



**United Nations
Environment
Programme**

Distr.
GENERAL

UNEP/OzL.Pro/ExCom/69/40
19 April 2013

ORIGINAL: ENGLISH

EXECUTIVE COMMITTEE OF
THE MULTILATERAL FUND FOR THE
IMPLEMENTATION OF THE MONTREAL PROTOCOL
Sixty-ninth Meeting
Montreal, 15-19 April 2013

REPORT OF THE SIXTY-NINTH MEETING OF THE EXECUTIVE COMMITTEE

Introduction

1. The 69th meeting of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol was held at the International Civil Aviation Organization Headquarters, Montreal, Canada, from 15 to 19 April 2013.
2. The meeting was attended by representatives of the following countries, members of the Executive Committee in accordance with decision XXIV/22 of the Twenty-Fourth Meeting of the Parties to the Montreal Protocol:
 - (a) Parties not operating under paragraph 1 of Article 5 of the Protocol: Belgium, Canada, Finland, Japan, the United Kingdom of Great Britain and Northern Ireland (Chair) and the United States of America; and
 - (b) Parties operating under paragraph 1 of Article 5 of the Protocol: India, Kuwait, Mali, Nicaragua, Serbia (Vice-Chair), Uganda and Uruguay.
3. In accordance with the decisions taken by the Executive Committee at its second and eighth meetings, representatives of the United Nations Development Programme (UNDP), the United Nations Environment Programme (UNEP), both as an implementing agency and as Treasurer of the Fund, the United Nations Industrial Development Organization (UNIDO) and the World Bank attended the Meeting as observers.
4. The Vice-President of the Implementation Committee under the Non-Compliance Procedure for the Montreal Protocol and the Executive Secretary of the Ozone Secretariat were also present.
5. Representatives of the Alliance for Responsible Atmospheric Policy, the China Association of Fluorine and Silicone Industry, the Environmental Investigation Agency and Shecco attended as observers.

AGENDA ITEM 1: OPENING OF THE MEETING

6. The meeting was opened by the Chair, Ms. Fiona Walters, who welcomed members to the first meeting of 2013, and said that the Committee's review of the business plans would help set the direction of work for the coming year. The value of the business plan for the 2013-2015 triennium exceeded the budget by approximately US \$116.1 million, highlighting the need to focus on efficient resource allocation that would take into account the compliance needs of Article 5 countries and meet the aims of the Montreal Protocol.

7. The Chair reminded the Executive Committee that HCFC phase-out management plans (HPMPs) had already been approved for 137 countries so only one new HPMP and a number of tranches would be considered at the current meeting. The Executive Committee still had to consider HPMPs for seven countries, which were expected to be submitted in 2013. It was important to note, however, that 14 of the 28 tranches in multi-year agreements (MYAs) due for submission to the 69th meeting had not been received. The Executive Committee's examination of delays in the submission of tranches for projects already approved, as well as lessons drawn from the final evaluation of CFC projects in MYAs would hopefully help to ensure more effective and efficient implementation of HPMPs.

8. The draft guidelines for determining funding levels for the preparation of stage II of HPMPs for Article 5 countries were also being presented to the Executive Committee. The fact that the deadline for compliance with the first of the HCFC control measures was fast approaching, and the need to ensure a smooth transition towards implementing the subsequent phase of the HPMPs in Article 5 countries, made it important to consider carefully the issue of when preparation of stage II of HPMPs, should commence.

9. After referring briefly to other items on the Committee's agenda, the Chair reminded the Executive Committee that the Production Sector Sub-group would have to be reconvened to continue considering a draft decision for the HCFC production sector guidelines, as modified by the Sub-group at its meeting in the margins of the 68th meeting of the Executive Committee, as well as the proposal for HCFC production phase-out in China.

AGENDA ITEM 2: ORGANIZATIONAL MATTERS

(a) Adoption of the agenda

10. The Executive Committee adopted the following agenda for the meeting on the basis of the provisional agenda contained in document UNEP/OzL.Pro/ExCom/69/1.

1. Opening of the meeting.
2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of work.
3. Secretariat activities.
4. Status of contributions and disbursements.
5. Status of resources and planning:
 - (a) Report on balances and availability of resources;

- (b) Status reports and compliance.
6. 2013-2015 business plans:
- (a) Consolidated business plan of the Multilateral Fund;
 - (b) Business plans of the implementing agencies:
 - (i) Bilateral agencies;
 - (ii) UNDP;
 - (iii) UNEP;
 - (iv) UNIDO;
 - (v) World Bank.
7. Programme implementation:
- (a) Monitoring and evaluation:
 - (i) Final evaluation report on multi-year agreement projects;
 - (ii) Terms of reference for the desk study on the evaluation of the preparatory phase of phasing out HCFCs.
 - (b) Tranche submission delays.
8. Project proposals:
- (a) Overview of issues identified during project review;
 - (b) Bilateral cooperation;
 - (c) Work programmes:
 - (i) 2013 work programme of UNDP;
 - (ii) 2013 work programme of UNEP;
 - (iii) 2013 work programme of UNIDO;
 - (iv) 2013 work programme of the World Bank.
 - (d) Investment projects.
9. Draft guidelines for funding the preparation of stage II of HCFC phase-out management plans (decision 66/5).
10. Report on the Multilateral Fund Climate Impact Indicator (MCII) (decisions 59/45, 62/62, 63/62, 64/51, 65/48, 66/53 and 67/32).

11. Fund transfers and information on any interest accrued on funds held by China for stage I of its HCFC phase-out management plan, and historical implementation modalities of the Multilateral Fund (decision 68/22(a) and (b)).
12. Operation of the Executive Committee (decision 61/48).
13. Recruitment process for the position of Chief Officer of the Multilateral Fund Secretariat (decisions 67/37 and 68/46).
14. Decision of the Parties at their Twenty-Fourth Meeting regarding the evaluation of the financial mechanism.
15. Report of the Sub-group on the Production Sector.
16. Other matters.
17. Adoption of the report.
18. Closure of the meeting.

(b) Organization of work

11. The meeting agreed to discuss the dates and venues for the 70th and 71st meetings under agenda item 16 (Other matters).
12. The meeting agreed to reconvene the Production Sector Sub-group with the following composition: Canada (facilitator), Finland, India, Japan, Kuwait, Nicaragua, Serbia, the United Kingdom of Great Britain and Northern Ireland, the United States of America and Uruguay.

AGENDA ITEM 3: SECRETARIAT ACTIVITIES

13. The Chief Officer introduced document UNEP/OzL.Pro/ExCom/69/2, which provided an overview of the work done by the Secretariat since the 68th meeting. Focusing on the key issues therein, she said that, including addenda, the Secretariat had prepared over 40 documents for the present meeting. This had involved consideration of funding request submissions totalling nearly US \$322 million, including 12 such requests, amounting to more than US \$314 million that were for individual consideration by the Committee.

14. A number of documents were of particular relevance as they covered issues relating to future commitments and policies of the Fund. These included the consolidated business plan for 2013-2015, which contained an analysis of the implementing agencies' business plans for the period and an indication of major work to be undertaken during the triennium, and key policy papers such as draft guidelines for funding the preparation of stage II of HPMPs and an analysis of the operation of the Executive Committee. In addition, the Senior Monitoring and Evaluation Officer had finalized the evaluation of MYA projects.

15. The Chief Officer also informed the Committee that the Russian Federation had paid its pledge to the Multilateral Fund for the year 2013 amounting to over US \$2.7 million. She thanked the Russian Federation and said that she looked forward to the country's future engagement with the Multilateral Fund.

16. Committee members joined the Chief Officer in expressing their appreciation for the Russian Federation's commitment. They also thanked the Chief Officer, the Secretariat and the governments that had taken steps on a bilateral basis for their part in achieving this successful outcome.

17. Stressing the importance of ensuring greater synergy with other multilateral environmental agreements, particularly in relation to climate change, several members requested the Secretariat to participate as actively as possible in relevant meetings and processes. They also requested that, in future reports, more details be given on the subject, including about upcoming events and projects.

18. In response to a question about OzonAction network meetings in particular, the Chief Officer said that the Secretariat remained convinced of the importance of participating in such events as they provided the opportunity for invaluable interaction with members of the networks and enabled the Secretariat to keep abreast of the issues discussed. Representatives of the Secretariat would therefore continue to attend meetings in person whenever possible and via videoconferencing or other means if other commitments prevented physical attendance.

19. Following the discussion, the Executive Committee decided:

- (a) To take note of the report on Secretariat activities contained in document UNEP/OzL.Pro/ExCom/69/2;
- (b) To express its appreciation:
 - (i) To the Russian Federation for its contribution to the Multilateral Fund for the year 2013; and
 - (ii) To the Chief Officer, the Secretariat and a number of governments for their efforts to resolve the issue.

(Decision 69/1)

AGENDA ITEM 4: STATUS OF CONTRIBUTIONS AND DISBURSEMENTS

20. The Treasurer introduced document UNEP/OzL.Pro/ExCom/69/3 and provided updated information on countries' contributions to the Fund as at 12 April 2013. He said that additional cash contributions had been received from Estonia, Italy, Japan, Lithuania, Luxembourg, Monaco, the Russian Federation and Spain. A promissory note had also been received from the Government of Germany in settlement of Germany's pledged contribution for 2013, and a payment for the 2011-2012 cost-differential for maintaining the Fund Secretariat offices in Montreal had been received from the Government of Canada. As the Fund's balance stood at US \$92,793,191, 34 per cent of pledges for 2013 had been paid and the balance on the gain from the fixed-exchange-rate mechanism (FERM) stood at US \$21,927,685.

21. One member said that the document did not appear to reflect the contribution by the Government of France and asked whether the cash-flow needs of the Fund were such that the encashment of promissory notes was urgently required.

22. The Treasurer explained that, in recent years, there had always been a need for cash and accordingly Parties that paid their contributions with promissory notes were requested to allow the encashment of those notes as soon as possible. He confirmed that the 2012 contribution from the Government of France had been received. It had been correctly reflected in Tables 1 and 5 of document UNEP/OzL.Pro/ExCom/69/3 and included in the category "Cash payments including note encashments" as the promissory note had already been encashed. Information on the contribution had, however, been omitted from Table 11 in the document presented to the Executive Committee, which provided an analysis of promissory notes received and encashed, but had been included in the annex to be appended to the final report of the current meeting.

23. The Executive Committee decided:

- (a) To note the report of the Treasurer on the status of contributions and disbursements and the information on promissory notes as contained in Annex I to the present report; and
- (b) To urge all Parties to pay their contributions to the Multilateral Fund in full and as early as possible.

(Decision 69/2)

AGENDA ITEM 5: STATUS OF RESOURCES AND PLANNING

(a) Report on balances and availability of resources

24. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/4 and indicated that, with the addition of the balances returned from completed and cancelled projects and the updated information on the status of contributions and disbursements provided by the Treasurer (see paragraph 21) there would be sufficient funding available for the amounts recommended for approval at the present meeting. Of the resources available, US \$60,052,618 were held in cash and US \$33,427,261 in promissory notes. She also explained that the balance for the Government of Japan's completed projects had not been returned but would be offset against Japan's future bilateral projects.

25. The Executive Committee decided:

- (a) To note:
 - (i) The report on balances and availability of resources contained in document UNEP/OzL.Pro/ExCom/69/4;
 - (ii) That the net level of funds being returned by the implementing agencies to the 69th meeting was US \$635,063 against projects, which included the return of US \$505,286 from UNDP, US \$29,119 from UNEP, US \$66,345 from UNIDO and US \$34,313 from the World Bank;
 - (iii) That the net level of agency support costs being returned by the implementing agencies to the 69th meeting was US \$51,625 against projects, which included the return of US \$39,758 from UNDP, US \$3,785 from UNEP, US \$4,994 from UNIDO, and US \$3,088 from the World Bank;
 - (iv) That implementing agencies had balances totalling US \$535,869, excluding agency support costs, from projects completed over two years previously, which included US \$286,241 for UNDP, US \$8,000 for UNEP and US \$241,628 for UNIDO;
 - (v) That the Government of Japan's balance for completed projects not returned to the Fund, totalling US \$52,906, excluding support costs, would be offset against future bilateral projects;
 - (vi) That the net level of funds and support costs being returned by the bilateral agencies to the 69th meeting was US \$176,122, which included the return of US \$175,930 from the Government of France and US \$192 from the Government of Portugal, and to request the Treasurer to follow up with the Government of Portugal on the cash transfer of US \$192 to the Fund; and

- (b) To request implementing agencies with projects completed in 2007 to 2009 to return the balances as soon as possible.

(Decision 69/3)

(b) Status reports and compliance

26. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/69/5 and Add.1.

27. In response to a question regarding how the Secretariat proposed to move forward with the possible deviation by Argentina, Egypt, Kenya and Morocco from methyl bromide (MB) consumption levels for 2011 in their agreements with the Executive Committee, he pointed out that, with the exception of Kenya, which had indicated zero consumption in 2012, those countries had been asked to submit additional status reports. Several members considered that Kenya should nevertheless be requested to submit an additional report explaining the possible deviation in 2011. The representative of the Secretariat also informed the Committee that Morocco had banned MB and as of 1 January 2013 it could no longer be imported.

28. Several members pointed out that certain elements were missing from the reports on resource mobilization. It was agreed to establish a contact group to discuss what information was still needed and the best way to convey this information to the Committee. In her report to the Committee, the convenor of the contact group stated that the group had felt that the status reports from implementing agencies would be of interest to the Parties, and that the Chair should therefore refer to them in her report to the Twenty-Fifth Meeting of the Parties.

29. One member expressed appreciation for the report submitted by UNIDO on the implementation of the CTC phase-out plan in the Democratic People's Republic of Korea, and noted that it confirmed that the supply of equipment under the plan had been carried out in line with the resolutions of the United Nations Security Council.

30. The Executive Committee decided:

- (a) To note:
 - (i) With appreciation, the status reports and reports on projects with implementation delays submitted to the Secretariat by the implementing agencies and the Governments of the Czech Republic, France, Italy, Japan and Spain addressed in documents UNEP/OzL.Pro/ExCom/69/5;
 - (ii) That 81 country programme implementation reports for the year 2011 had been submitted through the web-based system, which had been initiated on 25 April 2007;
 - (iii) That the Secretariat and the implementing agencies would take established actions according to the Secretariat's assessments and report, and would notify governments and implementing agencies as required;
 - (iv) The 2007 to 2009 consumption verification report on the terminal phase-out management plan (TPMP) in Zambia, demonstrating that consumption remained below the maximum allowable consumption specified for 2007 to 2009, as approved in the TPMP agreement;

- (v) With appreciation, the report on actions taken for projects in Haiti to improve training components and fund transfer and to provide sufficient technical advice for technology decision-making;
 - (vi) The 2011 and 2012 consumption verification report and the 2011 and 2012 annual implementation report on the national CFC phase-out plan (NPP) in Brazil;
 - (vii) With appreciation, the interim report on the demonstration project to validate the use of super-critical carbon dioxide (CO₂) in the manufacture of sprayed polyurethane rigid foam in Colombia, as submitted by the Government of Japan;
 - (viii) The report submitted by UNIDO on the CTC phase-out plan in the Democratic People's Republic of Korea in response to decision 68/34(b), contained in document UNEP/OzL.Pro/ExCom/69/5/Add.1.
- (b) To request:
- (i) Additional status reports to the 70th meeting on the projects listed in Annexes II and III to the present report;
 - (ii) The submission of additional specific status reports as specified for the 22 projects with issues listed in Annex IV to the present report;
 - (iii) The Government of Israel to provide its implementation delay report to the 70th meeting of the Executive Committee;
 - (iv) The implementing agencies to consider the need for additional methyl bromide (MB) projects in the following countries that had partial MB phase-out projects or were exempted from complying with the MB controls pursuant to decision XV/12 of the Fifteenth Meeting of the Parties: Algeria, Argentina, China, Congo (the), Democratic Republic of the Congo (the), Nigeria, Sudan, Swaziland, Tunisia and Turkey;
 - (v) UNIDO to submit a progress report to the 70th meeting on the current status of implementation of the MB projects in Argentina, Egypt, Kenya and Morocco, including a detailed explanation as to why the consumption levels reported under Article 7 of the Montreal Protocol in 2011 were above those allowed under their respective Agreements with the Executive Committee, together with the proposed action plans to meet the targets as required by those agreements;
 - (vi) The Governments of Kenya, Mozambique, Panama, Papua New Guinea and Timor-Leste, for the second consecutive meeting, and the Government of Guinea-Bissau, to report to the Secretariat, as a matter of urgency, on whether their licensing systems were functioning "satisfactorily", "very well" or "not so well";
 - (vii) UNEP to provide an update on the submission of the financial and activity report and on the signing of the new institutional strengthening (IS) agreement for Haiti that would enable the release of the balance of the funds;
 - (viii) UNDP to complete the remaining activities outlined in the report on implementation of the NPP in Brazil; to submit a project completion report once

the NPP had been completed during 2013, in accordance with the format indicated at the 65th meeting and as proposed by UNDP; and to return any balance to the Multilateral Fund after completion of the remaining activities;

- (ix) The Government of Japan to submit to the 70th meeting the final report on the demonstration project to validate the use of super-critical CO₂ in the manufacture of sprayed polyurethane rigid foam in Colombia, taking into consideration the additional information requested in document UNEP/OzL.Pro/ExCom/69/5;
- (c) With regard to the UNEP resource mobilization project:
 - (i) To note the interim report on resource mobilization submitted by UNEP contained in document UNEP/OzL.Pro/ExCom/69/5;
 - (ii) To urge UNEP:
 - a. To provide a draft of the study on financing options for low-volume-consuming countries in the form of an information paper for the Executive Committee at the 70th meeting;
 - b. To submit the final study mentioned in sub-paragraph a. above to the 71st meeting, taking into account guidance provided by the Executive Committee at the 70th meeting;
 - c. To complete the regional workshops on co-financing by December 2013 with a view to providing a report on their conclusions to the first meeting in 2014;
- (d) With regard to the UNDP resource mobilization project:
 - (i) To note the report on resource mobilization for climate co-benefits submitted by UNDP contained in document UNEP/OzL.Pro/ExCom/69/5;
 - (ii) To request UNDP to provide by the 71st meeting:
 - a. Copies of the proposals or a description of the projects initiated with funds provided under this project;
 - b. The final report providing more detailed information on the processes and approaches used to mobilize additional resources, as well as lessons learned related to this exercise, ensuring that the elements described in decisions 63/20(a)(ii) and 68/4(c)(ii) were included, where this information was available;
 - (iii) To request UNDP to provide a report to the Executive Committee on the results of the projects funded from the resource mobilization exercise once they had been implemented;

- (e) With regard to the UNIDO resource mobilization project, to note the final report on resource mobilization for climate co-benefits submitted by UNIDO contained in document UNEP/OzL.Pro/ExCom/69/5; and
- (f) With regard to the World Bank resource mobilization project, to urge the World Bank to submit a final report on the study for climate co-benefits by the 70th meeting.

(Decision 69/4)

AGENDA ITEM 6: 2013-2015 BUSINESS PLANS

(a) Consolidated business plan of the Multilateral Fund

31. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/69/6 and Corr.1, noting that UNEP/OzL.Pro/ExCom/69/6 contained eight sections addressing: resource allocation; MYAs and standard costs; HCFC activities and other activities; activities not required for compliance; the impact of adjustments based on Executive Committee decisions; performance indicators; other policy issues; and recommendations from the Fund Secretariat.

32. Recalling that the value of the business plan for 2013-2015 exceeded the budget by a total of about US \$153.8 million, he explained that the adjustments suggested by the Secretariat, which were based on existing decisions of the Executive Committee, would not be enough to bring the business plan within budget, but there would be sufficient resources if activities in the consumption sector aiming to effect a reduction of more than 35 per cent of the baseline and those in the production sector were not to exceed US \$107.5 million during the 2013-2015 triennium.

33. With respect to ODS disposal projects, a member noted that, as far back as the 54th meeting, the Executive Committee had approved project preparation funding for seven other ODS disposal projects that had not yet been submitted to the Committee. He proposed that a deadline be set for the future submission of those projects.

Bilateral agency component

34. The Executive Committee considered the need to include funding for stage I of the HPMP for Botswana in the business plan of a bilateral or implementing agency.

UNDP component

35. UNDP's component of the 2013-2015 business plan contained activities worth US \$102 million, of which US \$46 million would be for activities scheduled for 2013, including a request for US \$1.1 million for technical assistance for the mapping of ODS alternatives at the national level in eight countries. That would help to provide information on performance, cost and the availability of alternatives at the national level, and to establish the market penetration.

36. The representative of UNDP said that the amount of preparatory work required for stage II of the HPMPs should not be underestimated. While UNDP had estimated that approximately US \$229 million would be required for stage II of the HPMPs in its business plan during the period 2014-2030, the Secretariat had reduced that amount to US \$151 million, calculated on the needs of the servicing sector alone. Each HCFC-22 activity had been cut by applying the servicing sector cost-effectiveness value of US \$4.50 per kilogramme and the business plans were not representative of the needs of countries with refrigeration and air-conditioning manufacturing sectors. However, large countries such as China and India also had refrigeration and air-conditioning manufacturing sectors and some countries had not confirmed which sectors they would be focusing on.

37. During the discussion, it was indicated that the objectives of technical assistance projects for mapping ODS alternatives at the national level would be part of usual project preparation activities and that the projects were not required for compliance. On the other hand, it was also considered that the issue of the mapping of ODS alternatives needed further discussion. The use of HCFC-22 in refrigeration and air-conditioning was a major component for stage II of the HPMPs and was an issue of particular importance for countries with high ambient temperatures. However, ODS disposal activities could be kept in the business plan provided that there was a high probability of synergies with other sources of funding.

UNEP component

38. In UNEP's component of the 2013-2015 business plan, the Committee's attention was drawn to projects for: the sustainable adoption of MB alternative technologies in Africa; ODS disposal in Europe and Central Asia; promoting alternatives to HCFCs in air-conditioning in high-ambient-temperature countries in West Asia, to be implemented in cooperation with UNIDO; and a global project on bridging technology innovation gaps to generate sustainable introduction and implementation of low-global-warming potential (GWP) alternatives in low-volume-consuming (LVC) countries, as a way of setting the stage for the preparation of stage II of HPMPs. The representative of UNEP also proposed that the logical framework analysis tables be moved from the business plan to the Compliance Assistance Programme (CAP) budget document starting with the 2014 budget cycle, in the interest of streamlining reporting.

39. Responding to requests for clarification regarding HCFC production phase-out activities for India, the representative of UNEP explained that the project would be withdrawn from the business plan through the adjustments recommended by the Fund Secretariat. She further explained that the scope of the global project on bridging technology innovation gaps to generate sustainable introduction and implementation of low-GWP alternatives in LVC countries went beyond the mandate under UNEP's CAP. The project would begin with a focus on Africa, and would centre on overcoming obstacles to access to low-GWP alternatives to HCFCs in LVC countries. One representative suggested that synergies be sought with UNDP's similar project. Several representatives suggested that consideration be given to undertaking this activity under the CAP and removing it from the business plan.

40. Providing clarification, the representative of the Secretariat explained that a decision was required from the Executive Committee to allow UNEP to include the logical framework in its budget document instead of in the business plan, because its inclusion in the business plan was itself the product of a previous decision by the Executive Committee (decision 48/7(d)).

UNIDO component

41. A number of issues in the agency's component of the business plan were highlighted. With regard to the HPMP for the Democratic People's Republic of Korea, UNIDO, as lead agency, suggested that the Committee consider removing the HPMP from its business plan.

42. It was also explained that the funding for demonstration projects on quarantine and pre-shipment (QPS) uses of MB had been removed from UNIDO's business plan by the Secretariat because QPS uses of MB were not controlled by the Montreal Protocol. On the matter of investment activities funds for stage II of Mexico's HPMP, members recalled that an existing decision (decision 64/45(e)) allowed Mexico to request funding before completion of stage I of its HPMP, and before 2015. With regard to the cost-effectiveness values in UNIDO's business plan, it was pointed out that they were indicative, that projected spending for the triennium was already approximately 25 per cent over budget, and that the individual projects contained in the business plan would be considered when project proposals were submitted.

43. Responding to questions, the representative of UNIDO said that the project promoting potential low-GWP refrigerants for air-conditioning sectors in high-ambient-temperature countries in West Asia, which it proposed to develop with UNEP, would contribute to efforts to prepare stage II of HPMPs in countries where there were as yet no suitable alternatives. One member, however, drew attention to the progress made in certain high-ambient-temperature countries, stating that it would be worthwhile to examine developments at the forefront of innovative technology in order to improve planning of stage II of HPMPs. The representative of UNIDO also confirmed that the MB project for Ethiopia should be withdrawn from the business plan.

44. The representative of the Secretariat explained that the institutional strengthening (IS) project for Qatar had been scheduled for 2013 according to the model that automatically applied to all countries, but agreed that moving the project to 2014 would not raise any problems. He also pointed out that the project preparation funds requested for some HPMPs had been removed from UNIDO's business plan because they were for stage II in 2018.

World Bank component

45. There were no particular issues arising in relation to the projects in the World Bank's component of the 2013-2015 business plan. With respect to performance indicators, the issue raised by the World Bank was addressed.

2013-2015 consolidated business plan as a whole

46. The Executive Committee agreed to set up a contact group to consider the issues in more detail.

47. Following the report of the contact group, the Executive Committee decided:

- (a) To endorse the consolidated 2013-2015 business plan of the Multilateral Fund contained in documents UNEP/OzL.Pro/ExCom/69/6 and Corr.1 as further adjusted by the Secretariat and the Executive Committee, while noting that endorsement denoted neither approval of the projects identified therein nor their funding or tonnage levels;
- (b) To adjust the value in the business plans for the production sector to maintain overall activities within the budget for the triennium;
- (c) To remove the following projects:
 - (i) The ODS alternative mapping studies from UNDP's business plan;
 - (ii) The global project on bridging technology innovation gaps from UNEP's business plan;
 - (iii) The HCFC phase-out management plan (HPMP) for the Democratic People's Republic of Korea from the business plans of UNIDO and UNEP;
 - (iv) Funding for the methyl bromide technical assistance project in Ethiopia from UNIDO's business plan;
- (d) To add to UNEP's business plan stage II of the HPMP project preparation funds for Kiribati in the amount of US \$22,600 in the year 2018;

- (e) To postpone the inclusion of the HPMPs for Libya and Tunisia in UNIDO's business plan to 2014;
- (f) To reinstate the investment activities for stage II of the HPMP for Mexico in UNIDO's business plans for 2014 taking into account decision 64/45(e);
- (g) To request a bilateral or implementing agency to include funding for stage I of the HPMP for Botswana in its business plan;
- (h) To allow UNEP to include the logical framework analysis tables in the compliance assistance programme (CAP) budget document rather than in its business plan, as had been required by decision 48/7(d);
- (i) To allow the submission of ODS destruction projects where project preparation had already been approved in accordance with the approved guidelines no later than the 72nd meeting of the Executive Committee;
- (j) To move funding for the institutional strengthening project for Qatar to 2014 in UNIDO's business plan;
- (k) To request the Secretariat and the implementing agencies to address the issue raised by the World Bank concerning performance indicators to be submitted to the 70th meeting; and
- (l) To request the Senior Monitoring and Evaluation Officer and the implementing agencies to agree a schedule for the submission of project completion reports.

(Decision 69/5)

(b) Business plans of the implementing agencies

(i) Bilateral agencies

48. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/7.

49. The Executive Committee decided to note the 2013-2015 business plans for bilateral cooperation submitted by the Governments of Germany and Japan, as addressed in document UNEP/OzL.Pro/ExCom/69/7.

(Decision 69/6)

(ii) UNDP

50. The representative of UNDP introduced document UNEP/OzL.Pro/ExCom/69/8.

51. The Executive Committee decided:

- (a) To note the 2013-2015 business plan of UNDP, as contained in document UNEP/OzL.Pro/ExCom/69/8; and
- (b) To approve the performance indicators for UNDP set out in Annex V to the present report.

(Decision 69/7)

(iii) UNEP

52. The representative of UNEP introduced document UNEP/OzL.Pro/ExCom/69/9.

53. The Executive Committee decided:

- (a) To note the 2013-2015 business plan of UNEP, as contained in document UNEP/OzL.Pro/ExCom/69/9; and
- (b) To approve the performance indicators for UNEP set out in Annex VI to the present report.

(Decision 69/8)

(iv) UNIDO

54. The representative of UNIDO introduced document UNEP/OzL.Pro/ExCom/69/10.

55. The Executive Committee decided:

- (a) To note the 2013-2015 business plan of UNIDO, as contained in document UNEP/OzL.Pro/ExCom/69/10; and
- (b) To approve the performance indicators for UNIDO set out in Annex VII to the present report.

(Decision 69/9)

(v) World Bank

56. The representative of the World Bank introduced document UNEP/OzL.Pro/ExCom/69/11.

57. The representative of the Secretariat informed the Committee that, after further discussions with the World Bank and in light of the information it had provided, the Secretariat was in position to agree to the performance indicators suggested by the Bank.

58. The Executive Committee decided:

- (a) To note the 2013-2015 business plan of the World Bank, as contained in document UNEP/OzL.Pro/ExCom/69/11; and
- (b) To approve the performance indicators for the World Bank set out in Annex VIII to the present report.

(Decision 69/10)

AGENDA ITEM 7: PROGRAMME IMPLEMENTATION

(a) Monitoring and evaluation

(i) Final evaluation report on multi-year agreement projects

59. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/69/12.

60. Members thanked the Senior Monitoring and Evaluation Officer for her work, saying that the report provided valuable insights and lessons learned. However, they also expressed concerns regarding a number of aspects of the report, including the conclusions and recommendations, the lack of a link with the findings of the previous desk study and, in some cases, a shortage of detail. Members highlighted the need to assess the impact of training programmes and to determine a methodology for doing so, as well as the importance of establishing a system for monitoring and collecting data on recovery and recycling.

61. The Committee agreed to send the matter to an informal group, which would include the Senior Monitoring and Evaluation Officer and implementing agencies, for further discussion.

62. After hearing the report of the convenor of the informal group, the Executive Committee decided:

- (a) To note the final evaluation report on multi-year agreement projects as presented in document UNEP/OzL.Pro/ExCom/69/12; and
- (b) To encourage the Senior Monitoring and Evaluation Officer, the Secretariat, the implementing agencies and interested bilateral agencies to review experiences in assessing the results of activities in the refrigeration and air-conditioning servicing sectors with a view to developing an approach that could be used for future evaluations.

(Decision 69/11)

(ii) Terms of reference for the desk study on the evaluation of the preparatory phase of phasing out HCFCs

63. The Senior Monitoring and Evaluation Officer introduced document UNEP/OzL.Pro/ExCom/69/13.

64. Members thanked the Senior Monitoring and Evaluation Officer for her work and expressed their appreciation for the proposed case-study approach. Some concern was expressed regarding the additional funding requested for the project and, after informal discussion, it was agreed that it would be funded from the budget already approved for 2013.

65. The Executive Committee decided to approve the proposed terms of reference for the expanded desk study on the evaluation of the preparatory phase of phasing out HCFCs as contained in document UNEP/OzL.Pro/ExCom/69/13.

(Decision 69/12)

(b) Tranche submission delays

66. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/14, stating that 14 of the 28 tranches in MYAs due for submission to the 69th meeting had not been submitted. The main reason was their failure to meet the required 20 per cent disbursement threshold for the previous tranche of stage I of the HPMP.

67. The Executive Committee decided:

(a) To note:

(i) The information on tranche submission delays under multi-year agreements (MYAs) submitted to the Secretariat by the Government of Germany, UNDP, UNEP, UNIDO and the World Bank, as contained in document UNEP/OzL.Pro/ExCom/69/14;

(ii) That 14 out of 28 tranches of the MYAs due for submission had been submitted on time to the 69th meeting, that all delayed tranches were either second or subsequent tranches of stage I of the respective HCFC phase-out management plan (HPMP), and that the main reason for late submission was the failure to meet the required 20 per cent disbursement threshold for the previous tranche of stage I of the HPMP; and

(b) To request the Secretariat to send letters to the Governments of Chile, Croatia, Cuba, Indonesia, the Islamic Republic of Iran, Montenegro, Namibia, Panama, Seychelles and Viet Nam to urge them to address as soon as possible issues that prevented the submission of HPMP tranches as scheduled.

(Decision 69/13)

AGENDA ITEM 8: PROJECT PROPOSALS

(a) Overview of issues identified during project review

68. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/15, which contained: an analysis of the number of projects and activities submitted to the present meeting; the list of projects and activities submitted for blanket approval; and the list of investment projects submitted for individual consideration.

69. He said that stage I of the HPMP for the Democratic People's Republic of Korea, to be implemented by UNEP and UNIDO, which had been submitted to the Secretariat for consideration at the 69th meeting, had been withdrawn by the lead agency, UNIDO, prior to the meeting. He explained that UNIDO had consulted the United Nations Security Council Committee to find out whether it would clear the equipment or any services to be provided under the HPMP, but a response had yet to be received. Accordingly, taking into account the situation prevailing in the country and the fact that a new Security Council resolution had been issued increasing the list of items forbidden to be supplied to the country, prior to the meeting UNIDO had requested to defer submission of the HPMP until further notice.

70. No new issues had been identified during the project review process.

List of projects and activities submitted for blanket approval

71. The Executive Committee decided:

- (a) To approve the projects and activities submitted for blanket approval at the levels of funding indicated in Annex IX to the present report, together with the conditions or provisions included in the corresponding project evaluation documents and the conditions attached to the projects by the Executive Committee, noting that the Agreements between the Government of Maldives and the Executive Committee contained in Annex X to the present report and between the Government of Timor-Leste and the Executive Committee contained in Annex XI to the present report had been updated based on the established HCFC baseline for compliance; and
- (b) That, for projects related to renewal of institutional strengthening, blanket approval included approval of the observations to be communicated to recipient governments contained in Annex XII to the present report.

(Decision 69/14)

(b) Bilateral cooperation

72. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/16, explaining that only one project for bilateral cooperation – from the Government of Germany with a value of US \$438,036, including agency support costs – had been received by the Secretariat for approval at the 69th meeting.

73. The Executive Committee decided to request the Treasurer to offset the costs of the bilateral project approved at the 69th meeting as follows:

- US \$438,036 (including agency fees) against the balance of Germany's bilateral contribution for 2012-2014.

(Decision 69/15)

(c) Work programmes

(i) 2013 work programme of UNDP

74. The Chair reminded the meeting that the funding requests in UNDP's 2013 work programme, namely renewal of two IS projects described in document UNEP/OzL.Pro/ExCom/69/17, had already been approved under agenda item 8(a) (see paragraph 71 above).

(ii) 2013 work programme of UNEP

75. The Chair reminded the meeting that most of the funding requests in UNEP's 2013 work programme, namely, renewal of nine IS projects described in document UNEP/OzL.Pro/ExCom/69/18, had already been approved under agenda item 8(a) (see paragraph 71 above).

76. The representative of the Secretariat introduced one project that remained for individual consideration as described below.

Asia and the Pacific Region: Promoting low-global-warming-potential (GWP) refrigerants for the air-conditioning sectors in high-ambient-temperature countries in West Asia

77. The project, to be implemented with UNIDO, aimed to facilitate the transfer of technology and the exchange of experiences relating to low-GWP alternatives for the air-conditioning sector in high-ambient-temperature countries for better decision making to assist them with HCFC phase-out. The project had been included in UNEP's 2012 business plan where the Executive Committee, in decision 66/5(b), had decided to keep it with an adjusted funding level of US \$250,000 for the entire project. The present project was the subject of a request for funding of US \$575,000 plus support costs for UNEP and UNIDO.

78. There was general consensus that the project was an interesting one and that the results could be of help to many countries. However, several members were concerned about the increase in the proposed cost of the project since the 66th meeting and the expansion of its scope. Following informal consultations with UNEP and UNIDO to achieve a better understanding of the objectives of the project and to allay concerns about its timing, given that technology in the air-conditioning sector was going through a period of rapid change, the Executive Committee decided to approve the UNEP component of the request for the project promoting low-global-warming-potential (GWP) refrigerants for air-conditioning sectors in high-ambient-temperature countries in West Asia at the level of funding of US \$155,000, plus agency support costs of US \$20,150, noting that UNEP had revised the project to include an assessment of conventional and non-conventional solutions and a special study on district cooling systems using low GWP and/or non-vapour-compression options.

(Decision 69/16)

(iii) 2013 work programme of UNIDO

79. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/19.

Asia and the Pacific Region: Promoting low-global-warming-potential (GWP) refrigerants for the air-conditioning sectors in high-ambient-temperature countries in West Asia

80. To be implemented with UNEP, the project aimed to facilitate the transfer of technology and the exchange of experiences relating to low-GWP alternatives for the air-conditioning sectors in high-ambient-temperature countries for better decision making to assist them with HCFC phase-out. The project had been included in UNEP's 2012 business plan where the Executive Committee, in decision 66/5(b), had decided to keep it with an adjusted funding level of US \$250,000 for the entire project. The present project was the subject of a request for funding of US \$575,000 plus support costs.

81. Based on the consultations that had taken place under agenda item 8(c)(ii), the Executive Committee decided to approve the UNIDO component of the request for the project promoting low-global-warming-potential refrigerants for air-conditioning sectors in high-ambient-temperature countries in West Asia at the level of funding of US \$365,000, plus agency support costs of US \$25,550.

(Decision 69/17)

(iv) 2013 work programme of the World Bank

82. The Chair reminded the meeting that the funding request in the World Bank's 2013 work programme, namely, funding to cover verification of CFC production for essential uses described in document UNEP/OzL.Pro/ExCom/69/20, had already been approved under agenda item 8(a) (see paragraph 71 above).

(d) Investment projects**ODS destruction**Georgia: Pilot demonstration project on ODS waste management and disposal (UNDP)

83. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/26. She said that the aim of the demonstration project was to explore synergies in the co-disposal of ODS and persistent organic pollutant (POPs) waste in an LVC country, where ODS waste was accumulated at a slower pace and in smaller quantities. It was to examine options for reaching economies of scale to address such amounts of ODS waste from waste management companies with a view to reducing the cost of waste handling and increasing the cost-effectiveness and efficiency of destruction.

84. Committee members expressed great interest in the project owing to: the element of synergy with another multilateral environmental agreement; the potential benefit of the lessons learned for other LVC countries; and the possibilities for improving cost-effectiveness. One member requested that the final report of the project be comprehensive and be disseminated in the regional network meetings for Europe and Central Asia and other relevant fora. Another wished to see not only details of the ODS disposal in the report but also information on the POPs disposal.

85. One member noted that approval of the project would bring the total value of approved ODS disposal projects close to the full US \$3 million funding window for such projects in LVC countries set by the Executive Committee.

86. The Executive Committee decided:

- (a) To note with appreciation the submission by the Government of Georgia of a pilot demonstration project on ODS waste management and disposal project;
- (b) To approve the implementation of a pilot demonstration project on ODS waste management and disposal for Georgia to destroy a total of 2.13 metric tonnes of ODS waste, at the amount of US \$55,264, plus agency support costs of US \$4,974 for UNDP on the understanding that:
 - (i) No further funds would be available for Georgia for any ODS disposal projects in future in the absence of a new relevant decision of the Meeting of the Parties;
 - (ii) Any marketing of greenhouse gas (GHG) emission reductions generated by or associated with the project would be subject to a decision by the Executive Committee;
- (c) To request the Government of Georgia, through UNDP, to establish a monitoring system for the operation of, and the activities associated with, the ODS waste management and disposal demonstration project;
- (d) To request UNDP to present a comprehensive final report to the Executive Committee upon completion of the demonstration project in 2015, on the understanding that:
 - (i) It would be disseminated among regional networks and other interested parties;

- (ii) It would contain:
 - a. Information on the monitoring system established pursuant to sub-paragraph (c) above, ensuring that no marketing of GHG emission reductions had taken place; and
 - b. Information on the persistent organic pollutant (POPs) disposal element of the project, taking into account approaches that were common to both, and how costs were rationalized to increase cost-effectiveness through the synergy between POPs and ODS waste disposal.

(Decision 69/18)

Europe and Central Asia region: Demonstration of a regional strategy for ODS waste management and disposal (UNEP/UNIDO)

87. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/32.

88. Several members indicated that they were favourably disposed towards the project and hoped that it would promote ODS collection and disposal action in the region, as well as be sustainable in the long term. However, concerns were expressed regarding the eligibility of the regional cooperation forum element of the project, taking into account CAP network activities for the region, as well as the opportunities for dissemination and sharing of the experience and lessons learned from the project. One member also reminded the Committee of the importance of ensuring liaison with Global Environment Facility-funded POPs activities in the region.

89. The Executive Committee decided:

- (a) To note with appreciation the submission by the Governments of Bosnia and Herzegovina, Croatia and Montenegro of a demonstration project on a regional strategy for ODS waste management and disposal for the Europe and Central Asia (ECA) region;
- (b) To approve the implementation of a demonstration project on a regional strategy for ODS waste management and disposal in the ECA region for the destruction of 29.07 metric tonnes of ODS waste, at the total amount of US \$378,444, consisting of US \$274,480, plus agency support costs of US \$19,214 for UNIDO, and US \$75,000, plus agency support costs of US \$9,750 for UNEP, noting that approval was on the understanding that:
 - (i) No further funds would be available for Bosnia and Herzegovina, Croatia and Montenegro for any ODS disposal projects in future in the absence of a new relevant decision of the Meeting of the Parties;
 - (ii) UNIDO and UNEP would submit a comprehensive report providing updated information on the actual amounts of ODS waste collected by substance as from the date of approval of the project by the 73rd meeting;
 - (iii) UNIDO and UNEP would submit a report to the Executive Committee upon completion of the project and no later than the last meeting in 2015, providing information on the verified amounts of ODS waste destroyed during the project, and if the reported amounts destroyed were not equal to that approved in sub-paragraph (b) above, the funds corresponding to the tonnage not destroyed would be returned to the Multilateral Fund, to be calculated at US \$12.04/kg;

- (iv) The report in sub-paragraph (iii) above should also contain a substantive analysis of lessons learned from the implementation of the project, including a description of the regional approach and of how the sustainability of future similar activities could be ensured;
 - (v) Any marketing of greenhouse gas (GHG) emission reductions generated by or associated with the project would be subject to a decision by the Executive Committee; and
- (c) To request:
- (i) The Governments of Bosnia and Herzegovina, Croatia and Montenegro, through UNEP and UNIDO, to establish a monitoring system for the operation of, and the activities associated with, the ODS disposal demonstration project; and
 - (ii) UNIDO to report thereon to the Executive Committee at the completion of the project in 2015, ensuring that no marketing of GHG emission reductions had taken place.

(Decision 69/19)

Tranches of HPMPs

China: HCFC phase-out management plan (stage I, second tranche) extruded polystyrene foam sector plan (UNIDO/Germany)

90. The Chair introduced document UNEP/OzL.Pro/ExCom/69/23

91. In response to questions from members regarding the number of agreements signed and the level of disbursement relative to the 20 per cent threshold specified in the agreement, the representative of UNIDO informed the Committee that contracts had been signed with 11 enterprises covering the phase-out of 3,801 metric tonnes, over 95 per cent of the total number of metric tonnes covered by the tranche. He further confirmed that the level of fund disbursement to date to beneficiary enterprises was approximately 23 per cent.

92. The Executive Committee decided:

- (a) To note the progress report on the implementation of the first tranche of stage I of the extruded polystyrene foam sector plan for China; and
- (b) To approve the second tranche of stage I of the extruded polystyrene foam sector plan and the corresponding tranche implementation plans, at the amount of US \$11,370,226, consisting of US \$10,217,000, plus agency support costs of US \$715,190 for UNIDO, and US \$390,977, plus agency support costs of US \$47,059 for the Government of Germany.

(Decision 69/20)

Venezuela (Bolivarian Republic of): HCFC phase-out management plan (stage I, third tranche) (UNIDO/UNEP)

93. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/31.

94. Several members expressed concern regarding the fact that only 1.5 per cent of funding from the previously approved tranche had been disbursed to date, whereas the agreement called for a rate of

disbursement of more than 20 per cent. On that basis, the Executive Committee agreed to defer consideration of the request until the 20 per cent disbursement threshold had been achieved.

HPMPs for LVC countries

Barbados: HCFC phase-out management plan (stage I, first tranche) (UNEP/UNDP)

95. The Chair introduced document UNEP/OzL.Pro/ExCom/69/21.

96. The Executive Committee decided:

- (a) To approve, in principle, stage I of the HCFC phase-out management plan (HPMP) for Barbados for the period 2013 to 2020 to reduce HCFC consumption by 35 per cent of the baseline, at the amount of US \$312,880, consisting of US \$192,000, plus agency support costs of US \$24,960 for UNEP, and US \$88,000, plus agency support costs of US \$7,920 for UNDP;
- (b) To note that the Government of Barbados had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 3.7 ODP tonnes, calculated using actual consumption of 5.1 ODP tonnes and 2.3 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol;
- (c) To deduct 1.29 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption;
- (d) To approve the draft Agreement between the Government of Barbados and the Executive Committee for the reduction in consumption of HCFCs, as contained in Annex XIII to the present report; and
- (e) To approve the first tranche of stage I of the HPMP for Barbados, and the corresponding implementation plans, at the amount of US \$138,120, consisting of US \$74,000, plus agency support costs of US \$9,620 for UNEP, and US \$50,000, plus agency support costs of US \$4,500 for UNDP.

(Decision 69/21)

AGENDA ITEM 9: DRAFT GUIDELINES FOR FUNDING THE PREPARATION OF STAGE II OF HCFC PHASE-OUT MANAGEMENT PLANS (decision 66/5)

97. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/33, which contained proposed draft guidelines for funding the preparation of stage II of HPMPs, prepared by the Secretariat pursuant to decision 66/5(c). The proposed draft guidelines addressed the timing, information requirements and suggested funding levels for the preparation of stage II of HPMPs, among other matters.

98. A number of members took the floor on the issue of the timing of submissions for project preparation funding for stage II of HPMPs. For some members, it was important to take the necessary time to evaluate stage I of HPMPs before approving funding for preparation of stage II, in order to better understand the cost-effectiveness of stage I projects. A number of members expressed the view that approval of funds for stage II preparation should be made conditional on the progress of stage I. It was furthermore pointed out that the Executive Committee would benefit from being able to examine at its 70th meeting a background document on the cost guidelines for HCFC phase-out funding contained in decision 60/44.

99. Some members further stated that a clear analysis of available alternatives to HCFC substances was also needed as part of stage II preparation so as to ensure that stage II was implemented efficiently and project preparation funding was not provided for sectors for which alternative substances were not available.

100. Other members cautioned against waiting too long to proceed with the preparation of stage II of HPMPs because it might result in a hiatus between funding for stages I and II and place countries at risk of being in a situation of potential non-compliance.

101. On the issue of timing, the representative of the Secretariat clarified that the beginning of stage II for a given HPMP would be linked to the end date of stage I of that same HPMP.

102. The discussion also touched on the proposed levels of funding for stage II project preparation contained in the proposed draft guidelines. Clarification was sought on how the different categories had been decided, noting that Article 5 countries with the lowest remaining HCFC consumption eligible for funding would be receiving the lowest amount of funds despite the complexity of gathering data on the remaining amounts of HCFC to be phased out. It was explained that, while that might be the case, it was assumed that most countries would have obtained data through the stage I survey. Therefore, the surveys in stage II would simply be conducted to update those data. A number of members stated that, in any event, all requests for funding for stage II preparation should be accompanied by full justification, and that the maximum amount should only be granted when certain conditions had been met.

103. In response to a request for clarification on the definition and duration of stage II of HPMPs, the representative of the Secretariat explained that the definition was based on the guidelines for preparation of stage I of HPMPs, contained in decision 54/39 which should cover compliance with the freeze and up to a 10 per cent reduction from the baseline for HCFC consumption. However, stage I of most of the HPMPs approved covered up to the 35 per cent reduction target, and some LVC countries had even chosen accelerated phase-out up to 100 per cent. Stage II was therefore meant to cover up to the 35 per cent reduction target, but in fact might involve allowing countries to propose projects that would exceed that reduction.

104. On related topics, a few members suggested that countries requiring stage II activities could be encouraged to adopt a regional approach to HCFC phase-out. One member said that it was also important to integrate global developments in the areas of co-financing and climate benefits.

105. Following the discussion, members of the Executive Committee agreed to set up a contact group to discuss the matter further.

106. In her report, the convenor of the contact group explained that a number of issues had been discussed and that progress had been made. However, there had been insufficient time to reach agreement on the draft guidelines for funding the preparation of stage II of HPMPs. It was therefore proposed that the text of the draft guidelines, as amended so far by the contact group, be forwarded to the 70th meeting of the Executive Committee for consideration. The draft guidelines would be made available to Executive Committee members on the restricted area of the Multilateral Fund website for the 69th meeting to download in preparation for the 70th meeting. The convenor of the contact group also stated that the group had asked that the Secretariat prepare an information document to help the Executive Committee review the criteria for funding HCFC phase-out in the consumption sector that had been adopted by decision 60/44. One member of the contact group had further requested that the information document be made available to members of the Executive Committee no later than four weeks before the 70th meeting.

107. Following the report, the Executive Committee decided:

- (a) To defer consideration of the draft guidelines for funding the preparation of stage II of the HCFC phase-out management plans, as amended at the 69th meeting of the Executive Committee, to the 70th meeting ; and
- (b) To request the Secretariat to prepare an information document for the 70th meeting to assist the Executive Committee in reviewing the criteria for funding HCFC phase-out in the consumption sector adopted by decision 60/44, including an analysis of the cost-effectiveness of projects approved so far, as well as the division of costs between incremental operating costs and incremental capital costs.

(Decision 69/22)

AGENDA ITEM 10: REPORT ON THE MULTILATERAL FUND CLIMATE IMPACT INDICATOR (MCII) (decisions 59/45, 62/62, 63/62, 64/51, 65/48, 66/53 and 67/32)

108. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/34, which contained a report prepared by the Secretariat pursuant to decision 67/32 and provided information on the characteristics of the fully-developed version of the Multilateral Fund Climate Indicator (MCII), together with options for its further implementation.

109. The Secretariat was thanked for its hard work in completing the task set for it in decision 67/32. While it would be helpful to make the MCII available to members of the Executive Committee, several were of the view that there was no need for additional work on the instrument at the present time, although one member said that it would be useful to consider how to apply the MCII to the refrigeration servicing sector and extend the modelling in the foam sector by adding the calculation of energy consumption. The integration of the MCII into the MYA database had been useful and the implementing agencies should provide data that would help validate proposals for stage II of HPMPs. In order to allow the Secretariat to gain sufficient experience in applying the MCII to project submissions, the next report on that issue should be submitted to the 74th meeting.

110. Some members suggested that the MCII could be reviewed by independent outside experts. It was indicated that, if those providing expertise to the climate-related bodies of the United Nations could be involved, the underlying data could be checked for consistency. However, the representative of the Secretariat explained that neither the Executive Committee nor the Secretariat had the authority to approach other bodies of the United Nations and that such a request would need to come from the Meeting of the Parties. He also confirmed that the experts that had been involved in the development of the MCII would not participate in the foreseen review. The Secretariat had also consulted several experts used by the climate-related bodies for additional input on the MCII. Significant feedback had also been received from the implementing agencies and the MCII had been revised to address their concerns.

111. It was indicated that the MCII should be consistently applied either to all conversion projects or to none. It would also be important to keep the MCII under review as it was an evolving tool and if it remained static it might not prove useful in the long term. Although the MCII had been under consideration by the Executive Committee since 2008, there remained a great deal of ambiguity as to the benefits of its use. What was needed was experience in using the instrument to make the implementing agencies and the Executive Committee more comfortable with it. It would therefore be useful to maintain the discussion forum on the MCII.

112. The implementing agencies were also requested to give their views on the application of the MCII, and the representative of UNDP confirmed that there had been detailed consultations with the implementing agencies during development of the instrument and that the MCII had been adapted as a

result. There was now a need to achieve concrete results in order to establish the credibility of the instrument. The MCII would, however, also be an additional burden in terms of data generation, which would have to be collected at enterprise level.

113. One representative introduced a draft decision on the MCII. Following discussion, as well as after informal consultations between interested members in the margins of the meeting, the Executive Committee decided:

- (a) To note the report on the Multilateral Fund Climate Impact Indicator (MCII) contained in document UNEP/OzL.Pro/ExCom/69/34;
- (b) To request the Secretariat to maintain a discussion forum on the MCII to enable continued and transparent exchanges among Executive Committee members, implementing agencies and the Secretariat on the matter;
- (c) To further request the Secretariat to provide the next report to the last meeting of the Executive Committee in 2014 on progress made and experience gained in applying the MCII to project submissions, as well as the results of an independent review to be undertaken, and to provide a progress report to the 72nd meeting; and
- (d) To include in the report of the Executive Committee to the Meeting of the Parties information on the MCII, its envisioned link to climate change, and the need for that information to be consistent with information used in climate-related bodies of the United Nations.

(Decision 69/23)

AGENDA ITEM 11: FUND TRANSFERS AND INFORMATION ON ANY INTEREST ACCRUED ON FUNDS HELD BY CHINA FOR STAGE I OF ITS HCFC PHASE-OUT MANAGEMENT PLAN AND HISTORICAL IMPLEMENTATION MODALITIES OF THE MULTILATERAL FUND (decision 68/22(a) and (b))

114. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/35.

115. In response to a request from the Chair to update the Committee on discussions held with the Secretariat pursuant to decision 68/22(a) and (b), the representative of UNDP reported that China had indicated that the interest accrued was very low as it was based on a rate of less than 0.05 per cent. The representatives of the implementing agencies informed the Committee that they were awaiting further guidance from the Committee on how to formalize the process of returning interest to the Multilateral Fund.

116. One member suggested that guidance had already been provided under decision 68/22(b), at the same time stressing that, given the level of funds circulating in the system, the issue of amounts earned in interest being returned to the Multilateral Fund was broadly applicable and needed to be addressed. Some members indicated that the issue of meeting the 20 per cent disbursement threshold was also linked to other projects, such as the HPMP third tranche request for the Bolivarian Republic of Venezuela.

117. The Committee agreed to establish a contact group open to all interested members and implementing agencies for further discussion on various aspects of the matter.

118. In her report to the Committee on the outcome of the discussions, the convenor of the contact group told the Committee that the group had felt that the condition of a minimum level of disbursement

was a general issue that should be addressed at a future meeting, and that the Secretariat should be encouraged to provide more information on it.

119. Following the report of the convenor of the contact group, the Executive Committee decided:

- (a) To note:
 - (i) The information on “Fund transfers and information on any interest accrued on funds held by China for stage I of its HCFC phase-out management plan, and historical implementation modalities of the Multilateral Fund (decision 68/22(a) and (b))” contained in document UNEP/OzL.Pro/ExCom/69/35;
 - (ii) With appreciation, the input of UNDP, UNEP, UNIDO and the World Bank on their agreements with the Foreign Economic Cooperation Office of the Ministry of Environment Protection (FECO/MEP) for the implementation of stage I of the HCFC phase-out management plan (HPMP) for China;
 - (iii) That China had stated that interest accrued could be returned to the implementing agencies or offset against future tranches;
- (b) To request:
 - (i) The Fund Secretariat, in collaboration with the implementing agencies and the Treasurer, to prepare a paper for consideration at the 71st meeting on options as to how funds for the HPMP in China could be disbursed as close as possible to the time when funds were needed, taking into account the relevant agreements between the Executive Committee and the Treasurer, the Executive Committee and the implementing agencies, and between the Government of China and the implementing agencies;
 - (ii) Implementing agencies to report on interest provided by China on funds transferred for the HPMP in the tranche implementation reports;
- (c) To urge the implementing agencies and the Treasurer to reach an agreement with the Fund Secretariat on how to record disbursement for the implementation modality, including means of returning balances used for stage I of the HPMP for China and similar projects, in the Fund’s annual progress and financial reports and the accounts of the Fund, and to report back to the 70th meeting; and
- (d) To encourage the Secretariat to consider, in the context of the information document referred to in decision 69/22(b), options to ensure that:
 - (i) The level of funding for the first year of stage II would meet the 20 per cent disbursement threshold; and
 - (ii) Subsequent tranches were considered in light of the need for cash and the likelihood of reaching the disbursement threshold.

(Decision 69/24)

AGENDA ITEM 12: OPERATION OF THE EXECUTIVE COMMITTEE (decision 61/48)

120. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/36, which analysed the option of holding only two Executive Committee meetings per year on the basis of current status of policies and guidelines, the status of approvals of the HPMPs and the foreseen future workload of the Committee.

121. Committee members expressed their support for holding two meetings a year in 2014 on a trial basis, given the foreseen workload, the savings involved and the additional time that would be available for project implementation – a view shared by the implementing agencies. They noted that much of the hard work had been accomplished with the approval of stage I of the HPMPs and that, on the basis of the phase-out commitments, there would be far fewer submissions for stage II, and these would be staggered. Unless there were additional decisions by the Meeting of the Parties with implications for the Executive Committee, the Committee's workload would be less than it had been in previous years. One member, however, drew attention to the approaching 2015 control measures and to the work involved in preparation of stage II of HPMPs, so questioning whether 2014 was the best time to conduct the trial. One member pointed out that the proposed intersessional blanket approval for project tranches would enable implementation of existing projects to proceed smoothly.

122. Given some concern about the proposed intersessional approval procedure expressed by one member, the representative of the Secretariat explained that the process would involve only projects with no outstanding issues listed by the Secretariat for blanket approval. These would be uploaded on to the Fund's website once they had all been reviewed, and members would be notified of the posting to enable them to examine the projects and give their approval or make comments. Any project that raised an issue would automatically be listed for individual consideration at the next Executive Committee meeting. One member noted the potential difficulties of internal coordination among members' delegations in considering the projects intersessionally and was therefore not in favour of such a process. Instead, he suggested that members meet in person to approve the projects, back-to-back with the meeting of the Open-ended Working Group (OEWG). Several members questioned the need for a whole day's meeting for a simple blanket approval process.

123. In its analysis, the Secretariat had also proposed a short meeting mid-year, back-to-back with that of the OEWG, to enable the Committee to mitigate the risk of the meetings in the two-meeting scenario becoming overloaded. In response to a query about the functioning of such a meeting, the representative of the Secretariat said that a meeting would be held only if a policy issue requiring further discussion had been identified during the first meeting of the year. The agenda of the mid-year meeting would be limited to consideration of that issue and therefore last for a maximum of one day. It should in no way turn into a *de facto* third full meeting.

124. Considering a proposal to move to a two-meeting scenario without any intersessional approval procedure, Committee members felt that they did not have sufficient information on the implications of such a process for the Secretariat, the implementing agencies, the Executive Committee and the implementation of activities to be able to conclude on the matter.

125. The Executive Committee therefore decided:

- (a) To take note of the document on the operation of the Executive Committee (UNEP/OzL.Pro/ExCom/69/36) prepared pursuant to decision 61/48; and
- (b) To request the Secretariat to prepare an analysis of the implications of holding only two meetings a year without any intersessional approval procedure for consideration by the Executive Committee at its 70th meeting.

(Decision 69/25)

AGENDA ITEM 13: RECRUITMENT PROCESS FOR THE POSITION OF CHIEF OFFICER OF THE MULTILATERAL FUND SECRETARIAT (decisions 67/37 and 68/46)

126. The representative of the Secretariat introduced documents UNEP/OzL.Pro/ExCom/69/37 and Add.1, which had been prepared by the Secretariat as a follow-up to decisions 67/37 and 68/46.

127. Members sought clarification regarding the contents of document UNEP/OzL.Pro/ExCom/69/37/Add.1, particularly the scoring matrix included therein. It was stressed that the matrix had been presented as an example and that it was up to the panel to revise it as necessary for the purposes of selecting the new Chief Officer.

128. The Executive Committee decided:

- (a) To take note of documents UNEP/OzL.Pro/ExCom/69/37 and Add.1;
- (b) To approve the revised vacancy announcement for the post of third Chief Officer of the Multilateral Fund Secretariat with a closing date of 24 April 2013, attached as Annex XIV to the present report;
- (c) To note the Executive Director's reply to the Chief Officer of 22 March 2013 regarding the two UNEP representatives on the selection panel;
- (d) To approve the establishment of a selection panel composed of: Ms. Fiona Walters (United Kingdom of Great Britain and Northern Ireland), Co-Chair, Mr. Atsushi Suginaka (Japan) and Mr. John Thompson (United States of America), representing non-Article 5 countries, and Mr. R. R. Rashmi (India), Ms. Margaret Aanyu (Uganda) and Mr. Luis Santos (Uruguay), representing Article 5 countries, and Mr. Achim Steiner, Co-Chair, or Ms. Amina Mohamed on Mr. Steiner's behalf, and Mr. Marco Gonzalez, representing UNEP;
- (e) To note the Chief of UNEP's Executive Office correspondence of 27 March and 12 April 2013 to the Chair of the Executive Committee on the selection process for the Chief Officer;
- (f) To request the members of the selection panel to work intersessionally on the matters within its purview, including the definition of the selection process, the dates for interviews in person and the assessment matrix for attributing scores to candidates; and
- (g) To request the selection panel to report to the 70th meeting of the Executive Committee, through its Chair, on progress made in the process of selecting the Chief Officer.

(Decision 69/26)

AGENDA ITEM 14: DECISION OF THE PARTIES AT THEIR TWENTY-FOURTH MEETING REGARDING THE EVALUATION OF THE FINANCIAL MECHANISM

129. The representative of the Secretariat introduced document UNEP/OzL.Pro/ExCom/69/38, which contained a report summarizing all the actions taken by the Executive Committee on each of the recommendations contained in the 2012 evaluation of the Financial Mechanism of the Montreal Protocol.

130. One member emphasized the importance of acting on such recommendations.

131. The Executive Committee decided:

- (a) To note the report on the decision taken by the Parties at their Twenty-Fourth Meeting regarding the evaluation of the Financial Mechanism, as contained in document UNEP/OzL.Pro/ExCom/69/38; and
- (b) To forward a modified version of it to the Parties at their Twenty-Fifth Meeting, including any relevant decisions or actions taken at the meetings of the Executive Committee prior to that date.

(Decision 69/27)

AGENDA ITEM 15: REPORT OF THE SUB-GROUP ON THE PRODUCTION SECTOR

132. The representative of Canada as facilitator of the Sub-group on the Production Sector, informed the Executive Committee of the deliberations of the Sub-group, which are described in documents UNEP/OzL.Pro/ExCom/69/39 and Corr.1. The Sub-group's agenda had been modified to include under other matters the issue of the Agreement between China and the World Bank for stage I of HCFC phase-out in the polyurethane foam and production sectors. He also explained that the issue of the HCFC production sector phase-out management plan (HPPMP) for China had been considered by a small negotiating group that had been constituted to agree on a decision on that issue. That small group would report directly to the Executive Committee on the results of its deliberations.

133. The representative of India said that it had been five years since the Meeting of the Parties had approved the accelerated phase-out of HCFCs and that it had still not yet been decided whether swing-plants were eligible for additional support. When that issue had been raised at the Meeting of the Parties, India had been told that the issue was under consideration by the Executive Committee. However, it appeared that the Executive Committee had been unable to reach an agreement on whether additional support should be provided to swing-plants for HCFC production phase-out and it was suggested that the report of the Executive Committee to the Meeting of the Parties inform the Parties of that fact.

134. Subsequently, the facilitator of the Sub-group informed the Executive Committee that the small negotiating group had reached agreement on the HPPMP for China, namely, to approve US \$95 million for stage I of the HPPMP to meet the freeze and 10 per cent reduction of China's Montreal Protocol HCFC production baseline. That amount would be inclusive of all project costs, excluding agency support costs, on condition, *inter alia*, that total compensation for the entire HCFC production sector in China did not exceed US \$385 million, inclusive of all project costs, excluding agency support costs.

135. On behalf of the Government of China, a member of the Indian delegation expressed his gratitude to the Sub-group and to members of the Executive Committee, the Secretariat and the World Bank for their dedicated efforts to reach agreement. By implementing the HPPMP, China would gradually phase out HCFC production, preventing the emission of over 4.3 million metric tonnes of HCFCs by 2030, equal to 300,000 ODP tonnes and 8 billion tonnes of carbon dioxide equivalent greenhouse gas emissions. The task would be very challenging. China's per capita gross domestic product was about US \$5,000,

which was only one tenth of the level of the major developed countries. The HCFC production enterprises involved in the HPPMP were usually pillars of their local economy, providing important sources of employment. The phase-out of HCFCs would require great sacrifice from both the enterprises and from society. Furthermore, the funding available was far less than required to cover the real costs of implementing the HPPMP. Nevertheless, China was committed to the mission of the Montreal Protocol and had previously acquired much experience in ODS phase-out. With support from the Montreal Protocol, China had the confidence to implement the HPPMP, and achieve its compliance target.

136. Members of the Executive Committee expressed their deep satisfaction with the agreement that had been reached by the small negotiating group of the Production Sector Sub-group.

137. The Executive Committee decided:

- (a) To note the report of the convenor of the Production Sector Sub-group, as contained in documents UNEP/OzL.Pro/ExCom/69/39 and Corr.1;
- (b) With respect to the submission on the administrative costs of the HCFC production sector phase-out management plan (HPPMP) for China by the World Bank, to request:
 - (i) That information be provided to the 70th meeting on the estimated cost of both the items and the elements in the submission, and the rationale for consideration;
 - (ii) That a report on each item and each element referred to in sub-paragraph (b)(i) above be included in the project completion report (PCR) for the CFC production sector projects to be submitted to the 72nd meeting;
- (c) To request the Secretariat to prepare a brief report on the allocation from the polyurethane foam sector to the production sector in the agreement between the World Bank and China for stage I of HCFC phase-out in the polyurethane foam and production sectors for the consideration of the Production Sector Sub-group at the 70th meeting;
- (d) That the text informing the Meeting of the Parties of the issue of the eligibility of swing-plants for any additional support for HCFC production phase-out should be considered in the context of the discussion on the report of the Executive Committee to the Meeting of the Parties, which would be considered by the Executive Committee at its 70th meeting;
- (e) To approve a total of US \$95 million for stage I of the HPPMP for China to meet the freeze and 10 per cent reduction of China's Montreal Protocol HCFC production baseline, inclusive of all project costs, excluding agency support costs, recognizing China's need to front-load payments in this sector; on the condition that:
 - (i) Disbursement of stage I funding will be US \$24 million in 2013, US \$23 million in 2014, US \$24 million in 2015, and US \$24 million in 2016; and the 2014-2016 funding will be released only after verification of prior year data;
 - (ii) Total compensation for the entire China HCFC production sector does not exceed US \$385 million, inclusive of all project costs, excluding agency support costs;
 - (iii) The total amount of phase-out to be achieved by the project is based on the verified 2010 ODS production data of: 310,000 metric tonnes of HCFC-22, 98,711 metric tonnes of HCFC-141b, 33,957 metric tonnes of HCFC-142b, 2,819 metric tonnes of HCFC-123 and 401 metric tonnes of HCFC-124;

- (iv) Production lines producing only HCFCs for controlled uses will be closed and dismantled;
 - (v) China agrees to retire an additional 24 per cent of production capacity beyond the tonnages in sub-paragraph (iii) above to take into account average utilization of HCFC production;
 - (vi) Funding for stage I of the HPPMP and beyond is used to prioritize total permanent closure and dismantling of HCFC production lines. No compensation will be provided in the HPPMP for the production line in Juhua, which produced CFCs in 2010;
 - (vii) China agrees to ensure that any compensated plant:
 - a. Does not redirect any phased out HCFC production capacity toward feedstock, subject to a penalty to be specified in the HPPMP agreement;
 - b. Appropriate monitoring and verification of each compensated production line are undertaken to ensure that the production sector agreement is implemented and that redirection to feedstock does not take place;
 - (viii) China agrees to coordinate with its stakeholders and authorities to make best efforts to manage HCFC production and associated by-product production in HCFC plants in accordance with best practices to minimize associated climate impacts;
 - (ix) China agrees to optimize the implementation of the HPPMP in order to minimize environmental and climate impacts as much as possible, including by giving priority to HCFC production closure to achieve HCFC reduction targets set forth in decision XIX/6;
 - (x) China will ensure that any funds in possession of the Foreign Economic Cooperation Office of the Ministry of Environment Protection will return a reasonable rate of interest, and that any interest will be offset in future tranches; and
- (f) To request the Secretariat, in cooperation with the World Bank, to prepare a draft agreement, including a proposal for the agency support costs for the World Bank, for consideration by the Executive Committee at the 70th meeting, taking into account this decision, the CFC production phase-out agreement and the HPPMP draft agreement submitted by the World Bank to the 68th meeting.

(Decision 69/28)

AGENDA ITEM 16: OTHER MATTERS

Dates and venues of the 70th and 71st meetings of the Executive Committee

138. In presenting the item, the Chief Officer explained that two dates and venues had been proposed for the 70th meeting of the Executive Committee, the first being 1 to 5 July 2013 in Bangkok, back-to-back with the OEWG meeting, and the second being 15 to 19 July 2013 in Montreal. She reminded the members that the Secretariat's budget for such meetings was based on three meetings a year held in Montreal, and that the organization of the meeting in Bangkok would entail substantial additional costs amounting to approximately US \$170,000, which would not be covered by the Secretariat's budget.

Agreement was therefore needed to transfer funds from other Multilateral Fund budgets to cover the additional costs. The Secretariat will make every effort to seek efficiencies in cost through discussions with the Ozone Secretariat. In respect of the 71st meeting, the Chief Officer suggested 26 to 29 November 2013. Since several members would be involved in the United Nations Framework Convention on Climate Change meeting taking place in the previous week, it was agreed that the 71st meeting would be from 2 to 6 December 2013.

139. Following the discussion, the Executive Committee decided:

- (a) To hold its 70th meeting in Bangkok from 1 to 5 July 2013;
- (b) To authorize the Secretariat to transfer funds to cover the additional costs required to organize the meeting in Bangkok; and
- (c) To hold its 71st meeting in Montreal from 2 to 6 December 2013.

(Decision 69/29)

AGENDA ITEM 17: ADOPTION OF THE REPORT

140. The Executive Committee adopted its report on the basis of the draft report contained in document UNEP/OzL.Pro/ExCom/69/L.1.

AGENDA ITEM 18: CLOSURE OF THE MEETING

141. Following the customary exchange of courtesies, the Chair declared the meeting closed at 7.15 p.m. on Friday, 19 April 2013.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 1 : STATUS OF THE FUND FROM 1991-2013 (IN US DOLLARS)

As at 12 April 2013

INCOME		
Contributions received:		
- Cash payments including note encashments		2,680,035,804
- Promissory notes held		33,427,261
- Bilateral cooperation		147,618,440
- Interest earned		208,285,736
- Additional income from loans and other sources		-
- Miscellaneous income		16,446,636
Total Income		3,085,813,876
ALLOCATIONS* AND PROVISIONS		
- UNDP	707,657,594	
- UNEP	232,454,365	
- UNIDO	731,707,228	
- World Bank	1,086,153,363	
Unspecified projects	-	
Less Adjustments	-	
Total allocations to implementing agencies		2,757,972,550
Secretariat and Executive Committee costs (1991-2011)		
- includes provision for staff contracts into 2015		98,947,615
Treasury fees (2003-2013)		5,050,550
Monitoring and Evaluation costs (1999-2013)		3,544,504
Technical Audit costs (1998-2010)		1,709,960
Information Strategy costs (2003-2004)		
- includes provision for Network maintenance costs for 2004		104,750
Bilateral cooperation		147,618,440
Provision for fixed-exchange-rate mechanism's fluctuations		
- losses/(gains) in value		(21,927,683)
Total allocations and provisions		2,993,020,685
Cash		59,365,930
Promissory Notes:		
2013	7,179,287	
2014	12,609,913	
2015	9,092,041	
2016	4,546,020	
		33,427,261
BALANCE AVAILABLE FOR NEW ALLOCATIONS		92,793,191

* Amounts reflect net approvals for which resources are transferred including promissory notes that are not yet encashed by the Implementing Agencies. It reflects the Secretariat's inventory figures on the net approved amounts. These figures are under review in the on-going reconciliation exercise.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL
TABLE 2 : 1991 - 2013 SUMMARY STATUS OF CONTRIBUTIONS AND OTHER INCOME
BALANCE AVAILABLE FOR NEW ALLOCATIONS

As at 12 April 2013

Description	1991-1993	1994-1996	1997-1999	2000-2002	2003-2005	2006-2008	2009-2011	1991 - 2011	2012	2013	1991 - 2013
Pledged contributions	234,929,241	424,841,347	472,567,009	440,000,001	474,000,000	368,028,480	399,640,706	2,814,006,785	131,538,756	132,419,807	3,077,965,348
Cash payments/received	206,511,034	381,555,255	413,011,018	408,090,922	417,808,810	339,920,544	373,153,733	2,540,051,317	110,894,836	29,089,651	2,680,035,804
Bilateral assistance	4,366,255	11,909,814	21,358,066	21,302,696	47,851,135	19,074,631	13,917,899	139,780,497	5,509,248	2,328,695	147,618,440
Promissory notes	0	0	0	0	0	(0)	5,090,816	5,090,816	14,698,384	13,638,062	33,427,261
Total payments	210,877,289	393,465,069	434,369,084	429,393,618	465,659,946	358,995,175	392,162,448	2,684,922,629	131,102,468	45,056,407	2,861,081,505
Disputed contributions	0	8,098,267	0	0	0	32,471,642	405,792	40,975,701	1,794,577	913,526	43,683,804
Outstanding pledges	24,051,952	31,376,278	38,197,925	10,606,383	8,340,054	9,033,305	7,478,258	129,084,156	436,288	87,363,400	216,883,844
Payments %age to pledges	89.76%	92.61%	91.92%	97.59%	98.24%	97.55%	98.13%	95.41%	99.67%	34.03%	92.95%
Interest earned	5,323,644	28,525,733	44,685,516	53,946,601	19,374,449	43,537,814	10,544,631	205,938,388	2,347,348	0	208,285,736
Miscellaneous income	1,442,103	1,297,366	1,223,598	1,125,282	1,386,177	3,377,184	3,547,653	13,399,363	1,534,909	1,512,363	16,446,636
TOTAL INCOME	217,643,036	423,288,168	480,278,198	484,465,502	486,420,572	405,910,173	406,254,732	2,904,260,380	134,984,726	46,568,770	3,085,813,876
Accumulated figures	1991-1993	1994-1996	1997-1999	2000-2002	2003-2005	2006-2008	2009-2011	1991 - 2011	2012	2013	1991 - 2013
Total pledges	234,929,241	424,841,347	472,567,009	440,000,001	474,000,000	368,028,480	399,640,706	2,814,006,785	131,538,756	132,419,807	3,077,965,348
Total payments	210,877,289	393,465,069	434,369,084	429,393,618	465,659,946	358,995,175	392,162,448	2,684,922,629	131,102,468	45,056,407	2,861,081,505
Payments %age to pledges	89.76%	92.61%	91.92%	97.59%	98.24%	97.55%	98.13%	95.41%	99.67%	34.03%	92.95%
Total income	217,643,036	423,288,168	480,278,198	484,465,502	486,420,572	405,910,173	406,254,732	2,904,260,380	134,984,726	46,568,770	3,085,813,876
Total outstanding contributions	24,051,952	31,376,278	38,197,925	10,606,383	8,340,054	9,033,305	7,478,258	129,084,156	436,288	87,363,400	216,883,844
As % to total pledges	10.24%	7.39%	8.08%	2.41%	1.76%	2.45%	1.87%	4.59%	0.33%	65.97%	7.05%
Outstanding contributions for certain Countries with Economies in Transition (CEITs)	24,051,952	31,376,278	32,525,665	9,701,251	7,422,320	6,020,412	6,864,292	117,962,170	3,595,767	2,760,610	124,318,548
CEITs' outstandings %age to pledges	10.24%	7.39%	6.88%	2.20%	1.57%	1.64%	1.72%	4.19%	2.73%	2.08%	4.04%

PS: CEITs are Azerbaijan, Belarus, Bulgaria, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Russian Federation, Slovakia, Slovenia, Tajikistan, Ukraine and Uzbekistan, including Turkmenistan up to 2004 as per decision XVI/39.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 3 : 1991-2013 Summary Status of Contributions

As at 12 April 2013

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions	Exchange (Gain)/Loss. NB: Negative amount = Gain
Andorra	58,577	46,633	0	0	11,944	0
Australia*	60,461,755	58,850,848	1,610,907	0	0	353,836
Austria	32,715,869	32,584,079	131,790	0	0	-747,815
Azerbaijan	944,863	311,683	0	0	633,180	0
Belarus	2,900,526	0	0	0	2,900,526	0
Belgium	40,610,780	38,782,280	0	0	1,828,500	951,184
Bulgaria	1,379,221	1,314,585	0	0	64,635	0
Canada*	110,221,143	93,919,546	9,755,736	0	6,545,862	-4,047,319
Cyprus	714,331	636,089	0	0	78,243	4,767
Czech Republic	9,250,574	8,963,004	287,570	0	0	280,261
Denmark	26,870,224	26,709,171	161,053	0	0	-727,131
Estonia	406,937	406,937	0	0	0	19,009
Finland	21,069,905	19,708,020	399,158	0	962,727	-657,207
France	235,993,448	210,164,103	15,504,947	0	10,324,398	-14,325,076
Germany	340,637,503	260,999,095	54,659,910	30,093,928	-5,115,430	-442,463
Greece	17,828,256	15,477,570	0	0	2,350,686	-1,340,447
Holy See	3,402	0	0	0	3,402	0
Hungary	6,299,528	4,760,499	46,494	0	1,492,535	-76,259
Iceland	1,250,430	1,143,416	0	0	107,014	50,524
Ireland	11,103,279	10,256,215	0	0	847,064	534,869
Israel	12,874,157	3,824,671	152,462	0	8,897,024	0
Italy	185,564,321	158,164,613	15,355,008	0	12,044,701	3,291,976
Japan	599,724,697	568,010,251	19,522,669	0	12,191,777	0
Kuwait	286,549	286,549	0	0	0	0
Latvia	609,241	609,240	0	0	0	-2,483
Liechtenstein	304,457	304,456	0	0	0	0
Lithuania	959,812	459,521	0	0	500,292	0
Luxembourg	2,793,140	2,793,140	0	0	0	-79,210
Malta	238,620	180,788	0	0	57,832	0
Monaco	197,880	197,880	0	0	0	-697
Netherlands	63,343,199	60,187,972	0	0	3,155,227	0
New Zealand	9,042,316	8,577,961	0	0	464,354	201,206
Norway	24,511,307	24,511,307	0	0	0	295,195
Panama	16,915	16,915	0	0	0	0
Poland	12,774,747	11,253,376	113,000	0	1,408,371	0
Portugal	14,659,039	11,191,959	101,700	0	3,365,380	198,162
Romania	1,042,190	741,125	0	0	301,065	0
Russian Federation	110,523,509	2,724,891	0	0	107,798,619	0
San Marino	21,939	16,837	0	0	5,103	0
Singapore	531,221	459,245	71,976	0	0	0
Slovak Republic	2,899,615	2,641,560	16,523	0	241,532	12,128
Slovenia	1,755,792	1,580,596	0	0	175,196	0
South Africa	3,793,691	3,763,691	30,000	0	0	0
Spain	95,052,109	86,463,490	4,077,763	0	4,510,857	540,808
Sweden	41,273,630	39,699,277	1,574,353	0	0	-485,476
Switzerland	44,983,831	43,070,601	1,913,230	0	0	-2,103,299
Tajikistan	113,308	43,047	0	0	70,261	0
Turkmenistan**	293,245	5,764	0	0	287,481	0
Ukraine	9,513,651	1,303,750	0	0	8,209,901	0
United Arab Emirates	559,639	559,639	0	0	0	0
United Kingdom	212,561,707	200,763,762	565,000	0	11,232,945	-3,626,726
United States of America	703,700,700	660,405,553	21,567,191	3,333,333	18,394,623	0
Uzbekistan	724,623	188,606	0	0	536,017	0
SUB-TOTAL	3,077,965,348	2,680,035,804	147,618,440	33,427,261	216,883,844	-21,927,683
Disputed Contributions***	43,683,804	0	0	0	43,683,804	0
TOTAL	3,121,649,152	2,680,035,804	147,618,440	33,427,261	260,567,648	0

NB: (*) The bilateral assistance recorded for Australia and Canada was adjusted following approvals at the 39th Meeting and taking into consideration a reconciliation carried out by the Secretariat through the progress reports submitted to the 40th Meeting to read US \$1,208,219 and US \$6,449,438 instead of US \$1,300,088 and US \$6,414,880 respectively.

(**) In accordance with decisions VI/5 and XVI/39 of the Meeting of the Parties to the Montreal Protocol, Turkmenistan has been reclassified as operating under Article 5 in 2004 and therefore contribution of US \$5,764 for 2005 should be disregarded.

(***) Amounts for France, Germany, Italy, Japan and the United Kingdom netted off from the 1996 contributions and are shown here for records only. Amount for the United States of America off from the 2007 and 2008 contributions. Additional amount of US\$ 405,792 reflected from the USA 2010 contributions.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 4 : Status of Contributions for 2013

As at 12 April 2013

Party	Agreed Contributions	Cash Payments	Bilateral	Promissory Notes	Outstanding Contributions
Andorra	11,907				11,907
Australia	3,287,899	3,287,899			0
Austria	1,447,492	1,447,492			0
Azerbaijan	25,514				25,514
Belarus	71,439				71,439
Belgium	1,828,500				1,828,500
Bulgaria	64,635				64,635
Canada	5,454,884				5,454,884
Cyprus	78,243				78,243
Czech Republic	593,625	593,625			0
Denmark	1,251,885	1,251,885			0
Estonia	68,037	68,037			0
Finland	962,727				962,727
France	10,414,798				10,414,798
Germany	13,638,062		2,328,695	13,638,062	(2,328,695)
Greece	1,175,343				1,175,343
Holy See	1,701				1,701
Hungary	494,971				494,971
Iceland	71,439				71,439
Ireland	847,063				847,063
Israel	653,157				653,157
Italy	8,502,952				8,502,952
Japan	21,312,660	14,264,340			7,048,321
Latvia	64,635	64,635			0
Liechtenstein	15,308	15,308			0
Lithuania	110,560				110,560
Luxembourg	153,084	153,084			0
Malta	28,916				28,916
Monaco	5,103	5,103			0
Netherlands	3,155,226				3,155,226
New Zealand	464,354				464,354
Norway	1,481,511	1,481,511			0
Poland	1,408,371				1,408,371
Portugal	869,176				869,176
Romania	301,065				301,065
Russian Federation	2,724,891	2,724,891			0
San Marino	5,103				5,103
Slovak Republic	241,532				241,532
Slovenia	175,196				175,196
Spain	5,403,857				5,403,857
Sweden	1,809,790	1,809,790			0
Switzerland	1,922,052	1,922,052			0
Tajikistan	3,402				3,402
Ukraine	147,981				147,981
United Kingdom	11,232,946				11,232,946
United States of America*	28,419,807				28,419,807
Uzbekistan	17,009				17,009
TOTAL	132,419,807	29,089,651	2,328,695	13,638,062	87,363,400
Disputed Contributions(*)	913,526				913,526
TOTAL	133,333,333	29,089,651	2,328,695	13,638,062	88,276,926

(*) Additional amount on Disputed contributions relating to the USA.

CEITs	6,211,799	3,451,188	0	0	2,760,610
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TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 5 : Status of Contributions for 2012

As at 12 April 2013

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	11,907	11,974			(67)
Australia	3,287,899	3,287,899			0
Austria	1,447,492	1,447,492			0
Azerbaijan	25,514				25,514
Belarus	71,439				71,439
Belgium	1,828,500	1,828,500			0
Bulgaria	64,635	64,635			0
Canada	5,454,884	4,363,908			1,090,977
Cyprus	78,243	78,243			0
Czech Republic	593,625	593,625			0
Denmark	1,251,885	1,251,885			0
Estonia	68,037	68,037			0
Finland	962,727	962,727			0
France	10,414,798	10,126,112	379,086		(90,400)
Germany	13,638,062	2,273,010	2,727,612	11,365,051	(2,727,612)
Greece	1,175,343				1,175,343
Holy See	1,701				1,701
Hungary	494,971				494,971
Iceland	71,439	35,864			35,575
Ireland	847,063	847,063			0
Israel	653,157				653,157
Italy	8,502,952	4,893,403	67,800		3,541,749
Japan	21,312,660	20,303,660	1,441,750		(432,750)
Latvia	64,635	64,635			0
Liechtenstein	15,308	15,308			0
Lithuania	110,560				110,560
Luxembourg	153,084	153,084			0
Malta	28,916				28,916
Monaco	5,103	5,103			0
Netherlands	3,155,226	3,155,226			0
New Zealand	464,354	464,354			0
Norway	1,481,511	1,481,511			0
Poland	1,408,371	1,408,371			0
Portugal	869,176				869,176
Romania	301,065	301,065			0
Russian Federation	2,724,891				2,724,891
San Marino	5,103	5,103			0
Slovak Republic	241,532	241,532			0
Slovenia	175,196	175,196			0
Spain	5,403,857	5,403,857	893,000		(893,000)
Sweden	1,809,790	1,809,790			0
Switzerland	1,922,052	1,922,052			0
Tajikistan	3,402				3,402
Ukraine	147,981				147,981
United Kingdom	11,232,946	11,232,946			0
United States of America*	27,538,756	30,617,667		3,333,333	(6,412,244)
Uzbekistan	17,009				17,009
TOTAL	131,538,756	110,894,836	5,509,248	14,698,384	436,288
Disputed Contributions(*)	1,794,577				1,794,577
TOTAL	133,333,333	110,894,836	5,509,248	14,698,384	2,230,865

(*) Additional amount on Disputed contributions relating to the USA.

RUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCC

TABLE 6 : Summary Status of Contributions for 2009-2011

As at 12 April 2013

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	34,764	34,660	0	0	104
Australia	8,678,133	8,339,133	339,000	0	0
Austria	4,307,501	4,307,501	0	0	0
Azerbaijan	24,281	0	0	0	24,281
Belarus	97,125	0	0	0	97,125
Belgium	5,351,596	5,351,596	0	0	0
Bulgaria	97,125	97,125	0	0	0
Canada	14,457,080	14,028,245	428,835	0	0
Cyprus	213,675	213,675	0	0	0
Czech Republic	1,364,608	1,143,128	221,480	0	0
Denmark	3,588,775	3,588,775	0	0	0
Estonia	77,700	77,700	0	0	0
Finland	2,738,929	2,738,929	0	0	0
France	30,599,281	29,539,244	1,060,037	0	0
Germany	41,652,124	28,230,884	8,330,424	5,090,816	1
Greece	2,894,330	2,894,330	0	0	(0)
Hungary	1,184,927	682,333	0	0	502,594
Iceland	179,682	179,682	0	0	0
Ireland	2,161,035	2,161,035	0	0	0
Israel	2,034,772	0	0	0	2,034,772
Italy	24,664,934	23,856,984	807,950	0	0
Japan	80,730,431	78,893,258	1,837,173	0	0
Latvia	87,413	87,413	0	0	0
Liechtenstein	48,563	48,563	0	0	0
Lithuania	150,544	0	0	0	150,544
Luxembourg	412,782	412,782	0	0	0
Malta	82,556	82,556	0	0	0
Monaco	14,569	14,569	0	0	0
Netherlands	9,095,771	9,095,771	0	0	0
New Zealand	1,243,202	1,243,202	0	0	0
Norway	3,797,594	3,797,594	0	0	0
Poland	2,432,985	2,432,985	0	0	0
Portugal	2,559,248	932,219	0	0	1,627,029
Romania	339,938	339,938	0	0	0
Russian Federation	5,827,509	0	0	0	5,827,509
San Marino	11,734	11,734	0	0	0
Slovak Republic	305,944	305,944	0	0	0
Slovenia	466,201	466,201	0	0	0
Spain	14,413,373	12,955,373	893,000	0	565,000
Sweden	5,201,052	5,201,052	0	0	0
Switzerland	5,905,210	5,905,210	0	0	0
Tajikistan	4,857	0	0	0	4,857
Ukraine	218,532	0	0	0	218,532
United Kingdom	32,255,265	32,255,265	0	0	0
United States of America	87,594,208	91,207,148	0	0	(3,612,940)
Uzbekistan	38,850	0	0	0	38,850
SUB-TOTAL	399,640,706	373,153,733	13,917,899	5,090,816	7,478,258
Disputed Contributions(*)	405,792	0	0	0	405,792
TOTAL	400,046,498	373,153,733	13,917,899	5,090,816	7,884,050

(*) Additional amount on Disputed contributions relating to the USA.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 7 : Status of Contributions for 2011

As at 12 April 2013

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	12,948	12,881			67
Australia	2,892,711	2,553,711	339,000		0
Austria	1,435,834	1,435,834			0
Azerbaijan	8,094				8,094
Belarus	32,375				32,375
Belgium	1,783,865	1,783,865			0
Bulgaria	32,375	32,375			0
Canada	4,819,027	4,819,027			0
Cyprus	71,225	71,225			0
Czech Republic	454,869	415,319	39,550		0
Denmark	1,196,258	1,196,258			0
Estonia	25,900	25,900			0
Finland	912,976	912,976			0
France	10,199,760	9,634,760	565,000		0
Germany	13,884,041	2,776,808	2,776,808	2,776,808	5,553,617
Greece	964,777	964,777			0
Hungary	394,976				394,976
Iceland	59,894	59,894			0
Ireland	720,345	720,345			0
Israel	678,257				678,257
Italy	8,221,645	8,221,645			(0)
Japan	26,910,144	26,440,498	469,646		0
Latvia	29,138	29,138			0
Liechtenstein	16,188	16,188			0
Lithuania	50,181				50,181
Luxembourg	137,594	137,594			0
Malta	27,519	27,519			0
Monaco	4,856	4,856			0
Netherlands	3,031,924	3,031,924			0
New Zealand	414,401	414,401			0
Norway	1,265,865	1,265,865			0
Poland	810,995	810,995			0
Portugal	853,083				853,083
Romania	113,313	113,313			0
Russian Federation	1,942,503				1,942,503
San Marino	4,855	4,855			0
Slovak Republic	101,981	101,981			0
Slovenia	155,400	155,400			0
Spain	4,804,458	4,804,458			(0)
Sweden	1,733,684	1,733,684			0
Switzerland	1,968,403	1,968,403			0
Tajikistan	1,619				1,619
Ukraine	72,844				72,844
United Kingdom	10,751,755	10,751,755			0
United States of America	29,333,333	32,946,274			(3,612,941)
Uzbekistan	12,950				12,950
SUB-TOTAL	133,351,137	120,396,700	4,190,004	2,776,808	5,987,625
		0	0	0	0
TOTAL	133,351,137	120,396,700	4,190,004	2,776,808	5,987,625

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 8 : Status of Contributions for 2010

As at 12 April 2013

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	12,948	12,911			37
Australia	2,892,711	2,892,711			0
Austria	1,435,834	1,435,834			0
Azerbaijan	8,094				8,094
Belarus	32,375				32,375
Belgium	1,783,865	1,783,865			0
Bulgaria	32,375	32,375			0
Canada	4,819,027	4,489,632	329,395		0
Cyprus	71,225	71,225			0
Czech Republic	454,869	363,904	90,965		0
Denmark	1,196,258	1,196,258			0
Estonia	25,900	25,900			0
Finland	912,976	912,976			0
France	10,199,760	9,907,090	207,355		85,315
Germany	13,884,041	11,570,034	2,776,808	2,314,007	(2,776,808)
Greece	964,777	964,777			(0)
Hungary	394,976	287,357			107,618
Iceland	59,894	59,894			0
Ireland	720,345	720,345			0
Israel	678,257				678,257
Italy	8,221,645	7,566,245	655,400		(0)
Japan	26,910,144	25,702,795	1,207,349		0
Latvia	29,138	29,138			0
Liechtenstein	16,188	16,188			0
Lithuania	50,181				50,181
Luxembourg	137,594	137,594			0
Malta	27,519	27,519			0
Monaco	4,856	4,856			0
Netherlands	3,031,924	3,031,923			0
New Zealand	414,401	414,401			0
Norway	1,265,865	1,265,865			0
Poland	810,995	810,995			0
Portugal	853,083	79,137			773,946
Romania	113,313	113,313			0
Russian Federation	1,942,503				1,942,503
San Marino	4,855	4,855			0
Slovak Republic	101,981	101,981			0
Slovenia	155,400	155,400			0
Spain	4,804,458	3,911,458	893,000		(0)
Sweden	1,733,684	1,733,684			0
Switzerland	1,968,403	1,968,403			0
Tajikistan	1,619				1,619
Ukraine	72,844				72,844
United Kingdom	10,751,755	10,751,755			0
United States of America	28,927,541	28,927,541			0
Uzbekistan	12,950				12,950
SUB-TOTAL	132,945,345	123,482,134	6,160,272	2,314,007	988,932
Disputed Contributions(*)	405,792	0	0	0	405,792
TOTAL	133,351,137	123,482,134	6,160,272	2,314,007	1,394,724

(*) Additional amount on Disputed contributions relating to the USA.

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

TABLE 9 : Status of Contributions for 2009

As at 12 April 2013

Party	Agreed Contributions	Cash Payments	Bilateral Assistance	Promissory Notes	Outstanding Contributions
Andorra	8,868	8,868			0
Australia	2,892,711	2,892,711			0
Austria	1,435,834	1,435,834			0
Azerbaijan	8,094				8,094
Belarus	32,375				32,375
Belgium	1,783,865	1,783,865			0
Bulgaria	32,375	32,375			0
Canada	4,819,027	4,719,586	99,440		0
Cyprus	71,225	71,225			0
Czech Republic	454,869	363,904	90,965		0
Denmark	1,196,258	1,196,258			0
Estonia	25,900	25,900			0
Finland	912,976	912,976			0
France	10,199,760	9,997,393	287,682		(85,315)
Germany	13,884,041	13,884,041	2,776,808	0	(2,776,808)
Greece	964,777	964,777			(0)
Hungary	394,976	394,976			(0)
Iceland	59,894	59,894			0
Ireland	720,345	720,345			0
Israel	678,257				678,257
Italy	8,221,645	8,069,094	152,550		0
Japan	26,910,144	26,749,966	160,178		0
Latvia	29,138	29,138			0
Liechtenstein	16,188	16,188			0
Lithuania	50,181				50,181
Luxembourg	137,594	137,594			0
Malta	27,519	27,519			0
Monaco	4,856	4,856			0
Netherlands	3,031,924	3,031,924			0
New Zealand	414,401	414,401			0
Norway	1,265,865	1,265,865			0
Poland	810,995	810,995			(0)
Portugal	853,083	853,082			0
Romania	113,313	113,313			0
Russian Federation	1,942,503				1,942,503
San Marino	2,023	2,023			0
Slovak Republic	101,981	101,981			0
Slovenia	155,400	155,400			0
Spain	4,804,458	4,239,458			565,000
Sweden	1,733,684	1,733,684			0
Switzerland	1,968,403	1,968,403			0
Tajikistan	1,619				1,619
Ukraine	72,844				72,844
United Kingdom	10,751,755	10,751,755			0
United States of America	29,333,333	29,333,333			0
Uzbekistan	12,950				12,950
TOTAL	133,344,225	129,274,900	3,567,623	0	501,702

Table 10: Status of Promissory Notes as at 12 April 2013

MULTILATERAL FUND'S PROMISSORY NOTES

Country	HELD BY			IMPLEMENTING AGENCY FOR WHICH HELD OR ASSIGNED TO					
	A WORLD BANK	B TREASURER	C= A+B TOTAL	D UNDP	E UNEP	F UNIDO	G WORLD BANK	H TREASURER	D+E+F+G+H=I I=C TOTAL
	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value	Net Value
Canada			0					0	0
France			0					0	0
Germany		30,093,928	30,093,928					30,093,928	30,093,928
The Netherlands			0					0	0
United Kingdom			0					0	0
United States of America		3,333,333	3,333,333					3,333,333	3,333,333
TOTAL	0	33,427,261	33,427,261	0	0	0	0	33,427,261	33,427,261

Table 11: 2004-2013 Ledger of Promissory Notes as at 12 April 2013

RECEIPTS												ENCASHMENTS		
Date of Submission a/	Year of contribution	Country of Origin	P/Note code	Denomination/ Type of currency/	Amount (in Original denomination)	Note Value in USD per UNEP	Date of transfer	Agency	Transfer amount in Original denomination	Date of Encashment	Actual Encashment value (USD)	Gain/(Loss) to intended value (USD)		
25/10/2004	2004	Canada		Can\$	6,216,532.80	3,963,867.12	09/11/2004	IBRD	6,216,532.80	19/01/2005	5,140,136.76	1,176,269.64		
21/04/2005	2005	Canada		Can\$	6,216,532.78	3,963,867.12	Nov. 2005	TREASURER	6,216,532.78	Nov. 2005	5,307,831.95	1,343,964.83		
22/12/2006	2006	Canada		Can\$	4,794,373.31	3,760,292.79	19/01/2007	TREASURER	4,794,373.31	19/01/2007	4,088,320.38	328,027.59		
27/06/2008	2008	Canada		Can\$	4,794,373.31	3,760,292.79	19/09/2008	TREASURER	4,794,373.31	19/09/2008	4,492,899.74	732,606.95		
12/06/2009	2009	Canada		Can\$	3,834,018.00	3,855,221.70	10/12/2009	TREASURER	3,834,018.00	10/12/2009	3,608,827.18	(246,394.52)		
28/05/2010	2010	Canada		Can\$	3,834,018.00	3,855,221.72	08/10/2010	TREASURER	3,834,018.00	08/10/2010	3,759,576.35	(95,943.37)		
30/06/2011	2011	Canada		Can\$	3,834,018.00	3,855,221.72	15/03/2011	TREASURER	3,855,221.72	15/03/2011	3,870,009.08	14,797.35		
29/06/2012	2012	Canada		Can\$	4,277,502.19	4,363,907.56	18/07/2012	TREASURER	4,277,502.19	18/07/2012	4,212,212.69	(151,694.67)		
31/12/2004	2004	France		Euro	10,597,399.70	9,784,322.50	28/09/2006	TREASURER	10,597,399.70	28/09/2006	12,102,125.26	2,317,802.76		
18/01/2006	2005	France		Euro	11,217,315.23	10,356,675.50	28/09/2006	TREASURER	11,217,315.23	28/09/2006	12,810,062.64	2,453,387.14		
20/12/2006	2006	France		Euro	7,503,239.54	9,342,968.43	31/07/2007	TREASURER	7,503,239.54	31/07/2007	10,249,425.21	906,456.78		
Dec.2007	2007	France		Euro	7,483,781.61	9,287,393.43	16/09/2008	TREASURER	7,483,781.61	16/09/2008	10,629,963.40	1,342,569.97		
Dec.2008	2008	France		Euro	7,371,509.51	9,148,063.43	08/12/2009	TREASURER	7,371,509.51	08/12/2009	10,882,559.47	1,734,496.04		
Oct.2009	2009	France		Euro	6,568,287.40	9,997,393.30	06/10/2010	TREASURER	6,568,287.40	06/10/2010	8,961,114.64	(1,036,278.66)		
Oct.2010	2010	France		Euro	6,508,958.32	9,907,090.30	05/04/2011	TREASURER	6,508,958.32	05/04/2011	9,165,264.46	(741,325.84)		
Oct.2011	2011	France		Euro	6,330,037.52	9,834,780.30	25/10/2011	TREASURER	6,330,037.52	25/10/2011	8,750,643.84	(884,116.46)		
Dec.2012	2012	France		Euro	7,293,838.54	10,126,112.10	06/12/2012	TREASURER	7,293,838.54	22/01/2013	9,721,957.39	(404,154.71)		
09/08/2004	2004	Germany	BU 104 1006 01	US\$	18,914,439.57	18,914,439.57	03/08/2005	TREASURER	6,304,813.19	03/08/2005	6,304,813.19	-		
							11/08/2006	TREASURER	6,304,813.19	11/08/2006	6,304,813.19	-		
							16/02/2007	TREASURER	3,152,406.60	16/02/2007	3,152,406.60	-		
							10/08/2007	TREASURER	3,152,406.60	10/08/2007	3,152,406.60	-		
									18,914,439.57					
08/07/2005	2005	Germany	BU 105 1003 01	US\$	7,565,775.83	7,565,775.83	18/04/2006	TREASURER	1,260,962.64	18/04/2006	1,260,962.64	-		
							11/03/2006	TREASURER	1,260,962.64	11/03/2006	1,260,962.64	-		
							16/02/2007	TREASURER	1,260,962.64	16/02/2007	1,260,962.64	-		
							10/08/2007	TREASURER	1,260,962.64	10/08/2007	1,260,962.64	-		
							12/02/2008	TREASURER	1,260,962.64	12/02/2008	1,260,962.64	-		
							12/08/2008	TREASURER	1,260,962.63	12/08/2008	1,260,962.64	-		
									7,565,775.83					
10/05/2006	2006	Germany	BU 106 1004 01	Euro	11,662,922.38	14,473,718.52	28/02/2007	TREASURER	1,943,820.40	28/02/2007	2,558,067.65	145,781.24		
							10/08/2007	TREASURER	1,943,820.40	10/08/2007	2,681,305.85	269,019.44		
							12/02/2008	TREASURER	1,943,820.40	12/02/2008	2,821,066.54	408,780.12		
							12/08/2008	TREASURER	1,943,820.40	12/08/2008	2,930,114.87	517,828.46		
							17/02/2009	TREASURER	1,943,820.40	17/02/2009	2,492,560.89	80,274.47		
							12/08/2009	TREASURER	1,943,820.38	12/08/2009	2,760,613.72	348,327.28		
									11,662,922.38					
23/07/2007	2007	Germany	BU 107 1006 01	Euro	11,662,922.38	14,473,718.52	12/02/2008	TREASURER	1,943,820.40	12/02/2008	2,821,066.54	408,780.12		
							12/08/2008	TREASURER	1,943,820.39	12/08/2008	2,930,114.87	517,828.46		
							17/02/2009	TREASURER	1,943,820.40	17/02/2009	2,492,560.89	80,274.47		
							12/08/2009	TREASURER	1,943,820.38	12/08/2009	2,760,613.72	348,327.30		
							11/02/2010	TREASURER	1,943,820.40	11/02/2010	3,179,312.65	767,026.23		
							10/08/2010	TREASURER	1,943,820.41	10/08/2010	2,561,178.36	148,891.93		
									11,662,922.38					
15/08/2008	2008	Germany	BU 108 1004 01	Euro	4,665,168.96	5,789,487.42	17/02/2009	TREASURER	777,528.16	17/02/2009	997,024.36	32,109.79		
							12/08/2009	TREASURER	777,528.16	12/08/2009	1,104,245.49	139,330.92		
							11/02/2010	TREASURER	777,528.16	11/02/2010	529,107.91	(435,806.66)		
							10/08/2010	TREASURER	777,528.16	10/08/2010	1,024,470.50	59,555.93		
							10/02/2011	TREASURER	777,528.16	10/02/2011	1,060,159.65	95,245.05		
							20/06/2011	TREASURER	777,528.16	20/06/2011	1,095,381.67	130,467.13		
									4,665,168.96					
18/12/2009	2009	Germany	BU 109 1007 01	Euro	9,121,815.12	13,884,041.00	11/02/2010	TREASURER	1,520,302.52	11/02/2010				
							10/03/2010	TREASURER	1,520,302.52	10/03/2010	2,003,150.60	(310,856.28)		
							10/02/2011	TREASURER	1,520,302.52	10/02/2011	2,072,932.49	(241,074.39)		
							20/06/2011	TREASURER	1,520,302.52	20/06/2011	2,141,802.19	(172,204.69)		
							03/02/2012	TREASURER	1,520,302.52	03/02/2012	2,002,998.57	(311,008.31)		
							08/08/2012	TREASURER	1,520,302.52	08/08/2012	1,881,982.56	(432,024.04)		
									9,121,815.12					
14/04/2010	2010	Germany	BU 110 1002 01	Euro	9,121,815.12	13,884,041.00	10/02/2011	TREASURER	1,520,302.52	10/02/2011	2,072,932.48	(241,074.40)		
							20/06/2011	TREASURER	1,520,302.52	20/06/2011	2,141,802.19	(172,204.69)		
							03/02/2012	TREASURER	1,520,302.52	03/02/2012	2,002,998.57	(311,008.31)		
							08/08/2012	TREASURER	1,520,302.52	08/08/2012	1,881,982.56	(432,024.32)		
							12/02/2013	TREASURER	1,520,302.52	12/02/2013	2,037,357.39	(276,649.49)		
									1,520,302.52					
									9,121,815.12					
27/04/2011	2011	Germany	BU 111 1001 01	Euro	3,648,726.05	5,553,616.51								

TRUST FUND FOR THE MULTILATERAL FUND FOR THE IMPLEMENTATION OF THE MONTREAL PROTOCOL

**Table 12: OUTSTANDING PROMISSORY NOTES SCHEDULE OF ENCASHMENT AS AT 12 APRIL 2013
 (IN US\$)**

	Due in 2013	Due in 2014	Due in 2015	Due in 2016	TOTAL
<u>GERMANY:</u>					
2010	2,314,006				2,314,006
2011	925,603	1,851,206			2,776,809
2012	2,273,010	4,546,021	4,546,020		11,365,051
2013		4,546,021	4,546,021	4,546,021	13,638,062
<u>USA</u>					
2013	1,666,667				1,666,667
2014		1,666,666			1,666,666
	7,179,287	12,609,913	9,092,041	4,546,021	33,427,261

NOTE:

Germany's promissory notes due are payable in February and August of the relevant years.
 USA's promissory notes due are payable in November of the relevant years.

Annex II

PROJECTS FOR WHICH ADDITIONAL STATUS REPORTS WERE REQUESTED

Agency	Code	Project Title	Reasons
France	AFR/SEV/53/TAS/39	African customs enforcement networks for preventing illegal trade of ODS in the African sub-regional trade organizations (CEMAC, COMESA, SACU and UEMOA)	To request the submission of additional status reports to the 70 th meeting to monitor the initiation of the action plan in order to avoid consideration of possible cancellation of the project.
IBRD	ARG/FUM/29/DEM/93	Demonstration project for testing methyl bromide alternatives in post-harvest disinfestation for cotton and citrus (phase I)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting in order to monitor the preparation of the report.
IBRD	IDS/DES/57/PRP/187	Preparation for pilot demonstration project on ODS waste management and disposal	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting on the status of completion of the report on ODS destruction and ODS disposal preparatory activities.
IBRD	PHI/DES/57/PRP/85	Preparation for pilot demonstration project on ODS waste management and disposal	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting on the status of completion of the report on ODS destruction and ODS disposal preparatory activities.
Japan	AFR/REF/48/DEM/35	Strategic demonstration project for accelerated conversion of CFC chillers in 5 African Countries (Cameroon, Egypt, Namibia, Nigeria and Sudan)	To request the submission of additional status reports to the 70 th meeting to monitor the resolution of the financial mechanism and co-financing issues for Sudan by the 70 th meeting as a milestone for achievement in order to avoid consideration of possible cancellation in those countries.
Japan	ASP/DES/54/PRP/53	Project preparation for a demonstration project on ODS disposal	To request the submission of additional status reports to the 70 th meeting to monitor the preparation of a demonstration project on ODS disposal in the Asia and Pacific Region, if the request for funding is not submitted to the 70 th meeting.
Japan	COL/FOA/60/DEM/75	Demonstration project to validate the use of super-critical CO ₂ in the manufacture of sprayed polyurethane rigid foam	To request the submission of additional status report to the 70 th meeting to monitor the completion of this project if it had not been completed by the 70 th meeting.
UNDP	BHU/PHA/63/INV/17	HCFC phase-out management plan (first tranche)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor: (a) progress in implementing the HPMP, which had been approved over one year previously and for which no disbursement had been recorded; (b) delays in signing project documents/letters of agreement.

Agency	Code	Project Title	Reasons
UNDP	BRA/REF/47/DEM/275	Demonstration project for integrated management of the centrifugal chiller sub-sector, focusing on application of energy-efficient CFC-free technologies for replacement of CFC-based chillers	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor projects due to low rates of disbursement of approved funds.
UNDP	CUB/DES/62/DEM/46	Pilot demonstration project on ODS waste management and disposal	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor projects due to low rates of disbursement of approved funds.
UNDP	DOM/HAL/51/TAS/39	National halon bank management plan update	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor projects due to low rates of disbursement of approved funds.
UNDP	IND/DES/61/PRP/437	Preparation of a project for demonstration of a sustainable technological, financial and management model for disposal of ODS	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor projects due to low rates of disbursement of approved funds.
UNDP	IRA/PHA/63/INV/204	HCFC phase-out management plan (stage I, first tranche) (foam sector plan: one foam systems house)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor progress in implementing the HPMP, which had been approved over one year previously.
UNDP	STK/PHA/56/INV/13	Terminal CFC phase-out management plan (second and third tranches)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting on project progress.
UNEP	ALG/SEV/57/INS/69	Extension of the institutional strengthening project (phase V)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor the signature of the new agreement for the IS project and implementation progress.
UNEP	ECU/PHA/61/TAS/48	National CFC phase-out plan (third tranche)	To request the submission of additional status report to the 70 th meeting to monitor the project progress and the disbursement rates of approved funds.
UNEP	ECU/PHA/61/TAS/50	National CFC phase-out plan (fourth tranche)	To request the submission of additional status report to the 70 th meeting to monitor the project progress and the disbursement rates of approved funds.
UNEP	ECU/PHA/61/TAS/52	National CFC phase-out plan (fifth tranche)	To request the submission of additional status report to the 70 th meeting to monitor the project progress and the disbursement rates of approved funds.
UNEP	GAB/PHA/62/TAS/26	HCFC phase-out management plan (stage I, first tranche)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor HPMP implementation progress.
UNEP	GUA/FUM/59/TAS/39	National phase-out of methyl bromide (phase II, first tranche)	To request the submission of additional status report to the 70 th meeting to monitor the project progress and the disbursement rates of approved funds.
UNEP	HAI/SEV/59/INS/16	Extension of the institutional strengthening project (phase III)	To request the submission of additional status report to the 70 th meeting to monitor the Implementation of the revised plan of activities for the IS project.
UNEP	MAU/PHA/55/PRP/20	Preparation of a HCFC phase-out management plan	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor HPMP project preparation activity, if the project was not submitted to the 70 th meeting.

Agency	Code	Project Title	Reasons
UNEP	MAU/SEV/49/INS/17	Renewal of institutional strengthening project (phase IV)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting in order to monitor this institutional strengthening project implementation
UNEP	MOR/SEV/59/INS/63	Renewal of the institutional strengthening project (phase IV)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting on project document signature for institutional strengthening.
UNIDO	CPR/REF/53/INV/453	Refrigeration servicing sector CFC phase-out plan (fourth tranche)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor the delivery and distribution of equipment.
UNIDO	CPR/REF/59/INV/490	Refrigeration servicing sector CFC phase-out plan (sixth tranche)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor the delivery and distribution of equipment.
UNIDO	ERI/PHA/63/INV/09	Terminal phase-out management plan for CFCs (second tranche)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor the delivery and distribution of equipment.
UNIDO	ETH/FUM/54/PRP/18	Project preparation in the fumigant sector (flowers)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting in order to monitor the project preparation in case the project is not submitted to the 70 th meeting.
UNIDO	LIB/FOA/63/PRP/33	Preparation for HCFC phase-out investment activities (polyurethane foam component)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project document preparation, if not submitted to the 70 th meeting.
UNIDO	LIB/PHA/45/INV/25	National ODS phase-out plan: 2nd tranche	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project with issues related to delays in the implementation of the TPMP due to the political and/or security situation in this country.
UNIDO	LIB/PHA/54/INV/28	National ODS phase-out plan: 3rd tranche	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project with issues related to delays in the implementation of the TPMP due to the political and/or security situation in this country.
UNIDO	LIB/PHA/55/PRP/29	Preparation of a HCFC phase-out management plan	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project preparation of projects, if not submitted to the 70 th meeting.
UNIDO	LIB/PHA/63/PRP/32	Preparation of a HCFC phase-out management plan (additional funding)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project preparation of projects, if not submitted to the 70 th meeting.

Agency	Code	Project Title	Reasons
UNIDO	MEX/ARS/63/INV/156	Phase-out of HCFC-22 and HCFC-141b in aerosol manufacturing at Silimex	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor procurement of the equipment for the project.
UNIDO	MEX/MUS/58/PRP/146	Preparation for HCFC phase-out investment activities (aerosol and solvent sectors)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project preparation of projects, if not submitted to the 70 th meeting.
UNIDO	MOZ/FUM/60/TAS/20	Technical assistance for the elimination of controlled uses of methyl bromide in soil fumigation	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor the disbursement rates of approved funds.
UNIDO	QAT/SEV/59/INS/15	Renewal of institutional strengthening project (phase III)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting: (a) on project document signature for institutional strengthening; (b) to monitor progress of the institutional strengthening project.
UNIDO	SAU/FOA/62/INV/11	Phase-out of HCFC-22 and HCFC-142b from the manufacture of extruded polystyrene panel at Line #2 in Arabian Chemical Company	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor the project progress and the disbursement rates of approved funds.
UNIDO	SAU/FOA/62/INV/13	Phase-out of HCFC-22 and HCFC-142b from the manufacture of extruded polystyrene panel at Al-Watania Plastics	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor the project progress and the disbursement rates of approved funds.
UNIDO	SYR/PHA/58/INV/99	National CFC phase-out plan (third tranche)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project with issues related to delays in the implementation of the TPMP due to the political and/or security situation in this country.
UNIDO	SYR/REF/62/INV/103	Phase-out of HCFC-22 and HCFC-141b from the manufacture of unitary air-conditioning equipment and rigid polyurethane insulation panels at Al Hafez Group	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting on project progress.
UNIDO	TUN/FOA/58/PRP/50	Preparation for HCFC phase-out investment activities (polyurethane foam sector)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project document preparation, if not submitted to the 70 th meeting.
UNIDO	TUN/PHA/55/PRP/48	Preparation of a HCFC phase-out management plan	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project preparation of projects, if not submitted to the 70 th meeting.
UNIDO	URU/REF/60/PRP/55	Preparation for HCFC phase-out investment activities (refrigeration manufacturing sector)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project document preparation, if not submitted to the 70 th meeting.

Agency	Code	Project Title	Reasons
UNIDO	YEM/PHA/55/INV/28	National ODS phase-out plan (first tranche)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor project with issues related to delays in the implementation of the TPMP due to the political and/or security situation in this country.
UNIDO	YUG/PHA/51/INV/31	National CFC phase-out plan (third tranche, transferred from Sweden)	To request, for the third consecutive meeting, the submission of additional status report to the 70 th meeting to monitor the disbursement rates of approved funds.

Annex III**PROJECTS FOR WHICH ADDITIONAL STATUS REPORTS WERE REQUESTED FOR HPMP DEVELOPMENT**

Agency	Project Number	Project Title	Reasons
UNEP	MAU/PHA/55/PRP/20	Preparation of a HCFC phase-out management plan	To request the submission of additional status report to the 70 th meeting in order to monitor the submission of the HPMP if HPMP not submitted to the 70 th meeting

Annex IV

PROJECTS WITH SPECIFIC REPORTING REQUIREMENTS

Code	Agency	Project Title	Reasons
ARG/REF/61/INV/164	UNIDO	Phase-out of HCFC-22 in the RAC manufacturing sector	To request the submission of the final report on estimated and actual ICC and IOC, including information on the necessary co-financing expected from the enterprise in line with decision 55/43(b) by the 71 st meeting.
BGD/FOA/62/INV/38	UNDP	Phase-out of HCFC-141b at Walton Hi-Tech Ind. Ltd.	To request the submission of the final report on estimated and actual ICC and IOC, including information on the necessary co-financing expected from the enterprise in line with decision 55/43(b) by the 70 th meeting.
CPR/REF/60/DEM/498	UNDP	Phase-out of HCFC-22 in the commercial air-source chillers/heat pumps at Tsinghua Tong Fang Co.	To request a detailed report to be submitted with next request for a tranche of the ICR sector plan in China
CPR/REF/60/DEM/499	UNDP	Phase-out of HCFC-22 in the manufacture of two stage refrigeration systems at Yantai Moon Group Co. Ltd.	To request a detailed report to be included with next request for a tranche of the ICR sector plan in China
CPR/REF/61/DEM/502	UNIDO	Phase-out of HCFC-22 in the manufacturing of RACs at Midea and conversion of RAC compressors at Meizhi	To request a detailed report or, if not possible, an update to the 71 st meeting
CPR/REF/61/DEM/503	UNIDO	Phase-out of HCFC-22 in the manufacturing of RACs at Midea and conversion of RAC compressors at Meizhi	To request a detailed report or, if not possible, an update to the 71 st meeting
CUB/DES/62/DEM/46	UNDP	Pilot demonstration project on ODS waste management and disposal	To request a status report to the 72 nd meeting, providing information on amounts destroyed as at December 2013, and other progress of project implementation.
EGY/FOA/62/INV/104	UNIDO	Phase-out of HCFC-141b from manufacturing of polyurethane foam at Mondial Freezers Company	To request the submission of the final report on estimated and actual ICC and IOC, including information on the necessary co-financing expected from the enterprise in line with decision 55/43(b) by the 71 st meeting.
EGY/FOA/62/INV/105	UNDP	Conversion from HCFC-141b to n-pentane in the manufacture of polyurethane rigid insulation foam panels at MOG for Engineering and Industry	To request the submission of the final report on estimated and actual ICC and IOC, including information on the necessary co-financing expected from the enterprise in line with decision 55/43(b) by the 71 st meeting.

Code	Agency	Project Title	Reasons
EGY/FOA/62/INV/106	UNDP	Conversion from HCFC-141b to methyl formate in the manufacture of polyurethane rigid insulation foam for water heaters at Fresh Electric for Home Appliances	To request a progress report on the status of the re-bidding process, including a preliminary analysis on estimated and actual (based on the selected bid) ICC and IOC by the 70 th meeting
EGY/FOA/62/INV/107	UNDP	Conversion from HCFC-141b to methyl formate in the manufacture of polyurethane spray foams at Specialized Engineering Contracting Co.	To request the submission of the final report on estimated and actual ICC and IOC, including information on the necessary co-financing expected from the enterprise in line with decision 55/43(b) by the 70 th meeting.
EGY/FOA/62/INV/108	UNDP	Conversion from HCFC-141b to n-pentane in the manufacture of polyurethane rigid insulation foam panels at Cairo Foam	To request the submission of the final report on estimated and actual ICC and IOC, including information on the necessary co-financing expected from the enterprise in line with decision 55/43(b) by the 70 th meeting (note: the information could be provided by UNDP technical implementation team).
EGY/FOA/62/INV/110	UNIDO	Phase-out of HCFC-141b from manufacturing of polyurethane foam at El-Araby Co. for Engineering Industries	To request the submission of the final report on estimated and actual ICC and IOC, including information on the necessary co-financing expected from the enterprise in line with decision 55/43(b) by the 70 th meeting.
GHA/DES/63/DEM/33	UNDP	Pilot demonstration project on ODS waste management and disposal	To request a status report to the 72 nd meeting, specifically to report on the implementation of the GEF component, and further details on amounts destroyed, etc.
JOR/REF/60/INV/86	UNIDO	Phase-out of HCFC-22 and HCFC-141b at Petra Co.	To request additional status report or, if not possible, an update to the 71 st meeting
PHI/FOA/62/INV/90	UNIDO	Sector plan for the phase-out of HCFC-141b in the foam sector	To request additional report on individual HCFC demonstration and investment projects approval clause to report on ICC, IOC and technology application in line with decision 55/43(b) for submission to the 70 th meeting including a table with the estimated and actual costs of the major pieces of equipment for each of the 10 enterprises

Code	Agency	Project Title	Reasons
PHI/FOA/62/INV/91	Japan	Sector plan for the phase-out of HCFC-141b in the foam sector	To request additional report on individual HCFC demonstration and investment projects approval clause to report on ICC, IOC and technology application in line with decision 55/43(b) for submission to the 70 th meeting based on the anticipated completion date of the project.
SAU/FOA/62/INV/12	Japan	Phase-out of HCFC-22 and HCFC-142b in the manufacturing of XPS foams at Al Watania Plastics and Arabian Chemical Company	To request additional report on individual HCFC demonstration and investment projects approval clause to report on ICC, IOC and technology application in line with decision 55/43(b) for submission to the 69 th meeting based on the anticipated completion date of the project.
SAU/FOA/62/INV/14	Japan	Phase-out of HCFC-22 and HCFC-142b in the manufacturing of XPS foams at Al Watania Plastics and Arabian Chemical Company	To request additional report on individual HCFC demonstration and investment projects approval clause to report on ICC, IOC and technology application in line with decision 55/43(b) for submission to the 69 th meeting based on the anticipated completion date of the project.
SYR/REF/62/INV/103	UNIDO	Phase-out of HCFC-22 and HCFC-141b at Al Hafez Co.	To request detailed report or, if possible, a full report to the 73 rd meeting
TUR/FOA/62/INV/97	UNIDO	Phase-out of HCFC-141b in the PU foam sector and phase-out of HCFC-22 and HCFC-142b in the XPS foam sector	To request the submission of the final report on estimated and actual ICC and IOC, including information on the necessary co-financing expected from the enterprises in line with decision 55/43(b) by the 71 st meeting.
SAU/PHA/61/INV/10 SAU/PHA/61/TAS/09	UNIDO	Verification report on CFCs, CTC, TCA and halons for the years 2009 and 2010, and the full implementation report on the national ODS phase-out plan	To request a status report to the 70 th meeting on the preparation of the 2009/2010 verification report for CFCs, CTC, TCA and halons, and the implementation report on the national ODS phase-out plan, if not submitted by then

Annex V

PERFORMANCE INDICATORS FOR UNDP

Item	2013 Targets
Number of annual programmes of multi-year agreements approved versus those planned (new plus tranches of ongoing MYAs)	27
Number of individual projects/activities (investment and demonstration projects, TAS, institutional strengthening) approved versus those planned	12
Milestone activities completed/ODS levels achieved for approved multi-year annual tranches versus those planned	25
ODS phased-out for individual projects versus those planned per progress reports	58
Project completion (pursuant to decision 28/2 for investment projects) and as defined for non-investment projects versus those planned in progress reports	18
Number of policy/regulatory assistance completed versus that planned	1 out of 2 (50%)
Speed of financial completion versus that required per progress report completion dates	On time
Timely submission of project completion reports versus those agreed	On time
Timely submission of progress reports and responses unless otherwise agreed	On time

Annex VI

PERFORMANCE INDICATORS FOR UNEP

Item	2013 Targets
Number of annual programmes of multi-year agreements approved versus those planned	49
Number of individual projects/activities (investment and demonstration projects, TAS, institutional strengthening) approved versus those planned	60
Milestone activities completed/ODS levels achieved for approved multi-year annual tranches versus those planned	46
ODS phased-out for individual projects versus those planned per progress reports	0.0 ODP tonnes
Project completion (pursuant to decision 28/2 for investment projects) and as defined for non-investment projects versus those planned in progress reports	36
Number of policy/regulatory assistance completed versus that planned	100% of countries listed in the annex of UNEP's 2013 CAP Budget either received assistance or assistance was offered
Speed of financial completion versus that required per progress report completion dates	On time
Timely submission of project completion reports versus those agreed	On time
Timely submission of progress reports and responses unless otherwise agreed	On time

PERFORMANCE INDICATORS FOR UNEP'S COMPLIANCE ASSISTANCE PROGRAMME (CAP)

Performance Indicator	Data	Assessment	2013 Targets
Efficient follow-up to regional network/ thematic meetings	List of recommendations emanating from 2011 regional network/thematic meetings	Implementation rate of those meeting recommendations that are to be implemented in 2012	90 % implementation rate
Effective support to NOUs in their work, particularly guidance to new NOUs	List of innovative ways/means/products/ services for supporting NOUs in their work, with specification of those destined for new NOUs	Number of innovative ways/means/products/services for supporting NOUs in their work, with specification of those destined for new NOUs	<ul style="list-style-type: none"> • 7 such ways, means, products, services; • All new NOUs receive capacity building support. • 10 additional countries submit CP reports using the Multilateral Fund Secretariat's online data reporting system.
Assistance to countries in actual or potential non-compliance (as per MOP decisions and/or as per reported Article 7 data and trend analysis)	List of countries in actual or potential non-compliance that received CAP assistance outside the network meetings	Number of countries in actual or potential non-compliance that received CAP assistance outside the network meetings	All such countries
Innovations in production and delivery of global and regional information products and services	List of global and regional information products and services destined for new target audiences or that reach existing target audiences in new ways	Number of global and regional information products and services destined for new target audiences or that reach existing target audiences in new ways	7 such products and services
Close cooperation between CAP regional teams and IAs and BAs working in the regions	List of joint missions/ undertakings of CAP regional staff with IAs and BAs	Number of joint missions/undertakings	5 in each region

Annex VII

PERFORMANCE INDICATORS FOR UNIDO

Item	2013 Targets
Number of annual programmes of multi-year agreements approved versus those planned (new plus tranches of ongoing MYAs)	26
Number of individual projects/activities (investment and demonstration projects, TAS, institutional strengthening) approved versus those planned	11
Milestone activities completed/ODS levels achieved for approved multi-year annual tranches versus those planned	26
ODS phased-out for individual projects versus those planned per progress reports	55.4
Project completion (pursuant to decision 28/2 for investment projects) and as defined for non-investment projects versus those planned in progress reports	6
Number of policy/regulatory assistance completed versus that planned	N/A
Speed of financial completion versus that required per progress report completion dates	12 months after operational completion
Timely submission of project completion reports versus those agreed	On time
Timely submission of progress reports and responses unless otherwise agreed	On time

Annex VIII

PERFORMANCE INDICATORS FOR THE WORLD BANK

Item	2013 Targets
Number of annual programmes of multi-year agreements approved versus those planned (new plus tranches of ongoing MYAs)	7
Number of individual projects/activities (investment and demonstration projects, TAS, institutional strengthening) approved versus those planned	2
Milestone activities completed/ODS levels achieved for approved multi-year annual tranches versus those planned	6
ODS phased-out for individual projects versus those planned per progress reports	240.4
Project completion (pursuant to decision 28/2 for investment projects) and as defined for non-investment projects versus those planned in progress reports	4
Number of policy/regulatory assistance completed versus that planned	100%
Speed of financial completion versus that required per progress report completion dates	30 months
Timely submission of project completion reports versus those agreed	On time
Timely submission of progress reports and responses unless otherwise agreed	On time

List of projects and activities approved for funding

UNEP/OzL.Pro/ExCom/69/40
Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
ANGOLA						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase IV: 4/2013-3/2015)	UNEP		\$134,400	\$0	\$134,400	
Total for Angola			\$134,400		\$134,400	
BARBADOS						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, first tranche) <i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2013 to 2020 to reduce HCFC consumption by 35 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 3.7 ODP tonnes, calculated using actual consumption of 5.1 ODP tonnes and 2.3 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol. UNEP, UNDP and the Government were requested to deduct 1.29 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i>	UNDP	0.2	\$50,000	\$4,500	\$54,500	
HCFC phase-out management plan (stage I, first tranche) <i>Approved in accordance with the Agreement between the Government and the Executive Committee for the period 2013 to 2020 to reduce HCFC consumption by 35 per cent of the baseline. Noted that the Government had agreed to establish as its starting point for sustained aggregate reduction in HCFC consumption the baseline of 3.7 ODP tonnes, calculated using actual consumption of 5.1 ODP tonnes and 2.3 ODP tonnes reported for 2009 and 2010, respectively, under the Article 7 of the Montreal Protocol. UNEP, UNDP and the Government were requested to deduct 1.29 ODP tonnes of HCFCs from the starting point for sustained aggregate reduction in HCFC consumption.</i>	UNEP	0.2	\$74,000	\$9,620	\$83,620	
Total for Barbados			0.4	\$124,000	\$14,120	\$138,120
CHILE						
FUMIGANT						
Methyl bromide						
National phase-out of methyl bromide, terminal project (second tranche) <i>The Government of Chile, UNIDO and UNEP were requested to submit the project completion report to the Executive Committee, soon after completion of the 2013-2014 annual implementation programme.</i>	UNIDO	164.4	\$557,917	\$41,844	\$599,761	
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase X: 4/2013-3/2015)	UNDP		\$186,550	\$13,058	\$199,608	
Total for Chile			164.4	\$744,467	\$54,902	\$799,369

List of projects and activities approved for funding

UNEP/OzL.Pro/ExCom/69/40
Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
CHINA						
PRODUCTION						
CFC closure						
Verification of production of CFCs for essential use	IBRD		\$40,000	\$2,800	\$42,800	
HCFC closure						
HCFC production phase-out management plan (stage I, first tranche)	IBRD		\$24,000,000	\$0	\$24,000,000	
<p><i>Approved a total of US \$95 million for stage I of the HPPMP to meet the freeze and 10 per cent reduction of China's Montreal Protocol HCFC production baseline, inclusive of all project costs, excluding agency support costs, recognizing China's need to front-load payments in this sector; on the condition that: (i) disbursement of stage I funding will be US \$24 million in 2013, US \$23 million in 2014, US \$24 million in 2015, and US \$24 million in 2016; and the 2014-2016 funding will be released only after verification of prior year data; (ii) total compensation for the entire HCFC production sector does not exceed US \$385 million, inclusive of all project costs, excluding agency support costs; (iii) the total amount of phase-out to be achieved by the project is based on the verified 2010 ODS production data of: 310,000 mt of HCFC-22, 98,711 mt of HCFC-141b, 33,957 mt of HCFC-142b, 2,819 mt of HCFC-123 and 401 mt of HCFC-124; (iv) production lines producing only HCFCs for controlled uses will be closed and dismantled; (v) China agrees to retire an additional 24% of production capacity beyond the tonnages in sub-paragraph (iii) above to take into account average utilization of HCFC production; (vi) funding for stage I of the HPPMP and beyond is used to prioritize total permanent closure and dismantling of HCFC production lines. No compensation will be provided in the HPPMP for the production line in Juhua, which produced CFCs in 2010; (vii) China agrees to ensure that any compensated production line does not redirect any phased out HCFC production capacity toward feedstock, subject to a penalty to be specified in the HPPMP agreement; and appropriate monitoring and verification of each compensated production line are undertaken to ensure that the production sector agreement is implemented and that redirection to feedstock does not take place; (viii) China agrees to coordinate with its stakeholders and authorities to make best efforts to manage HCFC production and associated by-product production in HCFC plants in accordance with best practices to minimize associated climate impacts; (ix) China agrees to optimize the implementation of the HPPMP in order to minimize environmental and climate impacts as much as possible, including by giving priority to HCFC production closure to achieve HCFC reduction targets set forth in decision XIX/6; (x) China will ensure that any funds in possession of FECO/MEP will return a reasonable rate of interest, and that any interest will be offset in future tranches. The Secretariat was requested, in cooperation with the World Bank, to prepare a draft agreement, including a proposal for the agency support costs for the World Bank, for consideration by the Committee at the 70th meeting, taking into account this decision, the CFC production phase-out agreement and the HPPMP draft agreement submitted by the World Bank to the 68th meeting.</i></p>						

List of projects and activities approved for funding

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Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, second tranche) (extruded polystyrene foam sector plan)	UNIDO	121.1	\$10,217,000	\$715,190	\$10,932,190	
HCFC phase-out management plan (stage I, second tranche) (extruded polystyrene foam sector plan)	Germany	4.6	\$390,977	\$47,059	\$438,036	
	Total for China	125.7	\$34,647,977	\$765,049	\$35,413,026	
CROATIA						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase VIII: 4/2013-3/2015)	UNEP		\$87,707	\$0	\$87,707	
	Total for Croatia		\$87,707		\$87,707	
DOMINICAN REPUBLIC						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (stage I, second tranche) (refrigeration servicing sector)	UNEP	0.6	\$25,000	\$3,250	\$28,250	
HCFC phase-out management plan (stage I, second tranche) (sector phase-out for the use of HCFCs in the foam manufacturing sector)	UNDP	7.6	\$319,982	\$23,999	\$343,981	
HCFC phase-out management plan (stage I, second tranche) (refrigeration servicing sector and monitoring)	UNDP	1.5	\$143,468	\$10,760	\$154,228	
	Total for Dominican Republic	9.7	\$488,450	\$38,009	\$526,459	

List of projects and activities approved for funding

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Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
GEORGIA						
DESTRUCTION						
Demonstration						
Pilot demonstration project on ODS waste management and disposal	UNDP		\$55,264	\$4,974	\$60,238	25.90
<i>Approved on the understanding that no further funds would be available for Georgia for any ODS disposal projects in future in the absence of a new relevant decision of the meeting of the Parties any marketing of greenhouse gas (GHG) emission reductions generated by or associated with the project would be subject to a decision by the Committee. Georgia, through UNDP, was requested to establish a monitoring system for the operation of and the activities associated with the ODS waste management and disposal demonstration project. UNDP was further requested to present a comprehensive final report to the Committee at the completion of the demonstration project in 2015, on the understanding that it would be disseminated among regional networks and other interested parties; and it would contain: information on the monitoring system established as indicated above, ensuring that no marketing of GHG emission reductions had taken place; and information on the persistent organic pollutant (POPs) disposal element of the project, taking into account approaches that were common to both, and how costs were rationalized to increase cost-effectiveness through the synergy between POPs and ODS waste disposal.</i>						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase VIII: 7/2013-6/2015)	UNDP		\$60,667	\$4,247	\$64,914	
Total for Georgia			\$115,931	\$9,221	\$125,152	
INDIA						
PRODUCTION						
CFC closure						
Accelerated CFC production phase-out (remaining of the second tranche)	IBRD		\$317,000	\$24,032	\$341,032	
Total for India			\$317,000	\$24,032	\$341,032	
IRAQ						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase II: 6/2013-5/2015)	UNEP		\$240,000	\$0	\$240,000	
Total for Iraq			\$240,000		\$240,000	

List of projects and activities approved for funding

UNEP/OzL.Pro/ExCom/69/40
Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
KENYA						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase IX: 4/2013-3/2015)	UNEP		\$151,667	\$0	\$151,667	
Total for Kenya			\$151,667		\$151,667	
LIBERIA						
SEVERAL						
Ozone unit support						
Extension of the institutional strengthening project (phase V: 4/2013-3/2015))	UNEP		\$85,213	\$0	\$85,213	
Total for Liberia			\$85,213		\$85,213	
MALDIVES						
PHASE-OUT PLAN						
HCFC phase out plan						
HCFC phase-out management plan (second tranche) <i>Noted that the Agreement was updated based on the established HCFC baseline for compliance.</i>	UNDP	1.4	\$20,000	\$1,500	\$21,500	
HCFC phase-out management plan (second tranche) <i>Noted that the Agreement was updated based on the established HCFC baseline for compliance.</i>	UNEP		\$173,400	\$22,542	\$195,942	
Total for Maldives			1.4	\$193,400	\$24,042	\$217,442
MEXICO						
FUMIGANT						
Methyl bromide						
National methyl bromide phase-out plan (fourth tranche) <i>The Government of Mexico, UNIDO and the Governments of Canada, Italy and Spain were requested to submit the project completion report to the Executive Committee, soon after completion of the 2013 annual implementation programme.</i>	UNIDO	325.0	\$422,379	\$31,678	\$454,057	
Total for Mexico			325.0	\$422,379	\$31,678	\$454,057
SIERRA LEONE						
SEVERAL						
Ozone unit support						
Extension of institutional strengthening project (phase V: 4/2013-3/2015)	UNEP		\$85,800	\$0	\$85,800	
Total for Sierra Leone			\$85,800		\$85,800	

List of projects and activities approved for funding

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Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)		C.E. (US\$/kg)
			Project	Support	
SUDAN					
SEVERAL					
Ozone unit support					
Extension of institutional strengthening (phase VII: 4/2013-3/2015)	UNEP		\$145,860	\$0	\$145,860
Total for Sudan			\$145,860		\$145,860
TIMOR LESTE					
PHASE-OUT PLAN					
HCFC phase out plan					
HCFC phase-out management plan (stage I, second tranche)	UNEP		\$55,000	\$7,150	\$62,150
<i>Noted that the Agreement was updated based on the established HCFC baseline for compliance, and that the revised starting point for sustained aggregate reduction in HCFC consumption was 0.5 ODP tonnes, calculated using consumption of 0.5 ODP tonnes and 0.5 ODP tonnes reported for 2009 and 2010, respectively, under Article 7 of the Montreal Protocol.</i>					
SEVERAL					
Ozone unit support					
Extension of institutional strengthening (phase III: 4/2013-3/2015)	UNEP		\$60,000	\$0	\$60,000
Total for Timor Leste			\$115,000	\$7,150	\$122,150
ZIMBABWE					
SEVERAL					
Ozone unit support					
Renewal of the institutional strengthening project (phase VII: 4/2013-3/2015)	UNEP		\$148,242	\$0	\$148,242
Total for Zimbabwe			\$148,242		\$148,242
REGION: ASP					
REFRIGERATION					
Air conditioning					
Promoting low-global warming potential refrigerants for air-conditioning sectors in high-ambient temperature countries in West Asia	UNEP		\$155,000	\$20,150	\$175,150
<i>The project was revised to include an assessment of conventional and non-conventional solutions and a special study on district cooling systems using low-global-warming-potential and/or non-vapour-compression options.</i>					
Promoting low-global warming potential refrigerants for air-conditioning sectors in high-ambient temperature countries in West Asia	UNIDO		\$365,000	\$25,550	\$390,550
Total for Region: ASP			\$520,000	\$45,700	\$565,700

List of projects and activities approved for funding

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Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
REGION: EUR						
DESTRUCTION						
Demonstration						
Demonstration of a regional strategy for ODS waste management and disposal in the Europe and Central Asia region	UNIDO		\$274,480	\$19,214	\$293,694	12.54

Approved on the understanding that no further funds would be available for Bosnia and Herzegovina, Croatia and Montenegro for any ODS disposal projects in future in the absence of a new relevant decision of the Meeting of the Parties; UNIDO and UNEP would submit a comprehensive report providing updated information on the actual amounts of ODS waste collected by substance from the date of approval of the project by the 73rd meeting; UNIDO and UNEP would submit a report upon completion of the project and no later than the last meeting in 2015, providing information on the verified amounts of ODS waste destroyed during the project, and if the reported amounts destroyed were not equal to that approved in the project (29.07 metric tonnes of ODS waste), the funds corresponding to the tonnage not destroyed would be returned to the Multilateral Fund, to be calculated at US \$12.04/kg. The comprehensive report requested above should also contain a substantive analysis of lessons learned from the implementation of the project, including a description of the regional approach and of how the sustainability of future similar activities could be ensured. Any marketing of greenhouse gas (GHG) emission reductions generated by or associated with the project would be subject to a decision by the Executive Committee. The Governments of Bosnia and Herzegovina, Croatia and Montenegro, through UNEP and UNIDO, were requested to establish a monitoring system for the operation of and the activities associated with the ODS disposal demonstration project, and UNIDO was requested to report thereon to the Committee at the completion of the project in 2015, ensuring that no marketing of GHG emission reductions had taken place.

List of projects and activities approved for funding

UNEP/OzL.Pro/ExCom/69/40
Annex IX

Project Title	Agency	ODP (tonnes)	Funds approved (US\$)			C.E. (US\$/kg)
			Project	Support	Total	
Demonstration of a regional strategy for ODS waste management and disposal in the Europe and Central Asia region	UNEP		\$75,000	\$9,750	\$84,750	12.54
<p><i>Approved on the understanding that no further funds would be available for Bosnia and Herzegovina, Croatia and Montenegro for any ODS disposal projects in future in the absence of a new relevant decision of the Meeting of the Parties; UNIDO and UNEP would submit a comprehensive report providing updated information on the actual amounts of ODS waste collected by substance from the date of approval of the project by the 73rd meeting; UNIDO and UNEP would submit a report upon completion of the project and no later than the last meeting in 2015, providing information on the verified amounts of ODS waste destroyed during the project, and if the reported amounts destroyed were not equal to that approved in the project (29.07 metric tonnes of ODS waste), the funds corresponding to the tonnage not destroyed would be returned to the Multilateral Fund, to be calculated at US \$12.04/kg. The comprehensive report requested above should also contain a substantive analysis of lessons learned from the implementation of the project, including a description of the regional approach and of how the sustainability of future similar activities could be ensured. Any marketing of greenhouse gas (GHG) emission reductions generated by or associated with the project would be subject to a decision by the Executive Committee. The Governments of Bosnia and Herzegovina, Croatia and Montenegro, through UNEP and UNIDO, were requested to establish a monitoring system for the operation of and the activities associated with the ODS disposal demonstration project, and UNIDO was requested to report thereon to the Committee at the completion of the project in 2015, ensuring that no marketing of GHG emission reductions had taken place.</i></p>						
Total for Region: EUR			\$349,480	\$28,964	\$378,444	
GRAND TOTAL		626.6	\$39,116,973	\$1,042,867	\$40,159,840	

Summary

UNEP/OzL.Pro/ExCom/69/40
Annex IX

Sector	Tonnes (ODP)	Funds approved (US\$)		
		Project	Support	Total
BILATERAL COOPERATION				
Phase-out plan	4.6	\$390,977	\$47,059	\$438,036
TOTAL:	4.6	\$390,977	\$47,059	\$438,036
INVESTMENT PROJECT				
Fumigant	489.4	\$980,296	\$73,522	\$1,053,818
Production		\$24,317,000	\$24,032	\$24,341,032
Phase-out plan	132.6	\$11,077,850	\$798,511	\$11,876,361
Destruction		\$404,744	\$33,938	\$438,682
TOTAL:	622.0	\$36,779,890	\$930,003	\$37,709,893
WORK PROGRAMME AMENDMENT				
Production		\$40,000	\$2,800	\$42,800
Refrigeration		\$520,000	\$45,700	\$565,700
Several		\$1,386,106	\$17,305	\$1,403,411
TOTAL:		\$1,946,106	\$65,805	\$2,011,911
Summary by Parties and Implementing Agencies				
Germany	4.6	\$390,977	\$47,059	\$438,036
IBRD		\$24,357,000	\$26,832	\$24,383,832
UNDP	10.6	\$835,931	\$63,038	\$898,969
UNEP	0.9	\$1,696,289	\$72,462	\$1,768,751
UNIDO	610.5	\$11,836,776	\$833,476	\$12,670,252
GRAND TOTAL	626.6	\$39,116,973	\$1,042,867	\$40,159,840

**ADJUSTMENTS ARISING FROM THE 69TH MEETING OF THE EXECUTIVE COMMITTEE FOR
BALANCES ON PROJECTS AND ACTIVITIES**

Agency	Project Costs (US\$)	Support Costs (US\$)	Total (US\$)
France (per decision 69/3(a)(vi))*	155,690	20,240	175,930
Portugal (per decision 69/3(a)(vi))*	170	22	192
UNDP (per decision 69/3(a)(ii)&(iii))	505,286	39,758	545,044
UNEP (per decision 69/3(a)(ii)&(iii))	29,119	3,785	32,904
UNIDO (per decision 69/3(a)(ii)&(iii))	66,345	4,994	71,339
World Bank (per decision 69/3(a)(ii)&(iii))	34,313	3,088	37,401
Total	790,923	71,887	862,810

*Cash transfer

**NET ALLOCATIONS TO IMPLEMENTING AGENCIES AND BILATERAL CONTRIBUTIONS BASED
ON DECISIONS OF THE 69TH MEETING OF THE EXECUTIVE COMMITTEE**

Agency	Project Costs (US\$)	Support Costs (US\$)	Total (US\$)
Germany (1)	390,977	47,059	438,036
UNDP	330,645	23,280	353,925
UNEP	1,667,170	68,677	1,735,847
UNIDO	11,770,431	828,482	12,598,913
World Bank	24,322,687	23,744	24,346,431
Total	38,481,910	991,242	39,473,152

(1) US \$438,036 to be assigned to 2013-2014 bilateral contributions.

Annex X

UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF MALDIVES AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR PHASE-OUT OF CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of Maldives and the Executive Committee with respect to reductions of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (the Substances) to a sustained level of 0.0925 ODP tonnes from 1 January 2020.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (Targets and Funding) in this Agreement. The country also agrees to meet the consumption limits specified in the Montreal Protocol reduction schedule for all Substances as well as for those ODS where the Montreal Protocol reduction schedule has already led to complete phase-out, except to the degree that the Parties have agreed on essential or critical use exemptions for the Country. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this agreement for all ODS specified in Appendix 1-A, and in respect to any consumption of each of the substances which exceeds the level defined in row 4.1.3.
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (Targets and Funding) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (Funding Approval Schedule).
4. The Country will meet the consumption limits for each of the Substances as indicated in Appendix 2-A. It will also accept independent verification, to be commissioned by the relevant implementing agency (IA), of achievement of these consumption limits as described in sub-paragraph 5(b) of this Agreement.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the hydrochlorofluorocarbons phase-out management plan (HPMP) was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
 - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;
 - (c) That the Country has substantially completed all actions set out in the previous tranche implementation plan and submitted a tranche implementation report in the form of Appendix 4-A (the "Format of Tranche Implementation Report and Plan") for each previous calendar year; and

- (d) That the Country has submitted and received endorsement from the Executive Committee for tranche implementation plans in the form of Appendix 4-A (the “Format of Tranche Implementation Reports and Plans”) for each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.
6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (the “Monitoring Institutions and Roles”) will monitor and report on that monitoring in accordance with the roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in sub-paragraph 5(b).
7. While the Funding was determined on the basis of estimates of the needs of the Country to carry out its obligations under this Agreement, the Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the goals prescribed under this Agreement. Reallocations categorized as major changes must be documented in advance in a tranche implementation plan and endorsed by the Executive Committee as described in sub-paragraph 5(d). Major changes would relate to reallocations affecting in total 30% or more of the funding of the last approved tranche, issues potentially concerning the rules and policies of the Multilateral Fund, or changes which would modify any clause of this agreement. Reallocations not categorized as major changes may be incorporated in the approved tranche implementation plan, under implementation at the time, and reported to the Executive Committee in the tranche implementation report. Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.
8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular that the:
- (a) Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) Country and the implementing agencies will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.
9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNDP has agreed to be cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the IAs taking part in this Agreement.
10. The Lead IA will be responsible for carrying out the activities of the plan as detailed in the first submission of the HPMP with the changes approved as part of the subsequent tranche submissions, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by being responsible for carrying out the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have entered into a formal agreement regarding planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including

regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the funding approval schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP tonne of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the country did not comply with this agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5.

12. The funding components of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to information necessary to verify compliance with this Agreement.

14. The completion of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the plan and its subsequent revisions as per sub-paragraph 5(d), the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per Appendix 4-A (a), (b), (d) and (e) continue until the time of the completion if not specified by the Executive Committee otherwise.

15. All of the agreements set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of Maldives and the Executive Committee at the 60th meeting of the Executive Committee.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	3.7

APPENDIX 2-A: TARGETS AND FUNDING

Row	Parameter/Year	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	n/a	4.6	4.6	4.6	4.6	4.1	4.1	4.1	4.1	4.1	2.9	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	n/a	3.7	3.7	3.3	3.3	3.0	2.4	2.4	1.2	1.2	0.0925	n/a
2.1	Lead IA (UNEP) agreed funding (US \$)	355,940	0	0	173,400		100,660	0	50,000	0	0	0	680,000
2.2	Support costs for Lead IA (US \$)	46,272	0	0	22,542	0	13,086	0	6,500	0	0	0	88,400
2.3	Cooperating IA (UNDP) agreed funding (US \$)	400,000	0	0	20,000	0	0	0	0	0	0	0	420,000
2.4	Support costs for Cooperating IA (US \$)	30,000	0	0	1,500	0	0	0	0	0	0	0	31,500
3.1	Total agreed funding (US \$)	755,940	0	0	193,400	0	100,660	0	50,000	0	0	0	1,100,000
3.2	Total support costs (US \$)	76,272	0	0	24,042	0	13,086	0	6,500	0	0	0	119,900
3.3	Total agreed costs (US \$)	832,212	0	0	217,442	0	113,746	0	56,500	0	0	0	1,219,900
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)												3.7
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)												0
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)												0.0925

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the first meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF TRANCHE IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Tranche Implementation Report and Plan will consist of five parts:
 - (a) A narrative report regarding the progress in the previous tranche, reflecting on the situation of the Country in regard to phase out of the substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the plan, reflecting on changes in the circumstances in the country, and providing other relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;
 - (b) A verification report of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
 - (c) A written description of the activities to be undertaken in the next tranche, highlighting their interdependence and taking into account experiences made and progress achieved in the implementation of earlier tranches. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;
 - (d) A set of quantitative information for the report and plan, submitted online into a database, as per the relevant decisions of the Executive Committee in respect to the format required. This quantitative information, to be submitted by calendar year, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the country and agency; and
 - (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The overall monitoring will be the responsibility of the National Ozone Unit (NOU), Ministry of Housing, Transport and Environment.
2. The consumption will be monitored based on data collected from relevant government departments and crosschecking it with data collected from the distributors and consumers.
3. The NOU will be responsible for reporting and shall submit the following reports in a timely manner:
 - (a) Annual reports on consumption of substances to be submitted to the Ozone Secretariat;
 - (b) Annual reports on progress of implementation of this Agreement to be submitted to the Executive Committee of the Multilateral Fund; and
 - (c) Project-related reports to be submitted to the Lead IA.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's phase-out plan;
 - (b) Assisting the Country in preparation of the Tranche Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Tranche Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future tranche implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the tranches and the overall plan as specified in Appendix 4-A as well as project completion reports for submission to the Executive Committee; this responsibility includes the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews undertaken by the Lead IA;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Tranche Implementation Plan and accurate data reporting;

- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (k) Providing assistance with policy, management and technical support when required.

2. After consultation with the country and taking into account any views expressed, the Lead IA will select and mandate an independent organization to carry out the verification of the HPMP results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for the following:
 - (a) Providing policy development assistance when required;
 - (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
 - (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$10,000 per ODP tonne of reductions in consumption not achieved in the year.

Annex XI

UPDATED AGREEMENT BETWEEN THE GOVERNMENT OF TIMOR-LESTE AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF CHLOROFLUOROCARBONS (CFCs) AND HYDROCHLOROFLUOROCARBONS (HCFCs)

1. This Agreement represents the understanding of the Government of Timor-Leste (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 0.45 ODP tonnes prior to 1 January 2015 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in rows 1.2 and 1.4 of Appendix 2-A (“The Targets and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances which exceeds the levels defined in rows 1.2 and 1.4 of Appendix 2-A (maximum allowable total consumption of Annex C, Group I substances) as the final reduction step under this agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances which exceeds the level defined in row 4.1.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees in principle to provide the funding set out in row 3.1 of Appendix 2-A (the “Targets and Funding”) to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (the “Funding Approval Schedule”).
4. The Country will accept independent verification, to be commissioned by the relevant bilateral or implementing agency, of achievement of the annual consumption limits of the Substances as set out in rows 1.2 and 1.4 of Appendix 2-A (“The Targets, and Funding”) of this Agreement as described in sub-paragraph 5(b) of this Agreement.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least 60 days prior to the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country has met the Targets for all relevant years. Relevant years are all years since the year in which the CFCs and HCFCs phase-out management plan was approved. Exempt are years for which no obligation for reporting of country programme data exists at the date of the Executive Committee Meeting at which the funding request is being presented;
 - (b) That the meeting of these Targets has been independently verified, except if the Executive Committee decided that such verification would not be required;

- (c) That the Country had submitted tranche implementation reports in the form of Appendix 4-A (the “Format of Tranche Implementation Report and Plan”) covering each previous calendar year, that it had achieved a significant level of implementation of activities initiated with previously approved tranches, and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent;
- (d) That the Country has submitted and received approval from the Executive Committee for a tranche implementation plan in the form of Appendix 4-A (the “Format of Tranche Implementation Reports and Plans”) covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen; and
- (e) That, for all submissions from the 68th Meeting onwards, confirmation has been received from the Government that an enforceable national system of licensing and quotas for HCFC imports and, where applicable, production and exports is in place and that the system is capable of ensuring the country's compliance with the Montreal Protocol HCFC phase-out schedule for the duration of this agreement.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (the “Monitoring Institutions and Roles”) will monitor and report on Implementation of the activities in the previous tranche implementation plan in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in sub-paragraph 5(b).

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest phase-down and phase-out of the Substances specified in Appendix 1-A. Reallocations categorized as major changes must be documented in advance in a Tranche Implementation Plan and approved by the Executive Committee as described in sub-paragraph 5(d). Major changes would relate to reallocations affecting in total 30 per cent or more of the funding of the last approved tranche, issues potentially concerning the rules and policies of the Multilateral Fund, or changes which would modify any clause of this Agreement. Reallocations not categorized as major changes may be incorporated in the approved Tranche Implementation Plan, under implementation at the time, and reported to the Executive Committee in the Tranche Implementation Report. Any remaining funds will be returned to the Multilateral Fund upon closure of the last tranche of the plan.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNDP has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country's activities under this Agreement. The Country agrees to evaluations, which might

be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the IA taking part in this Agreement.

10. The Lead IA will be responsible for carrying out the activities of the plan as detailed in the first submission of the CFCs and HCFCs phase-out management plan with the changes approved as part of the subsequent tranche submissions, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have entered into a formal agreement regarding planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in rows 1.2 and 1.4 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amounts set out in Appendix 7-A in respect of each ODP tonne of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to information necessary to verify compliance with this Agreement.

14. The completion of the CFCs and HCFCs phase-out management plan and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption has been specified in Appendix 2-A. Should at that time activities be still outstanding which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per Appendix 4-A (a), (b), (d) and (e) continue until the time of the completion if not specified by the Executive Committee otherwise.

15. All of the agreements set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

16. This updated Agreement supersedes the Agreement reached between the Government of Timor-Leste and the Executive Committee at the 63rd meeting of the Executive Committee.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	0.50
CFC-12 and CFC-115	A	I	0

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Parameter/Year	2011	2012	2013	2014	2015	Total
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)*	0	0	0.50	0.50	0.45	n/a
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	0	0	0.50	0.50	0.45	n/a
1.3	Montreal Protocol reduction schedule of Annex A, Group I substances (ODP tonnes)	0	0	0	0	0	n/a
1.4	Maximum allowable total consumption of Annex A, Group I substances (ODP tonnes)	0	0	0	0	0	n/a
2.1	Lead IA UNEP agreed funding(US \$)	93,500	0	55,000	0	16,400	164,900
2.2	Support costs for Lead IA (US \$)	12,155	0	7,150	0	2,132	21,437
2.3	Cooperating IA UNDP agreed funding (US \$)	96,120	0	0	0	10,680	106,800
2.4	Support costs for Cooperating IA (US \$)	8,651	0	0	0	961	9,612
3.1	Total agreed funding (US \$)	189,620	0	55,000	0	27,080	271,700
3.2	Total support cost (US \$)	20,806	0	7,150	0	3,093	31,049
3.3	Total agreed costs (US \$)	210,426	0	62,150	0	30,173	302,749
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)						0.05
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)						n/a
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)						0.45

*Figures are based on Article 7 data, prior to being rounded to one decimal point.

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval not earlier than the first meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF TRANCHE IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Tranche Implementation Report and Plan will consist of five parts:
 - (a) A narrative report regarding the progress in the previous tranche, reflecting on the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it and how they relate to each other. The report should further highlight successes, experiences and challenges related to the different activities included in the Plan, reflecting on changes in the circumstances in the country, and providing other

relevant information. The report should also include information about and justification for any changes vis-à-vis the previously submitted tranche plan, such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information about activities in the current year;

- (b) A verification report of the CFCs and HCFCs phase-out management plan results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken in the next tranche, highlighting their interdependence, and taking into account experiences made and progress achieved in the implementation of earlier tranches. The description should also include a reference to the overall Plan and progress achieved, as well as any possible changes to the overall plan foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain any revisions to the overall plan which were found to be necessary;
- (d) A set of quantitative information for the report and plan, submitted into a database. As per the relevant decisions of the Executive Committee in respect to the format required, the data should be submitted online. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), and will cover the same time periods and activities; it will also capture the quantitative information regarding any necessary revisions of the overall plan as per sub-paragraph 1(c) above. While the quantitative information is required only for previous and future years, the format will include the option to submit in addition information regarding the current year if desired by the country and lead implementing agency; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The National Ozone Unit (NOU) will submit annual progress reports on the status of the implementation of the CFCs and HCFCs phase-out management plan to UNEP and UNDP.
2. Monitoring of development of the CFCs and HCFCs phase-out management plan and verification of the achievement of the performance targets, specified in the Plan, will be assigned to an independent local company or to an independent local consultant(s) by UNEP.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities. These can be specified in the project document further, but include at least the following:

- (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's phase-out plan;
- (b) Assisting the Country in preparation of the Tranche Implementation Plans and subsequent reports as per Appendix 4-A;
- (c) Providing verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Tranche Implementation Plan consistent with Appendix 4-A;
- (d) Ensuring that the experiences and progress is reflected in updates of the overall Plan and in future Tranche Implementation Plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
- (e) Fulfilling the reporting requirements for the tranches and the overall Plan as specified in Appendix 4-A as well as project completion reports for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
- (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
- (g) Carrying out required supervision missions;
- (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Tranche Implementation Plan and accurate data reporting;
- (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
- (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country and the co-ordinating implementing agencies, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
- (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
- (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent organization to carry out the verification of the CFCs and HCFCs phase-out management plan results and the consumption of the substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities can be specified in the respective project document further, but include at least the following:

- (a) Providing policy development assistance when required;
- (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
- (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in rows 1.2 or 1.4 of Appendix 2-A for each year in which the target specified in rows 1.2 or 1.4 of Appendix 2-A has not been met.

Annex XII

VIEWS EXPRESSED BY THE EXECUTIVE COMMITTEE ON RENEWAL OF INSTITUTIONAL STRENGTHENING PROJECTS SUBMITTED TO THE 69th MEETING

Angola

1. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request for Angola and noted with appreciation that the country reported 2011 country programme implementation data to the Multilateral Fund Secretariat and Article 7 data to the Ozone Secretariat indicating sustained phase-out of CFC consumption. The Executive Committee expressed the expectation that, within the next two years, Angola will continue the implementation of ODS phase-out activities with outstanding success to achieve the HCFC consumption freeze in 2013 and 10 per cent reduction in 2015.

Chile

2. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request for Chile and noted with appreciation that the country reported 2011 country programme implementation and Article 7 data showing compliance with the Montreal Protocol targets. The Executive Committee acknowledged with appreciation that the Government of Chile continued its efforts to control the import and export of all ODS thus ensuring the sustained CFC phase-out and preparing to meet the HCFC consumption targets. The Executive Committee expressed the expectation that, in the next two years, Chile will continue the implementation of its planned activities with outstanding success in order to meet the HCFC and methyl bromide consumption targets.

Croatia

3. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Croatia and noted with appreciation that the country reported 2011 country programme implementation data to the Multilateral Fund Secretariat and Article 7 data to the Ozone Secretariat indicating compliance with the Montreal Protocol control measures. The Executive Committee acknowledged with appreciation that Croatia has a well-structured licensing and quota system and that the HCFC phase-out management plan (HPMP) has been implemented in an efficient and timely manner. The Executive Committee is therefore confident that Croatia will successfully continue activities at both the project and policy levels to enable the country to meet its Montreal Protocol targets.

Georgia

4. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Georgia and noted with appreciation that the country reported 2011 country programme implementation data to the Multilateral Fund Secretariat and 2011 Article 7 data to the Ozone Secretariat indicating that the country sustained the total phase-out of CFCs and was in compliance with the Montreal Protocol control measures. The Executive Committee also noted the approval and initiation of activities under the HCFC phase-out management plan. The Executive Committee expressed the expectation that, in the next two years, Georgia will sustain the phase-out of CFCs and continue successfully with the implementation of its HCFC phase-out management plan in order to meet the initial targets to freeze the consumption of HCFCs in 2013 and reduce them by 10 per cent in 2015.

Iraq

5. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request and noted with appreciation that Iraq reported 2011 country programme implementation data to the Multilateral Fund Secretariat and Article 7 data to the Ozone Secretariat indicating sustained compliance with the total phase-out of CFCs, halons and CTC. The Executive Committee also noted with appreciation that Iraq enacted a comprehensive set of regulations for all ODS including HCFCs. The Executive Committee expressed the expectation that within the next two years, Iraq will continue implementation of its country programme and HCFC phase-out management plan activities with outstanding success with the aim to sustain ODS phase-out and achieve the HCFC consumption freeze in 2013 and 10 per cent reduction in 2015.

Kenya

6. The Executive Committee reviewed the information presented with the institutional strengthening project renewal request and noted with appreciation that Kenya reported 2011 Article 7 data to the Ozone Secretariat indicating that the country was in compliance with the Montreal Protocol control measures. The Executive Committee also noted with appreciation that during the current phase Kenya has taken significant steps to phase out its consumption of ODS, including the implementation of a licensing and quota system on ODS imports and the training of customs officers as well as refrigeration and air conditioning technicians. The Executive Committee encouraged Kenya to finalize the process of ratification of the Beijing Amendment as soon as possible and expressed the expectation that, within the next two years, Kenya will continue with outstanding success the implementation of the licensing and quota system, the HCFC phase-out management plan, and the methyl bromide phase-out activities in order to maintain compliance with current Montreal Protocol commitments and achieve the HCFC consumption freeze in 2013 and 10 per cent reduction in 2015.

Liberia

7. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request and noted with appreciation that Liberia reported 2011 country programme implementation data to the Multilateral Fund Secretariat and Article 7 data to the Ozone Secretariat indicating that the country sustained the total phase-out of CFCs. The Executive Committee expressed the expectation that, within the next two years, Liberia will continue with the implementation of its ODS phase-out activities with outstanding success in order to achieve the HCFC consumption freeze in 2013 and 10 per cent reduction in 2015.

Sierra Leone

8. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request for Sierra Leone and noted with appreciation that the country reported 2011 country programme implementation data to the Multilateral Fund Secretariat and Article 7 data to the Ozone Secretariat indicating compliance with the Montreal Protocol targets. The Executive Committee also noted with appreciation the completion of the terminal phase-out management plan and the enacting and enforcement of a licensing and quota system on HCFC imports. The Executive Committee is therefore hopeful that, within the next two years, Sierra Leone will continue with the implementation of its HCFC phase-out management plan activities with outstanding success in order to achieve the HCFC consumption freeze in 2013 and 10 per cent reduction in 2015.

Sudan

9. The Executive Committee reviewed the report presented with the institutional strengthening project renewal request for Sudan and noted with appreciation that the country reported 2011 country programme implementation data to the Multilateral Fund Secretariat and Article 7 data to the Ozone Secretariat showing compliance with the Montreal Protocol targets. The Executive Committee also noted with appreciation the activities reported during the current phase including the implementation of the HCFC licensing and quota system. The Executive Committee is therefore hopeful that Sudan will continue with the implementation of its HCFC phase-out management plan activities with outstanding success in order to achieve the HCFC consumption freeze in 2013 and 10 per cent reduction in 2015.

Timor Leste

10. The Executive Committee reviewed the report submitted with the institutional strengthening project renewal request for Timor Leste and noted with appreciation that Timor Leste has reported 2011 country programme implementation data to the Multilateral Fund Secretariat and Article 7 data to the Ozone Secretariat indicating compliance with the Montreal Protocol measures. The Executive Committee is therefore optimistic that, within the next two years, Timor Leste will continue the implementation of its HCFC phase-out management plan with outstanding success in order to achieve the HCFC consumption freeze in 2013 and 10 per cent reduction in 2015.

Zimbabwe

11. The Executive Committee reviewed the information presented with the institutional strengthening project renewal request for Zimbabwe and noted with appreciation that Zimbabwe reported 2011 country programme implementation data to the Multilateral Fund Secretariat and Article 7 data to the Ozone Secretariat indicating sustained compliance with the Montreal Protocol targets for all ODS. The Executive Committee also noted with appreciation that during the current phase Zimbabwe has taken some significant steps to phase out its consumption of ODS, including the implementation of a licensing system on ODS imports and the training of customs officers and refrigeration technicians. The Executive Committee expressed the expectation that, within the next two years, Zimbabwe will continue with outstanding success the implementation of the HCFC import licensing and quota system, the HCFC phase-out management plan activities and the methyl bromide phase-out activities in order to maintain compliance with current Montreal Protocol commitments and achieve the HCFC consumption freeze in 2013 and 10 per cent reduction in 2015.

Annex XIII

AGREEMENT BETWEEN THE GOVERNMENT OF BARBADOS AND THE EXECUTIVE COMMITTEE OF THE MULTILATERAL FUND FOR THE REDUCTION IN CONSUMPTION OF HYDROCHLOROFLUOROCARBONS

1. This Agreement represents the understanding of the Government of Barbados (the “Country”) and the Executive Committee with respect to the reduction of controlled use of the ozone-depleting substances (ODS) set out in Appendix 1-A (“The Substances”) to a sustained level of 2.40 ODP tonnes by 1 January 2020 in compliance with Montreal Protocol schedules.
2. The Country agrees to meet the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A (“The Targets, and Funding”) in this Agreement as well as in the Montreal Protocol reduction schedule for all Substances mentioned in Appendix 1-A. The Country accepts that, by its acceptance of this Agreement and performance by the Executive Committee of its funding obligations described in paragraph 3, it is precluded from applying for or receiving further funding from the Multilateral Fund in respect to any consumption of the Substances that exceeds the level defined in row 1.2 of Appendix 2-A as the final reduction step under this Agreement for all of the Substances specified in Appendix 1-A, and in respect to any consumption of each of the Substances that exceeds the level defined in rows 4.1.3 and 4.2.3 (remaining eligible consumption).
3. Subject to compliance by the Country with its obligations set out in this Agreement, the Executive Committee agrees, in principle, to provide the funding set out in row 3.1 of Appendix 2-A to the Country. The Executive Committee will, in principle, provide this funding at the Executive Committee meetings specified in Appendix 3-A (“Funding Approval Schedule”).
4. The Country agrees to implement this Agreement in accordance with the HCFC phase-out sector plans submitted. In accordance with sub-paragraph 5(b) of this Agreement, the Country will accept independent verification of the achievement of the annual consumption limits of the Substances as set out in row 1.2 of Appendix 2-A of this Agreement. The aforementioned verification will be commissioned by the relevant bilateral or implementing agency.
5. The Executive Committee will not provide the Funding in accordance with the Funding Approval Schedule unless the Country satisfies the following conditions at least eight weeks in advance of the applicable Executive Committee meeting set out in the Funding Approval Schedule:
 - (a) That the Country had met the Targets set out in row 1.2 of Appendix 2-A for all relevant years. Relevant years are all years since the year in which this Agreement was approved. Years for which no obligation for reporting of country programme data exists at the date of the Executive Committee meeting at which the funding request is being presented are exempted;
 - (b) That the meeting of these Targets has been independently verified, unless the Executive Committee decided that such verification would not be required;
 - (c) That the Country had submitted annual implementation reports in the form of Appendix 4-A (“Format of Implementation Reports and Plans”) covering each previous calendar year; that it had achieved a significant level of implementation of activities initiated with previously approved tranches; and that the rate of disbursement of funding available from the previously approved tranche was more than 20 per cent; and

- (d) That the Country has submitted an annual implementation plan in the form of Appendix 4-A covering each calendar year until and including the year for which the funding schedule foresees the submission of the next tranche or, in case of the final tranche, until completion of all activities foreseen.

6. The Country will ensure that it conducts accurate monitoring of its activities under this Agreement. The institutions set out in Appendix 5-A (“Monitoring Institutions and Roles”) will monitor and report on implementation of the activities in the previous annual implementation plans in accordance with their roles and responsibilities set out in Appendix 5-A. This monitoring will also be subject to independent verification as described in paragraph 4 above.

7. The Executive Committee agrees that the Country may have the flexibility to reallocate the approved funds, or part of the funds, according to the evolving circumstances to achieve the smoothest reduction of consumption and phase-out of the Substances specified in Appendix 1-A:

- (a) Reallocations categorized as major changes must be documented in advance either in an annual implementation plan submitted as foreseen in sub-paragraph 5(d) above, or as a revision to an existing annual implementation plan to be submitted eight weeks prior to any meeting of the Executive Committee, for its approval. Major changes would relate to:
 - (i) Issues potentially concerning the rules and policies of the Multilateral Fund;
 - (ii) Changes which would modify any clause of this Agreement;
 - (iii) Changes in the annual levels of funding allocated to individual bilateral or implementing agencies for the different tranches; and
 - (iv) Provision of funding for programmes or activities not included in the current endorsed annual implementation plan, or removal of an activity in the annual implementation plan, with a cost greater than 30 per cent of the total cost of the last approved tranche;
- (b) Reallocations not categorized as major changes may be incorporated in the approved annual implementation plan, under implementation at the time, and reported to the Executive Committee in the subsequent annual implementation report; and
- (c) Any remaining funds will be returned to the Multilateral Fund upon completion of the last tranche foreseen under this Agreement.

8. Specific attention will be paid to the execution of the activities in the refrigeration servicing sub-sector, in particular:

- (a) The Country would use the flexibility available under this Agreement to address specific needs that might arise during project implementation; and
- (b) The Country and the bilateral and implementing agencies involved will take full account of the requirements of decisions 41/100 and 49/6 during the implementation of the plan.

9. The Country agrees to assume overall responsibility for the management and implementation of this Agreement and of all activities undertaken by it or on its behalf to fulfil the obligations under this Agreement. UNEP has agreed to be the lead implementing agency (the “Lead IA”) and UNDP has agreed to be the cooperating implementing agency (the “Cooperating IA”) under the lead of the Lead IA in respect of the Country’s activities under this Agreement. The Country agrees to evaluations, which might

be carried out under the monitoring and evaluation work programmes of the Multilateral Fund or under the evaluation programme of any of the agencies taking part in this Agreement.

10. The Lead IA will be responsible for ensuring co-ordinated planning, implementation and reporting of all activities under this Agreement, including but not limited to independent verification as per sub-paragraph 5(b). This responsibility includes the necessity to co-ordinate with the Cooperating IA to ensure appropriate timing and sequence of activities in the implementation. The Cooperating IA will support the Lead IA by implementing the activities listed in Appendix 6-B under the overall co-ordination of the Lead IA. The Lead IA and Cooperating IA have reached consensus on the arrangements regarding inter-agency planning, reporting and responsibilities under this Agreement to facilitate a co-ordinated implementation of the Plan, including regular co-ordination meetings. The Executive Committee agrees, in principle, to provide the Lead IA and the Cooperating IA with the fees set out in rows 2.2 and 2.4 of Appendix 2-A.

11. Should the Country, for any reason, not meet the Targets for the elimination of the Substances set out in row 1.2 of Appendix 2-A or otherwise does not comply with this Agreement, then the Country agrees that it will not be entitled to the Funding in accordance with the Funding Approval Schedule. At the discretion of the Executive Committee, funding will be reinstated according to a revised Funding Approval Schedule determined by the Executive Committee after the Country has demonstrated that it has satisfied all of its obligations that were due to be met prior to receipt of the next tranche of funding under the Funding Approval Schedule. The Country acknowledges that the Executive Committee may reduce the amount of the Funding by the amount set out in Appendix 7-A (“Reductions in Funding for Failure to Comply”) in respect of each ODP kg of reductions in consumption not achieved in any one year. The Executive Committee will discuss each specific case in which the Country did not comply with this Agreement, and take related decisions. Once these decisions are taken, this specific case will not be an impediment for future tranches as per paragraph 5 above.

12. The Funding of this Agreement will not be modified on the basis of any future Executive Committee decision that may affect the funding of any other consumption sector projects or any other related activities in the Country.

13. The Country will comply with any reasonable request of the Executive Committee, the Lead IA and the Cooperating IA to facilitate implementation of this Agreement. In particular, it will provide the Lead IA and the Cooperating IA with access to the information necessary to verify compliance with this Agreement

14. The completion of stage I of the HPMP and the associated Agreement will take place at the end of the year following the last year for which a maximum allowable total consumption level has been specified in Appendix 2-A. Should there at that time still be activities that are outstanding, and which were foreseen in the Plan and its subsequent revisions as per sub-paragraph 5(d) and paragraph 7, the completion will be delayed until the end of the year following the implementation of the remaining activities. The reporting requirements as per sub-paragraphs 1(a), 1(b), 1(d), and 1(e) of Appendix 4-A will continue until the time of the completion unless otherwise specified by the Executive Committee.

15. All of the conditions set out in this Agreement are undertaken solely within the context of the Montreal Protocol and as specified in this Agreement. All terms used in this Agreement have the meaning ascribed to them in the Montreal Protocol unless otherwise defined herein.

APPENDICES

APPENDIX 1-A: THE SUBSTANCES

Substance	Annex	Group	Starting point for aggregate reductions in consumption (ODP tonnes)
HCFC-22	C	I	3.56
HCFC-142b	C	I	0.13
Total	C	I	3.69

APPENDIX 2-A: THE TARGETS, AND FUNDING

Row	Particulars	2013	2014	2015	2016	2017	2018	2019	2020	Total	
1.1	Montreal Protocol reduction schedule of Annex C, Group I substances (ODP tonnes)	3.69	3.69	3.32	3.32	3.32	3.32	3.32	2.40	n/a	
1.2	Maximum allowable total consumption of Annex C, Group I substances (ODP tonnes)	3.69	3.69	3.32	3.32	3.32	3.32	3.32	2.40	n/a	
2.1	Lead IA (UNEP) agreed funding (US \$)	74,000	-	-	48,000	-	42,000	-	28,000	192,000	
2.2	Support costs for Lead IA (US \$)	9,620	-	-	6,240	-	5,460	-	3,640	24,960	
2.3	Cooperating IA (UNDP) agreed funding (US \$)	50,000	-	-	38,000	-	-	-	-	88,000	
2.4	Support costs for Cooperating IA (US \$)	4,500	-	-	3,420	-	-	-	-	7,920	
3.1	Total agreed funding (US \$)	124,000	-	-	86,000	-	42,000	-	28,000	280,000	
3.2	Total support costs (US \$)	14,120	-	-	9,660	-	5,460	-	3,640	32,880	
3.3	Total agreed costs (US \$)	138,120	-	-	95,660	-	47,460	-	31,640	312,880	
4.1.1	Total phase-out of HCFC-22 agreed to be achieved under this Agreement (ODP tonnes)										1.29
4.1.2	Phase-out of HCFC-22 to be achieved in previously approved projects (ODP tonnes)										n/a
4.1.3	Remaining eligible consumption for HCFC-22 (ODP tonnes)										2.27
4.2.1	Total phase-out of HCFC-142b agreed to be achieved under this Agreement (ODP tonnes)										0.00
4.2.2	Phase-out of HCFC-142b to be achieved in previously approved projects (ODP tonnes)										n/a
4.2.3	Remaining eligible consumption for HCFC-142b (ODP tonnes)										0.13

APPENDIX 3-A: FUNDING APPROVAL SCHEDULE

1. Funding for the future tranches will be considered for approval at the first meeting of the year specified in Appendix 2-A.

APPENDIX 4-A: FORMAT OF IMPLEMENTATION REPORTS AND PLANS

1. The submission of the Implementation Report and Plan for each tranche request will consist of five parts:

- (a) A narrative report, with data provided by calendar year, regarding the progress since the year prior to the previous report, reflecting the situation of the Country in regard to phase out of the Substances, how the different activities contribute to it, and how they relate to each other. The report should include ODS phase-out as a direct result from the implementation of activities, by substance, and the alternative technology used and the related phase-in of alternatives, to allow the Secretariat to provide to the Executive Committee information about the resulting change in climate relevant emissions. The report should further highlight successes, experiences, and challenges related to the different activities included in the Plan, reflecting any changes in the circumstances in the Country, and providing other relevant information. The report should also include information on and justification for any changes vis-à-vis the previously submitted Annual Implementation Plan(s), such as delays, uses of the flexibility for reallocation of funds during implementation of a tranche, as provided for in paragraph 7 of this Agreement, or other changes. The narrative report will cover all relevant years specified in sub-paragraph 5(a) of the Agreement and can in addition also include information on activities in the current year;
- (b) A verification report of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement. If not decided otherwise by the Executive Committee, such a verification has to be provided together with each tranche request and will have to provide verification of the consumption for all relevant years as specified in sub-paragraph 5(a) of the Agreement for which a verification report has not yet been acknowledged by the Committee;
- (c) A written description of the activities to be undertaken until and including the year of the planned submission of the next tranche request, highlighting the interdependence of the activities, and taking into account experiences made and progress achieved in the implementation of earlier tranches; the data in the plan will be provided by calendar year. The description should also include a reference to the overall plan and progress achieved, as well as any possible changes to the overall plan that are foreseen. The description should cover the years specified in sub-paragraph 5(d) of the Agreement. The description should also specify and explain in detail such changes to the overall plan. This description of future activities can be submitted as a part of the same document as the narrative report under sub-paragraph (b) above;
- (d) A set of quantitative information for all annual implementation reports and annual implementation plans, submitted through an online database. This quantitative information, to be submitted by calendar year with each tranche request, will be amending the narratives and description for the report (see sub-paragraph 1(a) above) and the plan (see sub-paragraph 1(c) above), the annual implementation plan and any changes to the overall plan, and will cover the same time periods and activities; and
- (e) An Executive Summary of about five paragraphs, summarizing the information of the above sub-paragraphs 1(a) to 1(d).

APPENDIX 5-A: MONITORING INSTITUTIONS AND ROLES

1. The National Ozone Unit (NOU) will be responsible for implementing activities planned in the HPMP and submit annual progress and expenditure reports on the status of implementation to the Lead IA.
2. The Lead IA will be responsible for monitoring the progress of the activities outlined in the HPMP and carry out the verification on the targets specified therein.

APPENDIX 6-A: ROLE OF THE LEAD IMPLEMENTING AGENCY

1. The Lead IA will be responsible for a range of activities, including at least the following:
 - (a) Ensuring performance and financial verification in accordance with this Agreement and with its specific internal procedures and requirements as set out in the Country's HPMP;
 - (b) Assisting the Country in preparation of the Implementation Plans and subsequent reports as per Appendix 4-A;
 - (c) Providing independent verification to the Executive Committee that the Targets have been met and associated annual activities have been completed as indicated in the Implementation Plan consistent with Appendix 4-A;
 - (d) Ensuring that the experiences and progress is reflected in updates of the overall plan and in future annual implementation plans consistent with sub-paragraphs 1(c) and 1(d) of Appendix 4-A;
 - (e) Fulfilling the reporting requirements for the annual implementation reports, annual implementation plans and the overall plan as specified in Appendix 4-A for submission to the Executive Committee. The reporting requirements include the reporting about activities undertaken by the Cooperating IA;
 - (f) Ensuring that appropriate independent technical experts carry out the technical reviews;
 - (g) Carrying out required supervision missions;
 - (h) Ensuring the presence of an operating mechanism to allow effective, transparent implementation of the Implementation Plan and accurate data reporting;
 - (i) Co-ordinating the activities of the Cooperating IA, and ensuring appropriate sequence of activities;
 - (j) In case of reductions in funding for failure to comply in accordance with paragraph 11 of the Agreement, to determine, in consultation with the Country, and the Cooperating IA, the allocation of the reductions to the different budget items and to the funding of each implementing or bilateral agency involved;
 - (k) Ensuring that disbursements made to the Country are based on the use of the indicators; and
 - (l) Providing assistance with policy, management and technical support when required.

2. After consultation with the Country and taking into account any views expressed, the Lead IA will select and mandate an independent entity to carry out the verification of the HPMP results and the consumption of the Substances mentioned in Appendix 1-A, as per sub-paragraph 5(b) of the Agreement and sub-paragraph 1(b) of Appendix 4-A.

APPENDIX 6-B: ROLE OF THE COOPERATING IMPLEMENTING AGENCY

1. The Cooperating IA will be responsible for a range of activities. These activities are specified in the overall plan, including at least the following:
 - (a) Providing assistance for policy development when required;
 - (b) Assisting the Country in the implementation and assessment of the activities funded by the Cooperating IA, and refer to the Lead IA to ensure a co-ordinated sequence in the activities; and
 - (c) Providing reports to the Lead IA on these activities, for inclusion in the consolidated reports as per Appendix 4-A.

APPENDIX 7-A: REDUCTIONS IN FUNDING FOR FAILURE TO COMPLY

1. In accordance with paragraph 11 of the Agreement, the amount of funding provided may be reduced by US \$180 per ODP kg of consumption beyond the level defined in row 1.2 of Appendix 2-A for each year in which the target specified in row 1.2 of Appendix 2-A has not been met.



Job Opening

Job Title:	CHIEF OFFICER, Secretariat of the Multilateral Fund for the Implementation of the Montreal Protocol, D2
Department/ Office:	United Nations Environment Programme
Duty Station:	MONTREAL
Posting Period:	13 February 2013-24 April 2013
Job Opening Number:	13-PGM-UNEP-26476-D-MONTREAL (X)

United Nations Core Values: Integrity, Professionalism, Respect for Diversity

Org. Setting and Reporting

The United Nations Environment Programme (UNEP) is the United Nations system's designated entity for addressing environmental issues at the global and regional level. Its mandate is to coordinate the development of environmental policy consensus by keeping the global environment under review and bringing emerging issues to the attention of governments and the international community for action. The Secretariat of Multilateral Fund (MFS) for the Implementation of the Montreal Protocol is dedicated to reversing the deterioration of the Earth's ozone layer. It was established in 1991 to assist developing countries to meet their Montreal Protocol commitments in complying with the control measures of the ozone-depleting substances. The Fund Secretariat in Montreal assists the Committee in this task. The Secretariat is administered by UNEP. This post is located in UNEP/MFS at the Montreal duty station. Under the guidance and instruction of the Executive Committee of the Multilateral Fund for the Implementation of the Montreal Protocol, the Chief Officer will assist the Executive Committee in the discharge of its functions and report to it.

Responsibilities

Directing the development of the Multilateral Fund strategic plan, operational policies and guidelines. Developing three-year budgets and plans for the Multilateral Fund for consideration by the Executive Committee. Managing the financial resources and developing plans and strategies on the basis of available financial resources. Managing relations with, and coordinating the work of the implementing agencies of the Multilateral Fund: UNDP, UNEP, UNIDO and the World Bank. Establishing and managing effective relations with Article 5 countries to promote environmental issues and ensure the achievement of the Montreal Protocol phase-out targets. Directing the assessment of activities and projects established on the basis of developing countries' compliance needs. Effectively managing the Secretariat by providing leadership in fostering UN values and principles through setting performance objectives and standards, conducting performance appraisals, assessing staff training needs, and establishing short and long term goals and objectives.

Competencies

Professionalism: Demonstrates professional competence and mastery of subject matter, is conscientious and efficient in meeting commitments, observing deadlines and achieving results. **Accountability:** Operates in compliance with organizational rules and regulations, delivers outputs within prescribed time, cost and quality standards. **Communication:** Listens to others, correctly interprets messages from others and responds appropriately. Demonstrates openness in sharing information and keeping people informed.

Leadership: Is proactive in developing strategies to accomplish objectives. Drives for change and improvement; does not accept the status quo. Empowers others to translate vision into results. **Managing performance:** Delegates the appropriate responsibility, accountability and decision-making authority. Makes sure that roles, responsibilities and reporting lines are clear to each staff member. Monitors progress against milestones and deadlines.

Education

Advanced university degree at masters or preferably Ph.D. level would be required with preference in economics, business administration, finance, public administration or any other relevant field.

Work Experience

A minimum of 15 years of professional working experience related to policy development, project evaluation and implementation, with at least 7 years at a senior level. Qualifying years of experience are calculated following the receipt of the first-level university degree recognized by the United Nations. Experience in dealing with international organizations and national governments as well as extensive knowledge of UN and UNEP policy and decision making structure is desirable.

Languages

English and French are the working languages of the United Nations Secretariat. For the post advertised, fluency in oral and written English is required. Working knowledge of another UN languages is an advantage.

Assessment Method

Evaluation of qualified candidates for this position may include substantive assessment which may be followed by a competency-based interview.

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