIONS SINCE LAST REPORT**

1. During 2012, UNEP completed 54 projects as follows:

- Institutional strengthening projects – 34
- Training projects - 0
- Technical assistance projects – 14
- Project preparation – 6
- Country Programme – 0.

2. The completion of projects by region was as follows:

- Africa - 21 projects;
- Asia and the Pacific - 7 projects;
- Latin America and the Caribbean – 15 projects;
- Europe and Central Asia - 5 projects project;
- West Asia - 5 projects;
- Global - 1 project.

See Annex II, Completed Non-Investment Projects for details.

## **III. GLOBAL AND REGIONAL ACTIVITY HIGHLIGHTS**

### **A. Compliance Assistance Programme (CAP).**

The CAP budget for the year 2012 was approved by the 65th meeting of the Executive Committee (Executive Committee decision 65/17) at the amount of US\$ 8,987,000 plus programme support costs. Through this mechanism UNEP implemented the individual projects approved by the Executive Committee under the 2012-2014 Business Plan and also provided 148 Article 5 countries with over 500 country-specific special compliance services, 10 Regional Networks of Ozone Officers, South-South cooperation, regional awareness assistance, as well as global Information Clearinghouse and capacity building services. These CAP services – including for example support for licensing and quota systems, policy development, data reporting and management - provided enabling conditions that continue to be crucial for the success of the investment and non-investment projects implemented by all agencies, and contribute to sustaining the overall excellent compliance record achieved by Article 5 countries to date.

In line with Decision 66/16, UNEP's progress reporting related to 2012 CAP activities will be reported through the 2014 CAP budget proposal to be submitted for the consideration of the 71st meeting of the Executive Committee.



## B. REGIONAL ACTIVITIES

The following are regional highlights provided by the Regional CAP teams related to UNEP's delivery of projects under its 2012-2014 Business Plan.

### Africa

The focus in 2012 was on assessing countries readiness to meeting compliance with the 2013 freeze in HCFC consumption. Throughout the year, the CAP team in UNEP's Regional Office for Africa (ROA) was been involved in HPMP preparation and submission for approval. The team completed the preparation of 32 HPMPs out of the 33 HPMP for which UNEP received preparation funds as lead agency as indicated in the table below. UNEP was not able to finalise the preparation for Mauritania's HPMP due to the on-going auditing of all UNEP projects in the country which resulted into a temporary suspension of Multilateral Fund-related financial transactions until the auditing process is concluded.

1.1Country	1.2Country	1.3Country
1.4Benin	1.5Equatorial Guinea	1.6Mozambique
1.7Burkina Faso	1.8Eritrea	1.9Niger
1.10 Burundi	1.11 Ethiopia	1.12 Rwanda
1.13 Cape Verde	1.14 Gabon	1.15 Sao Tome Principe
1.16 Central Africa Republic	1.17 Gambia	1.18 Senegal
1.19 Chad	1.20 Guinea Conakry	1.21 Sierra Leone
1.22 Comoros	1.23 Guinea Bissau	1.24 Swaziland
1.25 Congo Brazzaville	1.26 Madagascar	1.27 Tanzania
1.28 D.R. Congo	1.29 Malawi	1.30 Togo
1.31 Cote de I;Voire	1.32 Mali	1.33 Uganda
1.34 Djibouti	1.35 Mauritania	1.36 Zambia

In 2012 the Africa CAP team made further progress in the review and finalization of harmonized ODS regulations for the ECOWAS sub-region. The draft regulations are still awaiting formal endorsement by the council of Ministers. The CAP team has been providing ECOWAS with all necessary details related to the substances and equipment controlled by regulations.

ROA CAP has been guiding NOUs on the process for preparing ratification documents for the pending amendments and the procedures of sending the documents to the depositary. In 2012 ratification of various amendments to the Montreal Protocol was recorded for **Cote de Ivoire, Guinea Conakry, South Sudan and Zimbabwe**. More countries have reported completion of the process and are awaiting the deposit of ratification documents. It is expected that remaining ratifications will be finalised in 2013.

Most NOUs have reported during network meetings situations were contaminated refrigerants were imported and put on the local market. Refrigerant identifiers were then used to check the qualities and the refrigerants found unfit to use. The Africa CAP team continues to undertake customs officers and refrigeration technician trainings on the use of identifiers to control the importation of mixed refrigerants which has resulted in failures in equipment performance.

Other challenges the ROA CAP is facing include the following:

- Problems in handling and disposal of growing number of seized refrigerants and ODS based equipment
- Disposal of methyl bromide cylinders
- Low government commitment which has led to slow ratification process and approval of ODS legislation
- Linguistic barriers for Portuguese speaking countries
- Unreliable communication and information technology facilities
- Frequent changes of Ozone Officers
- High number of informal sector refrigeration technicians leading to safety issues in handling alternative technologies
- Political instability resulting into delays in implementation of activities and non-compliance cases

### **Asia and Pacific**

The CAP team in UNEP's Regional Office for Asia and the Pacific (ROAP) achieved the timely preparation of HPMP and implementation of approved HPMP for countries to meet the 2013 and 2015 Montreal Protocol HCFC control measures. In this region in 2012, UNEP had the implementation responsibilities for 30 HPMPs and was the lead agency in 22 of these. For the remaining 8 countries, UNEP functioned as the cooperating agency. At the 68th Executive Committee meeting, the HPMP for Myanmar was approved (with conditionality) and that of DPR Korea deferred for consideration to the 69th Meeting. The total funds UNEP received for implementing various HPMPs in the region was US\$ 14.8 million. The approved HPMPs included components to support national policies to promote the adaptation to best HCFC alternative technologies and linked in particular those of low-GWP and energy efficiency.

#### ***Status of TPMP implementation and HPMP Preparation:***

##### NPP and TPMP Implementation projects

UNEP is implementing activities to sustain the ODS phase-out which involves prioritizing country level activities and expeditiously creating institutional structures and processes for managing HCFC phase-out. All activities under NPP and TPMP have been completed except for the Philippines.

##### HPMPs

#### **UNEP as Lead Agency**

<b>Country</b>	<b>Planned Executive Committee Submission</b>	<b>Status</b>
Afghanistan	63 <sup>rd</sup>	Approved
Bhutan	63 <sup>rd</sup>	Approved
Brunei Darussalam	66 <sup>th</sup>	Approved
Cambodia	61 <sup>st</sup>	Approved
Lao PDR	63 <sup>rd</sup>	Approved
Maldives	60 <sup>th</sup>	Approved
Mongolia	63 <sup>rd</sup>	Approved
Myanmar	67 <sup>th</sup>	Approved
Nepal	62 <sup>nd</sup>	Approved
Timor Leste	63 <sup>rd</sup>	Approved
Cook Islands	63 <sup>rd</sup>	Approved
Kiribati	63 <sup>rd</sup>	Approved

Marshall Islands	63 <sup>rd</sup>	Approved
Micronesia	63 <sup>rd</sup>	Approved
Nauru	63 <sup>rd</sup>	Approved
Niue	63 <sup>rd</sup>	Approved
Palau	63 <sup>rd</sup>	Approved
Samoa	63 <sup>rd</sup>	Approved
Solomon Islands	63 <sup>rd</sup>	Approved
Tonga	63 <sup>rd</sup>	Approved
Tuvalu	63 <sup>rd</sup>	Approved
Vanuatu	63 <sup>rd</sup>	Approved

### **UNEP as Cooperating Agency**

<b>Country</b>	<b>Planned Executive Committee Submission</b>	<b>Status</b>
Bangladesh	65 <sup>th</sup>	Approved
China	64 <sup>th</sup>	Approved
DPR Korea	68 <sup>th</sup>	To be re-submitted
Fiji	65 <sup>th</sup>	Approved
India	66 <sup>th</sup>	Approved
Iran	63 <sup>rd</sup>	Approved
Pakistan	62 <sup>nd</sup>	Approved
Sri Lanka	62 <sup>nd</sup>	Approved

### **Europe and Central Asia**

The CAP team for Europe and Central Asia (ECA) conducted the third and final Regional Customs Cooperation meeting in Istanbul, Turkey, 9-11 October 2012 including the ceremony of the 2<sup>nd</sup> edition of the ECA Ozone Protection Award for Customs & Enforcement Officers and thus completed the 2 UNEP tranches (EUR/SEV/57/TAS/08 and EUR/SEV/60/TAS/09) and 2 Czech Republic tranches (EUR/SEV/57/TAS/07 and EUR/SEV/60/TAS/10) in October 2012. The project contributed to detecting and preventing illegal / unwanted trade in ODS, promoted the establishment of regional cooperation mechanisms such as the informal Prior Informed Consent (iPIC) and raised the awareness of the enforcement community in Europe & Central Asia and its trade partners in other regions. The project is considered highly successful and the project completion report for all tranches was submitted in March 2013.

ECA also implemented national projects mainly institutional strengthening projects in Albania, Croatia, Kyrgyzstan and Moldova as well as HCFC phase-out management plans in Albania, Armenia, Croatia, Kyrgyzstan, Serbia and Turkey as the cooperating agency.

#### ***Status of HPMP preparation and regional projects:***

<b>Country</b>	<b>Planned Executive Committee Submission</b>	<b>Status</b>
Turkey HPMP Stage I (with UNIDO as lead agency)	68 <sup>th</sup>	HPMP Stage I was approved by Executive Committee 68 <sup>th</sup> until 2017.

Regional Strategy for ODS Waste Management and Disposal for Three LVC Countries in the ECA Region (with UNIDO as lead agency)	69 <sup>th</sup>	The regional project was approved by Executive Committee 69 <sup>th</sup> .
Armenia HPMP Stage II (with UNDP as lead agency)	As soon as guidelines for HPMP second stage are approved	HPMP Stage I was approved by Executive Committee 62 until 2015. The country expressed interest to develop the HPMP Stage II in cooperation with UNDP and UNEP.
Moldova HPMP Stage II (with UNDP as lead agency)	As soon as guidelines for HPMP second stage are approved	The country expressed interest to develop the HPMP Stage II in cooperation with UNDP and UNEP.
Kyrgyzstan HPMP II (with UNDP as lead agency)	As soon as guidelines for HPMP second stage are approved	HPMP Stage I was approved by Executive Committee 63 until 2015. The country expressed interest to develop the second stage of HPMP in cooperation with UNDP and UNEP.
Serbia HPMP Stage I (with UNIDO as lead agency)	Executive Committee 62	HPMP Stage I was approved by Executive Committee 62 until 2020.
Albania HPMP Stage I (with UNIDO as lead agency)	Executive Committee 64	HPMP Stage I was approved by Executive Committee 64 until 2020.

#### Latin America and the Caribbean:

##### ***Background and Assessment:***

Aiming at achieving and sustaining ODS phase-out in the short and long term is where main challenges remain, shaping the strategy of HPMPs and their associated action plans, including but not limited to lessons learned from TPMPs: a) maintaining the institutional and national capacities for project implementation and addressing cases of frequent turnover of national ozone officers, e.g. Bolivia and Haiti; b) supporting enforcement of ODS legislation, early warning and prevention of ODS illegal trade and fake refrigerants, c) creating a sustainable institutional basis for technical training and capacity building as well as obtaining involvement of vocational colleges and universities in the introduction and adoption of emerging technologies, d) encouraging regular syllabus reviews and adoption of low GWP technologies suitable for regional and local conditions considering developmental and other socio-economic factors.

The achievement of short-term results is closely linked with UNEP and country internal procedures to manage grants. The basic UNEP tool to transfer funds to beneficiaries is through the signature of a Small-Scale Funding Agreement (SSFA). Firstly, sometimes the process of obtaining the signature at the national level could last longer than the duration of the project due to the requirement to obtain clearance from other governmental bodies such as the ministry of finance, or foreign affairs, or planning, etc. In cases where governments lack institutional framework to manage project resources through their official accounts or special accounts, the CAP team in UNEP's Regional Office for Latin America and the Caribbean (ROLAC) has been proactively seeking alternative options to streamline administrative procedures for project implementation, and cooperating to overcome these challenges at the country level.

In order to address the above mentioned challenges, the UNEP CAP regional team has developed two implementation approaches and its combined form. The first is to obtain support on disbursements from either UNDP (e.g. Colombia, Chile, Paraguay) or UNEP Country Representatives (e.g. Haiti); and the second is the direct implementation from the UNEP Regional Office (e.g. Panama and Ecuador). The combination of these two approaches has proved to be cost-effective and requests from Governments for such an approach are increasing. To name a few, procurement of equipment and provision of expert support are processes where institutional capacity of some National Ozone Officer (NOOs) is limited. In these cases, the ROAP CAP team provides these services, e.g. Chile, Colombia, Saint Kitts and Nevis, Venezuela, among others. A worth mentioning difficulty is the case of Guatemala, where the new Government has instructed public institutions to put projects on hold, including any disbursements, while an internal assessment/audit is conducted. A message from the Executive Committee to the Minister of Environment expressing concerns for low disbursements levels could eventually facilitate the resumption of Institutional Strengthening project implementation.

Some LVCs capacity for project management is also limited or there is a perception by high-level authorities that projects with small funding are of low priority. This perception also extends to main stakeholders when discussing and implementing the HCFC phase-out strategy. Consequently, NOOs become isolated government officers, with poor influence in the ministerial decision-making process and responsible for few activities, such as license system management.

Regarding the implementation of the Montreal Protocol projects in Haiti, UNEP continues to work in accordance with current UN policies and rules in the context of a country in post-disaster management, including ensuring transparency and accountability. Dedicated support to NOO by the ROLAC CAP team and UNDP Offices in Panama and Haiti and a regular dialogue with the United Nations Stabilization Mission in Haiti (MINUSTAH) was necessary to ensure that implementation of the Montreal Protocol had the maximum impact in the country. Based on government decisions taken in 2012, two NOOs were appointed, but these changes did not prevent UNEP leading coordination efforts with the Government, consultants and UNDP, which resulted in the preparation and submission of the HPMP. Dialogue and policy assistance to define a voluntary agreement between the Government and ODS importers regarding HCFCs imports is needed, since the NOO has not yet succeeded in obtaining approval of quotas. A message regarding the importance of and commitment to implement a quota system from the Executive Committee to the Minister of the Environment would support the approval of a HCFC quota system.

#### ***Status of TPMP/HPMP implementation and HPMP Preparation:***

The ROAP CAP team provided support to HPMP preparation, ISP renewals and TPMP implementation and completion. From 2009 to 2011, 20 HPMPs in the Latin America and the Caribbean region were approved by the Executive Committee of the Multilateral Fund. Four additional new HPMPs were approved in 2012 and the last one from the region, e.g. Barbados, was prepared and submitted for the consideration of the 69th Meeting of the Executive Committee. Furthermore, 16 out of 24 Institutional Strengthening renewals were submitted and approved during 2012.

The CAP team provided support to governments to complete TPMPs or NPPs as a key factor to maintain a zero consumption level of CFCs. This involved prioritizing country level activities to address the refrigeration and air condition (RAC) sector by means of promoting the adoption of longer term alternatives, as far as possible, in order to positively contribute to the phase-out of HCFCs. Additionally, expertise was created at national level on refrigerant alternatives, ODS surveying and policy development as an added value to the HCFC phase-out preparation.

In addition to the aforementioned, advice and technical support were provided to develop and enforce a HCFC quota system as a matter of high priority, to effectively achieve the freeze at the baseline level in 2013. Most of the NOOs concentrated their efforts in the approval of various mechanisms, which were approved by end of the year. Consequently, customs training workshops were postponed resulting in lower than expected levels of funds disbursements in some cases, e.g. Chile, Dominican Republic, Honduras, and Venezuela.

A summary of the 2012 achievements is as follows:

- Antigua and Barbuda, Barbados and Haiti HPMP proposals were completed by December 2012. In those countries, UNEP was the sole Implementing Agency in charge of the HPMP preparation. Antigua and Barbuda and Haiti HPMP were approved in 2012.
- The Government of Nicaragua selected UNEP as the Lead Agency in the HPMP implementation phase, despite UNIDO being selected as the sole IA for the HPMP preparation.
- Second tranches of HPMPs were submitted and approved for Guatemala, Saint Lucia, and Venezuela.
- Institutional Strengthening renewals were approved for: Antigua & Barbuda, Barbados, Belize, Bolivia, Dominican Republic, Grenada, Guyana, Honduras, Jamaica, Nicaragua, Suriname, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Paraguay, and Peru.

### **West Asia (ROWA)**

Despite the negative impacts of the current political and security fragile situation in several countries in West Asia which affected the overall governments priorities and focus and brought environmental affairs down in the national agendas, not mentioning Montreal Protocol, in the level of priorities. However, CAP continued its focused services to most countries to ensure sustaining their achieved post 2010 compliance and enable them meet with the 2013 HCFC freeze target. Several projects and activities that were on hold or delayed during 2011, due to such situation, were brought back to operation and currently are on track. The key milestone is the completion and submission of all pending HPMPs in West Asia during 2012 including Bahrain, Kuwait, Saudi Arabia, Syria and Yemen.

The situation of pending ratification of Beijing Amendment by Bahrain and Saudi Arabia was also given special attention in terms of follow-up with both countries to expedite the ratification providing technical and high-level support as well as the coordination work facilitated by CAP for both countries to benefit of the parties decision about several non-ratified parties. These efforts have lead to the successful completion of the process by Saudi Arabia where the ratification instrument were deposited by the country recently. Bahrain is expected to complete ratification process very shortly.

CAP/ROWA also continued its specialized policy and technical service in addressing emerging compliance issues of common concern to all or several of West Asia member states. In 2012, focused work offered by CAP/ROWA to the foam sector as one of the key sectors to meet the 2013 & 2015 targets, where regional events and consultation work was provided to address the PU foam sector as well as regional insulation codes and standards relevant to this industry. Another focused work was conducted to address the issue of long-term alternatives in A/C industry for high-ambient countries where dedicated events organized and a regional project was developed in consultation with all concerned governments and industry representatives in West Asia.

**Status of HPMP Preparation:****UNEP as Lead Agency**

Country	Planned Executive Committee Submission	Status
Bahrain	68 <sup>th</sup>	Submitted and approved by 68th ExCom
Yemen	68 <sup>th</sup>	Submitted and approved by 68th ExCom

**UNEP as Cooperating Agency**

Country	Planned Executive Committee Submission	Status
Saudi Arabia	68 <sup>th</sup>	Submitted and approved by 68th ExCom
Syria	67 <sup>th</sup>	Submitted to 68th ExCom, ExCom took note of the PD and deferred final approval till situation on ground improved in the country

**IV. PERFORMANCE INDICATORS****A. AGENCY'S BUSINESS PLAN PERFORMANCE GOALS**

*As per decision 66/8, UNEP used the following performance indicators in 2012:*

**Performance Indicators for UNEP**

Item	2012 Target	UNEP Assessment
Number of annual programmes of multi-year agreements approved versus those planned	26	Target met 30 MYA projects approved
Number of individual projects/activities (investment and demonstration projects, TAS, institutional strengthening) approved versus those planned	79	Target not met 52 projects approved
Milestone activities completed/ODS levels achieved for approved multi-year annual tranches versus those planned	5	Target met 6.1
ODS phased-out for individual projects versus those planned per progress reports	0	0
Project completion (pursuant to	41	Target met 54 projects

Item	2012 Target	UNEP Assessment
decision 28/2 for investment projects) and as defined for non-investment projects versus those planned in progress reports		completed
Number of policy/regulatory assistance completed versus that planned	100% of countries listed in the annex of UNEP's Business Plan narrative either received assistance or assistance was offered	100%
Speed of financial completion versus that required per progress report completion dates	On time	Target met: on time
Timely submission of project completion reports versus those agreed	On time	Target met: on time
Timely submission of progress reports and responses unless otherwise agreed	On time	Target met: on time

#### B. CUMULATIVE COMPLETED INVESTMENT PROJECTS

*Not applicable to UNEP.*

#### C. CUMULATIVE COMPLETED NON INVESTMENT PROJECTS

See Annex 1: Cumulative Completed Non-Investment Projects for details.

#### D. CUMULATIVE ONGOING INVESTMENT PROJECTS

*Not applicable to UNEP.*

#### E. CUMULATIVE ONGOING NON-INVESTMENT PROJECTS

See Annex 1: Cumulative Ongoing Non-Investment Projects for details.

### V. STATUS OF AGREEMENTS AND PROJECT PREPARATION (WHERE APPLICABLE), BY COUNTRY

#### A. AGREEMENTS TO BE SIGNED/EXECUTED/FINALIZED AND WHEN THEY WILL BE READY FOR DISBURSING

As of December 2012, UNEP implemented IS projects in 106 countries. During 2012, the Executive Committee approved IS projects for 43. The breakdown of countries supported by UNEP through IS projects is as follows:

Region	Number of Countries Supported by UNEP	Number of New IS Projects Approved in 2012	Number of IS Renewals Approved in 2012
Africa	47	0	18



Asia Pacific	26	1	10
West Asia	5	0	0
Europe & Central Asia	5	0	5
Latin America & Caribbean	23	0	10
<i>Total</i>	<b>106</b>	<b>1</b>	<b>42</b>

## VI. ADMINISTRATIVE ISSUES (OPERATIONAL, POLICY, FINANCIAL AND OTHER ISSUES)

### A. MEETINGS ATTENDED

UNEP organised 148 Regional Network meetings, thematic workshops, small group meetings and national thematic workshops in all regions. The complete list of the meetings organised in 2012 is contained in Annex V.

The following meetings of the CAP staff were held in 2012:

- Annual meeting of the Regional Network Coordinators, Paris, France 3-5 September 2012

### B. IMPLEMENTING AGENCY AND OTHER CO-OPERATION

The other Implementing Agencies, bilateral agencies, Multilateral Fund Secretariat and Ozone Secretariat cooperate closely with UNEP through, inter alia, regular participation in Regional Network meetings and other national/regional workshops organized by UNEP. In addition to their participation in those fora in 2012, they also cooperated with UNEP through the following:

Agency	Project or activity
<b>ROA</b>	
UNDP	HPMP preparation and implementation for Mali and D R Congo, Swaziland
UNIDO	HPMP preparation and Implementation for, Benin, Burkina Faso, Burundi, Central African Republic, Chad, Congo, Cote d'Ivoire, Equatorial Guinea, Eritrea, The Gambia, Gabon, Guinea, Guinea Bissau, Malawi, Mozambique, Niger, Rwanda, Senegal, Tanzania, Togo and Zambia TPMP implementation for Burundi, Cote d'Ivoire, Eritrea.
<b>ROAP</b>	
UNDP	Implementation of RMP/TPMP/NPP; preparation of HPMP for Cambodia, China, Fiji, Maldives, India, Iran, Nepal, Sri Lanka; compliance assistance; cooperation concerning Network activities; assistance to countries with CFC MDI manufacturing
UNIDO, World Bank	Implementation of RMP/TPMP/NPP, preparation of HPMP for DPR Korea and Myanmar, assistance to countries with

Agency	Project or activity
	CFC MDI manufacturing
Ozone Secretariat	Submission of A7 data, analysis of discrepancies of reported exports and imports, cooperation on compliance issues
Sweden	Implementation of the MEA-REN Regional Enforcement Network
Japan	Implementation of HPMP in China and Mongolia; technical cooperation in ODS destruction
GTZ	Implementation of HPMP in Afghanistan
Australia	Implementation of regional activities in PIC Network
South Pacific Regional Environment Programme (SPREP)	Implementation of regional activities in PIC Network
Oceania Customs Organisation (OCO)	Customs Capacity Building in PIC Network
Bureau of Energy Efficiency (BEE), Ministry of Power, Government of India/ Energy Efficiency Services Ltd (EESL)	Technical support towards Energy Efficiency aspects of HPMP preparation
World Customs Organization (WCO),  Regional Intelligence Liaison Office for Asia and the Pacific (RILO A/P)	Assistance towards networking for enforcement, including meetings of ozone focal points and the customs counterparts, and the delivery of national/ local Green Customs Initiatives; regional coordination of WCO-UNEP joint operation on illegal ODS trade (Sky-Hole Patching); preparation of awareness-raising material concerning intelligence for enforcement (e.g. factsheets, news flash); regular exchange of information on illegal trade report
Interpol	Technical assistance towards enforcement capacity-building and networking; preparation of awareness-raising material concerning intelligence for enforcement (e.g. factsheets, news flash).
Environmental Investigation Agency (EIA)	Production of material on illegal ODS trade and environmental crimes for awareness-raising; investigations on illegal ODS trade
Secretariats of the Basel, the Rotterdam, and the Stockholm Conventions	Implementation of the MEA-REN Regional Enforcement Network
National Academy of Customs Excise and	Horizontal (South-South) cooperation to build enforcement capacity; delivery of border dialogues; production of capacity-

Agency	Project or activity
Narcotics (NACEN)	building tools
WCO/ RILO A/P, Interpol, UNODC, EIA, WWF, the FREELAND Foundation for Human Rights and Wildlife, IUCN, TRAFFIC, the Wildlife Conservation Society, the Wildlife Enforcement Network of the Association of Southeast Asian Nations, the Asian Environmental Compliance and Enforcement Network, the World Bank, the Asian Development Bank, the Office of the Inspector-General of the Netherlands, IMPEL-TFS, TVE/Earth Report, the CITES Secretariat, the Customs Department of Thailand, the Royal Thai Police; the Ministry of Industry of Thailand, and the National Academy of Customs, Excise and Narcotics of India	Cooperation on the Asian Regional Partners Forum on Combating Environmental Crime (ARPEC)
<b>ECA</b>	
UNDP	Coordination meeting in Bratislava in January 2012
UNIDO	Coordination meeting in Vienna in January 2012
UNIDO	Joint China – Russia Dialog on prevention of illegal trade in ODS in Saint Petersburg initially scheduled in 2012 but actually implemented in 2012
UNIDO	UNEP as cooperating agency for HPMP in Albania, Serbia, Turkey
UNDP	UNEP as cooperating agency for HPMP in Armenia, Kyrgyzstan
UNIDO, Czech Republic	Joint implementation of preparatory ECA destruction project in Bosnia & Herzegovina, Croatia, Montenegro, Turkmenistan
MFS	Follow-up on reporting Country Programme implementation date and trouble-shooting in using the online reporting facility
OS	Follow-up on reporting Article 7 data as well other reporting requirements, status of licensing system and ratification of Beijing Amendment in Azerbaijan and Kazakhstan
OS, Armenia, Czech Republic, Georgia, Kazakhstan	Side event on Montreal Protocol contribution to Green Economy during the ministerial conference Environment for Europe
Czech Republic	Joint implementation of ECA enforcement network activities
GIZ	Joint preparation of workshop on HCFC alternatives in the

Agency	Project or activity
	foam sector
12 ECA network and CEIT countries	iPIC implementation in ECA region
UNIDO	Preparation of the ECA Customs Coordination meeting in Istanbul in 2012 has been jointly initiated and the local UNIDO representative in Turkey agreed to assist with the logistical arrangements
UNIDO	Joint training has been offered to the new Ozone Officer in Turkey and included in the ECA work programme.
<b>ROLAC</b>	
UNDP and UNIDO	HPMP preparation and implementation
UNIDO	MB phase-out joint projects
Switzerland	HPMP preparation and implementation
Germany	HPMP implementation
<b>ROWA</b>	
UNIDO	Preparation and submission of HPMP projects in Bahrain, Iraq, Kuwait, Oman, Qatar, Saudi Arabia, Syria and Yemen. Including joint missions and organization of national stakeholders workshops and consultation sessions
UNIDO	Continue implementation of TPMP/NPP of Iraq, Kuwait, Qatar, Saudi Arabia and Yemen as well as assistance to facilitate the implementation of the ongoing NPP in Syria
UNDP	Continue implementation of TPMP of Bahrain
Jordan/UNIDO	MAC Retrofitting Training Workshop for Iraqi and Yemeni specialists
ASHRAE-Kuwait/AHRI	Symposium about Alternatives to air-conditioning industry in high ambient countries
UNEP-ROAP	Joint WA & SA Networks Meeting AND Industry Roundtable Meeting on alternative technologies for HCFC applications
GCC Secretariat	Organizing 2 meeting of the GCC Ozone Team in Bahrain & UAE
WCO/RILO	3 different regional and national GCI training events were organized in Qatar, Dubai and Riyadh
ASHRAE-UAE	Organizing ASHRAE-UAE the celebration of the International Ozone Day in margins of 2012 follow-up meeting of WA network and organization of 2-parallel functions with about Future of Refrigerants & Regional Codes/Standards of foam and insulating materials in relation to MP obligations.

**ANNEX II: Completed non-investment projects 2012**

Project title	Code	Type	Date completed actual
<b>AFRICA</b>			
Project preparation for a terminal phase-out management plan	ANG/PHA/51/PRP/06	PRP	Mar-12
Extension of the institutional strengthening project (phase IX)	BKF/SEV/62/INS/31	INS	Dec-12
Terminal phase-out management plan (second tranche)	CAF/PHA/61/TAS/20	TAS	Dec-12
Preparation of a HCFC phase-out management plan	CHD/PHA/55/PRP/17	PRP	Dec-12
Extension of institutional strengthening project (phase VII)	CMR/SEV/59/INS/33	INS	Dec-12
Terminal phase out management plan for CFCs (first tranche)	DJI/PHA/53/TAS/12	TAS	Dec-12
Extension of the institutional strengthening project (phase VI)	GAM/SEV/61/INS/23	INS	Oct-12
Preparation of a HCFC phase-out management plan	IVC/PHA/57/PRP/30	PRP	Feb-12
Terminal phase-out management plan (second tranche)	IVC/PHA/58/TAS/33	TAS	Feb-12
Extension of the institutional strengthening project (phase V)	IVC/SEV/62/INS/35	INS	Dec-12
Extension of the institutional strengthening project (phase IV)	LES/SEV/53/INS/11	INS	Dec-12
Extension of the institutional strengthening project (phase V)	LES/SEV/62/INS/13	INS	Dec-12
Renewal of institutional strengthening project (phase IV)	MAG/SEV/59/INS/20	INS	Jul-12
Extension of the institutional strengthening project (phase VI)	ML/SEV/63/INS/31	INS	Dec-12
Extension of institutional strengthening project (phase VII)	MLW/SEV/59/INS/30	INS	Dec-12
Preparation of a HCFC phase-out management plan (additional funding)	MOZ/PHA/58/PRP/17	PRP	Dec-12
Terminal phase-out management plan (second tranche)	PRC/PHA/60/TAS/20	TAS	Jan-12
Terminal phase-out management plan (first tranche)	SIL/PHA/57/TAS/19	TAS	Jul-12
Extension of the institutional strengthening project (phase VI)	TOG/SEV/62/INS/23	INS	Dec-12
Terminal phase-out management plan for CFCs (second tranche)	ZAM/PHA/57/TAS/23	TAS	Mar-12
Extension of the institutional strengthening project (phase IV)	ZAM/SEV/57/INS/24	INS	Mar-12
<b>ASIA &amp; PACIFIC</b>			
Extension of the institutional strengthening project (phase III)	CKI/SEV/62/INS/07	INS	Dec-12
Extension of the institutional strengthening project (phase VII)	FIJ/SEV/61/INS/21	INS	Nov-12
Renewal of institutional strengthening project (phase III)	FSM/SEV/61/INS/06	INS	Dec-12
Renewal of the institutional strengthening project (phase V)	LAO/SEV/61/INS/22	INS	Nov-12
Extension of the institutional strengthening project (phase VI)	MDV/SEV/61/INS/21	INS	Nov-12
Extension of the institutional strengthening project (phase III)	NAU/SEV/60/INS/06	INS	Jan-12
Extension of the institutional strengthening project (phase VI)	NEP/SEV/61/INS/28	INS	Nov-12
<b>EUROPE</b>			
Renewal of institutional strengthening project (phase IV)	ALB/SEV/61/INS/21	INS	Dec-12
Initiating regional cooperation to enforce ODS trade controls in Europe and Central Asia network co	EUR/SEV/57/TAS/08	TAS	Oct-12
Initiating regional cooperation to enforce ODS trade controls in Europe and Central Asia network co	EUR/SEV/60/TAS/09	TAS	Oct-12
Extension of the institutional strengthening project (phase VI)	MOL/SEV/61/INS/24	INS	Dec-12
Extension of the institutional strengthening project (phase III)	TKM/SEV/61/INS/07	INS	Sep-12
<b>GLOBAL</b>			
Compliance Assistance Programme: 2012 budget	GLO/SEV/62/TAS/302	TAS	Dec-12
<b>LATIN AMERICA &amp; CARIBBEAN</b>			
Extension of institutional strengthening project (phase III)	ANT/SEV/44/INS/11	INS	Dec-12
Extension of institutional strengthening project (phase VII)	BOL/SEV/59/INS/35	INS	Jun-12
Extension of the institutional strengthening project (phase VI)	DOM/SEV/62/INS/47	INS	Dec-12
Preparation of a HCFC phase-out management plan	ECU/PHA/59/PRP/44	PRP	Dec-12
HCFC phase-out management plan (stage I, first tranche)	ECU/PHA/65/TAS/54	TAS	Dec-12
Terminal phase-out management plan for Annex A Group I substances (second and third tranches)	ELS/PHA/57/TAS/27	TAS	Jun-12
Terminal phase-out management plan for Annex A Group I substances (first tranche)	GUA/PHA/56/TAS/34	TAS	Dec-12
Extension of the institutional strengthening project (phase IV)	GUY/SEV/59/INS/17	INS	Dec-12
Extension of the institutional strengthening project (phase VI)	HON/SEV/57/INS/30	INS	Dec-12
Terminal phase-out management plan for Annex A Group I substances (first tranche)	NIC/PHA/56/TAS/22	TAS	Jun-12
Renewal of institutional strengthening project (phase V)	NIC/SEV/59/INS/24	INS	Mar-12
Terminal phase-out management plan for Annex A Group I substances (second tranche)	NIC/PHA/60/TAS/25	TAS	Apr-12
Preparation of a HCFC phase-out management plan	STL/PHA/55/PRP/13	PRP	Dec-12
Renewal of institutional strengthening project (phase VII)	STL/SEV/62/INS/16	INS	Dec-12
Extension of the institutional strengthening project (phase III)	SUR/SEV/59/INS/17	INS	Dec-12
<b>WEST ASIA</b>			
Extension of institutional strengthening project (phase VI)	BAH/SEV/60/INS/24	INS	Dec-12
Renewal of the institutional strengthening project (phase II)	BRU/SEV/43/INS/05	INS	Dec-12
Institutional strengthening (phase I)	IRQ/SEV/57/INS/05	INS	Dec-12
Extension of institutional strengthening project (phase IV)	KUW/SEV/59/INS/16	INS	Dec-12

<b>Project title</b>	<b>Code</b>	<b>Type</b>	<b>Date completed actual</b>
Extension of the institutional strengthening project (phase VI)	YEM/SEV/59/INS/34	INS	Dec-12

### Annex III: Report on expenditures under the CAP Budget

	Bud L	Component	Location			CAP 2012 Approved ExCom 65	Disbursement	Obligations	Expenditure	
<b>10</b>	<b>PROJECT PERSONNEL COMPONENT</b>									
	1100	<i>Project personnel</i>								
		<b>Title</b>		<b>Grade</b>	<b>WM</b>					
	1101	Head of Branch	Paris	D1	10	205,000	93,594	0	93,594	
	1102	Network & Policy Manager	Paris	P5	12	223,000	134,185	8,957	143,142	
	1103	Capacity Building Manager - Compliance	Paris	P4/P5	12	208,000	187,800	0	187,800	
	1104	Information Manager	Paris	P4	12	195,000	171,133	0	171,133	
	1105	Monitoring & Administration Officer **	Paris	P4	12	0	0	0	0	
	1107	Programme Officer - HCFC	Paris	P3	12	164,000	143,729	2,325	146,054	
	1108	Programme Officer - ECA / Paris	Paris / ECA	P3	12	164,000	145,089	100	145,189	
	1109	Programme Officer - Information Technology	Paris	P3/P4	6	180,000	163,367	0	163,367	
	1110	ROA Programme Officer - HPMP	Nairobi	P4	12	180,000	180,405	0	180,405	
	1111	ROA Programme Officer - Policy and Enforcement	Nairobi	P4	12	180,000	174,988	0	174,988	
	1112	ROA Programme Officer	Nairobi	P3	12	148,000	30,000	66,000	96,000	
	1113	ROA Programme Officer - Methyl Bromide	Nairobi	P3	12	148,000	192,089	5,075	197,164	
	1114	ROLAC Regional Network Coordinator	Panama	P4	12	166,000	172,113	1,641	173,754	
	1115	ROLAC Programme Officer - Policy and Enforcement	Panama	P4	12	166,000	111,993	53,300	165,293	
	1116	ROLAC Programme Officer - HPMP	Panama	P3	12	142,000	157,140	0	157,140	
	1117	ROLAC Programme Officer - Methyl Bromide	Panama	P3	12	142,000	145,317	0	145,317	
	1118	ROAP Regional Network Coordinator	Bangkok	P5	12	188,000	175,440	0	175,440	
	1119	ROAP Programme Officer - Policy and Enforcement	Bangkok	P4	12	165,000	176,667	0	176,667	
	1120	ROAP PIC Network Coordinator - HPMP	Bangkok	P4	12	165,000	193,609	376	193,985	
	1121	ROAP Programme Officer - HPMP	Bangkok	P3	12	134,000	172,761	0	172,761	
	1122	ROWA Regional Network Coordinator	Bahrain	P4	12	190,000	190,484	0	190,484	
	1123	ROWA Programme Officer - HPMP	Bahrain	P4	12	190,000	189,546	0	189,546	
	1124	ROWA Programme Officer - Policy and Enforcement	Bahrain	P3	12	160,000	204,907	0	204,907	
	1125	ROA Regional Network Coordinator	Nairobi	P5	12	208,000	195,188	3,949	199,137	
	1126	ECA Regional Network Coordinator	ECA	P4	12	195,000	168,022	0	168,022	
	1127	ROAP SEA Network Coordinator	Bangkok	P4	12	164,000	191,592	0	191,592	
	1199	<i>Sub-total</i>				<b>4,370,000</b>	<b>4,061,158</b>	<b>141,723</b>	<b>4,202,881</b>	
	1300	<i>Programme Assistance (General Service staff)</i>								
		<b>Title/Description</b>		<b>Level</b>	<b>WM</b>					
	1301	Secretary Chief	Paris	G6	12	102,000	92,278	0	92,278	
	1302	Assistant Network Manager	Paris	G6	12	102,000	97,026	0	97,026	
	1303	Assistant Clearinghouse	Paris	G6	12	102,000	106,993	0	106,993	
	1304	Assistant Monitoring & Administration **	Paris	G6	12	0	0	0	0	
	1305	Assistant IS/RMP/CP	Paris	G5	12	90,000	83,090	0	83,090	
	1306	Assistant Programme	Paris	G5	12	90,000	79,317	0	79,317	
	1307	Assistant Data & Documentation	Paris	G5	12	90,000	89,160	0	89,160	
	1309	ROA RNC Assistant	Nairobi	G5	12	31,000	32,771	0	32,771	
	1310	ROA Office Assistant	Nairobi	G6	12	38,000	40,014	0	40,014	
	1311	ROLAC RNC Assistant	Panama	G6	12	39,000	15,275	1,432	16,707	
	1312	ROLAC Office Assistant	Panama	G5	12	32,000	40,044	0	40,044	
	1313	ROAP-SA RNC Assistant	Bangkok	G5	12	47,000	63,109	0	63,109	
	1314	ROAP Office Assistant	Bangkok	G6	12	59,000	77,332	0	77,332	
	1315	ROWA RNC Assistant	Bahrain	G6	12	55,000	68,087	0	68,087	
	1316	ROWA Office Assistant	Bahrain	G6	12	55,000	57,755	0	57,755	
	1317	Temporary assistance CAP				70,000	67,365	0	67,365	
	1318	RNC ECA Assistant	ECA	G5	12	90,000	53,781	0	53,781	
	1319	ROAP SEA Project Assistant	Bangkok	G4	12	42,000	55,499	0	55,499	
	1320	ROLAC Office Assistant	Panama	G3	12	20,000	21,172	0	21,172	
	1322	ROA Regional Assistant - HPMP	Nairobi	G6	12	34,000	6,777	14,470	21,247	
	1323	ROLAC Regional Assistant - HPMP	Panama	G6	12	39,000	19,389	4,700	24,089	
	1324	ROAP Regional Assistant - HPMP	Bangkok	G6	12	45,000	45,000	0	45,000	
	1325	ROWA Regional Assistant - HPMP	Bahrain	G6	12	27,000	15,761	13,496	29,257	
	1399	<i>Sub-total</i>				<b>1,299,000</b>	<b>1,226,995</b>	<b>34,098</b>	<b>1,261,093</b>	
	1600	<i>Travel on official business (UNEP staff)</i>								
	1601	Paris staff travel	Paris			205,000	170,058	1,534	171,592	
	1602	ROA staff travel	Nairobi			143,000	143,612	15,870	159,482	
	1603	ROLAC staff travel	Panama			96,000	79,216	2,718	81,934	
	1604	ROAP-SA staff travel	Bangkok			80,000	90,850	0	90,850	
	1605	ROWA staff travel	Bahrain			60,000	52,185	22,469	74,654	
	1606	ECA staff travel	ECA			26,000	27,547	606	28,153	
	1699	<i>Sub-total</i>				<b>610,000</b>	<b>563,468</b>	<b>43,197</b>	<b>606,665</b>	
	1999	<b>COMPONENT TOTAL</b>					<b>6,279,000</b>	<b>5,851,621</b>	<b>219,018</b>	<b>6,070,639</b>
<b>20</b>	<b>SUB CONTRACT COMPONENT</b>									
	2200	<i>Sub-contracts (MOUs/LAs for supporting organizations)</i>								
	2202	ROA Sub-contracts with supporting organizations	Nairobi			28,000	12,000	3,000	15,000	
	2203	ROLAC Sub-contracts with supporting organizations	Panama			15,000	0	13,500	13,500	
	2204	ROAP Sub-contracts with supporting organizations	Bangkok			20,000	100,000	0	100,000	
	2205	ROWA Sub-contracts with supporting organizations	Bahrain			50,000	1,483	0	1,483	

	Bud L	Component	Location		CAP 2012 Approved ExCom 65	Disbursement	Obligations	Expenditure
	2206	ECA Sub-contracts with supporting organizations	ECA		50,000	20,138	29,908	50,046
	2212	ROA Regional awareness raising	Nairobi		39,000	-1,055	5,000	3,945
	2213	ROLAC Regional awareness raising	Panama		75,000	34,979	70,343	105,322
	2214	ROAP Regional awareness raising	Bangkok		44,000	31,649	0	31,649
	2215	ROWA Regional awareness raising	Bahrain		20,000	297	0	297
	2216	ECA Regional awareness raising	ECA		15,000	8,554	1,250	9,804
	2299	<i>Sub-total</i>			356,000	208,045	123,001	331,046
	2300	<i>Sub-contracts (for commercial purposes)</i>						0
	2301	Technical and policy information materials	Paris		70,000	0	62,839	62,839
	2302	OzonAction Newsletter / Thematic Special Issues	Paris		50,000	43,765	3,952	47,717
	2303	Illustration/graphics/layout design	Paris		20,000	218	0	218
	2304	Exhibition/outreach	Paris		22,000	3,203	0	3,203
	2305	Adaptable media materials for the International Ozone Day	Paris		100,000	64,754	12	64,766
	2306	Regional Capacity Building and Tech support on HCFC	Regional		80,000	20,000	10,000	30,000
	2399	<i>Sub-total</i>			342,000	131,940	76,803	208,743
	2999	<b>COMPONENT TOTAL</b>			698,000	339,985	199,804	539,789
30		<b>TRAINING COMPONENT</b>						0
	3300	<i>Meetings/conferences</i>						0
	3301	Advisory and Consultative Meetings - Paris	Paris		32,000	24,342	1,712	26,054
	3302	ROA network meetings/thematic workshops	Nairobi		281,000	341,727	12,147	353,874
	3303	ROLAC network meetings/thematic workshops	Panama		192,000	168,549	8,242	176,791
	3304	ROAP-SA network meetings/thematic workshops	Bangkok		72,000	95,917	0	95,917
	3305	ROWA network meetings/thematic workshops	Bahrain		86,000	74,508	18,124	92,632
	3306	ECA network meetings/thematic workshops	ECA		150,000	80,159	2,563	82,722
	3307	PIC network meetings/thematic workshops	Bangkok		60,000	66,911	0	66,911
	3308	ROAP-SEA meetings/thematic workshops	Bangkok		50,000	64,277	0	64,277
	3312	ROA South-South cooperation	Nairobi		31,000	10,329	210	10,539
	3313	ROLAC South-South cooperation	Panama		45,000	45,877	8,168	54,045
	3314	ROAP South-South cooperation	Bangkok		48,000	55,637	0	55,637
	3315	ROWA South-South cooperation	Bahrain		33,000	16,129	3,550	19,679
	3316	ECA South-South cooperation	ECA		10,000	42,376	9,777	52,153
	3399	<i>Sub-total</i>			1,090,000	1,086,738	64,493	1,151,231
	3999	<b>COMPONENT TOTAL</b>			1,090,000	1,086,738	64,493	1,151,231
40		<b>EQUIPMENT AND PREMISES COMPONENT</b>						
	4100	<i>Expendable equipment (items under \$1,500 each)</i>						
	4101	Office supplies - Paris and ECA	Paris / ECA		15,000	7,640	2,331	9,971
	4102	Office supplies - Regions	Regional		25,000	15,847	6,887	22,734
	4199	<i>Sub-total</i>			40,000	23,487	9,218	32,705
	4200	<i>Non-expendable equipment</i>						
	4201	Office equipment / computer - Paris and ECA	Paris / ECA		22,000	36,053	3,120	39,173
	4202	Office equipment / computer - Regions	Regional		33,000	16,832	12,203	29,035
	4299	<i>Sub-total</i>			55,000	52,885	15,323	68,208
	4300	<i>Rental of premises</i>						
	4301	Office rental - Paris and ECA	Paris / ECA		360,000	289,579	13,448	303,027
	4302	Office rental - Regions	Regional		151,000	114,335	41,965	156,300
	4399	<i>Sub-total</i>			511,000	403,914	55,413	459,327
	4999	<b>COMPONENT TOTAL</b>			606,000	480,286	79,954	560,240
50		<b>MISCELLANEOUS COMPONENT</b>						
	5100	<i>Operation and maintenance of equipment</i>						
	5101	Rental and maintenance of office equipment - Paris and	Paris		22,000	46,889	3,977	50,866
	5102	Rental and maintenance of office equipment - Regions	Regional		33,000	26,198	6,429	32,627
	5199	<i>Sub-total</i>			55,000	73,087	10,406	83,493
	5200	<i>Reporting cost</i>						
	5201	Reporting/reproduction costs	Paris		11,000	0	0	0
	5202	Translations - Regions	Regional		36,000	34,098	3,792	37,890
	5299	<i>Sub-total</i>			47,000	34,098	3,792	37,890
	5300	<i>Sundry</i>						
	5301	Communication & dissemination - Paris and ECA	Paris / ECA		123,000	40,351	21,710	62,061
	5302	Communication - Regions	Regional		89,000	66,060	15,209	81,269
	5399	<i>Sub-total</i>			212,000	106,411	36,919	143,330
	5999	<b>COMPONENT TOTAL</b>			314,000	213,596	51,117	264,713
	99	<b>TOTAL DIRECT PROJECT COST</b>			8,987,000	7,972,226	614,386	8,586,612
		<i>Programme support costs (8%)</i>			718,960	637,778	49,151	686,929
90		<b>GRAND TOTAL</b>			9,705,960	8,610,004	663,537	9,273,541

\*\* Posts funded from Programme support cost